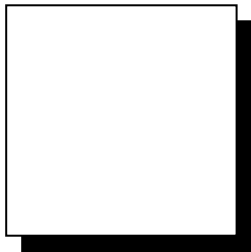
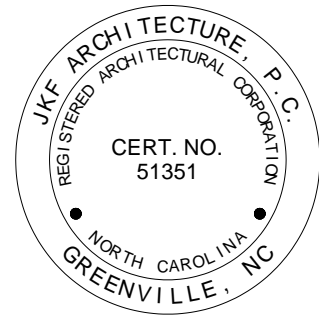


## PROJECT MANUAL

# CITY OF GREENVILLE PUBLIC WORKS SOLID WASTE OFFICE RENOVATION Greenville, NC

COG ITB #24-25-**35**  
JKF Project No. 2021-04D  
March 1, 2025



Specification No.



625 Lynndale Ct. Suite F  
Greenville, NC 27858  
252-355-1068 Phone  
252-355-0216 Fax  
jkf@jkf-arch.com



## **ADVERTISEMENT FOR BIDS**

Sealed proposals for a single-prime General Construction Contract will be received from Bidders by City of Greenville, Public Works Building, 1500 Beatty Street, Greenville, NC 27834, Attn.: Mr. Kevin Mulligan, PE, Director in Conference Room up to **3:00 p.m., Wednesday, May 14, 2025**, and immediately thereafter publicly opened and read for the furnishing of labor, material and equipment entering into the construction of the:

**CITY OF GREENVILLE  
PUBLIC WORKS SOLID WASTE OFFICE RENOVATION  
COG ITB NO. 24-25-35  
JKF PROJECT NO. 2021-04D**

**Pre-Bid Meeting:** Pre-bid meeting will be held for all bidders and all interested vendors on **Wednesday, April 30, 2025, at 10:00 AM** at the City of Greenville, Public Works Building, 1500 Beatty Street, Greenville, NC, Conference Room.

In accordance with GS133-3 procedures the following preferred brand items are being considered as Alternates by the owner for this project:

- A. Preferred Brand Alternate: Door Hardware; Corbin-Russwin.
- B. Preferred Alternate; Roof Top Unit- Trane.

Complete plans and specifications for this project can be obtained at JKF ARCHITECTURE, 625 Lynndale Court, Suite F, Greenville, NC 27858, 252-355-1068, during normal office hours after April 13, 2025. Plans and specifications available for viewing at Dodge Data & Analytics, ConstructConnect, and Duncan Parnell-Greenville, NC.

Plan Deposit: \$150 Hard Copy; No cost PDF when registered as prime bidder.

The Owner reserves the unqualified right to reject any and all proposals.

Signed:

**Kevin Mulligan, PE, Director**  
**City of Greenville Public Works**



**CITY OF GREENVILLE  
PUBLIC WORKS SOLID WASTE OFFICE  
RENOVATION COG ITB NO. 24-25-35  
JKF PROJECT NO. 2021-04D**

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NOT APPLICABLE

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## NOTICE TO BIDDERS

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**CITY OF GREENVILLE  
PUBLIC WORKS SOLID WASTE OFFICE RENOVATION  
COG ITB NO. 24-25-35  
JKF PROJECT NO. 2021-04D**

Bids will be received for Contract type – (single prime: Category-General). All proposals shall be lump sum.

An open pre-bid meeting will be held for all bidders and all interested vendors on **Wednesday, April 30, 2025, at 10:00 AM** at the City of Greenville Public Works, 1500 Beatty Street, Greenville, NC, Conference Room. The meeting will address project specific questions, issues, bidding procedures and bid forms.

The meeting is also to identify preferred brand alternates and their performance standards that the owner will consider for approval on this project.

In accordance with General Statute GS 133-3, Specifications may list one or more preferred brands as an alternate to the base bid in limited circumstances. Specifications containing a preferred brand alternate under this section must identify the performance standards that support the preference. Performance standards for the preference must be approved in advance by the owner in an open meeting. Any alternate approved by the owner shall be approved only where (i) the preferred alternate will provide cost savings, maintain or improve the functioning of any process or system affected by the preferred item or items, or both, and (ii) a justification identifying these criteria is made available in writing to the public.

In accordance with GS133-3 procedures the following preferred brand items are being considered as Alternates by the owner for this project:

- A. Preferred Brand Alternate: Door Hardware; Corbin-Russwin.
- B. Preferred Alternate; Roof Top Unit- Trane.

Justification of any approvals will be made available to the public in writing no later than seven (7) days prior to bid date.

Complete plans, specifications and contract documents will be open for inspection in the offices of Office of Kevin Mulligan at City of Greenville Public Works Office and **JKF Architecture PC**, and in the plan rooms of Dodge Data & Analytics, Construct Connect, and Duncan Parnell-Greenville, NC or may be obtained by those prime bidders, upon deposit of \$250 in cash or certified check. The full plan deposit will be returned to those bidders provided all documents are returned in good, usable condition within ten (10) days after the bid date. PDF sets only will be made available to all prime bidders at no cost provided they register with the Office of the Architect.

**NOTE:** The bidder shall identify on its bid proposal the minority business participation it will use on the project (Identification of Minority Business Participation) form and shall include either Affidavit **A** or Affidavit **B** as applicable. Forms and instructions are included within the Proposal Form in the bid documents. Failure to complete these forms is grounds for rejection of the bid. (GS143-128.2c Effective 1/1/2002.)

All contractors are hereby notified that they must have proper license as required under the state laws governing their respective trades.

General contractors are notified that Chapter 87, Article 1, General Statutes of North Carolina, will be observed in receiving and awarding general contracts. General contractors submitting bids on this project must have license classification for Building Contractor.

Under GS 87-1, a contractor that superintends or manages construction of any building, highway, public utility, grading, structure or improvement shall be deemed a “general contractor” and shall be so licensed. Therefore, a single prime project that involves other trades will require the single prime contractor to hold a proper General Contractors license.

Each proposal shall be accompanied by a cash deposit or a certified check drawn on some bank or trust company, insured by the Federal Deposit Insurance Corporation, of an amount equal to not less than five percent (5%) of the proposal, or in lieu thereof a bidder may offer a bid bond of five percent (5%) of the bid executed by a surety company licensed under the laws of North Carolina to execute the contract in accordance with the bid bond. Said deposit shall be retained by the owner as liquidated damages in event of failure of the successful bidder to execute the contract within ten days after the award or to give satisfactory surety as required by law.

Contractors will comply with Federal and State E-Verify Requirements.

A performance bond and a payment bond will be required for one hundred percent (100%) of the contract price.

Payment will be made based on ninety-five percent (95%) of monthly estimates and final payment made upon completion and acceptance of work.

No bid may be withdrawn after the scheduled closing time for the receipt of bids for a period of 60 days.

The owner reserves the right to reject any or all bids and to waive informalities.

Signed:

***Kevin Mulligan***  
***City of Greenville***

Designer: ***JKF ARCHITECTURE PC***  
625 Lynndale Court, Suite F  
Greenville, NC 27858  
252-355-1068/0216 fax  
[submittal@jkf-arch.com](mailto:submittal@jkf-arch.com)

# **AIA**® Document A701™ – 1997

## ***Instructions to Bidders***

### **THE ARCHITECT:**

*(Name, legal status and address)*

JKF Architecture PC  
625 Lynndale Court, Suite F  
Greenville, NC 27858

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- 1 DEFINITIONS**
- 2 BIDDER'S REPRESENTATIONS**
- 3 BIDDING DOCUMENTS**
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- 5 CONSIDERATION OF BIDS**
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- 7 PERFORMANCE BOND AND PAYMENT BOND**
- 8 FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR**

### **ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

## **ARTICLE 1 DEFINITIONS**

§ 1.1 Bidding Documents include the Bidding Requirements and the proposed Contract Documents. The Bidding Requirements consist of the Advertisement or Invitation to Bid, Instructions to Bidders, Supplementary Instructions to Bidders, the bid form, and other sample bidding and contract forms. The proposed Contract Documents consist of the form of Agreement between the Owner and Contractor, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications and all Addenda issued prior to execution of the Contract.

§ 1.2 Definitions set forth in the General Conditions of the Contract for Construction, AIA Document A201, or in other Contract Documents are applicable to the Bidding Documents.

§ 1.3 Addenda are written or graphic instruments issued by the Architect prior to the execution of the Contract which modify or interpret the Bidding Documents by additions, deletions, clarifications or corrections.

§ 1.4 A Bid is a complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.

§ 1.5 The Base Bid is the sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents as the base, to which Work may be added or from which Work may be deleted for sums stated in Alternate Bids.

§ 1.6 An Alternate Bid (or Alternate) is an amount stated in the Bid to be added to or deducted from the amount of the Base Bid if the corresponding change in the Work, as described in the Bidding Documents, is accepted.

§ 1.7 A Unit Price is an amount stated in the Bid as a price per unit of measurement for materials, equipment or services or a portion of the Work as described in the Bidding Documents.

§ 1.8 A Bidder is a person or entity who submits a Bid and who meets the requirements set forth in the Bidding Documents.

§ 1.9 A Sub-bidder is a person or entity who submits a bid to a Bidder for materials, equipment or labor for a portion of the Work.

## **ARTICLE 2 BIDDER'S REPRESENTATIONS**

§ 2.1 The Bidder by making a Bid represents that:

§ 2.1.1 The Bidder has read and understands the Bidding Documents or Contract Documents, to the extent that such documentation relates to the Work for which the Bid is submitted, and for other portions of the Project, if any, being bid concurrently or presently under construction.

§ 2.1.2 The Bid is made in compliance with the Bidding Documents.

§ 2.1.3 The Bidder has visited the site, become familiar with local conditions under which the Work is to be performed and has correlated the Bidder's personal observations with the requirements of the proposed Contract Documents.

§ 2.1.4 The Bid is based upon the materials, equipment and systems required by the Bidding Documents without exception.

## **ARTICLE 3 BIDDING DOCUMENTS**

### **§ 3.1 COPIES**

§ 3.1.1 Bidders may obtain complete sets of the Bidding Documents from the issuing office designated in the Advertisement or Invitation to Bid in the number and for the deposit sum, if any, stated therein. The deposit will be refunded to Bidders who submit a bona fide Bid and return the Bidding Documents in good condition within ten days after receipt of Bids. The cost of replacement of missing or damaged documents will be deducted from the deposit. A Bidder receiving a Contract award may retain the Bidding Documents and the Bidder's deposit will be refunded.

**§ 3.1.2** Bidding Documents will not be issued directly to Sub-bidders unless specifically offered in the Advertisement or Invitation to Bid, or in supplementary instructions to bidders.

**§ 3.1.3** Bidders shall use complete sets of Bidding Documents in preparing Bids; neither the Owner nor Architect assumes responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

**§ 3.1.4** The Owner and Architect may make copies of the Bidding Documents available on the above terms for the purpose of obtaining Bids on the Work. No license or grant of use is conferred by issuance of copies of the Bidding Documents.

### **§ 3.2 INTERPRETATION OR CORRECTION OF BIDDING DOCUMENTS**

**§ 3.2.1** The Bidder shall carefully study and compare the Bidding Documents with each other, and with other work being bid concurrently or presently under construction to the extent that it relates to the Work for which the Bid is submitted, shall examine the site and local conditions, and shall at once report to the Architect errors, inconsistencies or ambiguities discovered.

**§ 3.2.2** Bidders and Sub-bidders requiring clarification or interpretation of the Bidding Documents shall make a written request which shall reach the Architect at least seven days prior to the date for receipt of Bids.

**§ 3.2.3** Interpretations, corrections and changes of the Bidding Documents will be made by Addendum. Interpretations, corrections and changes of the Bidding Documents made in any other manner will not be binding, and Bidders shall not rely upon them.

### **§ 3.3 SUBSTITUTIONS**

**§ 3.3.1** The materials, products and equipment described in the Bidding Documents establish a standard of required function, dimension, appearance and quality to be met by any proposed substitution.

**§ 3.3.2** No substitution will be considered prior to receipt of Bids unless written request for approval has been received by the Architect at least ten days prior to the date for receipt of Bids. Such requests shall include the name of the material or equipment for which it is to be substituted and a complete description of the proposed substitution including drawings, performance and test data, and other information necessary for an evaluation. A statement setting forth changes in other materials, equipment or other portions of the Work, including changes in the work of other contracts that incorporation of the proposed substitution would require, shall be included. The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval of a proposed substitution shall be final.

**§ 3.3.3** If the Architect approves a proposed substitution prior to receipt of Bids, such approval will be set forth in an Addendum. Bidders shall not rely upon approvals made in any other manner.

**§ 3.3.4** No substitutions will be considered after the Contract award unless specifically provided for in the Contract Documents.

### **§ 3.4 ADDENDA**

**§ 3.4.1** Addenda will be transmitted to all who are known by the issuing office to have received a complete set of Bidding Documents.

**§ 3.4.2** Copies of Addenda will be made available for inspection wherever Bidding Documents are on file for that purpose.

**§ 3.4.3** Addenda will be issued no later than four days prior to the date for receipt of Bids except an Addendum withdrawing the request for Bids or one which includes postponement of the date for receipt of Bids.

**§ 3.4.4** Each Bidder shall ascertain prior to submitting a Bid that the Bidder has received all Addenda issued, and the Bidder shall acknowledge their receipt in the Bid.

## **ARTICLE 4 BIDDING PROCEDURES**

### **§ 4.1 PREPARATION OF BIDS**

**§ 4.1.1** Bids shall be submitted on the forms included with the Bidding Documents.

**§ 4.1.2** All blanks on the bid form shall be legibly executed in a non-erasable medium.

**§ 4.1.3** Sums shall be expressed in both words and figures. In case of discrepancy, the amount written in words shall govern.

**§ 4.1.4** Interlineations, alterations and erasures must be initialed by the signer of the Bid.

**§ 4.1.5** All requested Alternates shall be bid. If no change in the Base Bid is required, enter "No Change."

**§ 4.1.6** Where two or more Bids for designated portions of the Work have been requested, the Bidder may, without forfeiture of the bid security, state the Bidder's refusal to accept award of less than the combination of Bids stipulated by the Bidder. The Bidder shall make no additional stipulations on the bid form nor qualify the Bid in any other manner.

**§ 4.1.7** Each copy of the Bid shall state the legal name of the Bidder and the nature of legal form of the Bidder. The Bidder shall provide evidence of legal authority to perform within the jurisdiction of the Work. Each copy shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further give the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current power of attorney attached certifying the agent's authority to bind the Bidder.

### **§ 4.2 BID SECURITY**

**§ 4.2.1** Each Bid shall be accompanied by a bid security in the form and amount required if so stipulated in the Instructions to Bidders. The Bidder pledges to enter into a Contract with the Owner on the terms stated in the Bid and will, if required, furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Should the Bidder refuse to enter into such Contract or fail to furnish such bonds if required, the amount of the bid security shall be forfeited to the Owner as liquidated damages, not as a penalty. The amount of the bid security shall not be forfeited to the Owner in the event the Owner fails to comply with Section 6.2.

**§ 4.2.2** If a surety bond is required, it shall be written on AIA Document A310, Bid Bond, unless otherwise provided in the Bidding Documents, and the attorney-in-fact who executes the bond on behalf of the surety shall affix to the bond a certified and current copy of the power of attorney.

**§ 4.2.3** The Owner will have the right to retain the bid security of Bidders to whom an award is being considered until either (a) the Contract has been executed and bonds, if required, have been furnished, or (b) the specified time has elapsed so that Bids may be withdrawn or (c) all Bids have been rejected.

### **§ 4.3 SUBMISSION OF BIDS**

**§ 4.3.1** All copies of the Bid, the bid security, if any, and any other documents required to be submitted with the Bid shall be enclosed in a sealed opaque envelope. The envelope shall be addressed to the party receiving the Bids and shall be identified with the Project name, the Bidder's name and address and, if applicable, the designated portion of the Work for which the Bid is submitted. If the Bid is sent by mail, the sealed envelope shall be enclosed in a separate mailing envelope with the notation "SEALED BID ENCLOSED" on the face thereof.

**§ 4.3.2** Bids shall be deposited at the designated location prior to the time and date for receipt of Bids. Bids received after the time and date for receipt of Bids will be returned unopened.

**§ 4.3.3** The Bidder shall assume full responsibility for timely delivery at the location designated for receipt of Bids.

**§ 4.3.4** Oral, telephonic, telegraphic, facsimile or other electronically transmitted bids will not be considered.

### **§ 4.4 MODIFICATION OR WITHDRAWAL OF BID**

**§ 4.4.1** A Bid may not be modified, withdrawn or canceled by the Bidder during the stipulated time period following the time and date designated for the receipt of Bids, and each Bidder so agrees in submitting a Bid.

**§ 4.4.2** Prior to the time and date designated for receipt of Bids, a Bid submitted may be modified or withdrawn by notice to the party receiving Bids at the place designated for receipt of Bids. Such notice shall be in writing over the signature of the Bidder. Written confirmation over the signature of the Bidder shall be received, and date- and time-stamped by the receiving party on or before the date and time set for receipt of Bids. A change shall be so worded as not to reveal the amount of the original Bid.

**§ 4.4.3** Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids provided that they are then fully in conformance with these Instructions to Bidders.

**§ 4.4.4** Bid security, if required, shall be in an amount sufficient for the Bid as resubmitted.

## **ARTICLE 5 CONSIDERATION OF BIDS**

### **§ 5.1 OPENING OF BIDS**

At the discretion of the Owner, if stipulated in the Advertisement or Invitation to Bid, the properly identified Bids received on time will be publicly opened and will be read aloud. An abstract of the Bids may be made available to Bidders.

### **§ 5.2 REJECTION OF BIDS**

The Owner shall have the right to reject any or all Bids. A Bid not accompanied by a required bid security or by other data required by the Bidding Documents, or a Bid which is in any way incomplete or irregular is subject to rejection.

### **§ 5.3 ACCEPTANCE OF BID (AWARD)**

**§ 5.3.1** It is the intent of the Owner to award a Contract to the lowest qualified Bidder provided the Bid has been submitted in accordance with the requirements of the Bidding Documents and does not exceed the funds available. The Owner shall have the right to waive informalities and irregularities in a Bid received and to accept the Bid which, in the Owner's judgment, is in the Owner's own best interests.

**§ 5.3.2** The Owner shall have the right to accept Alternates in any order or combination, unless otherwise specifically provided in the Bidding Documents, and to determine the low Bidder on the basis of the sum of the Base Bid and Alternates accepted.

## **ARTICLE 6 POST-BID INFORMATION**

### **§ 6.1 CONTRACTOR'S QUALIFICATION STATEMENT**

Bidders to whom award of a Contract is under consideration shall submit to the Architect, upon request, a properly executed AIA Document A305, Contractor's Qualification Statement, unless such a Statement has been previously required and submitted as a prerequisite to the issuance of Bidding Documents.

### **§ 6.2 OWNER'S FINANCIAL CAPABILITY**

The Owner shall, at the request of the Bidder to whom award of a Contract is under consideration and no later than seven days prior to the expiration of the time for withdrawal of Bids, furnish to the Bidder reasonable evidence that financial arrangements have been made to fulfill the Owner's obligations under the Contract. Unless such reasonable evidence is furnished, the Bidder will not be required to execute the Agreement between the Owner and Contractor.

### **§ 6.3 SUBMITTALS**

**§ 6.3.1** The Bidder shall, as soon as practicable or as stipulated in the Bidding Documents, after notification of selection for the award of a Contract, furnish to the Owner through the Architect in writing:

- .1 a designation of the Work to be performed with the Bidder's own forces;
- .2 names of the manufacturers, products, and the suppliers of principal items or systems of materials and equipment proposed for the Work; and
- .3 names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for the principal portions of the Work.

**§ 6.3.2** The Bidder will be required to establish to the satisfaction of the Architect and Owner the reliability and responsibility of the persons or entities proposed to furnish and perform the Work described in the Bidding Documents.

**§ 6.3.3** Prior to the execution of the Contract, the Architect will notify the Bidder in writing if either the Owner or Architect, after due investigation, has reasonable objection to a person or entity proposed by the Bidder. If the Owner or Architect has reasonable objection to a proposed person or entity, the Bidder may, at the Bidder's option, (1) withdraw the Bid or (2) submit an acceptable substitute person or entity with an adjustment in the Base Bid or Alternate Bid to cover the difference in cost occasioned by such substitution. The Owner may accept the adjusted bid price or disqualify the Bidder. In the event of either withdrawal or disqualification, bid security will not be forfeited.

**§ 6.3.4** Persons and entities proposed by the Bidder and to whom the Owner and Architect have made no reasonable objection must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Owner and Architect.

## **ARTICLE 7 PERFORMANCE BOND AND PAYMENT BOND**

### **§ 7.1 BOND REQUIREMENTS**

**§ 7.1.1** If stipulated in the Bidding Documents, the Bidder shall furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Bonds may be secured through the Bidder's usual sources.

**§ 7.1.2** If the furnishing of such bonds is stipulated in the Bidding Documents, the cost shall be included in the Bid. If the furnishing of such bonds is required after receipt of bids and before execution of the Contract, the cost of such bonds shall be added to the Bid in determining the Contract Sum.

**§ 7.1.3** If the Owner requires that bonds be secured from other than the Bidder's usual sources, changes in cost will be adjusted as provided in the Contract Documents.

### **§ 7.2 TIME OF DELIVERY AND FORM OF BONDS**

**§ 7.2.1** The Bidder shall deliver the required bonds to the Owner not later than three days following the date of execution of the Contract. If the Work is to be commenced prior thereto in response to a letter of intent, the Bidder shall, prior to commencement of the Work, submit evidence satisfactory to the Owner that such bonds will be furnished and delivered in accordance with this Section 7.2.1.

**§ 7.2.2** Unless otherwise provided, the bonds shall be written on AIA Document A312, Performance Bond and Payment Bond. Both bonds shall be written in the amount of the Contract Sum.

**§ 7.2.3** The bonds shall be dated on or after the date of the Contract.

**§ 7.2.4** The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix thereto a certified and current copy of the power of attorney.

## **ARTICLE 8 FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR**

Unless otherwise required in the Bidding Documents, the Agreement for the Work will be written on AIA Document A101, Standard Form of Agreement Between Owner and Contractor Where the Basis of Payment Is a Stipulated Sum.



## **SUPPLEMENTARY INSTRUCTIONS TO BIDDERS**

### **GENERAL**

AIA Document A701, Instructions to Bidders, 1997 Edition, is amended, supplemented, or voided as indicated herein.

### **ARTICLE 2 - BIDDER'S REPRESENTATION**

Add the following:

2.1.4 Bidder understands that all applicable state laws, municipal ordinances, and the rules and regulations of all authorities having jurisdiction over construction of the project shall apply to the Contract throughout, and they will be deemed to be included in the Contract the same as though herein written out in full.

### **ARTICLE 3 - BIDDING DOCUMENTS**

#### **3.1 COPIES**

Change subparagraph 3.1.1 to read as follows:

3.1.1 Bidders may obtain complete sets of the Bidding Documents from the issuing office designated in the Invitation to Bid in the number and for the deposit sum, if any, stated therein. The deposit will be refunded to Bidders who comply with the requirements stated in the Invitation to Bid.

#### **3.3 SUBSTITUTIONS**

Change subparagraph 3.3.2 to read as follows:

3.3.2 Each prime contractor shall obtain written approval from the Designer for the use of substitute products, materials, or equipment claimed to be equal to those specified. Such approvals must be obtained prior to the bid opening. Applications for approval of substitutions shall be made by the prime contractor and not by subcontractors or material suppliers. The contractor shall submit substitution requests fourteen (14) calendar days prior to the bid date to the Architect. Requests shall include a complete list of all materials proposed for the substitution. Incomplete submittals shall not be considered. The Designer shall respond no less than 7 days prior to the bid date in an addendum. The contractor shall submit within 10 days following award of the contract a complete list of subcontractors and materials proposed for the job. This list shall have prior approved substitutions or materials specified. No deviations will be permitted.

### **ARTICLE 4 - BIDDING PROCEDURE**

#### **4.2 BID SECURITY**

Change subparagraph 4.2.1 to read as follows:

4.2.1 Each Bid shall be accompanied by a bid security in the form of a Certified Check, of the Bidder, or a Bid Bond, duly executed by the Bidder as principal and having a Surety Company thereon qualified to do business in the State of the project, and in an amount not less than 5% of the Base Bid amount, pledging that the Bidder will enter into a Contract with the Owner on the terms stated in the Bid and will, if required, furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Should the Bidder refuse to enter into such Contract within 10 days after offered a Contract or fail to furnish such bonds, if required, the amount of the bid security shall be forfeited to the Owner as liquidated damages, not as a penalty. The amount of the bid security shall not be forfeited to the Owner in the event the Owner fails to comply with Subparagraph 6.2.1.

## **END OF SUPPLEMENTARY INSTRUCTIONS TO BIDDERS**



# **AIA**® Document A201™ – 2017

## **General Conditions of the Contract for Construction**

### **THE ARCHITECT:**

*(Name, legal status and address)*

JKF Architecture PC  
625 Lynndale Court, Suite F  
Greenville, NC 27858

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- 1 GENERAL PROVISIONS**
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- 4 ARCHITECT**
- 5 SUBCONTRACTORS**
- 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS**
- 7 CHANGES IN THE WORK**
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- 10 PROTECTION OF PERSONS AND PROPERTY**
- 11 INSURANCE AND BONDS**
- 12 UNCOVERING AND CORRECTION OF WORK**
- 13 MISCELLANEOUS PROVISIONS**
- 14 TERMINATION OR SUSPENSION OF THE CONTRACT**

This document has important legal consequences.

Consultation with an attorney is encouraged with respect to its completion or modification.

For guidance in modifying this document to include supplementary conditions, see AIA Document A503™, Guide for Supplementary Conditions.

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## 15 CLAIMS AND DISPUTES

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## **ARTICLE 1 GENERAL PROVISIONS**

### **§ 1.1 Basic Definitions**

#### **§ 1.1.1 The Contract Documents**

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding or proposal requirements.

#### **§ 1.1.2 The Contract**

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

#### **§ 1.1.3 The Work**

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

#### **§ 1.1.4 The Project**

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

#### **§ 1.1.5 The Drawings**

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

#### **§ 1.1.6 The Specifications**

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

#### **§ 1.1.7 Instruments of Service**

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

#### **§ 1.1.8 Initial Decision Maker**

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2. The Initial Decision Maker shall not show partiality to the Owner or Contractor and shall not be liable for results of interpretations or decisions rendered in good faith.

### **§ 1.2 Correlation and Intent of the Contract Documents**

**§ 1.2.1** The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

**§ 1.2.1.1** The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.

**§ 1.2.2** Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

**§ 1.2.3** Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

### **§ 1.3 Capitalization**

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

### **§ 1.4 Interpretation**

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

### **§ 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service**

**§ 1.5.1** The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and retain all common law, statutory, and other reserved rights in their Instruments of Service, including copyrights. The Contractor, Subcontractors, Sub-subcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

**§ 1.5.2** The Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner, Architect, and the Architect's consultants.

### **§ 1.6 Notice**

**§ 1.6.1** Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission if a method for electronic transmission is set forth in the Agreement.

**§ 1.6.2** Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

### **§ 1.7 Digital Data Use and Transmission**

The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

### **§ 1.8 Building Information Models Use and Reliance**

Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set

forth in AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202™–2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

## **ARTICLE 2 OWNER**

### **§ 2.1 General**

**§ 2.1.1** The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

**§ 2.1.2** The Owner shall furnish to the Contractor, within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of, or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

### **§ 2.2 Evidence of the Owner's Financial Arrangements**

**§ 2.2.1** Prior to commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. The Contractor shall have no obligation to commence the Work until the Owner provides such evidence. If commencement of the Work is delayed under this Section 2.2.1, the Contract Time shall be extended appropriately.

**§ 2.2.2** Following commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract only if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due; or (3) a change in the Work materially changes the Contract Sum. If the Owner fails to provide such evidence, as required, within fourteen days of the Contractor's request, the Contractor may immediately stop the Work and, in that event, shall notify the Owner that the Work has stopped. However, if the request is made because a change in the Work materially changes the Contract Sum under (3) above, the Contractor may immediately stop only that portion of the Work affected by the change until reasonable evidence is provided. If the Work is stopped under this Section 2.2.2, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided in the Contract Documents.

**§ 2.2.3** After the Owner furnishes evidence of financial arrangements under this Section 2.2, the Owner shall not materially vary such financial arrangements without prior notice to the Contractor.

**§ 2.2.4** Where the Owner has designated information furnished under this Section 2.2 as "confidential," the Contractor shall keep the information confidential and shall not disclose it to any other person. However, the Contractor may disclose "confidential" information, after seven (7) days' notice to the Owner, where disclosure is required by law, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or by court or arbitrator(s) order. The Contractor may also disclose "confidential" information to its employees, consultants, sureties, Subcontractors and their employees, Sub-subcontractors, and others who need to know the content of such information solely and exclusively for the Project and who agree to maintain the confidentiality of such information.

### **§ 2.3 Information and Services Required of the Owner**

**§ 2.3.1** Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.

**§ 2.3.2** The Owner shall retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

**§ 2.3.3** If the employment of the Architect terminates, the Owner shall employ a successor to whom the Contractor has no reasonable objection and whose status under the Contract Documents shall be that of the Architect.

**§ 2.3.4** The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

**§ 2.3.5** The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

**§ 2.3.6** Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

#### **§ 2.4 Owner's Right to Stop the Work**

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

#### **§ 2.5 Owner's Right to Carry Out the Work**

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. Such action by the Owner and amounts charged to the Contractor are both subject to prior approval of the Architect and the Architect may, pursuant to Section 9.5.1, withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the Architect, or the amounts claimed as costs to the Owner, the Contractor may file a Claim pursuant to Article 15.

### **ARTICLE 3 CONTRACTOR**

#### **§ 3.1 General**

**§ 3.1.1** The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

**§ 3.1.2** The Contractor shall perform the Work in accordance with the Contract Documents.

**§ 3.1.3** The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

### **§ 3.2 Review of Contract Documents and Field Conditions by Contractor**

**§ 3.2.1** Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents.

**§ 3.2.2** Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.

**§ 3.2.3** The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.

**§ 3.2.4** If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

### **§ 3.3 Supervision and Construction Procedures**

**§ 3.3.1** The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner and Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Architect objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

**§ 3.3.2** The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

**§ 3.3.3** The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

### **§ 3.4 Labor and Materials**

**§ 3.4.1** Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.



**§ 3.4.2** Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

**§ 3.4.3** The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

### **§ 3.5 Warranty**

**§ 3.5.1** The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

**§ 3.5.2** All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

### **§ 3.6 Taxes**

The Contractor shall pay sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

### **§ 3.7 Permits, Fees, Notices and Compliance with Laws**

**§ 3.7.1** Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay for the building permit as well as for other permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

**§ 3.7.2** The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

**§ 3.7.3** If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

### **§ 3.7.4 Concealed or Unknown Conditions**

If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 14 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may submit a Claim as provided in Article 15.

**§ 3.7.5** If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately

suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

### **§ 3.8 Allowances**

**§ 3.8.1** The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

**§ 3.8.2** Unless otherwise provided in the Contract Documents,

- .1 allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

**§ 3.8.3** Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

### **§ 3.9 Superintendent**

**§ 3.9.1** The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

**§ 3.9.2** The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Architect may notify the Contractor, stating whether the Owner or the Architect (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

**§ 3.9.3** The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

### **§ 3.10 Contractor's Construction and Submittal Schedules**

**§ 3.10.1** The Contractor, promptly after being awarded the Contract, shall submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project.

**§ 3.10.2** The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Architect's approval. The Architect's approval shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

**§ 3.10.3** The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

**§ 3.11 Documents and Samples at the Site**

The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the approved Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Architect and Owner, and delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

**§ 3.12 Shop Drawings, Product Data and Samples**

**§ 3.12.1** Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.

**§ 3.12.2** Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

**§ 3.12.3** Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged.

**§ 3.12.4** Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

**§ 3.12.5** The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Architect, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of Separate Contractors.

**§ 3.12.6** By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

**§ 3.12.7** The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been approved by the Architect.

**§ 3.12.8** The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Architect of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect's approval thereof.

**§ 3.12.9** The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such notice, the Architect's approval of a resubmission shall not apply to such revisions.

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**§ 3.12.10** The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law.

**§ 3.12.10.1** If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall be entitled to rely upon the adequacy and accuracy of the performance and design criteria provided in the Contract Documents. The Contractor shall cause such services or certifications to be provided by an appropriately licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor the performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review and approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents.

**§ 3.12.10.2** If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Architect at the time and in the form specified by the Architect.

### **§ 3.13 Use of Site**

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

### **§ 3.14 Cutting and Patching**

**§ 3.14.1** The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

**§ 3.14.2** The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor except with written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

### **§ 3.15 Cleaning Up**

**§ 3.15.1** The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project.

**§ 3.15.2** If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor.

### **§ 3.16 Access to Work**

The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

### **§ 3.17 Royalties, Patents and Copyrights**

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner or Architect. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect.

### **§ 3.18 Indemnification**

**§ 3.18.1** To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

**§ 3.18.2** In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts, or other employee benefit acts.

## **ARTICLE 4 ARCHITECT**

### **§ 4.1 General**

**§ 4.1.1** The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

**§ 4.1.2** Duties, responsibilities, and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner, Contractor, and Architect. Consent shall not be unreasonably withheld.

### **§ 4.2 Administration of the Contract**

**§ 4.2.1** The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

**§ 4.2.2** The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents.

**§ 4.2.3** On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not

have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

#### **§ 4.2.4 Communications**

The Owner and Contractor shall include the Architect in all communications that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

**§ 4.2.5** Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

**§ 4.2.6** The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 and 13.4.3, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

**§ 4.2.7** The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

**§ 4.2.8** The Architect will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

**§ 4.2.9** The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

**§ 4.2.10** If the Owner and Architect agree, the Architect will provide one or more Project representatives to assist in carrying out the Architect's responsibilities at the site. The Owner shall notify the Contractor of any change in the duties, responsibilities and limitations of authority of the Project representatives.

**§ 4.2.11** The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

**§ 4.2.12** Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of interpretations or decisions rendered in good faith.

§ 4.2.13 The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

## **ARTICLE 5 SUBCONTRACTORS**

### **§ 5.1 Definitions**

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a Separate Contractor or the subcontractors of a Separate Contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

### **§ 5.2 Award of Subcontracts and Other Contracts for Portions of the Work**

§ 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Architect may notify the Contractor whether the Owner or the Architect (1) has reasonable objection to any such proposed person or entity or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner or Architect makes reasonable objection to such substitution.

### **§ 5.3 Subcontractual Relations**

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work that the Contractor, by these Contract Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will

similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

#### **§ 5.4 Contingent Assignment of Subcontracts**

**§ 5.4.1** Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

**§ 5.4.2** Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.

**§ 5.4.3** Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity, the Owner shall nevertheless remain legally responsible for all of the successor contractor's obligations under the subcontract.

### **ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS**

#### **§ 6.1 Owner's Right to Perform Construction and to Award Separate Contracts**

**§ 6.1.1** The term "Separate Contractor(s)" shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.

**§ 6.1.2** When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

**§ 6.1.3** The Owner shall provide for coordination of the activities of the Owner's own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.

**§ 6.1.4** Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

#### **§ 6.2 Mutual Responsibility**

**§ 6.2.1** The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

**§ 6.2.2** If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Architect of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Architect of apparent discrepancies or defects prior to proceeding with the



Work shall constitute an acknowledgment that the Owner's or Separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.

**§ 6.2.3** The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a Separate Contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor's delays, improperly timed activities, damage to the Work or defective construction.

**§ 6.2.4** The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5.

**§ 6.2.5** The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

### **§ 6.3 Owner's Right to Clean Up**

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

## **ARTICLE 7 CHANGES IN THE WORK**

### **§ 7.1 General**

**§ 7.1.1** Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

**§ 7.1.2** A Change Order shall be based upon agreement among the Owner, Contractor, and Architect. A Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.

**§ 7.1.3** Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

### **§ 7.2 Change Orders**

**§ 7.2.1** A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor, and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

### **§ 7.3 Construction Change Directives**

**§ 7.3.1** A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

**§ 7.3.2** A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

**§ 7.3.3** If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;

- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.4.

**§ 7.3.4** If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Architect;
- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and
- .5 Costs of supervision and field office personnel directly attributable to the change.

**§ 7.3.5** If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

**§ 7.3.6** Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

**§ 7.3.7** A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

**§ 7.3.8** The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

**§ 7.3.9** Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

**§ 7.3.10** When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

#### **§ 7.4 Minor Changes in the Work**

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor

change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

## **ARTICLE 8 TIME**

### **§ 8.1 Definitions**

**§ 8.1.1** Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

**§ 8.1.2** The date of commencement of the Work is the date established in the Agreement.

**§ 8.1.3** The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

**§ 8.1.4** The term “day” as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

### **§ 8.2 Progress and Completion**

**§ 8.2.1** Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

**§ 8.2.2** The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner.

**§ 8.2.3** The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

### **§ 8.3 Delays and Extensions of Time**

**§ 8.3.1** If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor's control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Architect determines, justify delay, then the Contract Time shall be extended for such reasonable time as the Architect may determine.

**§ 8.3.2** Claims relating to time shall be made in accordance with applicable provisions of Article 15.

**§ 8.3.3** This Section 8.3 does not preclude recovery of damages for delay by either party under other provisions of the Contract Documents.

## **ARTICLE 9 PAYMENTS AND COMPLETION**

### **§ 9.1 Contract Sum**

**§ 9.1.1** The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

**§ 9.1.2** If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

### **§ 9.2 Schedule of Values**

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit a schedule of values to the Architect before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Architect. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment. Any changes to the schedule of values shall be submitted to the Architect and supported by such data to substantiate its accuracy as the Architect may require, and unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's subsequent Applications for Payment.

### **§ 9.3 Applications for Payment**

**§ 9.3.1** At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized, if required, and supported by all data substantiating the Contractor's right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents.

**§ 9.3.1.1** As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

**§ 9.3.1.2** Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.

**§ 9.3.2** Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site.

**§ 9.3.3** The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.

### **§ 9.4 Certificates for Payment**

**§ 9.4.1** The Architect will, within seven days after receipt of the Contractor's Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1.

**§ 9.4.2** The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data in the Application for Payment, that, to the best of the Architect's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

### **§ 9.5 Decisions to Withhold Certification**

**§ 9.5.1** The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot

be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 repeated failure to carry out the Work in accordance with the Contract Documents.

**§ 9.5.2** When either party disputes the Architect's decision regarding a Certificate for Payment under Section 9.5.1, in whole or in part, that party may submit a Claim in accordance with Article 15.

**§ 9.5.3** When the reasons for withholding certification are removed, certification will be made for amounts previously withheld.

**§ 9.5.4** If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or supplier to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Contractor shall reflect such payment on its next Application for Payment.

## **§ 9.6 Progress Payments**

**§ 9.6.1** After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

**§ 9.6.2** The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

**§ 9.6.3** The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

**§ 9.6.4** The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

**§ 9.6.5** The Contractor's payments to suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

**§ 9.6.6** A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

**§ 9.6.7** Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

**§ 9.6.8** Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

### **§ 9.7 Failure of Payment**

If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents, the amount certified by the Architect or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided for in the Contract Documents.

### **§ 9.8 Substantial Completion**

**§ 9.8.1** Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use.

**§ 9.8.2** When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

**§ 9.8.3** Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

**§ 9.8.4** When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

**§ 9.8.5** The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in the Certificate. Upon such acceptance, and consent of surety if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

### **§ 9.9 Partial Occupancy or Use**

**§ 9.9.1** The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented

to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

**§ 9.9.2** Immediately prior to such partial occupancy or use, the Owner, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

**§ 9.9.3** Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

**§ 9.10 Final Completion and Final Payment**

**§ 9.10.1** Upon receipt of the Contractor's notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

**§ 9.10.2** Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment, (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6) if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance. If a lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees.

**§ 9.10.3** If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

**§ 9.10.4** The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

- .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
- .2 failure of the Work to comply with the requirements of the Contract Documents;

- .3 terms of special warranties required by the Contract Documents; or
- .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

## ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

### § 10.1 Safety Precautions and Programs

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

### § 10.2 Safety of Persons and Property

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

§ 10.2.2 The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.

§ 10.2.3 The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.

§ 10.2.4 When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

§ 10.2.5 The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

§ 10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.

§ 10.2.7 The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

### § 10.2.8 Injury or Damage to Person or Property

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.



### **§ 10.3 Hazardous Materials and Substances**

**§ 10.3.1** The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and notify the Owner and Architect of the condition.

**§ 10.3.2** Upon receipt of the Contractor's notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable additional costs of shutdown, delay, and start-up.

**§ 10.3.3** To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), except to the extent that such damage, loss, or expense is due to the fault or negligence of the party seeking indemnity.

**§ 10.3.4** The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

**§ 10.3.5** The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

**§ 10.3.6** If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby incurred.

### **§ 10.4 Emergencies**

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

## **ARTICLE 11 INSURANCE AND BONDS**

### **§ 11.1 Contractor's Insurance and Bonds**

**§ 11.1.1** The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Contractor shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The

Owner, Architect, and Architect's consultants shall be named as additional insureds under the Contractor's commercial general liability policy or as otherwise described in the Contract Documents.

**§ 11.1.2** The Contractor shall provide surety bonds of the types, for such penal sums, and subject to such terms and conditions as required by the Contract Documents. The Contractor shall purchase and maintain the required bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

**§ 11.1.3** Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

**§ 11.1.4 Notice of Cancellation or Expiration of Contractor's Required Insurance.** Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

## **§ 11.2 Owner's Insurance**

**§ 11.2.1** The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located.

**§ 11.2.2 Failure to Purchase Required Property Insurance.** If the Owner fails to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform the Contractor in writing prior to commencement of the Work. Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted. In the event the Owner fails to procure coverage, the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent the loss to the Owner would have been covered by the insurance to have been procured by the Owner. The cost of the insurance shall be charged to the Owner by a Change Order. If the Owner does not provide written notice, and the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain the required insurance, the Owner shall reimburse the Contractor for all reasonable costs and damages attributable thereto.

**§ 11.2.3 Notice of Cancellation or Expiration of Owner's Required Property Insurance.** Within three (3) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice to the Contractor of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

## **§ 11.3 Waivers of Subrogation**

**§ 11.3.1** The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds

of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

**§ 11.3.2** If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

#### **§ 11.4 Loss of Use, Business Interruption, and Delay in Completion Insurance**

The Owner, at the Owner's option, may purchase and maintain insurance that will protect the Owner against loss of use of the Owner's property, or the inability to conduct normal operations, due to fire or other causes of loss. The Owner waives all rights of action against the Contractor and Architect for loss of use of the Owner's property, due to fire or other hazards however caused.

#### **§ 11.5 Adjustment and Settlement of Insured Loss**

**§ 11.5.1** A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

**§ 11.5.2** Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

### **ARTICLE 12 UNCOVERING AND CORRECTION OF WORK**

#### **§ 12.1 Uncovering of Work**

**§ 12.1.1** If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

**§ 12.1.2** If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

## **§ 12.2 Correction of Work**

### **§ 12.2.1 Before Substantial Completion**

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

### **§ 12.2.2 After Substantial Completion**

**§ 12.2.2.1** In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.

**§ 12.2.2.2** The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

**§ 12.2.2.3** The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

**§ 12.2.3** The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

**§ 12.2.4** The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

**§ 12.2.5** Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

## **§ 12.3 Acceptance of Nonconforming Work**

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

## **ARTICLE 13 MISCELLANEOUS PROVISIONS**

### **§ 13.1 Governing Law**

The Contract shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

### **§ 13.2 Successors and Assigns**

**§ 13.2.1** The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the

other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

**§ 13.2.2** The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

### **§ 13.3 Rights and Remedies**

**§ 13.3.1** Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

**§ 13.3.2** No action or failure to act by the Owner, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

### **§ 13.4 Tests and Inspections**

**§ 13.4.1** Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

**§ 13.4.2** If the Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

**§ 13.4.3** If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Architect's services and expenses, shall be at the Contractor's expense.

**§ 13.4.4** Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

**§ 13.4.5** If the Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

**§ 13.4.6** Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

### **§ 13.5 Interest**

Payments due and unpaid under the Contract Documents shall bear interest from the date payment is due at the rate the parties agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

## **ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT**

### **§ 14.1 Termination by the Contractor**

**§ 14.1.1** The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped;
- .3 Because the Architect has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in Section 9.4.1, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Contract Documents; or
- .4 The Owner has failed to furnish to the Contractor reasonable evidence as required by Section 2.2.

**§ 14.1.2** The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

**§ 14.1.3** If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed, as well as reasonable overhead and profit on Work not executed, and costs incurred by reason of such termination.

**§ 14.1.4** If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees or any other persons or entities performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' notice to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

### **§ 14.2 Termination by the Owner for Cause**

**§ 14.2.1** The Owner may terminate the Contract if the Contractor

- .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or Suppliers;
- .3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.

**§ 14.2.2** When any of the reasons described in Section 14.2.1 exist, and upon certification by the Architect that sufficient cause exists to justify such action, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

**§ 14.2.3** When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

**§ 14.2.4** If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance,

the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

#### **§ 14.3 Suspension by the Owner for Convenience**

**§ 14.3.1** The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.

**§ 14.3.2** The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent

- .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
- .2 that an equitable adjustment is made or denied under another provision of the Contract.

#### **§ 14.4 Termination by the Owner for Convenience**

**§ 14.4.1** The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

**§ 14.4.2** Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

**§ 14.4.3** In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly executed; costs incurred by reason of the termination, including costs attributable to termination of Subcontracts; and the termination fee, if any, set forth in the Agreement.

### **ARTICLE 15 CLAIMS AND DISPUTES**

#### **§ 15.1 Claims**

##### **§ 15.1.1 Definition**

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose liquidated damages in accordance with the Contract Documents.

##### **§ 15.1.2 Time Limits on Claims**

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

##### **§ 15.1.3 Notice of Claims**

**§ 15.1.3.1** Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

**§ 15.1.3.2** Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

**§ 15.1.4 Continuing Contract Performance**

**§ 15.1.4.1** Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents.

**§ 15.1.4.2** The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

**§ 15.1.5 Claims for Additional Cost**

If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

**§ 15.1.6 Claims for Additional Time**

**§ 15.1.6.1** If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

**§ 15.1.6.2** If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction.

**§ 15.1.7 Waiver of Claims for Consequential Damages**

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and
- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit, except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.7 shall be deemed to preclude assessment of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

**§ 15.2 Initial Decision**

**§ 15.2.1** Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

**§ 15.2.2** The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the



Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

**§ 15.2.3** In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

**§ 15.2.4** If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

**§ 15.2.5** The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

**§ 15.2.6** Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

**§ 15.2.6.1** Either party may, within 30 days from the date of receipt of an initial decision, demand in writing that the other party file for mediation. If such a demand is made and the party receiving the demand fails to file for mediation within 30 days after receipt thereof, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.

**§ 15.2.7** In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

**§ 15.2.8** If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

### **§ 15.3 Mediation**

**§ 15.3.1** Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.7, shall be subject to mediation as a condition precedent to binding dispute resolution.

**§ 15.3.2** The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

**§ 15.3.3** Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.

§ 15.3.4 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

#### § 15.4 Arbitration

§ 15.4.1 If the parties have selected arbitration as the method for binding dispute resolution in the Agreement, any Claim subject to, but not resolved by, mediation shall be subject to arbitration which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of the Agreement. The Arbitration shall be conducted in the place where the Project is located, unless another location is mutually agreed upon. A demand for arbitration shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the arbitration. The party filing a notice of demand for arbitration must assert in the demand all Claims then known to that party on which arbitration is permitted to be demanded.

§ 15.4.1.1 A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the Claim would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the Claim.

§ 15.4.2 The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

§ 15.4.3 The foregoing agreement to arbitrate and other agreements to arbitrate with an additional person or entity duly consented to by parties to the Agreement, shall be specifically enforceable under applicable law in any court having jurisdiction thereof.

#### § 15.4.4 Consolidation or Joinder

§ 15.4.4.1 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation, (2) the arbitrations to be consolidated substantially involve common questions of law or fact, and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

§ 15.4.4.2 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

§ 15.4.4.3 The Owner and Contractor grant to any person or entity made a party to an arbitration conducted under this Section 15.4, whether by joinder or consolidation, the same rights of joinder and consolidation as those of the Owner and Contractor under this Agreement.

**SUPPLEMENTARY GENERAL CONDITIONS  
OF THE CONTRACT FOR CONSTRUCTION**

**GENERAL**

AIA Document A201, General Conditions of the Contract for Construction 2017 Edition, is amended, supplemented, or voided as indicated herein.

**ARTICLE 1- GENERAL PROVISIONS**

Add new Section 1.1.5.1:

§ 1.1.5.1 Contractor shall be provided with 8 complete sets of the Contract Documents for use during the Project.

Add new Section 1.2.1.2:

§ 1.2.1.2 In the event of inconsistencies within or between parts of the Contract Documents, or between the Contract Documents and applicable standards, codes, and ordinances, the Contractor shall (i) provide the better quality or greater quantity of Work or (ii) comply with the more stringent requirement; either or both in accordance with the Architect's interpretation. The terms and conditions of this Section 1.2.1.2, however, shall not relieve the Contractor of any obligations set forth in Sections 3.2 and 3.7.

**ARTICLE 2 - OWNER**

**§ 2.6 Extent of Owner Rights**

Add new Section 2.6:

**§ 2.6 Extent of Owner Rights**

The rights stated in this Article 2 and elsewhere in the Contract Documents are cumulative and not in limitation of any rights of the Owner (i) granted in the Contract Documents, (ii) at law, or (iii) in equity. In no event shall the Owner have control over, charge of, or any responsibility for construction means, methods, techniques, sequences, or procedures or for safety precautions and programs in connection with the Work, notwithstanding any of the rights and authority granted the Owner in the Contract Documents.

**ARTICLE 3 – CONTRACTOR**

**§ 3.2 General**

Add new Section 3.1.4:

§ 3.1.4 As required by N.C.G.S. §143-133.3 and related state and federal laws, the Contractor and its Subcontractors, shall comply with the requirements of Article 2 of Chapter 64 of the North Carolina General Statutes, including, without limitation, the requirement for each employer with more than 25 employees in North Carolina to verify the work authorization of its employees through the federal E-Verify system.

**§ 3.2 Review of Contract Documents and Field Conditions by Contractor**

Add the following at the end of Section 3.2.1:

Prior to execution of the Agreement, the Contractor has evaluated and satisfied itself as to the conditions and limitations under which the Work is to be performed, including, without limitation, (i) the location, condition, layout, and nature of the Project site and surrounding areas, (ii) generally prevailing climatic conditions, (iii) anticipated labor supply and costs, (iv) availability and cost of materials, tools, and equipment, and (v) other similar issues. The Owner assumes no responsibility or liability for the physical condition or safety of the Project site. Except as set forth in Section 10.3, the Contractor shall be solely responsible for providing a safe place for the performance of the Work. The Owner shall not be required to make any adjustment in either the Contract Sum or the Contract Time in connection with any failure by the Contractor to have complied with the requirements of this Section 3.2.1.

**3.18 Indemnification**

Add new Sections 3.18.3 and 3.18.4:

§ 3.18.3 To the fullest extent permitted by law, the Contractor's indemnity obligations under this Section 3.18 shall also specifically include, without limitation, all fines, penalties, damages, liability, costs, expenses (including, without limitation, reasonable attorneys' fees), and punitive damages (if any) arising out of, or in connection with, any (i) violation of or failure to comply with any law, statute, ordinance, rule, regulation, code, or requirement of a public authority that bears upon the performance of the Work by the Contractor, a Subcontractor, or any person or entity for whom either is responsible, (ii) means, methods, procedures, techniques, or sequences of execution or performance of the Work, and (iii) failure to secure and pay for permits, fees, approvals, licenses, and inspections as required under the Contract Documents, or any violation of any permit or other approval of a public authority applicable to the Work, by the Contractor, a Subcontractor, or any person or entity for whom either is responsible.

§ 3.18.4 To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless each person and entity indemnified under this Section 3.18 from and against any costs and expenses (including reasonable attorneys' fees) incurred by any of the indemnitees in enforcing any of the Contractor's defense, indemnity, and hold-harmless obligations under this Contract.

## **ARTICLE 6 - CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS**

### **6.1 Owner's Right to Perform Construction and to Award Separate Contracts**

Delete Section 6.1.4 in its entirety.

## **ARTICLE 7 - CHANGES IN THE WORK**

### **7.1 General**

Add new Section 7.1.4:

§ 7.1.4 Except as permitted in Section 7.3, a change in the Contract Sum or the Contract Time shall be accomplished only by Change Order. Accordingly, no course of conduct or dealings between the parties, nor express or implied acceptance of alterations or additions to the Work, and no claim that Owner has been unjustly enriched by any alteration of or addition to the Work, whether or not there is, in fact, any unjust enrichment to the Work, shall be the basis of any claim to an increase in any amounts due under the Contract Documents or a change in any time period provided for in the Contract Documents.

### **7.2 Change Orders**

Add new Sections 7.2.2 and 7.2.3:

§ 7.2.2 Agreement on any Change Order shall constitute a final settlement of all matters relating to the change in the Work that is the subject of the Change Order, including, without limitation, all direct and indirect costs and damages associated with such change and any and all adjustments to the Contract Sum and the Contract Time.

§ 7.2.3. The allowances for overhead and profit for adjustments to the Contract Sum for all Change Orders and, if applicable Construction Change Directives, shall be as follows:

- .1 Changes in the Work requiring an increase to the Contract Sum shall allow for a combined overhead and profit not to exceed 15% of the net cost increase except where the change involves a Subcontractor in which case overhead and profit shall not exceed 15% for the Subcontractor and 10% for the Contractor.
- .2 Changes in the Work requiring a decrease to the Contract Sum shall include a combined overhead and profit deduct of 10% in the net cost from the Subcontractor and 10% from the Contractor.
- .3 Payroll burden shall not exceed 30% of the direct employee cost for any changes.
- .4 No additional allowances shall be made for overhead and profit for work requiring a change to the Contract Sum where adjustments to the Contract Sum are covered by unit prices quoted in the Bid Form.

## **ARTICLE 8 - TIME**

### **§ 8.2 Progress and Completion**

#### **Add new Section 8.2.4**

- § 8.2.4 If the progress or completion of the Work is delayed by any fault, neglect, act, or failure to act on the part of the Contractor or anyone acting for or on behalf of the Contractor, then the Contractor shall, in addition to all of the other obligations imposed by the Owner, work such overtime and/or require its Subcontractors to work such overtime as may be necessary to make up for all time lost and to avoid delay in the progress and completion of the Work. The Contractor shall be entitled to no adjustment in the Contract Sum on account of the performance of overtime work by the Contractor and/or its Subcontractors to maintain or recover the schedule.

### **§ 8.3 Delays and Extensions of Time**

#### **Add new Sections 8.3.1.1 and 8.3.1.2:**

- § 8.3.1.1 Time extensions will not be granted for rain, wind, snow or other natural phenomena of normal intensity for the locality where the Work is performed. For purpose of determining extent of delay attributable to adverse weather or unusual weather phenomena, a determination shall be made by comparing the weather for the contract period involved with the average of the preceding five (5) year climatic range during the same time interval based on the National Oceanic and Atmospheric Administration National Weather Service statistics for the locality where Work is performed and on daily weather logs kept on the job site by the Contractor reflecting the effect of the weather on progress of the Work and initialed by the Architect's representative. No weather delays shall be considered after the building is dried in unless work claimed to be delayed is on the critical path of the baseline schedule or approved updated schedule. Time extensions for weather delays, acts of God, labor disputes, fire, delays in transportation, unavoidable casualties, or other delays which are beyond the control of the Owner do not entitle the Contractor to compensable damages for delays. Any Contractor claim for compensable damages for delays is limited to delays caused solely by the Owner or its agents. Contractor caused delays shall be accounted for before Owner or designer caused delays in the case of concurrent delays.
- § 8.3.1.2 If the Contractor is delayed at any time in the progress of the Work solely by any act or negligence of the Owner, the Architect, or by any consultant or employee of either; by any separate contractor employed by the Owner; by changes ordered in the Work; by labor disputes at the project site; by abnormal weather conditions not reasonably anticipated for the locality where the work is performed; by unavoidable casualties; by any causes beyond the contractor's control; or by any other causes which the Architect and Owner determine may justify the delay, then the Contract Time may be extended by Change Order only for the time which the Architect and Owner may determine is reasonable.

#### **Replace Sections 8.3.2 and 8.3.3 in their entirety as follows:**

- § 8.3.2 Any Claim seeking an extension to the Contract Time and/or damages for alleged Owner-caused delay shall be made in strict accordance with the requirements of Article 15. The failure to make a Claim for an extension to the Contract Time and/or for damages for alleged Owner-caused delay in strict accordance with Article 15 shall be deemed an irrevocable waiver of the Claim.
- § 8.3.3 Any award of damages to the Contractor, a Subcontractor, a Sub-Subcontractor, their agents or employees, or any other persons or entities performing portions of the Work for alleged Owner-caused delay shall include overhead and profit in accordance with the allowances set forth in Section 7.2.3. Under no circumstances shall the Contractor or any Subcontractor, Sub-Subcontractor, their agents or employees, or any other persons or entities performing portions of the Work be entitled to recover alleged unabsorbed home office overhead under the *Eichleay* formula or any other formula, it being expressly agreed by the Contractor that any alleged unabsorbed home office overhead is included within the allowances set forth in Section 7.2.3. By executing the Agreement, the Contractor waives Claims for alleged unabsorbed home office overhead under the *Eichleay* formula or any other computation.

### **§ 8.4 Liquidated Damages**

#### **Add new Section 8.4:**

### **§ 8.4 Liquidated Damages**

- § 8.4.1 The Contractor shall commence the Work on the date indicated in the Agreement and shall complete all work hereunder within the number of consecutive calendar days indicated in the Bid Form and subsequently agreed to in the Agreement.
- § 8.4.2 For each day in excess of the agreed to number of calendar days, the Contractor shall pay to the Owner the sum of One-Thousand Dollars (\$1,000.00) as liquidated damages reasonably estimated in advance to cover the losses to be incurred by the Owner by reason of failure of the Contractor to complete the Work within the time specified, such time being in essence of this contract and a material consideration thereof.
- § 8.4.3 The Contractor and the Owner expressly agree that the Contract contemplates the potential apportionment of Project delay, and the Architect shall be the judge as to division of responsibility between the entities responsible for the delay and shall apportion the amount of liquidated damages to be paid by each entity responsible for the delay according to delay caused by any or all of them.

## **ARTICLE 9 - PAYMENTS AND COMPLETION**

### **§ 9.3 Applications for Payment**

#### **Add the following to Sections 9.3.1:**

- § 9.3.1.3 Applications for payment shall be made monthly on the AIA Document G702/G703. Applications shall be based on the contract prices of labor and materials incorporated into the Work and of materials suitably stored and secured less a 5% retainage, and less the aggregate of previous payments. Change Orders when approved shall be listed at the bottom of the last sheet or summary sheet, if applicable, of the payment application.
- § 9.3.1.4 After the first Certificate of Payment has been issued by the Architect and paid by the Owner, on all subsequent applications, the Contractor shall sign the affidavit on the Payment Application form.
- § 9.3.1.5 The completed Payment Application form(s) (4 copies) shall be submitted to the Architect's representative on or about the 5th day of each month, but not later than 10 days before the date established for each progress payment, for work completed to the last day of the previous month.

#### **Replace Section 9.3.2 in its entirety as follows:**

- § 9.3.2: When payment is made on account of stored materials and equipment, such materials must be stored on the Owner's property, and the requests for payments shall be accompanied by invoices or bills of sale or other evidence to establish the Owner's title to such materials and equipment. Responsibility for such stored materials and equipment shall remain with the Contractor regardless of ownership title. Such stored materials and equipment shall not be removed from the Owner's property. Should the space for storage on-site be limited, the Contractor, at its option, shall be permitted to store such materials and/or equipment in a suitable space off-site. Should the Contractor desire to include any such materials or equipment in its application for payment, they must be stored in the name of the Owner in a commercial warehouse approved by the Architect and located as close to the site as possible. The warehouse selected must be approved by the Contractor's bonding and insurance companies; the material to be paid for shall be assigned to the Owner and shall be inspected by the Architect. Upon approval by the Architect of the storage facilities and materials and equipment, payment therefore will be certified. Responsibility for such stored materials and equipment shall remain with the Contractor. Such stored materials and equipment shall not be moved except for transportation to the Project site. Under certain conditions, the Architect may approve storage of materials at the point of manufacture, which conditions shall be approved by the Architect and the Owner prior to approval for the storage and shall include an agreement by the storing party which unconditionally gives the absolute right to possession of the materials at anytime. Bond, security, and insurance protection for materials stored on or off-site shall continue to be the responsibility of the Contractor, and Contractor shall provide evidence of bond, security, or insurance protection upon request by the Architect or the Owner.

### **§ 9.4 Certificates for Payment**

#### **Add new Sections 9.4.3 and 9.4.4:**

- § 9.4.3 If, subsequent to issuing any certificate pursuant to this Section 9.4, Architect should determine that any previous certificate was in error (whether by review of additional conditions or documents, discovery of a mathematical error, or any other reason), then Architect may issue a Revised Certificate for Payment, setting forth the changes in the amounts due to the Contractor as well as the reason for such revision.
- § 9.4.4 The Owner may withhold payment to the Contractor, notwithstanding the Architect's certification or issuance of

a Certificate for Payment, if necessary in the Owner's sole discretion to protect the Owner from loss due to any of the reasons set forth in Section 9.5.1. The Owner shall also have the right, notwithstanding the Architect's certification or issuance of a Certificate for Payment, to withhold from payment to the Contractor such amounts as permitted by N.C.G.S. §143-134.1.

#### **§ 9.6 Progress Payments**

Add the following Subsection to Section 9.6.1 as follows:

- .1 Owner shall make progress payments to the Contractor no greater than 28 days from receipt of Architect's Certificate for Payment.

#### **§ 9.8 Substantial Completion**

Add the following Subsections 9.8.5:

- .1 No partial payments will be made after the time fixed for the completion of the Work or the time to which completion may be extended under the terms of the Contract, until the full and final completion and acceptance of all Work herein is agreed upon.
- .2 Upon Substantial Completion of the entire Work, the Contractor may submit a payment application requesting a sum sufficient to increase the total payment to 97.5 percent of the Contract Sum, balance to be paid upon final completion and acceptance except as otherwise specified.
- .3 Except on projects where there is no Performance Bond required, a request to increase the total payments to 97.5 percent of the Contract Sum shall be accompanied by the properly executed AIA form G707A, "Consent of Surety to Reduction in or Partial Releases of Retainage."

### **ARTICLE 10 – PROTECTION OF PERSONS AND PROPERTY**

#### **§ 10.3 Hazardous Materials and Substances**

Delete Section 10.3.3 in its entirety.

Delete Section 10.3.6 in its entirety.

### **ARTICLE 11 – INSURANCE AND BONDS**

Replace Article 11 in its entirety as follows:

#### **ARTICLE 11 INSURANCE AND BONDS**

##### **§ 11.1 Contractor's Insurance and Bonds**

§ 11.1.1 The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in this Section 11.1 or elsewhere in the Contract Documents. The Contractor shall purchase and maintain the insurance required by the Contract Documents from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Contractor shall maintain the required insurance until the expiration of the period for correction of Work as set forth in Section 12.2.2.

§ 11.1.2 Commercial General Liability insurance for the Project written on an occurrence form with policy limits of not less than Two Million Dollars (\$2,000,000.00) each occurrence, Two Million Dollars (\$2,000,000.00) general aggregate, and Two Million Dollars (\$2,000,000.00) aggregate for products-completed operations hazard, and Five Million Dollars (\$5,000,000.00) for Excess/Umbrella Liability, providing coverage for claims including:

- .1 damages because of bodily injury, sickness or disease, including occupational sickness or disease, and death of any person;
- .2 personal and advertising injury;
- .3 damages because of physical damage to or destruction of tangible property, including the loss of use of such property;
- .4 bodily injury or property damage arising out of completed operations; and
- .5 the Contractor's indemnity obligations under Section 3.18.

§ 11.1.3 Automobile Liability covering vehicles owned by the Contractor and non-owned vehicles used by the Contractor, with policy limits of not less than One Million Dollars (\$1,000,000.00) per accident, for bodily injury, death of any person, and

property damage arising out of the ownership, maintenance, and use of those motor vehicles along with any other statutorily required automobile coverage.

§ 11.1.4 In no event shall any excess or umbrella liability insurance provide narrower coverage than the primary policy. The excess policy shall not require the exhaustion of the underlying limits only through the actual payment by the underlying insurers.

§ 11.1.5 Workers' Compensation at statutory limits.

§ 11.1.6 Employers' Liability with policy limits not less than One Million Dollars (\$1,000,000.00) each accident, One Million Dollars (\$1,000,000.00) each employee, and One Million Dollars (\$1,000,000.00) policy limit.

§ 11.1.7 If the Contractor is required to furnish professional services as part of the Work, the Contractor shall procure Professional Liability insurance covering performance of the professional services, with policy limits of not less than One Million Dollars (\$1,000,000.00) per claim and Two Million Dollars (\$2,000,000.00) in the aggregate.

§ 11.1.8 The Contractor shall purchase and maintain, from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located, property insurance written on a builder's risk "all-risks" completed value or equivalent policy form and sufficient to cover the total value of the entire Project on a replacement cost basis. The Contractor's property insurance coverage shall be no less than the amount of the initial Contract Sum, plus the value of subsequent Modifications and labor performed or materials or equipment supplied by others. The property insurance shall be maintained until Substantial Completion and thereafter as provided in Section 11.1.1, unless otherwise provided in the Contract Documents or otherwise agreed in writing by the parties to this Agreement. This insurance shall include the interests of the Owner, Contractor, Subcontractors, and Sub-subcontractors in the Project as insureds. This insurance shall include the interests of mortgagees as loss payees.

§ 11.1.9 If the insurance required by Section 11.1 is subject to deductibles or self-insured retentions, the Contractor shall be responsible for all loss not covered because of such deductibles or retentions

§ 11.1.10 The Contractor shall provide certificates of insurance acceptable to the Owner and Architect evidencing compliance with the requirements in Section 11.1 at the following times: (1) prior to commencement of the Work; (2) upon renewal or replacement of each required policy of insurance; and (3) upon the Owner's written request. An additional certificate evidencing continuation of liability coverage, including coverage for completed operations, shall be submitted with the final Application for Payment and thereafter upon renewal or replacement of such coverage until the expiration of the period required by Section 11.1.1. The certificates will show the Owner, the Architect, and the Architect's consultants as additional insured on the Contractor's Commercial General Liability and Excess or Umbrella Liability policies. Each policy shall contain a provision that the policy shall not be canceled or allowed to expire until at least 30-days' prior written notice, by certified mail, return receipt requested, has been given to the Owner and Architect. Upon the request of Owner or Architect, Contractor shall provide a copy of all insurance policies required by the Contract Documents. The copy of the policy or policies provided shall contain all applicable conditions, definitions, exclusions, and endorsements.

§ 11.1.11 The Contractor shall disclose to the Owner and the Architect any deductible or self-insured retentions applicable to any insurance required to be provided by the Contractor.

§ 11.1.12 To the fullest extent permitted by law, the Contractor shall cause the commercial liability coverage required by this Section 11.1 to include (1) the Owner, the Architect, and the Architect's consultants as additional insureds for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's operations; and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions for which loss occurs during completed operations. The additional insured coverage shall be primary and non-contributory to any of the Owner's general liability insurance policies and shall apply to both ongoing and completed operations. To the extent commercially available, the additional insured coverage shall be no less than that provided by Insurance Services Office, Inc. (ISO) forms CG 20 10 07 04, CG 20 37 07 04, and, with respect to the Architect and the Architect's consultants, CG 20 32 07 04.

§ 11.1.13 Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by this Section 11.1, the Contractor shall provide notice to the Owner and Architect of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.



#### **§ 11.1.14 Performance and Payment Bonds**

The Contractor shall furnish bonds covering the faithful performance of the Contract and payment of obligations arising thereunder. The bonds shall be written on forms consistent with North Carolina law and as required by the Contract Documents and shall be executed by a responsible surety licensed in North Carolina and acceptable to the Owner. The Contractor shall deliver the bonds required by this Section 11.1.14 to the Owner and Architect no later than fifteen (15) days following receipt of the Notice of Award. The Contractor shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix thereto a certified and current Power of Attorney.

**§ 11.1.15** Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract Documents, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

#### **§ 11.2 Owner's Insurance**

##### **§ 11.2.1 Owner's Liability Insurance**

The Owner shall be responsible for purchasing and maintaining the Owner's usual liability insurance.

#### **§ 11.3 Waiver of Subrogation**

**§ 11.3.1** The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Contract Documents or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this Section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

#### **§ 11.4 Adjustment and Settlement of Insured Loss**

**§ 11.4.1** A loss insured under the property insurance required by the Contract Documents shall be adjusted by the Owner and made payable to the Owner for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of section 11.4.2. The Owner shall pay the insureds their just shares of insurance proceeds received by the Owner, and the Architect and the Contractor shall make payments to their consultants and Subcontractors in similar manner, and the Contractor shall, by appropriate written agreements, require Subcontractors to make payments to their Sub-subcontractors in a similar manner.

**§ 11.4.2** Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have fourteen (14) days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and the Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and the Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

### **ARTICLE 12 – UNCOVERING AND CORRECTING WORK**

#### **§ 12.2 Correction of Work**

Replace Section 12.2.2.1 in its entirety as follows:

§ 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one (1) year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents,

the Contractor or its surety shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor or its surety a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition, but such notice obligation shall not be deemed to relieve the Contractor of its obligations to make all corrections necessary in connection with a master list of deficiencies prepared by the Owner and submitted to the Contractor at the end of the one (1) year correction period for all the deficiencies noted by the Owner during such time, which corrections the Contractor shall be obligated to timely make at its expense. At the end of the one (1) year period for correction of Work, if the Owner has noticed a deficiency and failed to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.

## **ARTICLE 13 – MISCELLANEOUS PROVISIONS**

### **§13.2 Successors and Assigns**

Add the following new Section 13.2.3:

§ 13.2.3 The Contractor shall not assign, transfer, convey, or otherwise dispose of the Contract, or of its legal right, title, or interest in or to the same or obligations or warranties made thereunder, in whole or in part, without the prior written consent of the Owner. The Contractor shall not assign by power of attorney or otherwise any monies due it and payable under this Contract without the prior written consent of the Owner. Such consent, if given, shall in no way relieve the Contractor from any of the obligations under the Contract Documents. The Owner shall not be bound to abide by or observe the requirements of any such assignment. The Change in Control of the Contractor, whether by merger, stock or membership interest, or partnership interest sale, or a sale of all or substantially all of the assets of the Contractor, shall constitute an assignment, transfer, conveyance, or other disposal of the Contract requiring the prior written consent of the Owner. “Change in Control” for purposes of the preceding sentence means the sale or transfer, howsoever effected, of fifty percent (50%) or more of the equity interests of the Contractor to another person or entity, the sale of all or substantially all of the assets of the Contractor, or any other transaction or series of related transactions in which the voting or management control of the Contractor as it exists as of the Effective Date of the Contract is transferred to any other person or entity or group of persons or entities.

### **§ 13.6 General Provisions**

Add the following new Section 13.6:

#### **§13.6 General Provisions**

§ 13.6.1 All personal pronouns used in the Contract Documents, whether used in the masculine, feminine, or neuter gender, shall include all other genders; and the singular shall include the plural and vice versa. Titles of articles, sections, and subsections are for convenience only and neither limit nor amplify the provisions of this Contract Documents. The use herein of the word “including,” when following any general statement, term, or matter, shall not be construed to limit such statement, term, or matter to the specific items or matters set forth immediately following such word or to similar items or matters, whether or not non-limiting language (such words as “without limitation,” or “but not limited to,” or words of similar import) is used with reference thereto, but rather shall be deemed to refer to all other items or matters that could reasonably fall within the broadest possible scope of such general statement, term, or matter.

§ 13.6.2 Wherever possible, each provision of the Contract Documents shall be interpreted in a manner as to be effective and valid under applicable law. If, however, any provision of the Contract Documents, or portion thereof, is prohibited by law or found invalid under any law, only such provision or portion thereof shall be ineffective, without in any manner invalidating or affecting the remaining provisions of the Contract Documents or valid portions of such provision, which are hereby deemed severable.

§ 13.6.3 Each party hereto agrees to do all acts and things and to make, execute and deliver such written instruments, as shall from time to time be reasonably required to carry out the terms and provisions of the Contract Documents.

§ 13.6.4 Any specific requirement in the Contract Documents that the responsibilities or obligations of the Contractor also apply to a Subcontractor is added for emphasis and is also hereby deemed to include a Subcontractor or supplier of any tier. The omission of a reference to a Subcontractor in connection with any of the Contractor's responsibilities or obligations shall not be construed to diminish, abrogate, or limit any responsibilities or obligations of a Subcontractor or supplier of any tier under the Contract Documents or the applicable subcontract.

§ 13.6.5 The provisions of the Contract Documents shall not be changed, amended, waived, or otherwise modified in any respect except by a writing signed by the Owner. No person is authorized on behalf of the Owner to orally change, amend, waive, or otherwise modify the terms of the Contract Documents or any of the Contractor's duties or obligations under or arising out of the Contract Documents. Any change, waiver, approval, or consent granted to the Contractor shall be limited to the specific matters stated in the writing signed by Owner, and shall not relieve Contractor of any other of the duties and obligations under the Contract Documents. No "constructive" changes shall be allowed.

## **ARTICLE 14 – TERMINATION OR SUSPENSION OF THE CONTRACT**

### **§ 14.1 Termination by the Contractor**

#### **Add the following to Section 14.1:**

In the event the Contractor wrongfully or mistakenly terminates the Contract under Sections 14.1.1 through 14.1.4, the Contractor may be declared by Owner to be in material breach of the Contract and the Contract Documents, and the Owner may exercise all rights and remedies provided in Section 14.2.

Replace Section 14.1.1, including subsections .1-4, in their entirety as follows:

§ 14.1.1 The Contractor may terminate the Contract upon fourteen (14) days' written notice and opportunity to cure to the Owner and the Architect if the Work is stopped for a period of thirty (30) consecutive days through no act, omission, or fault of the Contractor, a Subcontractor, a Sub-Subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped; or
- .3 Because the Architect has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in the Contract Documents, or because the Owner has not made payment on a Certificate for Payment within the time stated when required by the Contract Documents.

§ 14.1.2 The Contractor may terminate the Contract upon fourteen (14) days' written notice and opportunity to cure to the Owner and the Architect when, through no act, omission, or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon fourteen (14) days' written notice and opportunity to cure to the Owner and the Architect, terminate the Contract and recover from the Owner payment for Work properly executed, as well as reasonable overhead and profit on Work actually completed by the Contractor and accepted by the Owner, and out-of-pocket expenses incurred by reason of such termination, so long as the termination is authorized by the Contract Documents and the Contractor has minimized and mitigated its out-of-pocket expenses. In no event shall the Contractor be entitled to any additional compensation other than that set forth in this Section 14.1.3.

§ 14.1.4 If the Work is stopped for a period of sixty (60) consecutive days through no act, omission, or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees or any other persons or entities performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's

obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon fourteen (14) additional days' written notice and opportunity to cure to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

Add the following new Section 14.2.5:

§ 14.2.5 If a termination pursuant to this Section 14.2 is subsequently determined by a jury, arbitrator or arbitration panel, or a court of competent jurisdiction to be wrongful, then such termination shall be converted automatically to a termination for convenience pursuant to Section 14.4, and the Contractor's remedies against the Owner shall be limited solely and exclusively to those remedies set forth in Section 14.4.

Replace Section 14.4.3 in its entirety as follows:

§ 14.4.3 In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly executed and actual Project costs incurred for such properly executed Work. No termination fee shall be paid, and the Contractor shall have no entitlement to lost profit on unperformed Work.

Add the following new Section 14.4.4:

§ 14.4.4 All obligations of the Contractor under the Contract with respect to completed Work, including, but not limited to, all warranties, guarantees, and indemnities, shall apply to all Work completed or substantially completed by the Contractor prior to a convenience termination by the Owner. Notwithstanding the above, any convenience termination by the Owner or payments to the Contractor shall be without prejudice to any claims or legal remedies that the Owner may have against the Contractor for any cause.

## **ARTICLE 15 - CLAIMS AND DISPUTES**

### **§ 15.4 Arbitration**

Delete Section 15.4 and all subsections in their entirety. Delete all references to Arbitration as a means for settling claims and disputes.

**END OF SUPPLEMENTARY GENERAL CONDITIONS**

# **GUIDELINES FOR RECRUITMENT AND SELECTION OF MINORITY BUSINESSES FOR PARTICIPATION IN STATE CONSTRUCTION CONTRACTS**

In accordance with G.S. 143-128.2 (effective January 1, 2002) these guidelines establish goals for minority participation in single-prime bidding, separate-prime bidding, construction manager at risk, and alternative contracting methods, on State construction projects in the amount of \$300,000 or more. The legislation provides that the State shall have a verifiable ten percent (10%) goal for participation by minority businesses in the total value of work for each project for which a contract or contracts are awarded. These requirements are published to accomplish that end.

## **SECTION A: INTENT**

It is the intent of these guidelines that the State of North Carolina, as awarding authority for construction projects, and the contractors and subcontractors performing the construction contracts awarded shall cooperate and in good faith do all things legal, proper and reasonable to achieve the statutory goal of ten percent (10%) for participation by minority businesses in each construction project as mandated by GS 143-128.2. Nothing in these guidelines shall be construed to require contractors or awarding authorities to award contracts or subcontracts to or to make purchases of materials or equipment from minority-business contractors or minority-business subcontractors who do not submit the lowest responsible, responsive bid or bids.

## **SECTION B: DEFINITIONS**

1. Minority - a person who is a citizen or lawful permanent resident of the United States and who is:
  - a. Black, that is, a person having origins in any of the black racial groups in Africa;
  - b. Hispanic, that is, a person of Spanish or Portuguese culture with origins in Mexico, South or Central America, or the Caribbean Islands, regardless of race;
  - c. Asian American, that is, a person having origins in any of the original peoples of the Far East, Southeast Asia and Asia, the Indian subcontinent, the Pacific Islands;
  - d. American Indian, that is, a person having origins in any of the original peoples of North America; or
  - e. Female
2. Minority Business - means a business:
  - a. In which at least fifty-one percent (51%) is owned by one or more minority persons, or in the case of a corporation, in which at least fifty-one percent (51%) of the stock is owned by one or more minority persons or socially and economically disadvantaged individuals; and
  - b. Of which the management and daily business operations are controlled by one or more of the minority persons or socially and economically disadvantaged individuals who own it.
3. Socially and economically disadvantaged individual - means the same as defined in 15 U.S.C. 637. "Socially disadvantaged individuals are those who have been subjected to racial or ethnic prejudice or cultural bias because of their identity as a member of a group without regard to their individual qualities". "Economically disadvantaged individuals are those socially disadvantaged individuals whose ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same business area who are not socially disadvantaged".
4. Public Entity - means State and all public subdivisions and local governmental units.
5. Owner - The State of North Carolina, through the Agency/Institution named in the contract.
6. Designer - Any person, firm, partnership, or corporation, which has contracted with the State of North Carolina to perform architectural or engineering, work.
7. Bidder - Any person, firm, partnership, corporation, association, or joint venture seeking to be awarded a public contract or subcontract.

8. Contract - A mutually binding legal relationship or any modification thereof obligating the seller to furnish equipment, materials or services, including construction, and obligating the buyer to pay for them.
9. Contractor - Any person, firm, partnership, corporation, association, or joint venture which has contracted with the State of North Carolina to perform construction work or repair.
10. Subcontractor - A firm under contract with the prime contractor or construction manager at risk for supplying materials or labor and materials and/or installation. The subcontractor may or may not provide materials in his subcontract.

## **SECTION C: RESPONSIBILITIES**

1. Office for Historically Underutilized Businesses, Department of Administration (hereinafter referred to as HUB Office).

The HUB Office has established a program, which allows interested persons or businesses qualifying as a minority business under G.S. 143-128.2, to obtain certification in the State of North Carolina procurement system. The information provided by the minority businesses will be used by the HUB Office to:

- a. Identify those areas of work for which there are minority businesses, as requested.
- b. Make available to interested parties a list of prospective minority business contractors and subcontractors.
- c. Assist in the determination of technical assistance needed by minority business contractors.

In addition to being responsible for the certification/verification of minority businesses that want to participate in the State construction program, the HUB Office will:

- (1) Maintain a current list of minority businesses. The list shall include the areas of work in which each minority business is interested.
- (2) Inform minority businesses on how to identify and obtain contracting and subcontracting opportunities through the State Construction Office and other public entities.
- (3) Inform minority businesses of the contracting and subcontracting process for public construction building projects.
- (4) Work with the North Carolina trade and professional organizations to improve the ability of minority businesses to compete in the State construction projects.
- (5) The HUB Office also oversees the minority business program by:
  - a. Monitoring compliance with the program requirements.
  - b. Assisting in the implementation of training and technical assistance programs.
  - c. Identifying and implementing outreach efforts to increase the utilization of minority businesses.
  - d. Reporting the results of minority business utilization to the Secretary of the Department of Administration, the Governor, and the General Assembly.

2. State Construction Office

The State Construction Office will be responsible for the following:

- a. Furnish to the HUB Office a minimum of twenty-one days prior to the bid opening the following:
  - (1) Project description and location;
  - (2) Locations where bidding documents may be reviewed;
  - (3) Name of a representative of the owner who can be contacted during the advertising period to advise who the prospective bidders are;
  - (4) Date, time and location of the bid opening.
  - (5) Date, time and location of prebid conference, if scheduled.
- b. Attending scheduled prebid conference, if necessary, to clarify requirements of the general statutes regarding minority-business participation, including the bidders' responsibilities.

- c. Reviewing the apparent low bidders' statutory compliance with the requirements listed in the proposal, that must be complied with, if the bid is to be considered as responsive, prior to award of contracts. The State reserves the right to reject any or all bids and to waive informalities.
- d. Reviewing of minority business requirements at Preconstruction conference.
- e. Monitoring of contractors' compliance with minority business requirements in the contract documents during construction.
- f. Provide statistical data and required reports to the HUB Office.
- g. Resolve any protest and disputes arising after implementation of the plan, in conjunction with the HUB Office.

### 3. Owner

Before awarding a contract, owner shall do the following:

- a. Develop and implement a minority business participation outreach plan to identify minority businesses that can perform public building projects and to implement outreach efforts to encourage minority business participation in these projects to include education, recruitment, and interaction between minority businesses and non-minority businesses.
- b. Attend the scheduled prebid conference.
- c. At least 10 days prior to the scheduled day of bid opening, notify minority businesses that have requested notices from the public entity for public construction or repair work and minority businesses that otherwise indicated to the Office for Historically Underutilized Businesses an interest in the type of work being bid or the potential contracting opportunities listed in the proposal. The notification shall include the following:
  - 1. A description of the work for which the bid is being solicited.
  - 2. The date, time, and location where bids are to be submitted.
  - 3. The name of the individual within the owner's organization who will be available to answer questions about the project.
  - 4. Where bid documents may be reviewed.
  - 5. Any special requirements that may exist.
- d. Utilize other media, as appropriate, likely to inform potential minority businesses of the bid being sought.
- e. Maintain documentation of any contacts, correspondence, or conversation with minority business firms made in an attempt to meet the goals.
- f. Review, jointly with the designer, all requirements of G.S. 143-128.2(c) and G.S. 143-128.2(f) – (i.e. bidders' proposals for identification of the minority businesses that will be utilized with corresponding total dollar value of the bid and affidavit listing good faith efforts, or affidavit of self-performance of work, if the contractor will perform work under contract by its own workforce) - prior to recommendation of award to the State Construction Office.
- g. Evaluate documentation to determine good faith effort has been achieved for minority business utilization prior to recommendation of award to State Construction Office.
- h. Review prime contractors' pay applications for compliance with minority business utilization commitments prior to payment.
- i. Make documentation showing evidence of implementation of Owner's responsibilities available for review by State Construction Office and HUB Office, upon request

### 4. Designer

Under the single-prime bidding, separate prime bidding, construction manager at risk, or alternative contracting method, the designer will:

- a. Attend the scheduled prebid conference to explain minority business requirements to the prospective bidders.
- b. Assist the owner to identify and notify prospective minority business prime and subcontractors of potential contracting opportunities.
- c. Maintain documentation of any contacts, correspondence, or conversation with minority business firms made in an attempt to meet the goals.
- d. Review jointly with the owner, all requirements of G.S. 143-128.2(c) and G.S. 143-128.2(f) – (i.e. bidders' proposals for identification of the minority businesses that will be utilized with

corresponding total dollar value of the bid and affidavit listing Good Faith Efforts, or affidavit of self-performance of work, if the contractor will perform work under contract by its own workforce) - prior to recommendation of award.

- e. During construction phase of the project, review “MBE Documentation for Contract Payment” – (Appendix E) for compliance with minority business utilization commitments. Submit Appendix E form with monthly pay applications to the owner and forward copies to the State Construction Office.
- f. Make documentation showing evidence of implementation of Designer’s responsibilities available for review by State Construction Office and HUB Office, upon request.

5. Prime Contractor(s), CM at Risk, and Its First-Tier Subcontractors

Under the single-prime bidding, the separate-prime bidding, construction manager at risk and alternative contracting methods, contractor(s) will:

- a. Attend the scheduled prebid conference.
- b. Identify or determine those work areas of a subcontract where minority businesses may have an interest in performing subcontract work.
- c. At least ten (10) days prior to the scheduled day of bid opening, notify minority businesses of potential subcontracting opportunities listed in the proposal. The notification will include the following:
  - (1) A description of the work for which the subbid is being solicited.
  - (2) The date, time and location where subbids are to be submitted.
  - (3) The name of the individual within the company who will be available to answer questions about the project.
  - (4) Where bid documents may be reviewed.
  - (5) Any special requirements that may exist, such as insurance, licenses, bonds and financial arrangements.

If there are more than three (3) minority businesses in the general locality of the project who offer similar contracting or subcontracting services in the specific trade, the contractor(s) shall notify three (3), but may contact more, if the contractor(s) so desires.

- d. During the bidding process, comply with the contractor(s) requirements listed in the proposal for minority participation.
- e. Identify on the bid, the minority businesses that will be utilized on the project with corresponding total dollar value of the bid and affidavit listing good faith efforts as required by G.S. 143-128.2(c) and G.S. 143-128.2(f).
- f. Make documentation showing evidence of implementation of PM, CM-at-Risk and First-Tier Subcontractor responsibilities available for review by State Construction Office and HUB Office, upon request.
- g. Upon being named the apparent low bidder, the Bidder shall provide one of the following: (1) an affidavit (Affidavit C) that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, which is equal to or more than the applicable goal; (2) if the percentage is not equal to the applicable goal, then documentation of all good faith efforts taken to meet the goal. Failure to comply with these requirements is grounds for rejection of the bid and award to the next lowest responsible and responsive bidder.
- h. The contractor(s) shall identify the name(s) of minority business subcontractor(s) and corresponding dollar amount of work on the schedule of values. The schedule of values shall be provided as required in Article 31 of the General Conditions of the Contract to facilitate payments to the subcontractors.
- i. The contractor(s) shall submit with each monthly pay request(s) and final payment(s), “MBE Documentation for Contract Payment” – (Appendix E), for designer’s review.
- j. During the construction of a project, at any time, if it becomes necessary to replace a minority business subcontractor, immediately advise the owner, State Construction Office, and the Director of the HUB Office in writing, of the circumstances involved. The prime contractor shall make a good faith effort to replace a minority business subcontractor with another minority business subcontractor.



- k. If during the construction of a project additional subcontracting opportunities become available, make a good faith effort to solicit subbids from minority businesses.
- l. It is the intent of these requirements apply to all contractors performing as prime contractor and first tier subcontractor under construction manager at risk on state projects.

6. **Minority Business Responsibilities**

While minority businesses are not required to become certified in order to participate in the State construction projects, it is recommended that they become certified and should take advantage of the appropriate technical assistance that is made available. In addition, minority businesses who are contacted by owners or bidders must respond promptly whether or not they wish to submit a bid.

**SECTION 4: DISPUTE PROCEDURES**

It is the policy of this state that disputes that involves a person's rights, duties or privileges, should be settled through informal procedures. To that end, minority business disputes arising under these guidelines should be resolved as governed under G.S. 143-128(g).

**SECTION 5:** These guidelines shall apply upon promulgation on state construction projects. Copies of these guidelines may be obtained from the Department of Administration, State Construction Office, (physical address) 301 North Wilmington Street, Suite 450, NC Education Building, Raleigh, North Carolina, 27601-2827, (mail address) 1307 Mail Service Center, Raleigh, North Carolina, 27699-1307, phone (919) 807-4100, Website: [www.nc-sco.com](http://www.nc-sco.com)

**SECTION 6:** In addition to these guidelines, there will be issued with each construction bid package provisions for contractual compliance providing minority business participation in the state construction program.

## MINORITY BUSINESS CONTRACT PROVISIONS (CONSTRUCTION)

### APPLICATION:

The **Guidelines for Recruitment and Selection of Minority Businesses for Participation in State Construction Contracts** are hereby made a part of these contract documents. These guidelines shall apply to all contractors regardless of ownership. Copies of these guidelines may be obtained from the Department of Administration, State Construction Office, (physical address) 301 North Wilmington Street, Suite 450, NC Education Building, Raleigh, North Carolina, 27601-2827, (mail address) 1307 Mail Service Center, Raleigh, North Carolina, 27699-1307, phone (919) 807-4100, Website: <http://www.nc-sco.com>

### MINORITY BUSINESS SUBCONTRACT GOALS:

The goals for participation by minority firms as subcontractors on this project have been set at 10%.

The bidder must identify on its bid, the minority businesses that will be utilized on the project with corresponding total dollar value of the bid and affidavit (Affidavit A) listing good faith efforts or affidavit (Affidavit B) of self-performance of work, if the bidder will perform work under contract by its own workforce, as required by G.S. 143-128.2(c) and G.S. 143-128.2(f).

The lowest responsible, responsive bidder must provide Affidavit C, that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, which is equal to or more than the applicable goal.

**OR**

Provide Affidavit D, that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, **with documentation of Good Faith Effort, if the percentage is not equal to the applicable goal.**

**OR**

Provide Affidavit B, which includes sufficient information for the State to determine that the bidder does not customarily subcontract work on this type project.

**The above information must be provided as required. Failure to submit these documents is grounds for rejection of the bid.**

## **MINIMUM COMPLIANCE REQUIREMENTS:**

All written statements, affidavits or intentions made by the Bidder shall become a part of the agreement between the Contractor and the State for performance of this contract. Failure to comply with any of these statements, affidavits or intentions, or with the minority business Guidelines shall constitute a breach of the contract. A finding by the State that any information submitted either prior to award of the contract or during the performance of the contract is inaccurate, false or incomplete, shall also constitute a breach of the contract. Any such breach may result in termination of the contract in accordance with the termination provisions contained in the contract. It shall be solely at the option of the State whether to terminate the contract for breach.

In determining whether a contractor has made Good Faith Efforts, the State will evaluate all efforts made by the Contractor and will determine compliance in regard to quantity, intensity, and results of these efforts. Good Faith Efforts include:

- (1) Contacting minority businesses that reasonably could have been expected to submit a quote and that were known to the contractor or available on State or local government maintained lists at least 10 days before the bid or proposal date and notifying them of the nature and scope of the work to be performed.
- (2) Making the construction plans, specifications and requirements available for review by prospective minority businesses, or providing these documents to them at least 10 days before the bid or proposals are due.
- (3) Breaking down or combining elements of work into economically feasible units to facilitate minority participation.
- (4) Working with minority trade, community, or contractor organizations identified by the Office for Historically Underutilized Businesses and included in the bid documents that provide assistance in recruitment of minority businesses.
- (5) Attending any prebid meetings scheduled by the public owner.
- (6) Providing assistance in getting required bonding or insurance or providing alternatives to bonding or insurance for subcontractors.
- (7) Negotiating in good faith with interested minority businesses and not rejecting them as unqualified without sound reasons based on their capabilities. Any rejection of a minority business based on lack of qualification should have the reasons documented in writing.
- (8) Providing assistance to an otherwise qualified minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letters of credit, including waiving credit that is ordinarily required. Assisting minority businesses in obtaining the same unit pricing with the bidder's suppliers in order to help minority businesses in establishing credit.
- (9) Negotiating joint venture and partnership arrangements with minority businesses in order to increase opportunities for minority business participation on a public construction or repair project when possible.
- (10) Providing quick pay agreements and policies to enable minority contractors and suppliers to meet cash-flow demands.

## APPENDIX E

### MBE DOCUMENTATION FOR CONTRACT PAYMENTS

Prime Contractor/Architect: \_\_\_\_\_

Address & Phone: \_\_\_\_\_

Project Name: \_\_\_\_\_

Pay Application #: \_\_\_\_\_ Period: \_\_\_\_\_

The following is a list of payments made to Minority Business Enterprises on this project for the above-mentioned period.

MBE FIRM NAME	* INDICATE TYPE OF MBE	AMOUNT PAID THIS MONTH	TOTAL PAYMENTS TO DATE	TOTAL AMOUNT COMMITTED

\*Minority categories: Black, African American (B), Hispanic (H), Asian American (A), American Indian (I), Female (F), Social and Economically Disadvantage (D)

Date: \_\_\_\_\_ Approved/Certified By: \_\_\_\_\_

Name

Title

Signature

**SUBMIT WITH EACH PAY REQUEST & FINAL PAYMENT**

**BID FORM**  
**CITY OF GREENVILLE**  
**PUBLIC WORKS WASTE OFFICE**  
**RENOVATIONS COG ITB NO. 24-25-35**  
**JKF Project No. 2021-04D**  
**Greenville, NC**

Name of Bidder: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
Contact: \_\_\_\_\_  
Phone: \_\_\_\_\_  
License No.: \_\_\_\_\_

The undersigned Bidder hereby certifies that this Bidder has visited the site of the work and has examined and fully comprehends the requirements and intent of the plans and specifications of the proposed work, and is familiar with all the conditions surrounding the construction of the project, including the availability of materials and labor. The undersigned Bidder hereby proposes and agrees, if this proposal is accepted, to furnish all labor, materials, supplies, plant, equipment, tools, apparatus, means of transportation, and other facilities necessary or proper for or incidental to the performance of the proposed **City of Greenville Public Works Building Renovation** as indicated on the Contract Documents for the Total Lump Sum Base Bid of:

**GENERAL CONSTRUCTION CONTRACT (Single-Prime):**

Base Bid:

\_\_\_\_\_ Dollars (\$ \_\_\_\_\_ )  
List Subcontractors: \_\_\_\_\_  
Plumbing Subcontractor: \_\_\_\_\_ License No. \_\_\_\_\_  
Mechanical Subcontractor: \_\_\_\_\_ License No. \_\_\_\_\_  
Electrical Subcontractor: \_\_\_\_\_ License No. \_\_\_\_\_

Contractor shall complete all sections for Alternates and Unit Prices for Single-Prime Proposal.

The **General** contractor shall act as project expeditor for all prime contracts. See Supplementary General Conditions.

**ALTERNATE BIDS (See Specification Section 012300 for complete description and time requirements)**

Should any of the alternates as described in the contract documents be accepted, the amount written below shall be the amount to be "added to" or "deducted from" the base bid. (Strike out "Add" or "Deduct" as appropriate).

**Alternate Bid No. 1 – Paint Existing Exterior Metal Wall Panels**

Total Add/Deduct: \_\_\_\_\_ Dollars (\$ \_\_\_\_\_ )

**Alternate Bid No. 2 – Preferred Alternate; Roof Top Unit- Trane.**

Total Add/Deduct: \_\_\_\_\_ Dollars (\$ \_\_\_\_\_ )

**Alternate Bid No. 3 – Preferred Alternate; Corbin-Russwin**

Total Add/Deduct: \_\_\_\_\_ Dollars (\$ \_\_\_\_\_ )

---

**UNIT PRICES (See Specification Section 012200 for complete description and time requirements)**

Unit prices quoted and accepted shall apply throughout the life of the contract, except as otherwise specifically noted. Unit prices shall be applied, as appropriate, to compute the total value of changes in the base bid quantity of the work all in accordance with the contract documents

**Unit Price No. 1**– Remove and replace unsuitable soils in building pad or parking areas.

Total: \_\_\_\_\_ Dollars (\$ \_\_\_\_\_ per CY ).

**Unit Price No. 2**– Remove and replacement unsuitable soils in footings, foundations, and utility trenches.

Total: \_\_\_\_\_ Dollars (\$ \_\_\_\_\_ per CY ).

**Unit Price No. 3** – Provide geotechnical fabric, geogrid, or other suitable stabilization material.

Total: \_\_\_\_\_ Dollars (\$ \_\_\_\_\_ per SY).

---

**ALLOWANCES (See Specification Section 012100 for complete description and time requirements)**

Allowance quoted are included in the Base Bid amount and accepted shall apply throughout the life of the contract, except as otherwise specifically noted. Unit prices shall be applied, as appropriate, to compute the total value of changes in the base bid quantity of the work all in accordance with the contract documents.

**Allowance No. 1:** Remove unsuitable soils.

Total: \_\_\_\_\_ Dollars (\$ \_\_\_\_\_ ).

**Allowance No. 2:** Remove unsuitable soils (#57 Stone).

Total: \_\_\_\_\_ Dollars (\$ \_\_\_\_\_ ).

---

This sum is to cover all expenses incurred in performing the work required under the Contract Documents, of which this proposal is a part and with the definite understanding of the undersigned Bidder that no money will be allowed for extra work except as set forth in the General Conditions of the Contract for Construction and Supplementary General Conditions of the Contract for Construction.

The above amount, and the amount(s) indicated for Alternates, if any, shall be shown in typing or written in ink in both words and figures. In case of discrepancy, the amount shown in words will govern.

The undersigned Bidder agrees that the prices quoted (including all labor, material, insurance, applicable taxes, equipment, overhead and profit) shall be the basis of compensation or deduction, as the case may be, for such increase or decrease in the work.

**RIGHT TO ACCEPT, WAIVE OR REJECT**

The undersigned Bidder understands that:

1. All parts of the Bid Form shall be completed by the Bidder for recognition as a bona fide bid.
2. The Owner reserves the right to accept (award) a Bid based upon a combination of cost, personnel to be assigned to this project, and past experience with the Owner (if any) regarding on schedule contract completion and response to any service required during warranty period(s).
3. The Owner reserves the right to waive informalities or irregularities in a Bid received and to reject any or all Bids.

**MODIFICATION OR WITHDRAWAL OF BIDS**

The undersigned Bidder agrees not to modify, withdraw or cancel the Bid for sixty (60) calendar days following the time and date designated for the receipt of Bids.

## **TIME**

The undersigned Bidder agrees to commence work when directed by the Owner to proceed and to complete fully said General Construction and Associated Work to permit the work at the construction site to be complete within the following schedule after the date named in the order to proceed:

- Total contract duration will be 150 days. All days noted are consecutive calendar days.

The undersigned Bidder acknowledges that liquidated damage stipulations stated in the Supplementary General Conditions of the Contract for Construction, Article 8, are clearly understood.

## **BID SECURITY**

The undersigned Bidder, in compliance with the Instructions to Bidders, Article 4, encloses with this Bid a Bid Security representing not less than 5% of the Base Bid amount in the form of a Certified Check ( ), or Bid Bond ( ) (check one) in the amount of:

\_\_\_\_\_ DOLLARS (\$\_\_\_\_\_).

## **PERFORMANCE-PAYMENT BOND**

The undersigned Bidder agrees, if awarded the Contract, to execute and deliver to the Owner satisfactory combined Performance Bond and Payment Bond in a sum equal to the full amount of the Contract and in compliance with the Instructions to Bidders, Article 7.

## **MINORITY BUSINESS PARTICIPATION REQUIREMENTS:**

Provide with the bid - Under GS 143-128.2(c) the undersigned bidder shall identify **on its bid** (Identification of Minority Business Participation Form) the minority businesses that it will use on the project with the total dollar value of the bids that will be performed by the minority businesses. **Also** list the good faith efforts (Affidavit **A**) made to solicit minority participation in the bid effort.

**NOTE:** A contractor that performs all of the work with its own workforce may submit an Affidavit (**B**) to that effect in lieu of Affidavit (**A**) required above. The MB Participation Form must still be submitted even if there is zero participation.

After the bid opening - The Owner will consider all bids and alternates and determine the lowest responsible, responsive bidder. Upon notification of being the apparent low bidder, the bidder shall then file within 72 hours of the notification of being the apparent lowest bidder, the following:

An Affidavit (**C**) that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, which is equal to or more than the 10% goal established. This affidavit shall give rise to the presumption that the bidder has made the required good faith effort and Affidavit **D** is not necessary;

**\* OR \***

If less than the 10% goal, Affidavit (**D**) of its good faith effort to meet the goal shall be provided. The document must include evidence of all good faith efforts that were implemented, including any advertisements, solicitations and other specific actions demonstrating recruitment and selection of minority businesses for participation in the contract.

**Note:** Bidders must always submit **with their bid** the Identification of Minority Business Participation Form listing all MB contractors, vendors and suppliers that will be used. If there is no MB participation, then enter none or zero on the form. Affidavit A **or** Affidavit B, as applicable, also must be submitted with the bid. Failure to file a required affidavit or documentation with the bid or after being notified apparent low bidder is grounds for rejection of the bid.

## **E-VERIFY:**

Contractors, and the subcontractors they hire or engage, by submitting a Bid, certify they will comply with E-Verify requirements (or, if contractor/subcontractor employs less than 25 employees in this State, shall attest to that fact).

## PROPOSAL SIGNATURE PAGE

The undersigned further agrees that in the case of failure on his part to execute the said contract and the bond within ten (10) consecutive calendar days after written notice being given of the award of contract, the certified check, cash or bid bond accompanying this bid shall be paid into the funds of the owner's account set aside for the project, as liquidated damages for such failure; otherwise the certified check, cash or bid bond accompanying this proposal shall be returned to the undersigned.

Attach certified check, cash or bid bond to this proposal.

Respectfully submitted this day of \_\_\_\_\_

\_\_\_\_\_  
(Name of firm or corporation making bid)

WITNESS:

By: \_\_\_\_\_  
Signature

\_\_\_\_\_  
(Proprietorship or Partnership)

Name: \_\_\_\_\_  
Print or type

Title \_\_\_\_\_  
(Owner/Partner/Pres./V.Pres)

Address \_\_\_\_\_

ATTEST:

\_\_\_\_\_  
E-mail: \_\_\_\_\_

By: \_\_\_\_\_

License No. \_\_\_\_\_

Title: \_\_\_\_\_  
(Corp. Sec. or Asst. Sec. only)

Federal I.D. No. \_\_\_\_\_

(CORPORATE SEAL)

Addendum received and used in computing bid:

Addendum No. 1 \_\_\_\_\_  
Addendum No. 2 \_\_\_\_\_  
Addendum No. 3 \_\_\_\_\_  
Addendum No. 4 \_\_\_\_\_  
Addendum No. 5 \_\_\_\_\_  
Addendum No. 6 \_\_\_\_\_





# State of North Carolina AFFIDAVIT A – Listing of Good Faith Efforts

County of \_\_\_\_\_

(Name of Bidder)

Affidavit of \_\_\_\_\_

I have made a good faith effort to comply under the following areas checked:

**Bidders must earn at least 50 points from the good faith efforts listed for their bid to be considered responsive.** (1 NC Administrative Code 30 I.0101)

- ☐ **1 – (10 pts)** Contacted minority businesses that reasonably could have been expected to submit a quote and that were known to the contractor, or available on State or local government maintained lists, at least 10 days before the bid date and notified them of the nature and scope of the work to be performed.
- ☐ **2 --(10 pts)** Made the construction plans, specifications and requirements available for review by prospective minority businesses, or providing these documents to them at least 10 days before the bids are due.
- ☐ **3 – (15 pts)** Broken down or combined elements of work into economically feasible units to facilitate minority participation.
- ☐ **4 – (10 pts)** Worked with minority trade, community, or contractor organizations identified by the Office of Historically Underutilized Businesses and included in the bid documents that provide assistance in recruitment of minority businesses.
- ☐ **5 – (10 pts)** Attended prebid meetings scheduled by the public owner.
- ☐ **6 – (20 pts)** Provided assistance in getting required bonding or insurance or provided alternatives to bonding or insurance for subcontractors.
- ☐ **7 – (15 pts)** Negotiated in good faith with interested minority businesses and did not reject them as unqualified without sound reasons based on their capabilities. Any rejection of a minority business based on lack of qualification should have the reasons documented in writing.
- ☐ **8 – (25 pts)** Provided assistance to an otherwise qualified minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letters of credit, including waiving credit that is ordinarily required. Assisted minority businesses in obtaining the same unit pricing with the bidder's suppliers in order to help minority businesses in establishing credit.
- ☐ **9 – (20 pts)** Negotiated joint venture and partnership arrangements with minority businesses in order to increase opportunities for minority business participation on a public construction or repair project when possible.
- ☐ **10 - (20 pts)** Provided quick pay agreements and policies to enable minority contractors and suppliers to meet cash-flow demands.

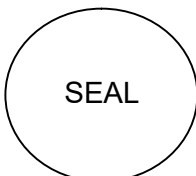
The undersigned, if apparent low bidder, will enter into a formal agreement with the firms listed in the Identification of Minority Business Participation schedule conditional upon scope of contract to be executed with the Owner. Substitution of contractors must be in accordance with GS143-128.2(d) Failure to abide by this statutory provision will constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of the minority business commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of \_\_\_\_\_, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

My commission expires \_\_\_\_\_

**State of North Carolina --AFFIDAVIT B-- Intent to Perform Contract  
with Own Workforce.**

County of \_\_\_\_\_

Affidavit of \_\_\_\_\_

(Name of Bidder)

I hereby certify that it is our intent to perform 100% of the work required for the \_\_\_\_\_

\_\_\_\_\_ contract.

(Name of Project)

In making this certification, the Bidder states that the Bidder does not customarily subcontract elements of this type project, and normally performs and has the capability to perform and will perform all elements of the work on this project with his/her own current work forces; and

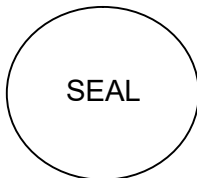
The Bidder agrees to provide any additional information or documentation requested by the owner in support of the above statement. The Bidder agrees to make a Good Faith Effort to utilize minority suppliers where possible.

The undersigned hereby certifies that he or she has read this certification and is authorized to bind the Bidder to the commitments herein contained.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of \_\_\_\_\_, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

My commission expires \_\_\_\_\_

# State of North Carolina - AFFIDAVIT C - Portion of the Work to be Performed by HUB Certified/Minority Businesses

County of \_\_\_\_\_

(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)

If the portion of the work to be executed by HUB certified/minority businesses as defined in GS143-128.2(g) and 128.4(a),(b),(e) is equal to or greater than 10% of the bidders total contract price, then the bidder must complete this affidavit.

This affidavit shall be provided by the apparent lowest responsible, responsive bidder within **72 hours** after notification of being low bidder.

Affidavit of \_\_\_\_\_ I do hereby certify that on the \_\_\_\_\_  
(Name of Bidder)

\_\_\_\_\_  
(Project Name)  
Project ID# \_\_\_\_\_ Amount of Bid \$ \_\_\_\_\_

I will expend a minimum of \_\_\_\_\_% of the total dollar amount of the contract with minority business enterprises. Minority businesses will be employed as construction subcontractors, vendors, suppliers or providers of professional services. Such work will be subcontracted to the following firms listed below. Attach additional sheets if required

Name and Phone Number	*Minority Category	**HUB Certified Y/N	Work Description	Dollar Value

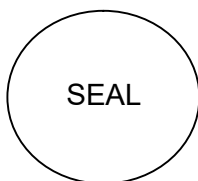
\*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**), Female (**F**) Socially and Economically Disadvantaged (**D**)

**\*\* HUB Certification with the state HUB Office required to be counted toward state participation goals.**

Pursuant to GS143-128.2(d), the undersigned will enter into a formal agreement with Minority Firms for work listed in this schedule conditional upon execution of a contract with the Owner. Failure to fulfill this commitment may constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_



Signature: \_\_\_\_\_

Title: \_\_\_\_\_

State of \_\_\_\_\_, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

Do not submit with bid    Do not submit with bid    Do not submit with bid    Do not submit with bid  
My commission expires\_\_\_\_\_

# State of North Carolina AFFIDAVIT D – Good Faith Efforts

County of \_\_\_\_\_

(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)

If the goal of 10% participation by HUB Certified/ minority business **is not** achieved, the Bidder shall provide the following documentation to the Owner of his good faith efforts:

Affidavit of \_\_\_\_\_ I do hereby certify that on the \_\_\_\_\_  
(Name of Bidder)

Project ID# \_\_\_\_\_ (Project Name) Amount of Bid \$ \_\_\_\_\_

I will expend a minimum of \_\_\_\_\_% of the total dollar amount of the contract with HUB certified/ minority business enterprises. Minority businesses will be employed as construction subcontractors, vendors, suppliers or providers of professional services. Such work will be subcontracted to the following firms listed below. (Attach additional sheets if required)

Name and Phone Number	*Minority Category	**HUB Certified Y/N	Work Description	Dollar Value

\*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**), Female (**F**) Socially and Economically Disadvantaged (**D**)

**\*\* HUB Certification with the state HUB Office required to be counted toward state participation goals.**

**Examples** of documentation that may be required to demonstrate the Bidder's good faith efforts to meet the goals set forth in these provisions include, but are not necessarily limited to, the following:

- Copies of solicitations for quotes to at least three (3) minority business firms from the source list provided by the State for each subcontract to be let under this contract (if 3 or more firms are shown on the source list). Each solicitation shall contain a specific description of the work to be subcontracted, location where bid documents can be reviewed, representative of the Prime Bidder to contact, and location, date and time when quotes must be received.
- Copies of quotes or responses received from each firm responding to the solicitation.
- A telephone log of follow-up calls to each firm sent a solicitation.
- For subcontracts where a minority business firm is not considered the lowest responsible sub-bidder, copies of quotes received from all firms submitting quotes for that particular subcontract.
- Documentation of any contacts or correspondence to minority business, community, or contractor organizations in an attempt to meet the goal.
- Copy of pre-bid roster
- Letter documenting efforts to provide assistance in obtaining required bonding or insurance for minority business.
- Letter detailing reasons for rejection of minority business due to lack of qualification.
- Letter documenting proposed assistance offered to minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letter of credit, including waiving credit that is ordinarily required.

Failure to provide the documentation as listed in these provisions may result in rejection of the bid and award to the next lowest responsible and responsive bidder.

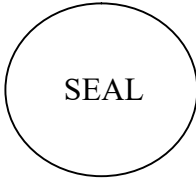
Pursuant to GS143-128.2(d), the undersigned will enter into a formal agreement with Minority Firms for work listed in this schedule conditional upon execution of a contract with the Owner. Failure to fulfill this commitment may constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of \_\_\_\_\_, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

My commission expires \_\_\_\_\_

STATE OF NORTH CAROLINA  
COUNTY SALES AND USE TAX REPORT  
SUMMARY TOTALS AND CERTIFICATION

CONTRACTOR: \_\_\_\_\_ Page \_\_\_\_\_ of \_\_\_\_\_

PROJECT: \_\_\_\_\_ FOR PERIOD: \_\_\_\_\_

	TOTAL FOR COUNTY OF:	TOTAL FOR COUNTY OF:	TOTAL FOR COUNTY OF:	TOTAL FOR COUNTY OF:	TOTAL FOR COUNTY OF:	TOTAL FOR COUNTY OF:	TOTAL ALL COUNTIES
CONTRACTOR							
SUBCONTRACTOR(S)*							
COUNTY TOTAL							

\* Attach subcontractor(s) report(s)  
\*\* Must balance with Detail Sheet(s)

I certify that the above figures do not include any tax paid on supplies, tools and equipment which were used to perform this contract and only includes those building materials, supplies, fixtures and equipment which actually became a part of or annexed to the building or structure. I certify that, to the best of my knowledge, the information provided here is true, correct, and complete.

Sworn to and subscribed before me,

This the \_\_\_\_\_ day of \_\_\_\_\_, 19 \_\_\_\_\_  
Signed \_\_\_\_\_

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_  
Print or Type Name of Above

Seal

NOTE:  
This certified statement may be subject to audit





CONTRACTOR: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_

SUBCONTRACTOR	FOR PERIOD:

FOR PERIOD:

PROJECT: \_\_\_\_\_

\* If this is an out-of-state vendor, the County of Sale should be the county to which the merchandise was shipped.

## **SECTION 011000 - SUMMARY**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Project information.
  - 2. Work covered by Contract Documents.
  - 3. Phased construction.
  - 4. Work by Owner.
  - 5. Work under separate contracts.
  - 6. Access to site.
  - 7. Coordination with occupants.
  - 8. Work restrictions.
  - 9. Specification and drawing conventions.
  - 10. Miscellaneous provisions.

#### **1.3 PROJECT INFORMATION**

- A. Project Identification: Public Works Waste Office Renovations.
  - 1. Project Location: Greenville, NC.
- B. Owner: City of Greenville.
  - 1. Owner's Representative: Kevin Mulligan, PE, Director
- C. Architect: JKF ARCHITECTURE PC, Greenville, NC.
- D. Architect's Consultants: The Architect has retained the following design professionals who have prepared designated portions of the Contract Documents:
  - 1. Civil Engineering: Rivers & Associates.
  - 2. Structural Engineering: Nesar & Roomsburg
  - 3. PME Engineering: Atlantec Engineers/IMAG

#### **1.4 WORK COVERED BY CONTRACT DOCUMENTS**

- A. The Work of Project is defined by the Contract Documents prepared by JKF Architecture PC, dated 3-1-2025, and consists of, but not limited to, the following:

1. Renovation of an existing 15,492 GSF, 1-story Building. Renovation area is approximately 6,435 SF include a new assembly room, new conference room and new office spaces. Alterations to the existing toilets are also required.
2. Work includes selective demolition, new metal stud walls with gypsum board, new carpet tile, new luxury vinyl tile and base, painting, new hollow metal frames, new wood doors and hardware, new lockers, new acoustical panel ceilings, new water coolers, and new showers.
3. Work also includes new work and modifications to Plumbing, Mechanical and Electrical systems.
4. Work also includes exterior demolition, new concrete sidewalks and retaining walls and foundations, new concrete ramps and stairs, and a new aluminum canopy with lighting.

B. Type of Contract:

1. Project will be constructed under a single prime contract.

1.5 PHASED CONSTRUCTION

- A. The Work shall be conducted in a single phase.

1.6 ACCESS TO SITE

- A. General: Contractor shall have full use within the Project Limits for construction operations during construction period. Contractor's use of Project site is limited only by Owner's right to perform work or to retain other contractors on portions of Project.
- B. Use of Site: Limit use of Project site to areas within the Contract limits indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
1. Limits: Limit site disturbance, shall be coordinated with the Architect and Owner prior to starting mobilization.
  2. Driveways, Walkways and Entrances: Keep driveways and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.
  3. Access to the site shall be coordinated with the Architect and Owner including all laydown and staging areas. Contractor shall be responsible to maintain the existing parking areas and repair or replace any items or areas damaged by new construction.

1.7 WORK RESTRICTIONS

- A. Work Restrictions, General: Comply with restrictions on construction operations.
1. Comply with limitations on use of public streets and with other requirements of authorities having jurisdiction.
- B. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after providing temporary utility services according to requirements indicated:
1. Notify Architect and Owner not less than two days in advance of proposed utility interruptions.

- C. Noise, Vibration, and Odors: Coordinate operations that may result in high levels of noise and vibration, odors, or other disruption to Owner occupancy with Owner.
  - 1. Notify Architect and Owner not less than two days in advance of proposed disruptive operations.
- D. Nonsmoking Building: Smoking is not permitted within the building or on-site.
- E. Controlled Substances: Use of tobacco products and other controlled substances on Project site is not permitted.
- F. Employee Identification: Provide identification tags for Contractor personnel working on Project site. Require personnel to use identification tags at all times.

#### 1.8 SPECIFICATION AND DRAWING CONVENTIONS

- A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
  - 1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
  - 2. Specification requirements are to be performed by Contractor unless specifically stated otherwise.
- B. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.
- C. Drawing Coordination: Requirements for materials and products identified on Drawings are described in detail in the Specifications. One or more of the following are used on Drawings to identify materials and products:
  - 1. Terminology: Materials and products are identified by the typical generic terms used in the individual Specifications Sections.
  - 2. Abbreviations: Materials and products are identified by abbreviations scheduled on Drawings.
  - 3. Keynoting: Materials and products are identified by reference keynotes referencing Specification Section numbers found in this Project Manual.

#### **PART 2 - PRODUCTS (Not Used)**

#### **PART 3 - EXECUTION (Not Used)**

#### **END OF SECTION 011000**



## **SECTION 012200 - UNIT PRICES**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 1 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. This Section includes administrative and procedural requirements for unit prices.
- B. Related Sections include the following:
  - 1. Division 1 Section "Contract Modification Procedures" for procedures for submitting and handling Change Orders.

#### **1.3 DEFINITIONS**

- A. Unit price is an amount proposed by bidders, stated on the Bid Form, as a price per unit of measurement for materials or services added to or deducted from the Contract Sum by appropriate modification, if estimated quantities of Work required by the Contract Documents are increased or decreased.

#### **1.4 PROCEDURES**

- A. Unit prices include all necessary material, plus cost for delivery, installation, insurance, applicable taxes, overhead, and profit.
- B. Measurement and Payment: Refer to individual Specification Sections for work that requires establishment of unit prices. Methods of measurement and payment for unit prices are specified in those Sections.
- C. Owner reserves the right to reject Contractor's measurement of work-in-place that involves use of established unit prices and to have this work measured, at Owner's expense, by an independent surveyor acceptable to Contractor.
- D. List of Unit Prices: A list of unit prices is included in Part 3. Specification Sections referenced in the schedule contain requirements for materials described under each unit price.

### **PART 2 - PRODUCTS (Not Used)**

### **PART 3 - EXECUTION**

#### **3.1 LIST OF UNIT PRICES**

- A. **Unit Price No. 1** - Remove and replace unsuitable soils in building pad or parking areas.

1. Description: Remove and replace unsuitable soils in building pad or parking areas, excluding that required for footings, foundations, and utility trenches, as directed by the architect. Suitable fill material includes compacted sand fill above that required by the Contract Documents including Allowances, as directed by the Architect.
2. Unit of Measurement: Per cubic yard in place.
3. Add 1 calendar day to the Contract duration for every part of 200 CY of unsuitable soils removed. No extended overhead recovery will be permitted as part of this Unit Price.

**B. Unit Price No. 2 - Remove and replacement unsuitable soils in footings, foundations, and utility trenches.**

1. Description: Remove and replacement unsuitable soils in footings, foundations, and utility trenches, as directed by the architect. Suitable fill material may include compacted sand fill or #57 stone directed by the Architect, but use of either shall not alter the unit price.
2. Unit of Measurement: Per cubic yard in place.
3. Add 1 calendar day to the Contract duration for every part of 200 CY of unsuitable soils removed. No extended overhead recovery will be permitted as part of this Unit Price.

**C. Unit Price No. 3 - Provide geotechnical fabric, geogrid, or other suitable stabilization material.**

1. Description: Upon approval of the Architect, utilized geotechnical fabric to stabilized areas of unsuitable soils including building pad and parking area preparation. Building pad includes entire building area including excavations for footings, foundations, and utility trenches.
2. Unit of Measurement: Per square yard in place.
3. Add 1 calendar day to the Contract duration for every part of 500 SY of fabric installed. No extended overhead recovery will be permitted as part of this Unit Price.

**END OF SECTION 012200**



## **SECTION 012300 - ALTERNATES**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes administrative and procedural requirements for alternates.

#### **1.3 DEFINITIONS**

- A. Alternate: An amount proposed by bidders and stated on the Bid Form for certain work defined in the bidding requirements that may be added to or deducted from the base bid amount if Owner decides to accept a corresponding change either in the amount of construction to be completed or in the products, materials, equipment, systems, or installation methods described in the Contract Documents.
  - 1. Alternates described in this Section are part of the Work only if enumerated in the Agreement.
  - 2. The cost or credit for each alternate is the net addition to or deduction from the Contract Sum to incorporate alternate into the Work. No other adjustments are made to the Contract Sum.

#### **1.4 PROCEDURES**

- A. Coordination: Revise or adjust affected adjacent work as necessary to completely integrate work of the alternate into Project.
  - 1. Include as part of each alternate, miscellaneous devices, accessory objects, and similar items incidental to or required for a complete installation whether or not indicated as part of alternate.
- B. Notification: Immediately following award of the Contract, notify each party involved, in writing, of the status of each alternate. Indicate if alternates have been accepted, rejected, or deferred for later consideration. Include a complete description of negotiated revisions to alternates.
- C. Execute accepted alternates under the same conditions as other work of the Contract.
- D. Schedule: A schedule of alternates is included at the end of this Section. Specification Sections referenced in schedule contain requirements for materials necessary to achieve the work described under each alternate.

## **PART 2 - PRODUCTS (Not Used)**

## **PART 3 - EXECUTION**

### **3.1 SCHEDULE OF ALTERNATES**

- A. **Alternate Bid No. 1**– Paint Existing Exterior Metal Wall Panels.
  - 1. Description: Add to Base Bid the cost for prepping and painting the existing metal wall panels noted on the drawings. Prime as required and paint two coats of exterior semi-gloss paint suitable for painting metal substrate as recommend by paint manufacturer in writing.
  - 2. Time Impact: If accepted, 0 days added to Base Bid.
- B. **Alternate Bid No. 2**– Preferred Alternate; Roof Top Unit- Trane.
  - 1. Description: Add to Base Bid the cost for providing Trane RTU where indicated as Basis of Design.
  - 2. Time Impact: If accepted, 0 days added to Base Bid.
- C. **Alternate Bid No. 3**– Preferred Alternate; Corbin-Russwin.
  - 1. Description: Add to Base Bid the cost for providing Corbin-Russwin Door Hardware where indicated as Basis of Design.
  - 2. Time Impact: If accepted, 0 days added to Base Bid.

**END OF SECTION 012300**

## SECTION 012500 - SUBSTITUTION PROCEDURES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes administrative and procedural requirements for substitutions.

#### 1.3 DEFINITIONS

- A. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents and proposed by Contractor.
  - 1. Substitutions for Cause: Changes proposed by Contractor that are required due to changed Project conditions, such as unavailability of product, regulatory changes, or unavailability of required warranty terms.

#### 1.4 ACTION SUBMITTALS

- A. Substitution Requests: Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - 1. Substitution Request Form: Use Contractor's Standard Form.
  - 2. Documentation: Show compliance with requirements for substitutions and the following, as applicable:
    - a. Statement indicating why specified product or fabrication or installation cannot be provided, if applicable.
    - b. Coordination information, including a list of changes or revisions needed to other parts of the Work and to construction performed by Owner and separate contractors that will be necessary to accommodate proposed substitution.
    - c. Detailed comparison of significant qualities of proposed substitution with those of the Work specified. Include annotated copy of applicable Specification Section. Significant qualities may include attributes such as performance, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
    - d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
    - e. Samples, where applicable or requested.
    - f. Certificates and qualification data, where applicable or requested.
    - g. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners.

- h. Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
  - i. Research reports evidencing compliance with building code in effect for Project, from ICC-ES.
  - j. Detailed comparison of Contractor's construction schedule using proposed substitution with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating date of receipt of purchase order, lack of availability, or delays in delivery.
  - k. Cost information, including a proposal of change, if any, in the Contract Sum.
  - l. Contractor's certification that proposed substitution complies with requirements in the Contract Documents except as indicated in substitution request, is compatible with related materials, and is appropriate for applications indicated.
  - m. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.
3. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within seven days of receipt of a request for substitution. Architect will notify Contractor of acceptance or rejection of proposed substitution within 15 days of receipt of request, or seven days of receipt of additional information or documentation, whichever is later.
- a. Forms of Acceptance: Change Order, Construction Change Directive, or Architect's Supplemental Instructions for minor changes in the Work.
  - b. Use product specified if Architect does not issue a decision on use of a proposed substitution within time allocated.

## 1.5 QUALITY ASSURANCE

- A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage a qualified testing agency to perform compatibility tests recommended by manufacturers.

## 1.6 PROCEDURES

- A. Coordination: Revise or adjust affected work as necessary to integrate work of the approved substitutions.

# PART 2 - PRODUCTS

## 2.1 SUBSTITUTIONS

- A. Substitutions for Cause: Submit requests for substitution immediately on discovery of need for change, but not later than 30 days after Notice to Proceed.
  - 1. Conditions: Architect will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:
    - a. Requested substitution is consistent with the Contract Documents and will produce indicated results.
    - b. Requested substitution provides sustainable design characteristics that specified product provided.

- c. Substitution request is fully documented and properly submitted.
- d. Requested substitution will not adversely affect Contractor's construction schedule.
- e. Requested substitution has received necessary approvals of authorities having jurisdiction.
- f. Requested substitution is compatible with other portions of the Work.
- g. Requested substitution has been coordinated with other portions of the Work.
- h. Requested substitution provides specified warranty.
- i. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.

B. Substitutions for Convenience: Not allowed.

**PART 3 - EXECUTION (Not Used)**

**END OF SECTION 012500**



## SECTION 012600 - CONTRACT MODIFICATION PROCEDURES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes administrative and procedural requirements for handling and processing Contract modifications.

#### 1.3 MINOR CHANGES IN THE WORK

- A. Architect will issue supplemental instructions authorizing minor changes in the Work, not involving adjustment to the Contract Sum or the Contract Time, on "Architect's Supplemental Instructions."

#### 1.4 PROPOSAL REQUESTS

- A. Owner-Initiated Proposal Requests: Architect will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.

1. Work Change Proposal Requests issued by Architect are not instructions either to stop work in progress or to execute the proposed change.
2. Within time specified in Proposal Request after receipt of Proposal Request, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.

- a. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
- b. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
- c. Include costs of labor and supervision directly attributable to the change.
- d. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
- e. Quotation Form: Use forms acceptable to Architect.

- B. Contractor-Initiated Proposals: If latent or changed conditions require modifications to the Contract, Contractor may initiate a claim by submitting a request for a change to Architect.

1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.

2. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
3. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
4. Include costs of labor and supervision directly attributable to the change.
5. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
6. Comply with requirements in Section 012500 "Substitution Procedures" if the proposed change requires substitution of one product or system for product or system specified.
7. Proposal Request Form: Use form acceptable to Architect.

#### 1.5 ADMINISTRATIVE CHANGE ORDERS

- A. Allowance Adjustment: See Section 012100 "Allowances" for administrative procedures for preparation of Change Order Proposal for adjusting the Contract Sum to reflect actual costs of allowances.
- B. Unit-Price Adjustment: See Section 012200 "Unit Prices" for administrative procedures for preparation of Change Order Proposal for adjusting the Contract Sum to reflect measured scope of unit-price work.

#### 1.6 CHANGE ORDER PROCEDURES

- A. On Owner's approval of a Work Changes Proposal Request, Architect will issue a Change Order for signatures of Owner and Contractor via The NC State Construction Interscope System.

#### 1.7 CONSTRUCTION CHANGE DIRECTIVE OR FIELD ORDER

- A. Construction Change Directive: Architect may issue a Construction Change Directive on Field Order Form. Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.
  1. Construction Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.
- B. Documentation: Maintain detailed records on a time and material basis of work required by the Construction Change Directive.
  1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

### **PART 2 - PRODUCTS (Not Used)**

### **PART 3 - EXECUTION (Not Used)**

### **END OF SECTION 012600**



## **SECTION 012900 - PAYMENT PROCEDURES**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 1 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. This Section specifies administrative and procedural requirements necessary to prepare and process Applications for Payment.

#### **1.3 DEFINITIONS**

- A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

#### **1.4 SCHEDULE OF VALUES**

- A. Coordination: Coordinate preparation of the Schedule of Values with preparation of Contractor's Construction Schedule.
  - 1. Correlate line items in the Schedule of Values with other required administrative forms and schedules, including the following:
    - a. Application for Payment forms with Continuation Sheets.
    - b. Submittals Schedule.
    - c. Contractor's Construction Schedule.
  - 2. Submit the Schedule of Values to Architect at earliest possible date but no later than 21 days after notice to proceed. Provide separate schedule for each Armory.
- B. Format and Content: Use the Project Manual table of contents as a guide to establish line items for the Schedule of Values. Provide at least one line item for each Specification Section.
  - 1. Submit draft of AIA Document G703 Continuation Sheets.
  - 2. Arrange the Schedule of Values in tabular form with separate columns to indicate the following for each item listed:
  - 3. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with the Project Manual table of contents. Provide several line items for principal subcontract amounts, where appropriate.
  - 4. Round amounts to nearest whole dollar; total shall equal the Contract Sum.
  - 5. Provide a separate line item in the Schedule of Values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.

## 1.5 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment shall be consistent with previous applications and payments as certified by Architect and paid for by Owner.
- B. Payment Application Times: The date for each progress payment is indicated in the Agreement between Owner and Contractor. The period of construction Work covered by each Application for Payment is the period indicated in the Agreement.
- C. Payment Application Forms: Use AIA Document G702 and AIA Document G703 Continuation Sheets as forms for Applications for Payment.
- D. Application Preparation: Complete every entry on the form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect will return incomplete applications without action.
  - 1. Entries shall match data on the Schedule of Values and Contractor's Construction Schedule. Use updated schedules if revisions were made.
  - 2. Include amounts of Approved Change Orders issued before the last day of construction period covered by application.
- E. Transmittal: Submit 4 signed and notarized original copies of each Application for Payment to Architect by a method ensuring receipt within 24 hours. One copy shall include waivers of line and similar attachments if required.
  - 1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
- F. Initial Payment: Initial Application for Payment will not be reviewed or executed until the following administrative submittals are submitted and approved by the Architect:
  - 1. Project Schedule.
  - 2. List of Subcontractors, materials, products.
  - 3. Schedule of Values.
  - 4. Submittal Schedule.
- G. Final Payment Application: Submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:
  - 1. Evidence of completion of Project closeout requirements.
  - 2. Insurance certificates for products and completed operations were required and proof that taxes, fees, and similar obligations were paid.
  - 3. Updated final statement, accounting for final changes to the Contract Sum.
  - 4. NC State Construction Forms "Contractor's Affidavit of Payment of Debts and Claims."
  - 5. NC State Construction Forms "Contractor's Affidavit of Release of Liens."
  - 6. NC State Construction Forms "Consent of Surety to Final Payment."
  - 7. Summary of all MBE's paid for project. Use Appendix E to Summarize.

**PART 2 - PRODUCTS (Not Used)**

**PART 3 - EXECUTION (Not Used)**

**END OF SECTION 012900**



## **SECTION 013100 - PROJECT MANAGEMENT AND COORDINATION**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
  - 1. General coordination procedures.
  - 2. Coordination drawings.
  - 3. Requests for Information (RFIs).
  - 4. Project meetings.
- B. Each contractor shall participate in coordination requirements. Certain areas of responsibility are assigned to a specific contractor.

#### **1.3 DEFINITIONS**

- A. RFI: Request from Owner, Architect, or Contractor seeking information required by or clarifications of the Contract Documents.

#### **1.4 INFORMATIONAL SUBMITTALS**

- A. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Include the following information in tabular form:
  - 1. Name, address, and telephone number of entity performing subcontract or supplying products.
  - 2. Number and title of related Specification Section(s) covered by subcontract.
  - 3. Drawing number and detail references, as appropriate, covered by subcontract.
  - 4. Name of Product and Manufacturer to be provided by the Subcontractor.
  - 5. Submit Subcontract List within 30 days of Notice to Proceed.
- B. Key Personnel Names: Within 30 days of Notice to Proceed, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including home, office, and cellular telephone numbers and e-mail addresses. Provide names, addresses, and telephone numbers of individuals assigned as alternates in the absence of individuals assigned to Project.
  - 1. Post copies of list in project meeting room, in temporary field office, and by each temporary telephone. Keep list current at all times.

## 1.5 GENERAL COORDINATION PROCEDURES

- A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations, included in different Sections that depend on each other for proper installation, connection, and operation.
  - 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
  - 2. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.
  - 3. Make adequate provisions to accommodate items scheduled for later installation.
- B. Coordination: Each contractor shall coordinate its construction operations with those of other contractors and entities to ensure efficient and orderly installation of each part of the Work. Each contractor shall coordinate its operations with operations, included in different Sections that depend on each other for proper installation, connection, and operation.
  - 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
  - 2. Coordinate installation of different components with other contractors to ensure maximum performance and accessibility for required maintenance, service, and repair.
  - 3. Make adequate provisions to accommodate items scheduled for later installation.
- C. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.
  - 1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.
- D. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
  - 1. Preparation of Contractor's construction schedule.
  - 2. Preparation of the schedule of values.
  - 3. Installation and removal of temporary facilities and controls.
  - 4. Delivery and processing of submittals.
  - 5. Progress meetings.
  - 6. Preinstallation conferences.
  - 7. Project closeout activities.
  - 8. Startup and adjustment of systems.
- E. Conservation: Coordinate construction activities to ensure that operations are carried out with consideration given to conservation of energy, water, and materials. Coordinate use of temporary utilities to minimize waste.
  - 1. Salvage materials and equipment involved in performance of, but not actually incorporated into, the Work. See other Sections for disposition of salvaged materials that are designated as Owner's property.

## 1.6 COORDINATION DRAWINGS

- A. Coordination Drawings, General: Prepare coordination drawings according to requirements in individual Sections, and additionally where installation is not completely shown on Shop Drawings, where limited space availability necessitates coordination, or if coordination is required to facilitate integration of products and materials fabricated or installed by more than one entity.
1. Content: Project-specific information, drawn accurately to a scale large enough to indicate and resolve conflicts. Do not base coordination drawings on standard printed data. Include the following information, as applicable:
    - a. Use applicable Drawings as a basis for preparation of coordination drawings. Prepare sections, elevations, and details as needed to describe relationship of various systems and components.
    - b. Coordinate the addition of trade-specific information to the coordination drawings by multiple contractors in a sequence that best provides for coordination of the information and resolution of conflicts between installed components before submitting for review.
    - c. Indicate functional and spatial relationships of components of architectural, structural, civil, mechanical, and electrical systems.
    - d. Indicate space requirements for routine maintenance and for anticipated replacement of components during the life of the installation.
    - e. Show location and size of access doors required for access to concealed dampers, valves, and other controls.
    - f. Indicate required installation sequences.
    - g. Indicate dimensions shown on the Drawings. Specifically note dimensions that appear to be in conflict with submitted equipment and minimum clearance requirements. Provide alternate sketches to Architect indicating proposed resolution of such conflicts. Minor dimension changes and difficult installations will not be considered changes to the Contract.
- B. Coordination Drawing Organization: Organize coordination drawings as follows:
1. Floor Plans and Reflected Ceiling Plans: Show architectural and structural elements, and mechanical, plumbing, fire-protection, fire-alarm, and electrical Work. Show locations of visible ceiling-mounted devices relative to acoustical ceiling grid. Supplement plan drawings with section drawings were required to adequately represent the Work.
  2. Plenum Space: Indicate sub framing for support of ceiling and wall systems, mechanical and electrical equipment, and related Work. Locate components within ceiling plenum to accommodate layout of light fixtures indicated on Drawings. Indicate areas of conflict between light fixtures and other components.
  3. Mechanical Rooms: Provide coordination drawings for mechanical rooms showing plans and elevations of mechanical, plumbing, fire-protection, fire-alarm, and electrical equipment.
  4. Structural Penetrations: Indicate penetrations and openings required for all disciplines.
  5. Slab Edge and Embedded Items: Indicate slab edge locations and sizes and locations of embedded items for metal fabrications, sleeves, anchor bolts, bearing plates, angles, door floor closers, slab depressions for floor finishes, curbs and housekeeping pads, and similar items.
  6. Mechanical and Plumbing Work: Show the following:
    - a. Sizes and bottom elevations of ductwork, piping, and conduit runs, including insulation, bracing, flanges, and support systems.
    - b. Dimensions of major components, such as dampers, valves, diffusers, access doors, cleanouts and electrical distribution equipment.
    - c. Fire-rated enclosures around ductwork.
  7. Electrical Work: Show the following:

- a. Runs of vertical and horizontal conduit 1-1/4 inches in diameter and larger.
  - b. Light fixture, exit light, emergency battery pack, smoke detector, and other fire-alarm locations.
  - c. Panel board, switch board, switchgear, transformer, busway, generator, and motor control center locations.
  - d. Location of pull boxes and junction boxes, dimensioned from column center lines.
- 8. Fire-Protection System: Show the following:
  - a. Locations of standpipes, mains piping, branch lines, pipe drops, and sprinkler heads.
- 9. Review: Architect will review coordination drawings to confirm that the Work is being coordinated, but not for the details of the coordination, which are Contractor's responsibility. If the Architect determines that coordination drawings are not being prepared in sufficient scope or detail, or are otherwise deficient, Architect will so inform Contractor, who shall make changes as directed and resubmit.
- 10. Coordination Drawing Prints: Prepare coordination drawing prints according to requirements in Section 013300 "Submittal Procedures."

#### 1.7 REQUESTS FOR INFORMATION (RFIs)

- A. General: Immediately on discovery of the need for additional information or interpretation of the Contract Documents, Contractor shall prepare and submit an RFI in the form specified.
  - 1. Architect will return RFIs submitted to Architect by other entities controlled by Contractor with no response.
  - 2. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
- B. Content of the RFI: Include a detailed, legible description of item needing information or interpretation and the following:
  - 1. Project name.
  - 2. Project number.
  - 3. Date.
  - 4. Name of Contractor.
  - 5. Name of Architect.
  - 6. RFI number, numbered sequentially.
  - 7. RFI subject.
  - 8. Specification Section number and title and related paragraphs, as appropriate.
  - 9. Drawing number and detail references, as appropriate.
  - 10. Field dimensions and conditions, as appropriate.
  - 11. Contractor's suggested resolution. If Contractor's suggested resolution impacts the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
  - 12. Contractor's signature.
  - 13. Attachments: Include sketches, descriptions, measurements, photos, Product Data, Shop Drawings, coordination drawings, and other information necessary to fully describe items needing interpretation.
    - a. Include dimensions, thicknesses, structural grid references, and details of affected materials, assemblies, and attachments on attached sketches.
- C. RFI Forms: Software-generated form with substantially the same content as indicated above, acceptable to Architect.



1. Attachments shall be electronic files in Adobe Acrobat PDF format.
- D. Architect's Action: Architect will review each RFI, determine action required, and respond. Allow seven working days for Architect's response for each RFI. RFIs received by Architect after 1:00 p.m. will be considered as received the following working day.
1. The following Contractor-generated RFIs will be returned without action:
    - a. Requests for approval of submittals.
    - b. Requests for approval of substitutions.
    - c. Requests for approval of Contractor's means and methods.
    - d. Requests for coordination information already indicated in the Contract Documents.
    - e. Requests for adjustments in the Contract Time or the Contract Sum.
    - f. Requests for interpretation of Architect's actions on submittals.
    - g. Incomplete RFIs or inaccurately prepared RFIs.
  2. Architect's action may include a request for additional information, in which case Architect's time for response will date from time of receipt of additional information.
  3. Architect's action on RFIs that may result in a change to the Contract Time, or the Contract Sum may be eligible for Contractor to submit Change Proposal according to Section 012600 "Contract Modification Procedures."
    - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Architect in writing within 10 days of receipt of the RFI response.
- E. RFI Log: Prepare, maintain, and submit a tabular log of RFIs organized by the RFI number. Submit log monthly. Contractor's standard form acceptable to the Architect
- F. On receipt of Architect's action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Architect within seven days if Contractor disagrees with response.
1. Identification of related Minor Change in the Work, Construction Change Directive, and Proposal Request, as appropriate.
  2. Identification of related Field Order, Work Change Directive, and Proposal Request, as appropriate.

## 1.8 PROJECT MEETINGS

- A. Preconstruction Conference: Architect will schedule and conduct a preconstruction conference before starting construction, at a time convenient to Owner and Architect, but no later than 15 days after execution of the Agreement.
1. Conduct the conference to review responsibilities and personnel assignments.
  2. Attendees: Authorized representatives of Owner Architect, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with the Project and authorized to conclude matters relating to the Work.
  3. Agenda: Discuss items of significance that could affect progress, including the following:
    - a. Tentative construction schedule.
    - b. Phasing.
    - c. Critical work sequencing and long-lead items.
    - d. Designation of key personnel and their duties.

- e. Lines of communications.
  - f. Procedures for processing field decisions and Change Orders.
  - g. Procedures for RFIs.
  - h. Procedures for testing and inspecting.
  - i. Procedures for processing Applications for Payment.
  - j. Distribution of the Contract Documents.
  - k. Submittal procedures.
  - l. Preparation of record documents.
  - m. Use of the premises.
  - n. Work restrictions.
  - o. Working hours.
  - p. Owner's occupancy requirements.
  - q. Responsibility for temporary facilities and controls.
  - r. Procedures for moisture and mold control.
  - s. Procedures for disruptions and shutdowns.
  - t. Construction waste management and recycling.
  - u. Parking availability.
  - v. Office, work, and storage areas.
  - w. Equipment deliveries and priorities.
  - x. First aid.
  - y. Security.
  - z. Progress cleaning.
4. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes.
- B. Preinstallation Conferences: Conduct a preinstallation conference at the Project site before each construction activity that requires coordination with other construction.
- 1. Attendees: Installer and representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Advise Architect of scheduled meeting dates.
  - 2. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
  - 3. Reporting: Distribute minutes of the meeting to each party present and to other parties requiring information.
  - 4. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to the performance of the Work and reconvene the conference at earliest feasible date.
- C. Progress Meetings: Architect will conduct progress meetings at monthly intervals.
- 1. Coordinate dates of meetings with preparation of payment requests.
  - 2. Attendees: In addition to representatives of Owner and Architect, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meeting shall be familiar with the Project and authorized to conclude matters relating to the Work.
  - 3. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project. Contractor shall provide a written summary of the project status in the following format at each meeting:
    - a. Review outstanding items from previous minutes.
    - b. Contractors' current status complete with written summary.
    - c. Contractors work to be performed next period, written summary.
    - d. Change Order status.

- e. Shop Drawing status.
  - f. Project Schedule.
  - g. Other.
  - h. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
    - 1) Review schedule for next period.
4. Minutes: Entity responsible for conducting the meeting will record and distribute the meeting minutes to each party present and to parties requiring information.
- a. Schedule Updating: Revise Contractor's construction schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.
- D. Coordination Meetings: Conduct Project coordination meetings at regular intervals. Project coordination meetings are in addition to specific meetings held for other purposes, such as progress meetings and preinstallation conferences.
- 1. Reporting: Record meeting results and distribute copies to everyone in attendance and to others affected by decisions or actions resulting from each meeting.

**PART 2 - PRODUCTS (Not Used)**

**PART 3 - EXECUTION (Not Used)**

**END OF SECTION 013100**



## **SECTION 013200 - CONSTRUCTION PROGRESS DOCUMENTATION**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
  - 1. Contractor's construction schedule.
  - 2. Construction schedule updating reports.
  - 3. Daily construction reports.

#### **1.3 DEFINITIONS**

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction project. Activities included in a construction schedule consume time and resources.
  - 1. Critical Activity: An activity on the critical path that must start and finish on the planned early start and finish times.
  - 2. Predecessor Activity: An activity that precedes another activity in the network.
  - 3. Successor Activity: An activity that follows another activity in the network.
- B. CPM: Critical path method, which is a method of planning and scheduling a construction project where activities are arranged based on activity relationships. Network calculations determine when activities can be performed and the critical path of Project.
- C. Critical Path: The longest connected chain of interdependent activities through the network schedule that establishes the minimum overall Project duration and contains no float.
- D. Event: The starting or ending point of an activity.
- E. Float: The measure of leeway in starting and completing an activity.

#### **1.4 INFORMATIONAL SUBMITTALS**

- A. Format for Submittals: Submit required submittals in the following format:
  - 1. Working electronic copy of schedule file, where indicated.
  - 2. PDF electronic file.
  - 3. Two paper copies.

- B. Contractor's Construction Schedule: Initial schedule, of size required to display entire schedule for entire construction period.
- C. CPM Reports: Concurrent with CPM schedule, submit each of the following reports. Format for each activity in reports shall contain activity number, activity description, cost and resource loading, original duration, remaining duration, early start date, early finish date, late start date, late finish date, and total float in calendar days.
  - 1. Activity Report: List of all activities sorted by activity number and then early start date, or actual start date if known.
- D. Daily Construction Reports: Submit at monthly intervals.

## 1.5 COORDINATION

- A. Coordinate Contractor's construction schedule with the schedule of values, list of subcontracts, submittal schedule, progress reports, payment requests, and other required schedules and reports.
  - 1. Secure time commitments for performing critical elements of the Work from entities involved.
  - 2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

## PART 2 - PRODUCTS

### 2.1 CONTRACTOR'S CONSTRUCTION SCHEDULE, GENERAL

- A. Time Frame: Extend schedule from date established for the Notice to Proceed to date of Project Acceptance.
  - 1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.
- B. Activities: Treat each story or separate area as a separate numbered activity for each main element of the Work. Comply with the following:
  - 1. Activity Duration: Define activities so no activity is longer than 20 days, unless specifically allowed by Architect.
  - 2. Procurement Activities: Include procurement process activities for the following long lead items and major items, requiring a cycle of more than 60 days, as separate activities in schedule. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.
  - 3. Submittal Review Time: Include review and resubmittal times indicated in Section 013300 "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's construction schedule with submittal schedule.
  - 4. Startup and Testing Time: Include no fewer than 15 days for startup and testing.
  - 5. Pre-Final: Indicate completion in advance of date established for Project Acceptance and allow time for Architect's administrative procedures necessary to schedule Final Inspections.
  - 6. Punch List and Final Completion: Include not more than 30 days for completion of punch list items and final completion.

- C. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule and show how the sequence of the Work is affected.
1. Work Restrictions: Show the effect of the following items on the schedule:
    - a. Uninterruptible services.
    - b. Use of premises restrictions.
    - c. Environmental control.
  2. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:
    - a. Subcontract awards.
    - b. Submittals.
    - c. Purchases.
    - d. Mockups.
    - e. Fabrication.
    - f. Sample testing.
    - g. Deliveries.
    - h. Installation.
    - i. Tests and inspections.
    - j. Adjusting.
    - k. Curing.
    - l. Building flush-out.
    - m. Startup and placement into final use and operation.
  3. Construction Areas: Identify each major area of construction for each major portion of the Work. Indicate where each construction activity within a major area must be sequenced or integrated with other construction activities to provide for the following:
    - a. Structural completion.
    - b. Temporary enclosure and space conditioning.
    - c. Permanent space enclosure.
    - d. Completion of mechanical installation.
    - e. Completion of electrical installation.
- D. Milestones: Include milestones indicated in the Contract Documents in schedule, including, but not limited to, the Notice to Proceed, Pre-final inspections, and final completion, and the following interim milestones:
1. Temporary enclosure and space conditioning.
  2. Power on Building.
  3. Critical inspections such as under slab rough-in, above ceiling inspections, wall rough-ins, etc.
- E. Upcoming Work Summary: Prepare summary report indicating activities scheduled to occur or commence prior to submittal of next schedule update. Summarize the following issues:
1. Unresolved issues.
  2. Unanswered Requests for Information.
  3. Rejected or unreturned submittals.
  4. Notations on returned submittals.
  5. Pending modifications affecting the Work and Contract Time.
- F. Recovery Schedule: When periodic update indicates the Work is 14 or more calendar days behind the current approved schedule, submit a separate recovery schedule indicating means by which Contractor

intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, and equipment required to achieve compliance, and date by which recovery will be accomplished.

- G. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules.

## 2.2 CONTRACTOR'S CONSTRUCTION SCHEDULE (GANTT CHART)

- A. Gantt-Chart Schedule: Submit a comprehensive, fully developed, horizontal, Gantt-chart-type, Contractor's construction schedule within 30 days of date established for the Notice to Proceed. Base schedule on the startup construction schedule and additional information received since the start of Project.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line.
  - 1. For construction activities that require three months or longer to complete, indicate an estimated completion percentage in 10 percent increments within time bar.

## 2.3 REPORTS

- A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:
  - 1. List of subcontractors at Project site.
  - 2. List of separate contractors at Project site.
  - 3. Approximate count of personnel at Project site.
  - 4. Equipment at Project site.
  - 5. Material deliveries.
  - 6. High and low temperatures and general weather conditions, including presence of rain or snow.
  - 7. Accidents.
  - 8. Meetings and significant decisions.
  - 9. Unusual events (see special reports).
  - 10. Stoppages, delays, shortages, and losses.
  - 11. Meter readings and similar recordings.
  - 12. Emergency procedures.
  - 13. Orders and requests of authorities having jurisdiction.
  - 14. Change Orders received and implemented.
  - 15. Construction Change Directives received and implemented.
  - 16. Services connected and disconnected.
  - 17. Equipment or system tests and startups.
  - 18. Partial completions and occupancies.

## 2.4 SPECIAL REPORTS

- A. General: Submit special reports directly to Architect within seven day(s) of an occurrence. Distribute copies of report to parties affected by the occurrence.
- B. Reporting Unusual Events: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, response by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise Owner in advance when these events are known or predictable.



- C. Submit 5-year weather data for the previous 5-years at the beginning of the Project within 30 days of Notice to Proceed. Data shall indicate the average number of days per month with precipitation that will serve as baseline for any weather days to be claimed in excess of the 5-year averages.

### **PART 3 - EXECUTION**

#### **3.1 CONTRACTOR'S CONSTRUCTION SCHEDULE**

- A. Contractor's Construction Schedule Updating: At monthly intervals, update schedule to reflect actual construction progress and activities. Issue schedule before each regularly scheduled progress meeting.
  - 1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
  - 2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
  - 3. As the Work progresses, indicate final completion percentage for each activity.
- B. Distribution: Distribute copies of approved schedule to Architect Owner, separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.
  - 1. Post copies in Project meeting rooms and temporary field offices.
  - 2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

#### **END OF SECTION 013200**



## **SECTION 013300 - SUBMITTAL PROCEDURES**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes requirements for the submittal schedule and administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples, and other submittals.

#### **1.3 DEFINITIONS**

- A. Action Submittals: Written and graphic information and physical samples that require Architect's responsive action. Action submittals are those submittals indicated in individual Specification Sections as "action submittals."
- B. Informational Submittals: Written and graphic information and physical samples that do not require Architect's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals."
- C. Portable Document Format (PDF): An open standard file format licensed by Adobe Systems used for representing documents in a device-independent and display resolution-independent fixed-layout document format.

#### **1.4 ACTION SUBMITTALS**

- A. Submittal Schedule: Submit a schedule of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, ordering, manufacturing, fabrication, and delivery when establishing dates. Include additional time required for making corrections or revisions to submittals noted by Architect and additional time for handling and reviewing submittals required by those corrections.
  - 1. Coordinate submittal schedule with list of subcontracts, the schedule of values, and Contractor's construction schedule.
  - 2. Initial Submittal: Submit concurrently with startup construction schedule. Include submittals required during the first 60 days of construction. List those submittals required to maintain orderly progress of the Work and those required early because of long lead time for manufacture or fabrication.
  - 3. Final Submittal: Submit concurrently with the first complete submittal of Contractor's construction schedule.
    - a. Submit revised submittal schedule to reflect changes in current status and timing for submittals.

- b. Finalize the schedule such that all shop drawings required for the project are submitted within 90 days of Notice to Proceed.
- 4. Format: Arrange the following information in a tabular format:
  - a. Scheduled date for first submittal.
  - b. Specification Section number and title.
  - c. Submittal category: Action; informational.
  - d. Name of subcontractor.
  - e. Description of the Work covered.
  - f. Scheduled date for Architect's final release or approval.
  - g. Scheduled date of fabrication.
  - h. Scheduled dates for purchasing.
  - i. Scheduled dates for installation.
  - j. Activity or event number.

#### 1.5 SUBMITTAL ADMINISTRATIVE REQUIREMENTS

- A. Architect's Digital Data Files: Electronic digital data files of the Contract Drawings will be provided by Architect for Contractor's use in preparing submittals.
  - 1. Architect will furnish Contractor one set of digital data drawing files of the Contract Drawings for use in preparing Shop Drawings.
    - a. Architect makes no representations as to the accuracy or completeness of digital data drawing files as they relate to the Contract Drawings.
    - b. Digital Drawing Software Program: The Contract Drawings are available in Architects current AutoCAD version.
    - c. Contractor shall sign Architect's standard release form.
    - d. The following digital data files will be furnished for each appropriate discipline:
      - 1) Basic Floor plans.
      - 2) Basic Reflected ceiling plans.
- B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
  - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  - 2. Submit all submittal items required for each Specification Section concurrently unless partial submittals for portions of the Work are indicated on approved submittal schedule.
  - 3. Submit action submittals and informational submittals required by the same Specification Section as separate packages under separate transmittals.
  - 4. Coordinate transmittal of different types of submittals for related parts of the Work so processing will not be delayed because of need to review submittals concurrently for coordination.
    - a. Architect reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
- C. Processing Time: Allow time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Architect's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.

1. Initial Review: Allow 20 days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Architect will advise Contractor when a submittal being processed must be delayed for coordination.
2. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
3. Resubmittal Review: Allow 20 days for review of each resubmittal.
4. Sequential Review: Where sequential review of submittals by Architect's consultants, Owner, or other parties is indicated, allow 20 days for initial review of each submittal.

D. Paper Submittals: Place a permanent label or title block on each submittal item for identification.

1. Indicate name of firm or entity that prepared each submittal on label or title block.
2. Provide a space approximately 6 by 8 inches on label or beside title block to record Contractor's review and approval markings and action taken by Architect.
3. Include the following information for processing and recording action taken:
  - a. Project name.
  - b. Date.
  - c. Name of Architect.
  - d. Name of Construction Manager.
  - e. Name of Contractor.
  - f. Name of subcontractor.
  - g. Name of supplier.
  - h. Name of manufacturer.
  - i. Submittal number or other unique identifier, including revision identifier.
  - j. Number and title of appropriate Specification Section.
  - k. Drawing number and detail references, as appropriate.
  - l. Location(s) where product is to be installed, as appropriate.
4. Additional Paper Copies: Unless additional copies are required for final submittal, and unless Architect observes noncompliance with provisions in the Contract Documents, initial submittal may serve as final submittal.
5. Transmittal for Paper Submittals: Assemble each submittal individually and appropriately for transmittal and handling. Transmit each submittal using a transmittal form. Architect will discard submittals received from sources other than Contractor.
  - a. Transmittal Form for Paper Submittals: Use Contractors Standard Transmittal.
  - b. Transmittal Form for Paper Submittals: Provide locations on form for the following information:
    - 1) Project name.
    - 2) Date.
    - 3) Destination (To:).
    - 4) Source (From:).
    - 5) Name and address of Architect.
    - 6) Name of Construction Manager.
    - 7) Name of Contractor.
    - 8) Name of firm or entity that prepared submittal.
    - 9) Names of subcontractors, manufacturer, and supplier.
    - 10) Category and type of submittal.
    - 11) Submittal purpose and description.
    - 12) Specification Section number and title.
    - 13) Specification paragraph number or drawing designation and generic name for each of multiple items.
    - 14) Drawing number and detail references, as appropriate.

- 15) Indication of full or partial submittal.
- 16) Transmittal number, numbered consecutively.
- 17) Submittal and transmittal distribution record.
- 18) Remarks.
- 19) Signature of transmitter.

E. Electronic Submittals:(Limit to those submittals pre-approved for electronic submission by the Architect): Identify and incorporate information in each electronic submittal file as follows:

1. Assemble complete submittal package into a single indexed file incorporating submittal requirements of a single Specification Section and transmittal form with links enabling navigation to each item.
2. FOLLOW ARCHITECT'S "ELECTRONIC SHOP DRAWING PROTOCOL" FORMAT NOTED IN PARAGRAPH 3.3 AT THE END OF THIS SECTION.
3. Provide means for insertion to permanently record Contractor's review and approval markings and action taken by Architect.
4. Transmittal Form for Electronic Submittals: Use Outlook Formatted e-mail as transmittal to Architect, containing the following information:
  - a. Project name.
  - b. Date.
  - c. Name and address of Architect.
  - d. Name of Contractor.
  - e. Name of firm or entity that prepared submittal.
  - f. Names of subcontractor, manufacturer, and supplier.
  - g. Category and type of submittal.
  - h. Submittal purpose and description.
  - i. Specification Section number and title.
  - j. Drawing number and detail references, as appropriate.
  - k. Location(s) where product is to be installed, as appropriate.
  - l. Related physical samples submitted directly.
  - m. Indication of full or partial submittal.
  - n. Transmittal number, numbered consecutively.
  - o. Submittal and transmittal distribution record.
  - p. Other necessary identification.
  - q. Remarks.

F. Options: Identify options requiring selection by Architect.

G. Deviations and Additional Information: On an attached separate sheet, prepared on Contractor's letterhead, record relevant information, requests for data, revisions other than those requested by Architect on previous submittals, and deviations from requirements in the Contract Documents, including minor variations and limitations. Include same identification information as related submittal.

H. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.

1. Note date and content of previous submittal.
2. Note date and content of revision in label or title block and clearly indicate extent of revision.
3. Resubmit submittals until they are marked with approval notation from Architect's action stamp.

I. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.

- J. Use for Construction: Retain complete copies of submittals on Project site. Use only final action submittals that are marked with approval notation from Architect's action stamp.

## **PART 2 - PRODUCTS**

### **2.1 SUBMITTAL PROCEDURES**

- A. General Submittal Procedure Requirements: Prepare and submit submittals required by individual Specification Sections. Types of submittals are indicated in individual Specification Sections.

1. Submit electronic submittals via email as PDF electronic files.
  - a. Architect will return annotated file. Annotate and retain one copy of file as an electronic Project record document file.
2. Action Submittals: Submit up to 5 paper copies of each submittal unless otherwise indicated. Architect will return two copies.
3. Informational Submittals: Submit up to 5 paper copies of each submittal unless otherwise indicated. Architect will not return copies.
4. Certificates and Certifications Submittals: Provide a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity.
  - a. Provide a digital signature with digital certificate on electronically submitted certificates and certifications where indicated.
  - b. Provide a notarized statement on original paper copy certificates and certifications where indicated.

- B. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.

1. If information must be specially prepared for submittal because standard published data are not suitable for use, submit as Shop Drawings, not as Product Data.
2. Mark each copy of each submittal to show which products and options are applicable.
3. Include the following information, as applicable:
  - a. Manufacturer's catalog cuts.
  - b. Manufacturer's product specifications.
  - c. Standard color charts.
  - d. Statement of compliance with specified referenced standards.
  - e. Testing by recognized testing agency.
  - f. Application of testing agency labels and seals.
  - g. Notation of coordination requirements.
  - h. Availability and delivery time information.
4. For equipment, include the following in addition to the above, as applicable:
  - a. Wiring diagrams showing factory-installed wiring.
  - b. Printed performance curves.
  - c. Operational range diagrams.
  - d. Clearances required to other construction, if not indicated on accompanying Shop Drawings.

5. Submit Product Data before or concurrent with Samples.
  6. Submit Product Data in the following format:
    - a. PDF electronic file.
    - b. Up to 5 paper copies of Product Data unless otherwise indicated. Architect will return two copies.
- C. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data.
1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
    - a. Identification of products.
    - b. Schedules.
    - c. Compliance with specified standards.
    - d. Notation of coordination requirements.
    - e. Notation of dimensions established by field measurement.
    - f. Relationship and attachment to adjoining construction clearly indicated.
    - g. Seal and signature of professional engineer if specified.
  2. Sheet Size: Except for templates, patterns, and similar full-size drawings, submit Shop Drawings on sheets at least 8-1/2 by 11 inches, but no larger than 30 by 42 inches.
  3. Submit Shop Drawings in the following format:
    - a. PDF electronic file.
    - b. Up to 5 opaque copies of each submittal. Architect will retain two copies; remainder will be returned.
- D. Samples: Submit Samples for review of kind, color, pattern, and texture for a check of these characteristics with other elements and for a comparison of these characteristics between submittal and actual component as delivered and installed.
1. Transmit Samples that contain multiple, related components such as accessories together in one submittal package.
  2. Identification: Attach label on unexposed side of Samples that includes the following:
    - a. Generic description of Sample.
    - b. Product name and name of manufacturer.
    - c. Sample source.
    - d. Number and title of applicable Specification Section.
    - e. Specification paragraph number and generic name of each item.
  3. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
    - a. Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
    - b. Samples not incorporated into the Work, or otherwise designated as Owner's property, are the property of Contractor.
  4. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units showing the full range of colors, textures, and patterns available.



- a. Number of Samples: Submit 2 full set(s) of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. Architect will return submittal with options selected.
- 5. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.
  - a. Number of Samples: Submit three sets of Samples. Architect will retain 1 Sample sets; remainder will be returned.
    - 1) Submit a single Sample where assembly details, workmanship, fabrication techniques, connections, operation, and other similar characteristics are to be demonstrated.
    - 2) If variation in color, pattern, texture, or other characteristic is inherent in material or product represented by a Sample, submit at least three sets of paired units that show approximate limits of variations.
- E. Coordination Drawing Submittals: Comply with requirements specified in Section 013100 "Project Management and Coordination."
- F. Contractor's Construction Schedule: Comply with requirements specified in Section 013200 "Construction Progress Documentation."
- G. Application for Payment and Schedule of Values: Comply with requirements specified in Section 012900 "Payment Procedures."
- H. Test and Inspection Reports and Schedule of Tests and Inspections Submittals: Comply with requirements specified in Section 014000 "Quality Requirements."
- I. Closeout Submittals and Maintenance Material Submittals: Comply with requirements specified in Section 017700 "Closeout Procedures."
- J. Maintenance Data: Comply with requirements specified in Section 017823 "Operation and Maintenance Data."
- K. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- L. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification and Procedure Qualification Record on AWS forms. Include names of firms and personnel certified.
- M. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
- N. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.

- O. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
- P. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
- Q. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
- R. Product Test Reports: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
- S. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
  - 1. Name of evaluation organization.
  - 2. Date of evaluation.
  - 3. Time period when report is in effect.
  - 4. Product and manufacturers' names.
  - 5. Description of product.
  - 6. Test procedures and results.
  - 7. Limitations of use.
- T. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
- U. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.
- V. Field Test Reports: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
- W. Design Data: Prepare and submit written and graphic information, including, but not limited to, performance and design criteria, list of applicable codes and regulations, and calculations. Include list of assumptions and other performance and design criteria and a summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Include page numbers.

## 2.2 DELEGATED-DESIGN SERVICES

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect.

- B. Delegated-Design Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit up to 5 paper copies of certificate, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
  - 1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

### **PART 3 - EXECUTION**

#### **3.1 CONTRACTOR'S REVIEW**

- A. Action and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Architect.
- B. Project Closeout and Maintenance Material Submittals: See requirements in Section 017700 "Closeout Procedures."
- C. Approval Stamp: Stamp each submittal with a uniform, approval stamp. Include Project name and location, submittal number, Specification Section title and number, name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.

#### **3.2 ARCHITECT'S ACTION**

- A. Action Submittals: Architect will review each submittal, make marks to indicate corrections or revisions required, and return it. Architect will stamp each submittal with an action stamp and will mark stamp appropriately to indicate action, as follows:
  - 1. Reviewed: Information submitted is in compliance with Contract Documents.
  - 2. Reviewed as Noted: Information submitted is in compliance with Contract Documents except as noted.
  - 3. Revise and Resubmit: Submittal does not meet Contract Documents or is incomplete, and must be resubmitted.
  - 4. Not Reviewed: Incomplete submittal, was not required or does not require review.
- B. Informational Submittals: Architect will review each submittal and will not return it, or will return it if it does not comply with requirements. Architect will forward each submittal to appropriate party.
- C. Partial submittals prepared for a portion of the Work will be reviewed when use of partial submittals has received prior approval from Architect.
- D. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for resubmittal without review.
- E. Submittals not required by the Contract Documents may be returned by the Architect without action.

### 3.3 ELECTRONIC SHOP DRAWING PROTOCOL

#### **812 SHOP DRAWINGS FOR CONTRACTORS/CONSULTANTS**

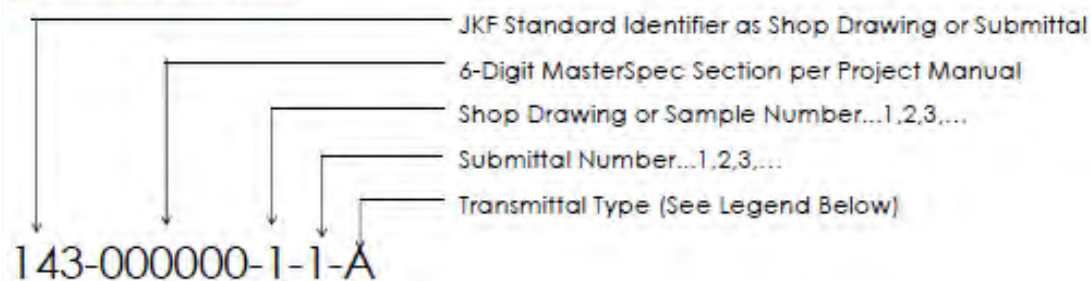
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If a Contractor shall wish to submit shop drawings, project data, etc. electronically, than the JKF naming protocol shall be used as indicated below. Shop Drawings and Product Data shall be individually classified by Specification Section. Do not combine specifications within a Division. For instance, do not combine hollow metal frames, wood doors and hardware all as one PDF submittal. Breakdown all PME items into their specification components. Failure to do so will cause the submittal to be rejected.

STEP 1: Prepare your PDF submittal using the format below. For instance, a submittal of the Hardware Schedule would be named 143-087100-1-1-SD. This means it is the first submittal item for this section and the 1st submittal of this item (...1-1-...). A resubmittal of the same item if rejected the first time would be 143-087100-1-2-SD, where the 2 indicates 2nd submittal. If hardware product data was submitted, it would be named 143-087100-2-1-PD, indicating the 2nd submittal for this section, and the 1st submittal. All items must include the Contractors approval.

STEP 2: Transmit to JKF via email and use the email as your transmittal. We will not keep extraneous transmittals. JKF can provide you a transmittal template that can be saved in Outlook. All e-mails for submittals shall be sent to:

[submittal@jkf-arch.com](mailto:submittal@jkf-arch.com)



#### Transmittal Type

- A** Transmittal from GC
- B** Transmittal from Architect to Consultant
- C** Transmittal from Consultant to Architect
- D** Transmittal Architect to GC
- E** Transmittal Architect to Owner
- SD** Shop Drawing (Actual Documents to be Reviewed)
- PD** Product Data (Actual Documents to be Reviewed)
- SM** Sample



STEP 3: JKF ARCHITECTURE will initially review the submittal for formatting and content compliance. If required, we will forward the PDF to the required consultants for review and approval.

STEP 4: Consultants shall review the submittal and return using the same exact file name as was sent from JKF ARCHITECTURE.

STEP 5: JKF ARCHITECTURE will conduct final review for coordination and e-mail back to Contractor with final action.

STEP 6: Any further resubmittals shall follow the naming protocol that includes numbering for subsequent resubmittals for the same item.

**END OF SECTION 013300**



## SECTION 014000 - QUALITY REQUIREMENTS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspecting services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
  - 1. Specific quality-assurance and -control requirements for individual construction activities are specified in the Sections that specify those activities. Requirements in those Sections may also cover production of standard products.
  - 2. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and -control procedures that facilitate compliance with the Contract Document requirements.
  - 3. Requirements for Contractor to provide quality-assurance and -control services required by Architect, Owner, or authorities having jurisdiction are not limited by provisions of this Section.
  - 4. Specific test and inspection requirements are not specified in this Section.

#### 1.3 DEFINITIONS

- A. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- B. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Services do not include contract enforcement activities performed by Architect.
- C. Mockups: Full-size physical assemblies that are constructed on-site. Mockups are constructed to verify selections made under Sample submittals; to demonstrate aesthetic effects and, where indicated, qualities of materials and execution; to review coordination, testing, or operation; to show interface between dissimilar materials; and to demonstrate compliance with specified installation tolerances. Mockups are not Samples. Unless otherwise indicated, approved mockups establish the standard by which the Work will be judged.
  - 1. Laboratory Mockups: Full-size physical assemblies constructed at testing facility to verify performance characteristics.
  - 2. Integrated Exterior Mockups: Mockups of the exterior envelope erected separately from the building but on Project site, consisting of multiple products, assemblies, and subassemblies.
  - 3. Room Mockups: Mockups of typical interior spaces complete with wall, floor, and ceiling finishes, doors, windows, millwork, casework, specialties, furnishings and equipment, and lighting.

- D. Preconstruction Testing: Tests and inspections performed specifically for Project before products and materials are incorporated into the Work, to verify performance or compliance with specified criteria.
- E. Product Testing: Tests and inspections that are performed by an NRTL, an NVLAP, or a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.
- F. Source Quality-Control Testing: Tests and inspections that are performed at the source, e.g., plant, mill, factory, or shop.
- G. Field Quality-Control Testing: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- H. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.
- I. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, and similar operations.
  - 1. Use of trade-specific terminology in referring to a trade or entity does not require that certain construction activities be performed by accredited or unionized individuals, or that requirements specified apply exclusively to specific trade(s).
- J. Experienced: When used with an entity or individual, "experienced" means having successfully completed a minimum of five previous projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.

#### 1.4 CONFLICTING REQUIREMENTS

- A. Referenced Standards: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer conflicting requirements that are different, but apparently equal, to Architect for a decision before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

#### 1.5 ACTION SUBMITTALS

- A. Shop Drawings: For mockups, provide plans, sections, and elevations, indicating materials and size of mockup construction.
  - 1. Indicate manufacturer and model number of individual components.
  - 2. Provide axonometric drawings for conditions difficult to illustrate in two dimensions.



## 1.6 INFORMATIONAL SUBMITTALS

- A. Contractor's Quality-Control Plan: For quality-assurance and quality-control activities and responsibilities.
- B. Qualification Data: For Contractor's quality-control personnel.
- C. Contractor's Statement of Responsibility: When required by authorities having jurisdiction, submit copy of written statement of responsibility sent to authorities having jurisdiction before starting work on the following systems:
  - 1. Seismic-force-resisting system, designated seismic system, or component listed in the designated seismic system quality-assurance plan prepared by Architect.
  - 2. Main wind-force-resisting system or a wind-resisting component listed in the wind-force-resisting system quality-assurance plan prepared by Architect.
- D. Testing Agency Qualifications: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- E. Schedule of Tests and Inspections: Prepare in tabular form and include the following:
  - 1. Specification Section number and title.
  - 2. Entity responsible for performing tests and inspections.
  - 3. Description of test and inspection.
  - 4. Identification of applicable standards.
  - 5. Identification of test and inspection methods.
  - 6. Number of tests and inspections required.
  - 7. Time schedule or time span for tests and inspections.
  - 8. Requirements for obtaining samples.
  - 9. Unique characteristics of each quality-control service.

## 1.7 CONTRACTOR'S QUALITY-CONTROL PLAN

- A. Quality-Control Plan, General: Submit quality-control plan within 10 days of Notice to Proceed, and not less than five days prior to preconstruction conference. Submit in format acceptable to Architect. Identify personnel, procedures, controls, instructions, tests, records, and forms to be used to carry out Contractor's quality-assurance and quality-control responsibilities. Coordinate with Contractor's construction schedule.
- B. Submittal Procedure: Describe procedures for ensuring compliance with requirements through review and management of submittal process. Indicate qualifications of personnel responsible for submittal review.
- C. Testing and Inspection: In quality-control plan, include a comprehensive schedule of Work requiring testing or inspection, including the following:
  - 1. Contractor-performed tests and inspections including subcontractor-performed tests and inspections. Include required tests and inspections and Contractor-elected tests and inspections.
  - 2. Special inspections required by authorities having jurisdiction and indicated on the "Statement of Special Inspections."
  - 3. Owner-performed tests and inspections indicated in the Contract Documents.
- D. Continuous Inspection of Workmanship: Describe process for continuous inspection during construction to identify and correct deficiencies in workmanship in addition to testing and inspection specified.

Indicate types of corrective actions to be required to bring work into compliance with standards of workmanship established by Contract requirements and approved mockups.

- E. Monitoring and Documentation: Maintain testing and inspection reports including log of approved and rejected results. Include work Architect has indicated as nonconforming or defective. Indicate corrective actions taken to bring nonconforming work into compliance with requirements. Comply with requirements of authorities having jurisdiction.

## 1.8 REPORTS AND DOCUMENTS

- A. Test and Inspection Reports: Prepare and submit certified written reports specified in other Sections. Include the following:

1. Date of issue.
2. Project title and number.
3. Name, address, and telephone number of testing agency.
4. Dates and locations of samples and tests or inspections.
5. Names of individuals making tests and inspections.
6. Description of the Work and test and inspection method.
7. Identification of product and Specification Section.
8. Complete test or inspection data.
9. Test and inspection results and an interpretation of test results.
10. Record of temperature and weather conditions at time of sample taking and testing and inspecting.
11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
12. Name and signature of laboratory inspector.
13. Recommendations on retesting and reinspecting.

- B. Manufacturer's Technical Representative's Field Reports: Prepare written information documenting manufacturer's technical representative's tests and inspections specified in other Sections. Include the following:

1. Name, address, and telephone number of technical representative making report.
2. Statement on condition of substrates and their acceptability for installation of product.
3. Statement that products at Project site comply with requirements.
4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
6. Statement whether conditions, products, and installation will affect warranty.
7. Other required items indicated in individual Specification Sections.

- C. Factory-Authorized Service Representative's Reports: Prepare written information documenting manufacturer's factory-authorized service representative's tests and inspections specified in other Sections. Include the following:

1. Name, address, and telephone number of factory-authorized service representative making report.
2. Statement that equipment complies with requirements.
3. Results of operational and other tests and a statement of whether observed performance complies with requirements.
4. Statement whether conditions, products, and installation will affect warranty.
5. Other required items indicated in individual Specification Sections.

- D. Permits, Licenses, and Certificates: For Owner's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents, established for compliance with standards and regulations bearing on performance of the Work.

## 1.9 QUALITY ASSURANCE

- A. General: Qualifications paragraphs in this article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- C. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Installer Qualifications: A firm or individual experienced in installing, erecting, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that are similar in material, design, and extent to those indicated for this Project.
- F. Specialists: Certain Specification Sections require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
  - 1. Requirements of authorities having jurisdiction shall supersede requirements for specialists.
- G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspecting indicated, as documented according to ASTM E 329; and with additional qualifications specified in individual Sections; and, where required by authorities having jurisdiction, that is acceptable to authorities.
- H. Manufacturer's Technical Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- I. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- J. Preconstruction Testing: Where testing agency is indicated to perform preconstruction testing for compliance with specified requirements for performance and test methods, comply with the following:
  - 1. Contractor responsibilities include the following:
    - a. Provide test specimens representative of proposed products and construction.
    - b. Submit specimens in a timely manner with sufficient time for testing and analyzing results to prevent delaying the Work.

- c. Provide sizes and configurations of test assemblies, mockups, and laboratory mockups to adequately demonstrate capability of products to comply with performance requirements.
    - d. Build site-assembled test assemblies and mockups using installers who will perform same tasks for Project.
    - e. Build laboratory mockups at testing facility using personnel, products, and methods of construction indicated for the completed Work.
    - f. When testing is complete, remove test specimens, assemblies, and mockups; do not reuse products on Project.
  - 2. Testing Agency Responsibilities: Submit a certified written report of each test, inspection, and similar quality-assurance service to Architect, with copy to Contractor. Interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from the Contract Documents.
- K. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
- 1. Build mockups in location and of size indicated or, if not indicated, as directed by Architect.
  - 2. Notify Architect seven days in advance of dates and times when mockups will be constructed.
  - 3. Employ supervisory personnel who will oversee mockup construction. Employ workers that will be employed during the construction at Project.
  - 4. Demonstrate the proposed range of aesthetic effects and workmanship.
  - 5. Obtain Architect's approval of mockups before starting work, fabrication, or construction.
    - a. Allow seven days for initial review and each re-review of each mockup.
  - 6. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
  - 7. Demolish and remove mockups when directed unless otherwise indicated.

#### 1.10 QUALITY CONTROL

- A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.
  - 1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspecting they are engaged to perform.
  - 2. Costs for retesting and reinspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor, and the Contract Sum will be adjusted by Change Order.
- B. Contractor Responsibilities: Tests and inspections not explicitly assigned to Owner are Contractor's responsibility. Perform additional quality-control activities required to verify that the Work complies with requirements, whether specified or not.
  - 1. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
  - 2. Where services are indicated as Contractor's responsibility, engage a qualified testing agency to perform these quality-control services.
    - a. Contractor shall not employ same entity engaged by Owner, unless agreed to in writing by Owner.

3. Notify testing agencies at least 24 hours in advance of time when Work that requires testing or inspecting will be performed.
  4. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
  5. Testing and inspecting requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
  6. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
- C. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Section 013300 "Submittal Procedures."
- D. Manufacturer's Technical Services: Where indicated, engage a manufacturer's technical representative to observe and inspect the Work. Manufacturer's technical representative's services include participation in preinstallation conferences, examination of substrates and conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports.
- E. Retesting/Reinspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including retesting and reinspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- F. Testing Agency Responsibilities: Cooperate with Architect and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
1. Notify Architect and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
  2. Determine the location from which test samples will be taken and in which in-situ tests are conducted.
  3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
  4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
  5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
  6. Do not perform any duties of Contractor.
- G. Associated Services: Cooperate with agencies performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
1. Access to the Work.
  2. Incidental labor and facilities necessary to facilitate tests and inspections.
  3. Adequate quantities of representative samples of materials that require testing and inspecting. Assist agency in obtaining samples.
  4. Facilities for storage and field curing of test samples.
  5. Delivery of samples to testing agencies.
  6. Preliminary design mix proposed for use for material mixes that require control by testing agency.
  7. Security and protection for samples and for testing and inspecting equipment at Project site.
- H. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and -control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.

1. Schedule times for tests, inspections, obtaining samples, and similar activities.
- I. Schedule of Tests and Inspections: Prepare a schedule of tests, inspections, and similar quality-control services required by the Contract Documents as a component of Contractor's quality-control plan. Coordinate and submit concurrently with Contractor's construction schedule. Update as the Work progresses.
  1. Distribution: Distribute schedule to Owner, Architect, testing agencies, and each party involved in performance of portions of the Work where tests and inspections are required.

#### 1.11 SPECIAL TESTS AND INSPECTIONS

- A. Special Tests and Inspections: Owner will engage a qualified testing agency and special inspector to conduct special tests and inspections required by authorities having jurisdiction as the responsibility of Owner, as indicated in Statement of Special Inspections attached to this Section, and as follows:
  1. Verifying that manufacturer maintains detailed fabrication and quality-control procedures and reviews the completeness and adequacy of those procedures to perform the Work.
  2. Notifying Architect and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
  3. Submitting a certified written report of each test, inspection, and similar quality-control service to Architect with copy to Contractor and to authorities having jurisdiction.
  4. Submitting a final report of special tests and inspections at Substantial Completion, which includes a list of unresolved deficiencies.
  5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
  6. Retesting and reinspecting corrected work.

### **PART 2 - PRODUCTS (Not Used)**

### **PART 3 - EXECUTION**

#### 3.1 TEST AND INSPECTION LOG

- A. Test and Inspection Log: Prepare a record of tests and inspections. Include the following:
  1. Date test or inspection was conducted.
  2. Description of the Work tested or inspected.
  3. Date test or inspection results were transmitted to Architect.
  4. Identification of testing agency or special inspector conducting test or inspection.
- B. Maintain log at Project site. Post changes and revisions as they occur. Provide access to test and inspection log for Architect's reference during normal working hours.

#### 3.2 REPAIR AND PROTECTION

- A. General: On completion of testing, inspecting, sample taking, and similar services, repair damaged construction and restore substrates and finishes.

1. Provide materials and comply with installation requirements specified in other Specification Sections or matching existing substrates and finishes. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible. Comply with the Contract Document requirements for cutting and patching in Section 017300 "Execution."
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

**END OF SECTION 014000**





## **SECTION 015000 - TEMPORARY FACILITIES AND CONTROLS**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes requirements for temporary utilities, support facilities, and security and protection facilities.

#### **1.3 USE CHARGES**

- A. General: Installation and removal of and use charges for temporary facilities shall be included in the Contract Sum unless otherwise indicated. Allow other entities to use temporary services and facilities without cost, including, but not limited to, Owner's construction forces, Architect, testing agencies, and authorities having jurisdiction.
- B. Sewer Service: Contractor Pay sewer-service use charges for sewer usage by all entities for construction operations.
- C. Water Service: Contractor Pay water-service use charges for water used by all entities for construction operations.
- D. Electric Power Service: Contractor will pay electric-power-service use charges for electricity used by all entities for construction operations.
- E. Contractor shall pay for all temporary electrical, water, and sewer services required for the project. Coordinate any tap fees, electrical service fees, and/or impact fees with local utility companies and local jurisdiction.

#### **1.4 INFORMATIONAL SUBMITTALS**

- A. Site Plan: Show temporary facilities, utility hookups, staging areas, and parking areas for construction personnel.
- B. Erosion- and Sedimentation-Control Plan: Show compliance with requirements of EPA Construction General Permit or authorities having jurisdiction, whichever is more stringent.
- C. Fire-Safety Program: Show compliance with requirements of NFPA 241 and authorities having jurisdiction. Indicate Contractor personnel responsible for management of fire-prevention program.
- D. Moisture-Protection Plan: Describe procedures and controls for protecting materials and construction from water absorption and damage.

1. Describe delivery, handling, and storage provisions for materials subject to water absorption or water damage.
  2. Indicate procedures for discarding water-damaged materials, protocols for mitigating water intrusion into completed Work, and replacing water-damaged Work.
- E. Dust- and HVAC-Control Plan: Submit coordination drawing and narrative that indicates the dust- and HVAC-control measures proposed for use, proposed locations, and proposed time frame for their operation. Identify further options if proposed measures are later determined to be inadequate. Include the following:
1. HVAC system isolation schematic drawing.
  2. Location of proposed air-filtration system discharge.
  3. Waste handling procedures.
  4. Other dust-control measures.

## 1.5 QUALITY ASSURANCE

- A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- B. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.
- C. Accessible Temporary Egress: Comply with applicable provisions in 2012 NC Building Code, the U.S. Architectural & Transportation Barriers Compliance Board's ADA-ABA Accessibility Guidelines and ICC/ANSI A117.1.

## 1.6 PROJECT CONDITIONS

- A. Temporary Use of Permanent Facilities: Engage Installer of each permanent service to assume responsibility for operation, maintenance, and protection of each permanent service during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibilities.

# PART 2 - PRODUCTS

## 2.1 MATERIALS

- A. Portable Chain-Link Fencing: Minimum 2-inch, 0.148-inch-thick, galvanized-steel, chain-link fabric fencing; minimum 6 feet high with galvanized-steel pipe posts; minimum 2-3/8-inch-OD line posts and 2-7/8-inch-OD corner and pull posts, with 1-5/8-inch-OD top and bottom rails. Provide galvanized-steel bases for supporting posts.
- B. Polyethylene Sheet: Reinforced, fire-resistive sheet, 10-mil minimum thickness, with flame-spread rating of 15 or less per ASTM E 84 and passing NFPA 701 Test Method 2.
- C. Dust-Control Adhesive-Surface Walk-off Mats: Provide mats minimum 36 by 60 inches.

## 2.2 TEMPORARY FACILITIES

- A. Field Offices, General: Prefabricated or mobile units with serviceable finishes, temperature controls, and foundations adequate for normal loading.
- B. Common-Use Field Office: Of sufficient size to accommodate needs of Owner, Architect, and construction personnel office activities and to accommodate Project meetings specified in other Division 01 Sections. Keep office clean and orderly. Furnish and equip offices as follows:
  - 1. Furniture required for Project-site documents including file cabinets, plan tables, plan racks, and bookcases.
  - 2. Conference room of sufficient size to accommodate meetings of 12 individuals. Provide electrical power service and 120-V ac duplex receptacles, with no fewer than one receptacle on each wall. Furnish room with conference table, chairs, and 4-foot-square tack and marker boards.
  - 3. Drinking water.
  - 4. Heating and cooling equipment necessary to maintain a uniform indoor temperature of 68 to 72 deg F.
  - 5. Lighting fixtures capable of maintaining average illumination of 20 fc at desk height.
- C. Storage and Fabrication Sheds: Provide sheds sized, furnished, and equipped to accommodate materials and equipment for construction operations.
  - 1. Store combustible materials apart from building.

## 2.3 EQUIPMENT

- A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.
- B. HVAC Equipment: Unless Owner authorizes use of permanent HVAC system, provide vented, self-contained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.
  - 1. Use of gasoline-burning space heaters, open-flame heaters, or salamander-type heating units is prohibited.
  - 2. Heating Units: Listed and labeled for type of fuel being consumed, by a qualified testing agency acceptable to authorities having jurisdiction, and marked for intended location and application.
  - 3. Permanent HVAC System: If Owner authorizes use of permanent HVAC system for temporary use during construction, provide filter with MERV of 8 at each return-air grille in system and remove at end of construction.
- C. Air-Filtration Units: Primary and secondary HEPA-filter-equipped portable units with four-stage filtration. Provide single switch for emergency shutoff. Configure to run continuously.

## PART 3 - EXECUTION

### 3.1 INSTALLATION, GENERAL

- A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.
  - 1. Locate facilities to limit site disturbance as specified in Section 011000 "Summary."

- B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.

### 3.2 TEMPORARY UTILITY INSTALLATION

- A. General: Install temporary service or connect to existing service.
  - 1. Arrange with utility company, Owner, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services.
- B. Sewers and Drainage: Provide temporary utilities to remove effluent lawfully.
  - 1. Connect temporary sewers to municipal system as directed by authorities having jurisdiction.
- C. Water Service: Install water service and distribution piping in sizes and pressures adequate for construction.
- D. Sanitary Facilities: Provide temporary toilets, wash facilities, and drinking water for use of construction personnel. Comply with requirements of authorities having jurisdiction for type, number, location, operation, and maintenance of fixtures and facilities.
- E. Heating and Cooling: Provide temporary heating and cooling required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of low temperatures or high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed.
- F. Ventilation and Humidity Control: Provide temporary ventilation required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed. Coordinate ventilation requirements to produce ambient condition required and minimize energy consumption.
  - 1. Provide dehumidification systems when required to reduce substrate moisture levels to level required to allow installation or application of finishes.
- G. Electric Power Service: Provide electric power service and distribution system of sufficient size, capacity, and power characteristics required for construction operations.
  - 1. Install electric power service overhead unless otherwise indicated.
- H. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections, and traffic conditions.
  - 1. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.
  - 2. Install lighting for Project identification sign.
- I. Telephone Service: Provide temporary telephone service in common-use facilities for use by all construction personnel. Install one telephone line(s) for each field office.
  - 1. Provide additional telephone lines for the following:
    - a. Provide a dedicated telephone line for each facsimile machine in each field office.

2. Provide superintendent with cellular telephone or portable two-way radio for use when away from field office.
- J. Electronic Communication Service: Project electronic documents and maintain electronic communications and the following:
1. Internet Service: Broadband modem, router and ISP, equipped with hardware firewall, providing minimum 384 Kbps upload and 1 Mbps download speeds.

### 3.3 SUPPORT FACILITIES INSTALLATION

- A. General: Comply with the following:
1. Provide construction for temporary offices, shops, and sheds located within construction area or within 30 feet of building lines that is noncombustible according to ASTM E 136. Comply with NFPA 241.
  2. Maintain support facilities until Architect schedules Substantial Completion inspection. Remove before Project Acceptance. Personnel remaining after Project Acceptance will be permitted to use permanent facilities, under conditions acceptable to Owner.
- B. Temporary Roads and Paved Areas: Construct and maintain temporary roads and paved areas adequate for construction operations. Locate temporary roads and paved areas within construction limits indicated on Drawings.
1. Provide dust-control treatment that is nonpolluting and nontracking. Reapply treatment as required to minimize dust.
- C. Temporary Use of Permanent Roads and Paved Areas: Locate temporary roads and paved areas in same location as permanent roads and paved areas. Construct and maintain temporary roads and paved areas adequate for construction operations. Extend temporary roads and paved areas, within construction limits indicated, as necessary for construction operations.
1. Coordinate elevations of temporary roads and paved areas with permanent roads and paved areas.
  2. Prepare subgrade and install subbase and base for temporary roads and paved areas according to Section 312000 "Earth Moving."
  3. Recondition base after temporary use, including removing contaminated material, regrading, proof rolling, compacting, and testing.
  4. Delay installation of final course of permanent hot-mix asphalt pavement until immediately before Substantial Completion. Repair hot-mix asphalt base-course pavement before installation of final course according to Section 321216 "Asphalt Paving."
- D. Traffic Controls: Comply with requirements of authorities having jurisdiction.
1. Protect existing site improvements to remain including curbs, pavement, and utilities.
  2. Maintain access for fire-fighting equipment and access to fire hydrants.
- E. Parking: Provide temporary parking areas for construction personnel.
- F. Dewatering Facilities and Drains: Comply with requirements of authorities having jurisdiction. Maintain Project site, excavations, and construction free of water.
1. Dispose of rainwater in a lawful manner that will not result in flooding Project or adjoining properties or endanger permanent Work or temporary facilities.
  2. Remove snow and ice as required to minimize accumulations.

- G. Project Signs: Provide Project signs as indicated on Drawing A8.1. Unauthorized signs are not permitted.
  - 1. Identification Signs: Provide Project identification signs as indicated on Drawings.
  - 2. Temporary Signs: Provide other signs as indicated and as required to inform public and individuals seeking entrance to Project.
    - a. Provide temporary, directional signs for construction personnel and visitors.
  - 3. Maintain and touchup signs so they are legible at all times.
- H. Waste Disposal Facilities: Comply with requirements specified in Section 017419 "Construction Waste Management and Disposal."
- I. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel.
  - 1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.
- J. Temporary Stairs: Until permanent stairs are available, provide temporary stairs where ladders are not adequate.
- K. Temporary Use of Permanent Stairs: Use of new stairs for construction traffic will be permitted, provided stairs are protected and finishes restored to new condition at time of Project Acceptance.

#### 3.4 SECURITY AND PROTECTION FACILITIES INSTALLATION

- A. Protection of Existing Facilities: Protect existing vegetation, equipment, structures, utilities, and other improvements at Project site and on adjacent properties, except those indicated to be removed or altered. Repair damage to existing facilities.
- B. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction as required to comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.
- C. Temporary Erosion and Sedimentation Control: Provide measures to prevent soil erosion and discharge of soil-bearing water runoff and airborne dust to undisturbed areas and to adjacent properties and walkways, according to erosion- and sedimentation-control Drawings.
  - 1. Verify that flows of water redirected from construction areas or generated by construction activity do not enter or cross tree- or plant- protection zones.
  - 2. Inspect, repair, and maintain erosion- and sedimentation-control measures during construction until permanent vegetation has been established.
  - 3. Clean, repair, and restore adjoining properties and roads affected by erosion and sedimentation from Project site during the course of Project.
  - 4. Remove erosion and sedimentation controls and restore and stabilize areas disturbed during removal.
- D. Stormwater Control: Comply with requirements of authorities having jurisdiction. Provide barriers in and around excavations and subgrade construction to prevent flooding by runoff of stormwater from heavy rains.
- E. Tree and Plant Protection: Install temporary fencing located as indicated or outside the drip line of trees to protect vegetation from damage from construction operations. Protect tree root systems from damage, flooding, and erosion.

- F. Pest Control: Engage pest-control service to recommend practices to minimize attraction and harboring of rodents, roaches, and other pests and to perform extermination and control procedures at regular intervals so Project will be free of pests and their residues at Substantial Completion. Perform control operations lawfully, using environmentally safe materials.
- G. Site Enclosure Fence: Before construction operations begin, furnish and install site enclosure fence in a manner that will prevent people and animals from easily entering site except by entrance gates.
  - 1. Extent of Fence: As required to enclose entire Project site or portion determined sufficient to accommodate construction operations.
  - 2. Maintain security by limiting number of keys and restricting distribution to authorized personnel. Furnish one set of keys each to Owner and Architect.
- H. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting.
- I. Temporary Egress: Maintain temporary egress from existing occupied facilities as indicated and as required by authorities having jurisdiction.
- J. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities. Provide temporary weathertight enclosure for building exterior.
  - 1. Where heating or cooling is needed and permanent enclosure is incomplete, insulate temporary enclosures.
- K. Temporary Fire Protection: Install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241; manage fire-prevention program.
  - 1. Prohibit smoking in construction areas.
  - 2. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition according to requirements of authorities having jurisdiction.
  - 3. Develop and supervise an overall fire-prevention and -protection program for personnel at Project site. Review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.
  - 4. Provide temporary standpipes and hoses for fire protection. Hang hoses with a warning sign stating that hoses are for fire-protection purposes only and are not to be removed. Match hose size with outlet size and equip with suitable nozzles.

### 3.5 MOISTURE AND MOLD CONTROL

- A. Contractor's Moisture-Protection Plan: Avoid trapping water in finished work. Document visible signs of mold that may appear during construction.
- B. Exposed Construction Phase: Before installation of weather barriers, when materials are subject to wetting and exposure and to airborne mold spores, protect as follows:
  - 1. Protect porous materials from water damage.
  - 2. Protect stored and installed material from flowing or standing water.
  - 3. Keep porous and organic materials from coming into prolonged contact with concrete.
  - 4. Remove standing water from decks.
  - 5. Keep deck openings covered or dammed.

- C. Partially Enclosed Construction Phase: After installation of weather barriers but before full enclosure and conditioning of building, when installed materials are still subject to infiltration of moisture and ambient mold spores, protect as follows:
  - 1. Do not load or install drywall or other porous materials or components, or items with high organic content, into partially enclosed building.
  - 2. Keep interior spaces reasonably clean and protected from water damage.
  - 3. Periodically collect and remove waste containing cellulose or other organic matter.
  - 4. Discard or replace water-damaged material.
  - 5. Do not install material that is wet.
  - 6. Discard, replace, or clean stored or installed material that begins to grow mold.
  - 7. Perform work in a sequence that allows any wet materials adequate time to dry before enclosing the material in drywall or other interior finishes.
- D. Controlled Construction Phase of Construction: After completing and sealing of the building enclosure but prior to the full operation of permanent HVAC systems, maintain as follows:
  - 1. Control moisture and humidity inside building by maintaining effective dry-in conditions.
  - 2. Use permanent HVAC system to control humidity.
  - 3. Comply with manufacturer's written instructions for temperature, relative humidity, and exposure to water limits.
    - a. Hygroscopic materials that may support mold growth, including wood and gypsum-based products, that become wet during the course of construction and remain wet for 48 hours are considered defective.
    - b. Measure moisture content of materials that have been exposed to moisture during construction operations or after installation. Record readings beginning at time of exposure and continuing daily for 48 hours. Identify materials containing moisture levels higher than allowed. Report findings in writing to Architect.
    - c. Remove materials that can not be completely restored to their manufactured moisture level within 48 hours.

### 3.6 OPERATION, TERMINATION, AND REMOVAL

- A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.
- B. Maintenance: Maintain facilities in good operating condition until removal.
  - 1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.
- C. Operate Project-identification-sign lighting daily from dusk until 12:00 midnight.
- D. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion.
- E. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Project Acceptance. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.



1. Materials and facilities that constitute temporary facilities are property of Contractor. Owner reserves right to take possession of Project identification signs.
2. Remove temporary roads and paved areas not intended for or acceptable for integration into permanent construction. Where area is intended for landscape development, remove soil and aggregate fill that do not comply with requirements for fill or subsoil. Remove materials contaminated with road oil, asphalt and other petrochemical compounds, and other substances that might impair growth of plant materials or lawns. Repair or replace street paving, curbs, and sidewalks at temporary entrances, as required by authorities having jurisdiction.

**END OF SECTION 015000**



## **SECTION 016000 - PRODUCT REQUIREMENTS**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.

#### **1.3 DEFINITIONS**

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
  - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature, that is current as of date of the Contract Documents.
  - 2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
  - 3. Comparable Product: Product that is demonstrated and approved through submittal process to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Basis-of-Design Product Specification: A specification in which a specific manufacturer's product is named and accompanied by the words "basis-of-design product," including make or model number or other designation, to establish the significant qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics for purposes of evaluating comparable products of additional manufacturers named in the specification.

#### **1.4 ACTION SUBMITTALS**

- A. Comparable Product Requests: Submit request for consideration of each comparable product. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - 1. Include data to indicate compliance with the requirements specified in "Comparable Products" Article.
  - 2. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within one week of receipt of a comparable product request. Architect will notify Contractor of approval or rejection of proposed comparable product request within 15 days of receipt of request, or seven days of receipt of additional information or documentation, whichever is later.

- a. Form of Approval: As specified in Section 013300 "Submittal Procedures."
  - b. Use product specified if Architect does not issue a decision on use of a comparable product request within time allocated.
- B. Basis-of-Design Product Specification Submittal: Comply with requirements in Section 013300 "Submittal Procedures." Show compliance with requirements.

## 1.5 QUALITY ASSURANCE

- A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options.
  - 1. Each contractor is responsible for providing products and construction methods compatible with products and construction methods of other contractors.
  - 2. If a dispute arises between contractors over concurrently selectable but incompatible products, Architect will determine which products shall be used.

## 1.6 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.
- B. Delivery and Handling:
  - 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
  - 2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
  - 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
  - 4. Inspect products on delivery to determine compliance with the Contract Documents and to determine that products are undamaged and properly protected.
- C. Storage:
  - 1. Store products to allow for inspection and measurement of quantity or counting of units.
  - 2. Store materials in a manner that will not endanger Project structure.
  - 3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
  - 4. Protect foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
  - 5. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
  - 6. Protect stored products from damage and liquids from freezing.
  - 7. Provide a secure location and enclosure at Project site for storage of materials and equipment by Owner's construction forces. Coordinate location with Owner.

## 1.7 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
  - 1. Manufacturer's Warranty: Written warranty furnished by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.
  - 2. Special Warranty: Written warranty required by the Contract Documents to provide specific rights for Owner.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution.
  - 1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
  - 2. Specified Form: When specified forms are included with the Specifications, prepare a written document using indicated form properly executed.
  - 3. See other Sections for specific content requirements and particular requirements for submitting special warranties.
- C. Submittal Time: Comply with requirements in Section 017700 "Closeout Procedures."

## PART 2 - PRODUCTS

### 2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged and, unless otherwise indicated, are new at time of installation.
  - 1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
  - 2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
  - 3. Owner reserves the right to limit selection to products with warranties not in conflict with requirements of the Contract Documents.
  - 4. Where products are accompanied by the term "as selected," Architect will make selection.
  - 5. Descriptive, performance, and reference standard requirements in the Specifications establish salient characteristics of products.
  - 6. Or Equal: For products specified by name and accompanied by the term "or equal," or "or approved equal," or "or approved," comply with requirements in "Comparable Products" Article to obtain approval for use of an unnamed product.
- B. Product Selection Procedures:
  - 1. Product: Where Specifications name a single manufacturer and product, provide the named product that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
  - 2. Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer or source that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
  - 3. Products:

- a. Restricted List: Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
  - b. Nonrestricted List: Where Specifications include a list of names of both available manufacturers and products, provide one of the products listed, or an unnamed product, that complies with requirements. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product.
- 4. Manufacturers:
  - a. Restricted List: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
  - b. Nonrestricted List: Where Specifications include a list of available manufacturers, provide a product by one of the manufacturers listed, or a product by an unnamed manufacturer, that complies with requirements. Comply with requirements in "Comparable Products" Article for consideration of an unnamed manufacturer's product.
- 5. Basis-of-Design Product: Where Specifications name a product, or refer to a product indicated on Drawings, and include a list of manufacturers, provide the specified or indicated product or a comparable product by one of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers.
- C. Visual Matching Specification: Where Specifications require "match Architect's sample", provide a product that complies with requirements and matches Architect's sample. Architect's decision will be final on whether a proposed product matches.
  - 1. If no product available within specified category matches and complies with other specified requirements, comply with requirements in Section 012500 "Substitution Procedures" for proposal of product.
- D. Visual Selection Specification: Where Specifications include the phrase "as selected by Architect from manufacturer's full range" or similar phrase, select a product that complies with requirements. Architect will select color, gloss, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

## 2.2 COMPARABLE PRODUCTS

- A. Conditions for Consideration: Architect will consider Contractor's request for comparable product when the following conditions are satisfied. If the following conditions are not satisfied, Architect may return requests without action, except to record noncompliance with these requirements:
  - 1. Evidence that the proposed product does not require revisions to the Contract Documents, that it is consistent with the Contract Documents and will produce the indicated results, and that it is compatible with other portions of the Work.
  - 2. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant qualities include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
  - 3. Evidence that proposed product provides specified warranty.
  - 4. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners, if requested.
  - 5. Samples, if requested.

**PART 3 - EXECUTION (Not Used)**

**END OF SECTION 016000**





## **SECTION 017300 - EXECUTION**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes general administrative and procedural requirements governing execution of the Work including, but not limited to, the following:
  - 1. Construction layout.
  - 2. Field engineering and surveying.
  - 3. Installation of the Work.
  - 4. Cutting and patching.
  - 5. Coordination of Owner-installed products.
  - 6. Progress cleaning.
  - 7. Starting and adjusting.
  - 8. Protection of installed construction.

#### **1.3 DEFINITIONS**

- A. Cutting: Removal of in-place construction necessary to permit installation or performance of other work.
- B. Patching: Fitting and repair work required to restore construction to original conditions after installation of other work.

#### **1.4 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: For land surveyor.

### **PART 2 - PRODUCTS**

#### **2.1 MATERIALS**

- A. General: Comply with requirements specified in other Sections.

## **PART 3 - EXECUTION**

### **3.1 EXAMINATION**

- A. Existing Conditions: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities, mechanical and electrical systems, and other construction affecting the Work.
  - 1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; underground electrical services, and other utilities.
  - 2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- B. Written Report: Where a written report listing conditions detrimental to performance of the Work is required by other Sections, include the following:
  - 1. Description of the Work.
  - 2. List of detrimental conditions, including substrates.
  - 3. List of unacceptable installation tolerances.
  - 4. Recommended corrections.
- C. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

### **3.2 PREPARATION**

- A. Existing Utility Information: Furnish information to local utility that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
  - 1. Contractor shall engage a Professional Locating Company to locate all private utilities within the construction area and also engage 811 for all public utilities.
- B. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- C. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- D. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents caused by differing field conditions outside the control of Contractor, submit a request for information to Architect according to requirements in Section 013100 "Project Management and Coordination."

### **3.3 CONSTRUCTION LAYOUT**

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks. If discrepancies are discovered, notify Architect promptly.

- B. General: Engage a land surveyor to lay out the Work using accepted surveying practices.
  - 1. Establish benchmarks and control points to set lines and levels at each story of construction and elsewhere as needed to locate each element of Project.
  - 2. Establish limits on use of Project site.
  - 3. Establish dimensions within tolerances indicated. Do not scale Drawings to obtain required dimensions.
  - 4. Inform installers of lines and levels to which they must comply.
  - 5. Check the location, level and plumb, of every major element as the Work progresses.
  - 6. Notify Architect when deviations from required lines and levels exceed allowable tolerances.
  - 7. Close site surveys with an error of closure equal to or less than the standard established by authorities having jurisdiction.
- C. Site Improvements: Locate and lay out site improvements, including pavements, grading, fill and topsoil placement, utility slopes, and rim and invert elevations.
- D. Building Lines and Levels: Locate and lay out control lines and levels for structures, building foundations, column grids, and floor levels, including those required for mechanical and electrical work. Transfer survey markings and elevations for use with control lines and levels. Level foundations and piers from two or more locations.
- E. Record Log: Maintain a log of layout control work. Record deviations from required lines and levels. Include beginning and ending dates and times of surveys, weather conditions, name and duty of each survey party member, and types of instruments and tapes used. Make the log available for reference by Architect.

### 3.4 FIELD ENGINEERING

- A. Identification: Owner will identify existing benchmarks, control points, and property corners.
- B. Reference Points: Locate existing permanent benchmarks, control points, and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.
  - 1. Do not change or relocate existing benchmarks or control points without prior written approval of Architect. Report lost or destroyed permanent benchmarks or control points promptly. Report the need to relocate permanent benchmarks or control points to Architect before proceeding.
  - 2. Replace lost or destroyed permanent benchmarks and control points promptly. Base replacements on the original survey control points.
- C. Benchmarks: Establish and maintain a minimum of two permanent benchmarks on Project site, referenced to data established by survey control points. Comply with authorities having jurisdiction for type and size of benchmark.
  - 1. Record benchmark locations, with horizontal and vertical data, on Project Record Documents.
  - 2. Where the actual location or elevation of layout points cannot be marked, provide temporary reference points sufficient to locate the Work.
  - 3. Remove temporary reference points when no longer needed. Restore marked construction to its original condition.

### 3.5 INSTALLATION

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
  - 1. Make vertical work plumb and make horizontal work level.
  - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
  - 3. Conceal pipes, ducts, and wiring in finished areas unless otherwise indicated.
  - 4. Maintain minimum headroom clearance of 96 inches in occupied spaces and 90 inches in unoccupied spaces.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Sequence the Work and allow adequate clearances to accommodate movement of construction items on site and placement in permanent locations.
- F. Tools and Equipment: Do not use tools or equipment that produce harmful noise levels.
- G. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- H. Attachment: Provide blocking and attachment plates and anchors and fasteners of adequate size and number to securely anchor each component in place, accurately located and aligned with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions.
  - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
  - 2. Allow for building movement, including thermal expansion and contraction.
  - 3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- I. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- J. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.

### 3.6 CUTTING AND PATCHING

- A. Cutting and Patching, General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.

1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- C. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to minimize interruption to occupied areas.
- D. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
  1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
  2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
  3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
  4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations.
  5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
  6. Proceed with patching after construction operations requiring cutting are complete.
- E. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other work. Patch with durable seams that are as invisible as practicable. Provide materials and comply with installation requirements specified in other Sections, where applicable.
  1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.
  2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will minimize evidence of patching and refinishing.
    - a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
    - b. Restore damaged pipe covering to its original condition.
  3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
    - a. Where patching occurs in a painted surface, prepare substrate and apply primer and intermediate paint coats appropriate for substrate over the patch, and apply final paint coat over entire unbroken surface containing the patch. Provide additional coats until patch blends with adjacent surfaces.

4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
- F. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

### 3.7 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Enforce requirements strictly. Dispose of materials lawfully.
1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
  2. Do not hold waste materials more than seven days during normal weather or three days if the temperature is expected to rise above 80 deg F.
  3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
    - a. Use containers intended for holding waste materials of type to be stored.
  4. Coordinate progress cleaning for joint-use areas where Contractor and other contractors are working concurrently.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
1. Remove liquid spills promptly.
  2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- G. Waste Disposal: Do not bury or burn waste materials on-site. Do not wash waste materials down sewers or into waterways. Comply with waste disposal requirements in Section 017419 "Construction Waste Management and Disposal."
- H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Project Acceptance.
- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.

- J. Limiting Exposures: Supervise construction operations to assure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

### 3.8 STARTING AND ADJUSTING

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- B. Adjust equipment for proper operation. Adjust operating components for proper operation without binding.
- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.

### 3.9 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Project Acceptance.
- B. Comply with manufacturer's written instructions for temperature and relative humidity.

**END OF SECTION 017300**





## **SECTION 017700 - CLOSEOUT PROCEDURES**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
  - 1. Project Acceptance procedures.
  - 2. Final completion procedures.
  - 3. Warranties.
  - 4. Final cleaning.
  - 5. Repair of the Work.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For cleaning agents.
- B. Contractor's List of Incomplete Items: Initial submittal at Pre-Final.

#### **1.4 CLOSEOUT SUBMITTALS**

- A. Certificates of Release: From authorities having jurisdiction.
- B. Per State Construction Close-out List.
- C. Certificate of Insurance: For continuing coverage.
- D. Field Report: For pest control inspection.

#### **1.5 MAINTENANCE MATERIAL SUBMITTALS**

- A. Schedule of Maintenance Material Items: For maintenance material submittal items specified in other Sections.

#### **1.6 PRE-FINAL PROCEDURES**

- A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's punch list), indicating the value of each item on the list and reasons why the Work is incomplete.

- B. Submittals Prior to Pre-Final Inspection by Architect: Complete the following a minimum of 7 days prior to requesting Architect's Pre-Final inspection. List items below that are incomplete at time of request.
1. Submit closeout submittals specified in other Division 01 Sections, including project record documents, operation and maintenance manuals, final completion construction photographic documentation, damage or settlement surveys, property surveys, and similar final record information.
  2. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  3. Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by Architect. Label with manufacturer's name and model number where applicable.
  4. Contractor's statement of completion with request for designer's Pre-Final inspection
  5. Certificate of Occupancy by Local Authority Having Jurisdiction:
  6. Installer's Fire Alarm System Record of Completion (Certification) as required by NFPA 72:
  7. Installer's Sprinkler System Record of Material and Test Reports as required by:
    - a. NFPA 13-(Sprinkler Systems)
    - b. NFPA 14-(Standpipe and Hose Systems)
    - c. NFPA 20-(Centrifugal Fire Pumps)
    - d. Local Approval Letter of Sprinkler System Design
  8. Dept. of Labor Approval for Elevator.
  9. Dept. of Labor Approval for Boiler & Pressure Vessels
  10. Domestic Water Test Report and Acceptance for Use:
  11. Laboratory Hood Certification
  12. Engineer's Approval of Test and Balance Report(TAB)
  13. NEC Load Tests: Battery Powered Emergency Devices
  14. Emergency Generator Load Test
  15. Installer's Electrical Service Ground Test Report
- C. Inspection: Submit a written request for Pre-Final inspection a minimum of 7 days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements.
1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
  2. Results of completed inspection will form the basis of requirements for final completion.
  3. A Final Inspection with State Construction will not be scheduled until the Contractor completes the punch list items in particular all life-safety related items.

## 1.7 FINAL COMPLETION PROCEDURES

- A. Submittals Prior to Final Completion: Before requesting final inspection for determining final completion, complete the following:
1. Complete all punch list items from Pre-Final inspection.
  2. Advise Owner of pending insurance changeover requirements.
  3. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
  4. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems. Submit demonstration and training video recordings specified in Section 017900 "Demonstration and Training."
  5. Advise Owner of changeover in heat and other utilities.
  6. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
  7. Complete final cleaning requirements, including touchup painting.

8. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.
  - B. Procedures Prior to Final Acceptance: Complete the following a minimum of 7 days prior to requesting State Construction inspection for determining date of Final Acceptance. List items below that are incomplete at time of request.
  - C. Inspection: Submit a written request for final inspection to determine acceptance a minimum of 10 days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements.
    1. State Construction, the Architect, and the Owner may accept the Project and issue a Project Acceptance Form for minor items. These items are to be completed within 30 days after acceptance. Failure to complete the outstanding items will necessitate issuance of a 15 notice by the Architect, after which the Owner may initiate completion of the outstanding items and withhold any costs incurred from the Contractor's final payment request.
    2. Reinspection: If the Project is not accepted, request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
- 1.8 SUBMITTAL OF PROJECT WARRANTIES
- A. Time of Submittal: Submit written warranties effective the day after Project Acceptance.
  - B. Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.
    1. Bind warranties and bonds in heavy-duty, three-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
    2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
    3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.
    4. Warranty Electronic File: Scan warranties and bonds and assemble complete warranty and bond submittal package into a single indexed electronic PDF file with links enabling navigation to each item. Provide bookmarked table of contents at beginning of document.
  - C. Provide additional copies of each warranty to include in operation and maintenance manuals.

## **PART 2 - PRODUCTS**

### **2.1 MATERIALS**

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.
  1. Use cleaning products that comply with Green Seal's GS-37, or if GS-37 is not applicable, use products that comply with the California Code of Regulations maximum allowable VOC levels.

## PART 3 - EXECUTION

### 3.1 FINAL CLEANING

- A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
  - 1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a designated portion of Project:
    - a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
    - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
    - c. Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
    - d. Remove tools, construction equipment, machinery, and surplus material from Project site.
    - e. Remove snow and ice to provide safe access to building.
    - f. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
    - g. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
    - h. Sweep concrete floors broom clean in unoccupied spaces.
    - i. Vacuum carpet and similar soft surfaces, removing debris and excess nap; clean according to manufacturer's recommendations if visible soil or stains remain.
    - j. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Polish mirrors and glass, taking care not to scratch surfaces.
    - k. Remove labels that are not permanent.
    - l. Wipe surfaces of mechanical and electrical equipment, elevator equipment, and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
    - m. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
    - n. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
    - o. Clean ducts, blowers, and coils if units were operated without filters during construction or that display contamination with particulate matter on inspection.
      - 1) Clean HVAC system in compliance with NADCA Standard 1992-01. Provide written report on completion of cleaning.
    - p. Clean light fixtures, lamps, globes, and reflectors to function with full efficiency.
    - q. Leave Project clean and ready for occupancy.
- C. Pest Control: Comply with pest control requirements in Section 015000 "Temporary Facilities and Controls." Prepare written report.

### 3.2 REPAIR OF THE WORK

- A. Repair or remove and replace defective construction. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment. Where damaged or worn items cannot be repaired or restored, provide replacements. Remove and replace operating components that cannot be repaired. Restore damaged construction and permanent facilities used during construction to specified condition.
1. Remove and replace chipped, scratched, and broken glass, reflective surfaces, and other damaged transparent materials.
  2. Touch up and otherwise repair and restore marred or exposed finishes and surfaces. Replace finishes and surfaces that already show evidence of repair or restoration.
    - a. Do not paint over "UL" and other required labels and identification, including mechanical and electrical nameplates. Remove paint applied to required labels and identification.
  3. Replace parts subject to operating conditions during construction that may impede operation or reduce longevity.
  4. Replace burned-out bulbs, bulbs noticeably dimmed by hours of use, and defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.

**END OF SECTION 017700**



## **SECTION 017823 - OPERATION AND MAINTENANCE DATA**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
  - 1. Operation and maintenance documentation directory.
  - 2. Emergency manuals.
  - 3. Operation manuals for systems, subsystems, and equipment.
  - 4. Product maintenance manuals.
  - 5. Systems and equipment maintenance manuals.

#### **1.3 DEFINITIONS**

- A. System: An organized collection of parts, equipment, or subsystems united by regular interaction.
- B. Subsystem: A portion of a system with characteristics similar to a system.

#### **1.4 CLOSEOUT SUBMITTALS**

- A. Manual Content: Operations and maintenance manual content is specified in individual Specification Sections to be reviewed at the time of Section submittals. Submit reviewed manual content formatted and organized as required by this Section.
  - 1. Architect will comment on whether content of operations and maintenance submittals are acceptable.
  - 2. Where applicable, clarify and update reviewed manual content to correspond to revisions and field conditions.
- B. Format: Submit operations and maintenance manuals in the following format:
  - 1. PDF electronic file. Assemble each manual into a composite electronically indexed file. Submit on digital media acceptable to Architect.
    - a. Name each indexed document file in composite electronic index with applicable item name. Include a complete electronically linked operation and maintenance directory.
    - b. Enable inserted reviewer comments on draft submittals.
  - 2. Three paper copies. Include a complete operation and maintenance directory. Enclose title pages and directories in clear plastic sleeves.

- C. Initial Manual Submittal: Submit draft copy of each manual at Pre-Final Inspection. Architect will comment on whether general scope and content of manual are acceptable.
- D. Final Manual Submittal: Submit each manual in final form prior to requesting inspection for Substantial Completion and at least 7 days before commencing demonstration and training. Architect will return copy with comments.

## **PART 2 - PRODUCTS**

### **2.1 OPERATION AND MAINTENANCE DOCUMENTATION DIRECTORY**

- A. Directory: Prepare a single, comprehensive directory of emergency, operation, and maintenance data and materials, listing items and their location to facilitate ready access to desired information. Include a section in the directory for each of the following:
  - 1. List of documents.
  - 2. List of systems.
  - 3. List of equipment.
  - 4. Table of contents.
- B. List of Systems and Subsystems: List systems alphabetically. Include references to operation and maintenance manuals that contain information about each system.
- C. List of Equipment: List equipment for each system, organized alphabetically by system. For pieces of equipment not part of system, list alphabetically in separate list.
- D. Tables of Contents: Include a table of contents for each emergency, operation, and maintenance manual.
- E. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

### **2.2 REQUIREMENTS FOR EMERGENCY, OPERATION, AND MAINTENANCE MANUALS**

- A. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:
  - 1. Title page.
  - 2. Table of contents.
  - 3. Manual contents.
- B. Title Page: Include the following information:
  - 1. Subject matter included in manual.
  - 2. Name and address of Project.
  - 3. Name and address of Owner.
  - 4. Date of submittal.
  - 5. Name and contact information for Contractor.
  - 6. Name and contact information for Construction Manager.
  - 7. Name and contact information for Architect.



8. Name and contact information for Commissioning Authority.
  9. Names and contact information for major consultants to the Architect that designed the systems contained in the manuals.
  10. Cross-reference to related systems in other operation and maintenance manuals.
- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
1. If operation or maintenance documentation requires more than one volume to accommodate data, include comprehensive table of contents for all volumes in each volume of the set.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
- E. Manuals, Electronic Files: Submit manuals in the form of a multiple file composite electronic PDF file for each manual type required.
1. Electronic Files: Use electronic files prepared by manufacturer where available. Where scanning of paper documents is required, configure scanned file for minimum readable file size.
  2. File Names and Bookmarks: Enable bookmarking of individual documents based on file names. Name document files to correspond to system, subsystem, and equipment names used in manual directory and table of contents. Group documents for each system and subsystem into individual composite bookmarked files, then create composite manual, so that resulting bookmarks reflect the system, subsystem, and equipment names in a readily navigated file tree. Configure electronic manual to display bookmark panel on opening file.
- F. Manuals, Paper Copy: Submit manuals in the form of hard copy, bound and labeled volumes.
1. Binders: Heavy-duty, three-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.
    - a. If two or more binders are necessary to accommodate data of a system, organize data in each binder into groupings by subsystem and related components. Cross-reference other binders if necessary to provide essential information for proper operation or maintenance of equipment or system.
    - b. Identify each binder on front and spine, with printed title "OPERATION AND MAINTENANCE MANUAL," Project title or name, and subject matter of contents. Indicate volume number for multiple-volume sets.
  2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section of the manual. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross-referenced to Specification Section number and title of Project Manual.
  3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software storage media for computerized electronic equipment.
  4. Supplementary Text: Prepared on 8-1/2-by-11-inch white bond paper.
  5. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
    - a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.
    - b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.

## **PART 3 - EXECUTION**

### **3.1 MANUAL PREPARATION**

- A. Operation and Maintenance Documentation Directory: Prepare a separate manual that provides an organized reference to emergency, operation, and maintenance manuals.
- B. Comply with Section 017700 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

**END OF SECTION 017823**

## **SECTION 017839 - PROJECT RECORD DOCUMENTS**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes administrative and procedural requirements for project record documents, including the following:
  - 1. Record Drawings.
  - 2. Record Specifications.
  - 3. Record Product Data.
  - 4. Miscellaneous record submittals.

#### **1.3 CLOSEOUT SUBMITTALS**

- A. Record Drawings: Comply with the following:
  - 1. Number of Copies: Submit one set(s) of marked-up record prints.
- B. Record Specifications: Submit one paper copy of Project's Specifications, including addenda and contract modifications.

### **PART 2 - PRODUCTS**

#### **2.1 RECORD DRAWINGS**

- A. Record Prints: Maintain one set of marked-up paper copies of the Contract Drawings and Shop Drawings, incorporating new and revised drawings as modifications are issued.
  - 1. Preparation: Mark record prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to provide information for preparation of corresponding marked-up record prints.
    - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
    - b. Accurately record information in an acceptable drawing technique.
    - c. Record data as soon as possible after obtaining it.
    - d. Record and check the markup before enclosing concealed installations.
    - e. Cross-reference record prints to corresponding archive photographic documentation.
  - 2. Content: Types of items requiring marking include, but are not limited to, the following:

- a. Dimensional changes to Drawings.
  - b. Revisions to details shown on Drawings.
  - c. Depths of foundations below first floor.
  - d. Locations and depths of underground utilities.
  - e. Revisions to routing of piping and conduits.
  - f. Revisions to electrical circuitry.
  - g. Actual equipment locations.
  - h. Duct size and routing.
  - i. Locations of concealed internal utilities.
  - j. Changes made by Change Order or Construction Change Directive.
  - k. Changes made following Architect's written orders.
  - l. Details not on the original Contract Drawings.
  - m. Field records for variable and concealed conditions.
  - n. Record information on the Work that is shown only schematically.
- 3. Mark the Contract Drawings and Shop Drawings completely and accurately. Use personnel proficient at recording graphic information in production of marked-up record prints.
  - 4. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.
  - 5. Mark important additional information that was either shown schematically or omitted from original Drawings.
  - 6. Note Construction Change Directive numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.
- B. Format: Identify and date each record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
- 1. Record Prints: Organize record prints and newly prepared record Drawings into manageable sets. Bind each set with durable paper cover sheets. Include identification on cover sheets.
  - 2. Identification: As follows:
    - a. Project name.
    - b. Date.
    - c. Designation "PROJECT RECORD DRAWINGS."
    - d. Name of Architect.
    - e. Name of Contractor.

## 2.2 RECORD SPECIFICATIONS

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
- 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
  - 3. Record the name of manufacturer, supplier, Installer, and other information necessary to provide a record of selections made.
  - 4. For each principal product, indicate whether record Product Data has been submitted in operation and maintenance manuals instead of submitted as record Product Data.
  - 5. Note related Change Orders and record Drawings where applicable.
- B. Format: Submit record Specifications as paper copy.

## **PART 3 - EXECUTION**

### **3.1 RECORDING AND MAINTENANCE**

- A. Recording: Maintain one copy of each submittal during the construction period for project record document purposes. Post changes and revisions to project record documents as they occur; do not wait until end of Project.
- B. Maintenance of Record Documents and Samples: Store record documents and Samples in the field office apart from the Contract Documents used for construction. Do not use project record documents for construction purposes. Maintain record documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to project record documents for Architect's reference during normal working hours.

**END OF SECTION 017839**



## **SECTION 017900 - DEMONSTRATION AND TRAINING**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes administrative and procedural requirements for instructing Owner's personnel, including the following:
  - 1. Demonstration of operation of systems, subsystems, and equipment.
  - 2. Training in operation and maintenance of systems, subsystems, and equipment.
  - 3. Demonstration and training video recordings.

#### **1.3 CLOSEOUT SUBMITTALS**

- A. Demonstration and Training Video Recordings: Submit two copies within seven days of end of each training module.
  - 1. Identification: On each copy, provide an applied label with the following information:
    - a. Name of Project.
    - b. Name and address of videographer.
    - c. Name of Architect.
    - d. Name of Contractor.
    - e. Date of video recording.
  - 2. Transcript: Prepared and bound in format matching operation and maintenance manuals. Mark appropriate identification on front and spine of each binder. Include a cover sheet with same label information as the corresponding video recording. Include name of Project and date of video recording on each page.
  - 3. Transcript: Prepared in PDF electronic format. Include a cover sheet with same label information as the corresponding video recording and a table of contents with links to corresponding training components. Include name of Project and date of video recording on each page.
  - 4. At completion of training, submit complete training manual(s) for Owner's use in PDF electronic file format on compact disc.

#### **1.4 COORDINATION**

- A. Coordinate instruction schedule with Owner's operations. Adjust schedule as required to minimize disrupting Owner's operations and to ensure availability of Owner's personnel.
- B. Coordinate instructors, including providing notification of dates, times, length of instruction time, and course content.

- C. Coordinate content of training modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data has been reviewed and approved by Architect.

## **PART 2 - PRODUCTS**

### **2.1 INSTRUCTION PROGRAM**

- A. Program Structure: Develop an instruction program that includes individual training modules for each system and for equipment not part of a system, as required by individual Specification Sections.
- B. Training Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participant is expected to master. For each module, include instruction for the following as applicable to the system, equipment, or component:
  - 1. Basis of System Design, Operational Requirements, and Criteria: Include the following:
    - a. System, subsystem, and equipment descriptions.
    - b. Performance and design criteria if Contractor is delegated design responsibility.
    - c. Operating standards.
    - d. Regulatory requirements.
    - e. Equipment function.
    - f. Operating characteristics.
    - g. Limiting conditions.
    - h. Performance curves.
  - 2. Documentation: Review the following items in detail:
    - a. Emergency manuals.
    - b. Operations manuals.
    - c. Maintenance manuals.
    - d. Project record documents.
    - e. Identification systems.
    - f. Warranties and bonds.
    - g. Maintenance service agreements and similar continuing commitments.
  - 3. Emergencies: Include the following, as applicable:
    - a. Instructions on meaning of warnings, trouble indications, and error messages.
    - b. Instructions on stopping.
    - c. Shutdown instructions for each type of emergency.
    - d. Operating instructions for conditions outside of normal operating limits.
    - e. Sequences for electric or electronic systems.
    - f. Special operating instructions and procedures.
  - 4. Operations: Include the following, as applicable:
    - a. Startup procedures.
    - b. Equipment or system break-in procedures.
    - c. Routine and normal operating instructions.
    - d. Regulation and control procedures.
    - e. Control sequences.
    - f. Safety procedures.



- g. Instructions on stopping.
  - h. Normal shutdown instructions.
  - i. Operating procedures for emergencies.
  - j. Operating procedures for system, subsystem, or equipment failure.
  - k. Seasonal and weekend operating instructions.
  - l. Required sequences for electric or electronic systems.
  - m. Special operating instructions and procedures.
5. Adjustments: Include the following:
- a. Alignments.
  - b. Checking adjustments.
  - c. Noise and vibration adjustments.
  - d. Economy and efficiency adjustments.
6. Troubleshooting: Include the following:
- a. Diagnostic instructions.
  - b. Test and inspection procedures.
7. Maintenance: Include the following:
- a. Inspection procedures.
  - b. Types of cleaning agents to be used and methods of cleaning.
  - c. List of cleaning agents and methods of cleaning detrimental to product.
  - d. Procedures for routine cleaning
  - e. Procedures for preventive maintenance.
  - f. Procedures for routine maintenance.
  - g. Instruction on use of special tools.
8. Repairs: Include the following:
- a. Diagnosis instructions.
  - b. Repair instructions.
  - c. Disassembly; component removal, repair, and replacement; and reassembly instructions.
  - d. Instructions for identifying parts and components.
  - e. Review of spare parts needed for operation and maintenance.

### **PART 3 - EXECUTION**

#### **3.1 PREPARATION**

- A. Assemble educational materials necessary for instruction, including documentation and training module. Assemble training modules into a training manual organized in coordination with requirements in Section 017823 "Operation and Maintenance Data."
- B. Set up instructional equipment at instruction location.

### 3.2 INSTRUCTION

- A. Facilitator: Engage a qualified facilitator to prepare instruction program and training modules, to coordinate instructors, and to coordinate between Contractor and Owner for number of participants, instruction times, and location.
- B. Engage qualified instructors to instruct Owner's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
- C. Scheduling: Provide instruction at mutually agreed on times. For equipment that requires seasonal operation, provide similar instruction at start of each season.
  - 1. Schedule training with Owner, through Architect, with at least seven days' advance notice.
- D. Training Location and Reference Material: Conduct training on-site in the completed and fully operational facility using the actual equipment in-place. Conduct training using final operation and maintenance data submittals.

### 3.3 DEMONSTRATION AND TRAINING VIDEO RECORDINGS

- A. General: Engage a qualified commercial videographer to record demonstration and training video recordings. Record each training module separately. Include classroom instructions and demonstrations, board diagrams, and other visual aids, but not student practice.
  - 1. At beginning of each training module, record each chart containing learning objective and lesson outline.
- B. Video: Provide minimum 640 x 480 video resolution converted to.mp4 format file type, on electronic media.
  - 1. Electronic Media: Read-only format compact disc acceptable to Owner, with commercial-grade graphic label.
  - 2. File Hierarchy: Organize folder structure and file locations according to project manual table of contents. Provide complete screen-based menu.
  - 3. File Names: Utilize file names based upon name of equipment generally described in video segment, as identified in Project specifications.
  - 4. Contractor and Installer Contact File: Using appropriate software, create a file for inclusion on the Equipment Demonstration and Training DVD that describes the following for each Contractor involved on the Project, arranged according to Project table of contents:
    - a. Name of Contractor/Installer.
    - b. Business address.
    - c. Business phone number.
    - d. Point of contact.
    - e. E-mail address.
- C. Recording: Mount camera on tripod before starting recording, unless otherwise necessary to adequately cover area of demonstration and training. Display continuous running time.
  - 1. Film training session(s) in segments not to exceed 15 minutes.
    - a. Produce segments to present a single significant piece of equipment per segment.
    - b. Organize segments with multiple pieces of equipment to follow order of Project Manual table of contents.

- c. Where a training session on a particular piece of equipment exceeds 15 minutes, stop filming and pause training session. Begin training session again upon commencement of new filming segment.
- D. Light Levels: Verify light levels are adequate to properly light equipment. Verify equipment markings are clearly visible prior to recording.
  - 1. Furnish additional portable lighting as required.
- E. Narration: Describe scenes on video recording by audio narration by microphone while video recording is recorded. Include description of items being viewed.

**END OF SECTION 017900**



## **SECTION 033000 - CAST-IN-PLACE CONCRETE**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes cast-in-place concrete, including formwork, reinforcement, concrete materials, mixture design, placement procedures, and finishes, for the following:
  - 1. Footings.
  - 2. Slabs-on-grade.

#### **1.3 DEFINITIONS**

- A. Cementitious Materials: Portland cement alone or in combination with one or more of the following: blended hydraulic cement, fly ash and other pozzolans, ground granulated blast-furnace slag, and silica fume; subject to compliance with requirements.

#### **1.4 ACTION SUBMITTALS**

- A. Product Data: For each of the following.
  - 1. Portland cement.
  - 2. Fly ash.
  - 3. Slag cement.
  - 4. Aggregates.
  - 5. Admixtures:
    - a. Include limitations of use, including restrictions on cementitious materials, supplementary cementitious materials, air entrainment, aggregates, temperature at time of concrete placement, relative humidity at time of concrete placement, curing conditions, and use of other admixtures.
  - 6. Vapor retarders.
  - 7. Curing materials.
  - 8. Joint fillers.
  - 9. Repair materials.
- B. Design Mixtures: For each concrete mixture, include the following:
  - 1. Mixture identification.
  - 2. Minimum 28-day compressive strength.
  - 3. Durability exposure class.
  - 4. Maximum w/cm.
  - 5. Slump limit.
  - 6. Air content.

7. Nominal maximum aggregate size.
  8. Indicate amounts of mixing water to be withheld for later addition at Project site if permitted.
  9. Include manufacturer's certification that permeability-reducing admixture is compatible with mix design.
  10. Include certification that dosage rate for permeability-reducing admixture matches dosage rate used in performance compliance test.
  11. Intended placement method.
  12. Submit alternate design mixtures when characteristics of materials, Project conditions, weather, test results, or other circumstances warrant adjustments.
- C. Steel Reinforcement Shop Drawings: Placing drawings that detail fabrication, bending, and placement. Include bar sizes, lengths, material, grade, bar schedules, stirrup spacing, bent bar diagrams, bar arrangement, splices and laps, mechanical connections, tie spacing, hoop spacing, and supports for concrete reinforcement.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Material Certificates: For each of the following, signed by manufacturers:
1. Cementitious materials.
  2. Admixtures.
  3. Steel reinforcement and accessories.
  4. Curing compounds.
  5. Floor and slab treatments.
  6. Bonding agents.
  7. Adhesives.
  8. Vapor retarders.
  9. Semirigid joint filler.
  10. Joint-filler strips.
  11. Repair materials.
- C. Floor surface flatness and levelness measurements indicating compliance with specified tolerances.

## 1.6 QUALITY ASSURANCE

- A. Installer Qualifications: A qualified installer who employs Project personnel qualified as ACI-certified Flatwork Technician and Finisher and a supervisor who is an ACI-certified Concrete Flatwork Technician.
1. Post-Installed Concrete Anchors Installers: ACI-certified Adhesive Anchor Installer.
- B. Ready-Mixed Concrete Manufacturer Qualifications: A firm experienced in manufacturing ready-mixed concrete products and that complies with ASTM C94/C94M requirements for production facilities and equipment.
1. Manufacturer certified in accordance with NRMCA's "Certification of Ready Mixed Concrete Production Facilities."
- C. Source Limitations: Obtain each type or class of cementitious material of the same brand from the same manufacturer's plant, obtain aggregate from single source, and obtain admixtures from single source from single manufacturer.

- D. ACI Publications: Comply with the following unless modified by requirements in the Contract Documents:

1. ACI 301, "Specifications for Structural Concrete," Sections 1 through 5.
2. ACI 117, "Specifications for Tolerances for Concrete Construction and Materials."

#### 1.7 PRECONSTRUCTION TESTING

- A. Preconstruction Testing Service: Engage a qualified testing agency to perform preconstruction testing on each concrete mixture.

1. Include the following information in each test report:

- a. Admixture dosage rates.
- b. Slump.
- c. Air content.
- d. Seven-day compressive strength.
- e. 28-day compressive strength.
- f. Permeability.

#### 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Steel Reinforcement: Deliver, store, and handle steel reinforcement to prevent bending and damage.

### PART 2 - PRODUCTS

#### 2.1 STEEL REINFORCEMENT

- A. Reinforcing Bars: ASTM A 615/A 615M, Grade 60, deformed.
- B. Steel Welded Wire Reinforcement: ASTM A 1064/A 1064M, flat sheet.

#### 2.2 REINFORCEMENT ACCESSORIES

- A. Bar Supports: Bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcing bars and welded wire reinforcement in place. Manufacture bar supports from steel wire, plastic, or precast concrete according to CRSI's "Manual of Standard Practice," of greater compressive strength than concrete and as follows:

#### 2.3 CONCRETE MATERIALS

- A. Cementitious Material: Use the following cementitious materials, of the same type, brand, and source, throughout Project:
1. Portland Cement: ASTM C 150, Type I/II
- a. Fly Ash: ASTM C 618, Class F.
  - b. Ground Granulated Blast-Furnace Slag: ASTM C 989, Grade 100 or 120.

- B. Normal-Weight Aggregates: ASTM C 33, Class 3S coarse aggregate or better, graded. Provide aggregates from a single source.

- 1. Maximum Coarse-Aggregate Size: 1 inch nominal.

- C. Water: ASTM C 94/C 94M and potable.

## 2.4 ADMIXTURES

- A. Air-Entraining Admixture: ASTM C 260.

- B. Chemical Admixtures: Provide admixtures certified by manufacturer to be compatible with other admixtures and that will not contribute water-soluble chloride ions exceeding those permitted in hardened concrete. Do not use calcium chloride or admixtures containing calcium chloride.

- 1. Water-Reducing Admixture: ASTM C 494/C 494M, Type A.
  - 2. Retarding Admixture: ASTM C 494/C 494M, Type B.
  - 3. Water-Reducing and Retarding Admixture: ASTM C 494/C 494M, Type D.
  - 4. High-Range, Water-Reducing Admixture: ASTM C 494/C 494M, Type F.
  - 5. High-Range, Water-Reducing and Retarding Admixture: ASTM C 494/C 494M, Type G.
  - 6. Plasticizing and Retarding Admixture: ASTM C 1017/C 1017M, Type II.

## 2.5 VAPOR RETARDERS

- A. Plastic Vapor Retarder: ASTM E 1745, Class C, or polyethylene sheet, ASTM D 4397, not less than 15 mils (0.38 mm) thick.
- B. Granular Fill: Clean mixture of crushed stone or crushed or uncrushed gravel; ASTM D 448, Size 57, with 100 percent passing a 1-1/2-inch sieve and 0 to 5 percent passing a No. 8 sieve.

## 2.6 CURING MATERIALS

- A. Evaporation Retarder: Waterborne, monomolecular film forming, manufactured for application to fresh concrete.
- B. Absorptive Cover: AASHTO M 182, Class 2, burlap cloth made from jute or kenaf, weighing approximately 9 oz./sq. yd. when dry.
- C. Moisture-Retaining Cover: ASTM C 171, polyethylene film or white burlap-polyethylene sheet.
- D. Water: Potable.

## 2.7 RELATED MATERIALS

- A. Expansion- and Isolation-Joint-Filler Strips: ASTM D 1751, asphalt-saturated cellulosic fiber.
- B. Semirigid Joint Filler: Two-component, semirigid, 100 percent solids, epoxy resin with a Type A shore durometer hardness of 80 per ASTM D 2240.



- C. Epoxy Bonding Adhesive: ASTM C 881, two-component epoxy resin, capable of humid curing and bonding to damp surfaces, of class suitable for application temperature and of grade to suit requirements, and as follows:

- 1. Types IV and V, load bearing, for bonding hardened or freshly mixed concrete to hardened concrete.

## 2.8 CONCRETE MIXTURES, GENERAL

- A. Prepare design mixtures for each type and strength of concrete, proportioned on the basis of laboratory trial mixture or field test data, or both, according to ACI 301.

- 1. Use a qualified independent testing agency for preparing and reporting proposed mixture designs based on laboratory trial mixtures.

- B. Cementitious Materials Percentages in subparagraphs below repeat ACI 301 limits for concrete exposed to deicing chemicals.

- 1. Fly Ash: 25 percent.
  - 2. Combined Fly Ash and Pozzolan: 25 percent.
  - 3. Ground Granulated Blast-Furnace Slag: 50 percent.
  - 4. Combined Fly Ash or Pozzolan and Ground Granulated Blast-Furnace Slag: 50 percent portland cement minimum, with fly ash or pozzolan not exceeding 25 percent.

- C. Limit water-soluble, chloride-ion content in hardened concrete to 0.15 percent by weight of cement.

- D. Admixtures: Use admixtures according to manufacturer's written instructions.

- 1. Use water-reducing admixture in concrete, as required, for placement and workability.

## 2.9 CONCRETE MIXTURES FOR BUILDING ELEMENTS

- A. Footings: Proportion normal-weight concrete mixture as follows:

- 1. Exposure Class: ACI 318 (ACI 318M) F0, S0, W0, C0.
  - 2. Minimum Compressive Strength: 3000 psi at 28 days.
  - 3. Maximum Water-Cementitious Materials Ratio: 0.50.
  - 4. Slump Limit: 5 inches, plus or minus 1 inch.

- B. Exterior Slabs-on-Grade: Proportion normal-weight concrete mixture as follows:

- 1. Exposure Class: ACI 318 (ACI 318M) F2, S0, W0, C2.
  - 2. Minimum Compressive Strength: 4500 psi at 28 days.
  - 3. Limit water-soluble, chloride-ion content in hardened concrete to 0.15 percent by weight of cement.
  - 4. Maximum Water-Cementitious Materials Ratio: 0.40
  - 5. Slump Limit: 4 inches, plus or minus 1 inch.
  - 6. Air Content for exterior concrete: 6 percent, plus or minus 1.5 percent at point of delivery for 1-inch nominal maximum aggregate size.

## 2.10 FABRICATING REINFORCEMENT

- A. Fabricate steel reinforcement according to CRSI's "Manual of Standard Practice."

## 2.11 CONCRETE MIXING

- A. Ready-Mixed Concrete: Measure, batch, mix, and deliver concrete according to ASTM C 94/C 94M, and furnish batch ticket information.
  - 1. When air temperature is between 85 and 90 deg F, reduce mixing and delivery time from 1-1/2 hours to 75 minutes; when air temperature is above 90 deg F, reduce mixing and delivery time to 60 minutes.

# PART 3 - EXECUTION

## 3.1 EMBEDDED ITEMS

- A. Place and secure anchorage devices and other embedded items required for adjoining Work that is attached to or supported by cast-in-place concrete.
  - 1. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  - 2. Install anchor rods, accurately located, to elevations required and complying with tolerances in Section 7.5 of ANSI/AISC 303.

## 3.2 VAPOR RETARDERS

- A. Sheet Vapor Retarders: Place, protect, and repair sheet vapor retarder according to ASTM E 1643 and manufacturer's written instructions.
  - 1. Lap joints 6 inches and seal with manufacturer's recommended tape.
- B. Granular Course: Cover vapor retarder with granular fill, moisten, and compact with mechanical equipment to elevation tolerances of plus 0 inch or minus 3/4 inch.

## 3.3 STEEL REINFORCEMENT

- A. General: Comply with CRSI's "Manual of Standard Practice" for placing reinforcement.
  - 1. Do not cut or puncture vapor retarder. Repair damage and reseal vapor retarder before placing concrete.
- B. Clean reinforcement of loose rust and mill scale, earth, ice, and other foreign materials that would reduce bond to concrete.
- C. Accurately position, support, and secure reinforcement against displacement. Locate and support reinforcement with bar supports to maintain minimum concrete cover. Do not tack weld crossing reinforcing bars.

- D. Install welded wire reinforcement in longest practicable lengths on bar supports spaced to minimize sagging. Lap edges and ends of adjoining sheets at least one mesh spacing. Offset laps of adjoining sheet widths to prevent continuous laps in either direction. Lace overlaps with wire.

### 3.4 JOINTS

- A. General: Construct joints true to line with faces perpendicular to surface plane of concrete.
- B. Construction Joints: Install so strength and appearance of concrete are not impaired, at locations indicated or as approved by Architect.
  - 1. Place joints perpendicular to main reinforcement. Continue reinforcement across construction joints unless otherwise indicated. Do not continue reinforcement through sides of strip placements of floors and slabs.
  - 2. Form keyed joints as indicated. Embed keys at least as indicated into concrete.
- C. Contraction Joints in Slabs-on-Grade: Form weakened-plane contraction joints, sectioning concrete into areas as indicated. Construct contraction joints for a depth equal to at least one-fourth of concrete thickness as follows:
  - 1. Grooved Joints: Form contraction joints after initial floating by grooving and finishing each edge of joint to a radius of 1/8 inch. Repeat grooving of contraction joints after applying surface finishes. Eliminate groover tool marks on concrete surfaces.
  - 2. Sawed Joints: Form contraction joints with power saws equipped with shatterproof abrasive or diamond-rimmed blades. Cut 1/8-inch- wide joints into concrete when cutting action will not tear, abrade, or otherwise damage surface and before concrete develops random contraction cracks.
- D. Isolation Joints in Slabs-on-Grade: After removing formwork, install joint-filler strips at slab junctions with vertical surfaces, such as column pedestals, foundation walls, grade beams, and other locations, as indicated.
  - 1. Extend joint-filler strips full width and depth of joint, terminating flush with finished concrete surface unless otherwise indicated.
  - 2. Install joint-filler strips in lengths as long as practicable. Where more than one length is required, lace or clip sections together.
- E. Doweled Joints: Install dowel bars and support assemblies at joints where indicated. Lubricate or asphalt coat one-half of dowel length to prevent concrete bonding to one side of joint.

### 3.5 CONCRETE PLACEMENT

- A. Before placing concrete, verify that installation of formwork, reinforcement, and embedded items is complete and that required inspections have been performed.
- B. Before test sampling and placing concrete, water may be added at Project site, subject to limitations of ACI 301.
- C. Deposit concrete continuously in one layer or in horizontal layers of such thickness that no new concrete will be placed on concrete that has hardened enough to cause seams or planes of weakness. If a section cannot be placed continuously, provide construction joints as indicated. Deposit concrete to avoid segregation.

1. Deposit concrete in horizontal layers of depth to not exceed formwork design pressures and in a manner to avoid inclined construction joints.
  2. Consolidate placed concrete with mechanical vibrating equipment according to ACI 301.
  3. Do not use vibrators to transport concrete inside forms. Insert and withdraw vibrators vertically at uniformly spaced locations to rapidly penetrate placed layer and at least 6 inches into preceding layer. Do not insert vibrators into lower layers of concrete that have begun to lose plasticity. At each insertion, limit duration of vibration to time necessary to consolidate concrete and complete embedment of reinforcement and other embedded items without causing mixture constituents to segregate.
- D. Deposit and consolidate concrete for floors and slabs in a continuous operation, within limits of construction joints, until placement of a panel or section is complete.
1. Consolidate concrete during placement operations so concrete is thoroughly worked around reinforcement and other embedded items and into corners.
  2. Maintain reinforcement in position on chairs during concrete placement.
  3. Screed slab surfaces with a straightedge and strike off to correct elevations.
  4. Slope surfaces uniformly to drains where required.
  5. Begin initial floating using bull floats or darbies to form a uniform and open-textured surface plane, before excess bleedwater appears on the surface. Do not further disturb slab surfaces before starting finishing operations.
- E. Cold-Weather Placement: Comply with ACI 306.1 and as follows. Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing actions, or low temperatures.
1. When average high and low temperature is expected to fall below 40 deg F for three successive days, maintain delivered concrete mixture temperature within the temperature range required by ACI 301.
  2. Do not use frozen materials or materials containing ice or snow. Do not place concrete on frozen subgrade or on subgrade containing frozen materials.
  3. Do not use calcium chloride, salt, or other materials containing antifreeze agents or chemical accelerators unless otherwise specified and approved in mixture designs.
- F. Hot-Weather Placement: Comply with ACI 301 and as follows:
1. Maintain concrete temperature below 90 deg F at time of placement. Chilled mixing water or chopped ice may be used to control temperature, provided water equivalent of ice is calculated to total amount of mixing water. Using liquid nitrogen to cool concrete is Contractor's option.
  2. Fog-spray forms, steel reinforcement, and subgrade just before placing concrete. Keep subgrade uniformly moist without standing water, soft spots, or dry areas.

### 3.6 FINISHING FORMED SURFACES

- A. Rough-Formed Finish: As-cast concrete texture imparted by form-facing material with tie holes and defects repaired and patched. Remove fins and other projections that exceed specified limits on formed-surface irregularities.
1. Apply to concrete surfaces not exposed to public view.
- B. Related Unformed Surfaces: At tops of walls, horizontal offsets, and similar unformed surfaces adjacent to formed surfaces, strike off smooth and finish with a texture matching adjacent formed surfaces. Continue final surface treatment of formed surfaces uniformly across adjacent unformed surfaces unless otherwise indicated.

### 3.7 FINISHING FLOORS AND SLABS

- A. General: Comply with ACI 302.1R recommendations for screeding, restraighening, and finishing operations for concrete surfaces. Do not wet concrete surfaces.
- B. Trowel Finish: After applying float finish, apply first troweling and consolidate concrete by hand or power-driven trowel. Continue troweling passes and restraighen until surface is free of trowel marks and uniform in texture and appearance. Grind smooth any surface defects that would telegraph through applied coatings or floor coverings.
  - 1. Apply a trowel finish to surfaces to be covered with resilient flooring, carpet, ceramic or quarry tile set over a cleavage membrane, paint, or another thin-film-finish coating system.
  - 2. Finish surfaces to the following tolerances, according to ASTM E 1155, for a randomly trafficked floor surface:
    - a. Specified overall values of flatness, F(F) 35; and of levelness, F(L) 25; with minimum local values of flatness, F(F) 24; and of levelness, F(L) 17; for slabs-on-grade.
- C. Broom Finish: Apply a broom finish to exterior concrete platforms, steps, ramps, and elsewhere as indicated.
  - 1. Immediately after float finishing, slightly roughen trafficked surface by brooming with fiber-bristle broom perpendicular to main traffic route. Coordinate required final finish with Architect before application.

### 3.8 CONCRETE PROTECTING AND CURING

- A. General: Protect freshly placed concrete from premature drying and excessive cold or hot temperatures. Comply with ACI 306.1 for cold-weather protection and ACI 301 for hot-weather protection during curing.
- B. Evaporation Retarder: Apply evaporation retarder to unformed concrete surfaces if hot, dry, or windy conditions cause moisture loss approaching 0.2 lb/sq. ft. x h before and during finishing operations. Apply according to manufacturer's written instructions after placing, screeding, and bull floating or darbying concrete, but before float finishing.
- C. Formed Surfaces: Cure formed concrete surfaces, including underside of beams, supported slabs, and other similar surfaces. If forms remain during curing period, moist cure after loosening forms. If removing forms before end of curing period, continue curing for the remainder of the curing period.
- D. Unformed Surfaces: Begin curing immediately after finishing concrete. Cure unformed surfaces, including floors and slabs, concrete floor toppings, and other surfaces.
- E. Cure concrete according to ACI 308.1, by one or a combination of the following methods:
  - a. Water.
  - b. Continuous water-fog spray.
  - c. Absorptive cover, water saturated, and kept continuously wet. Cover concrete surfaces and edges with 12-inch lap over adjacent absorptive covers.
- 2. Moisture-Retaining-Cover Curing: Cover concrete surfaces with moisture-retaining cover for curing concrete, placed in widest practicable width, with sides and ends lapped at least 12 inches, and sealed by waterproof tape or adhesive. Cure for not less than seven days. Immediately repair any holes or tears during curing period using cover material and waterproof tape.

- a. Moisture cure or use moisture-retaining covers to cure concrete surfaces to receive floor coverings.
  - b. Moisture cure or use moisture-retaining covers to cure concrete surfaces to receive penetrating liquid floor treatments.
  - c. Cure concrete surfaces to receive floor coverings with either a moisture-retaining cover or a curing compound that the manufacturer certifies will not interfere with bonding of floor covering used on Project.
3. Curing Compound: Apply uniformly in continuous operation by power spray or roller according to manufacturer's written instructions. Recoat areas subjected to heavy rainfall within three hours after initial application. Maintain continuity of coating and repair damage during curing period.

### 3.9 JOINT FILLING

- A. Prepare, clean, and install joint filler according to manufacturer's written instructions.
  1. Defer joint filling until concrete has aged at least six month(s). Do not fill joints until construction traffic has permanently ceased.
- B. Remove dirt, debris, saw cuttings, curing compounds, and sealers from joints; leave contact faces of joint clean and dry.
- C. Install semirigid joint filler full depth in saw-cut joints and at least 2 inches deep in formed joints. Overfill joint and trim joint filler flush with top of joint after hardening.

### 3.10 CONCRETE SURFACE REPAIRS

- A. Defective Concrete: Repair and patch defective areas when approved by Architect. Remove and replace concrete that cannot be repaired and patched to Architect's approval.
- B. Patching Mortar: Mix dry-pack patching mortar, consisting of one part portland cement to two and one-half parts fine aggregate passing a No. 16 sieve, using only enough water for handling and placing.
- C. Repairing Formed Surfaces: Surface defects include color and texture irregularities, cracks, spalls, air bubbles, honeycombs, rock pockets, fins and other projections on the surface, and stains and other discolorations that cannot be removed by cleaning.
  1. Immediately after form removal, cut out honeycombs, rock pockets, and voids more than 1/2 inch in any dimension to solid concrete. Limit cut depth to 3/4 inch. Make edges of cuts perpendicular to concrete surface. Clean, dampen with water, and brush-coat holes and voids with bonding agent. Fill and compact with patching mortar before bonding agent has dried. Fill form-tie voids with patching mortar or cone plugs secured in place with bonding agent.
  2. Repair defects on surfaces exposed to view by blending white portland cement and standard portland cement so that, when dry, patching mortar will match surrounding color. Patch a test area at inconspicuous locations to verify mixture and color match before proceeding with patching. Compact mortar in place and strike off slightly higher than surrounding surface.
  3. Repair defects on concealed formed surfaces that affect concrete's durability and structural performance as determined by Architect.
- D. Repairing Unformed Surfaces: Test unformed surfaces, such as floors and slabs, for finish and verify surface tolerances specified for each surface. Correct low and high areas. Test surfaces sloped to drain for trueness of slope and smoothness; use a sloped template.

1. Repair finished surfaces containing defects. Surface defects include spalls, popouts, honeycombs, rock pockets, crazing and cracks in excess of 0.01 inch wide or that penetrate to reinforcement or completely through unreinforced sections regardless of width, and other objectionable conditions.
  2. After concrete has cured at least 14 days, correct high areas by grinding.
  3. Correct localized low areas during or immediately after completing surface finishing operations by cutting out low areas and replacing with patching mortar. Finish repaired areas to blend into adjacent concrete.
  4. Correct other low areas scheduled to receive floor coverings with a repair underlayment. Prepare, mix, and apply repair underlayment and primer according to manufacturer's written instructions to produce a smooth, uniform, plane, and level surface. Feather edges to match adjacent floor elevations.
  5. Repair defective areas, except random cracks and single holes 1 inch or less in diameter, by cutting out and replacing with fresh concrete. Remove defective areas with clean, square cuts and expose steel reinforcement with at least a 3/4-inch clearance all around. Dampen concrete surfaces in contact with patching concrete and apply bonding agent. Mix patching concrete of same materials and mixture as original concrete except without coarse aggregate. Place, compact, and finish to blend with adjacent finished concrete. Cure in same manner as adjacent concrete.
  6. Repair random cracks and single holes 1 inch or less in diameter with patching mortar. Groove top of cracks and cut out holes to sound concrete and clean off dust, dirt, and loose particles. Dampen cleaned concrete surfaces and apply bonding agent. Place patching mortar before bonding agent has dried. Compact patching mortar and finish to match adjacent concrete. Keep patched area continuously moist for at least 72 hours.
- E. Perform structural repairs of concrete, subject to Architect's approval, using epoxy adhesive and patching mortar.
- F. Repair materials and installation not specified above may be used, subject to Architect's approval.

### 3.11 FIELD QUALITY CONTROL

- A. Testing and Inspecting: Owner will engage a special inspector and qualified testing and inspecting agency to perform field tests and inspections and prepare test reports.
- B. Inspections:
1. Steel reinforcement placement.
  2. Headed bolts and studs.
  3. Verification of use of required design mixture.
  4. Concrete placement, including conveying and depositing.
  5. Curing procedures and maintenance of curing temperature.
- C. Concrete Tests: Testing of composite samples of fresh concrete obtained according to ASTM C 172 shall be performed according to the following requirements:
1. Testing Frequency: Obtain one composite sample for each day's pour of each concrete mixture exceeding 5 cu. yd., but less than 25 cu. yd., plus one set for each additional 50 cu. yd. or fraction thereof.
    - a. When frequency of testing will provide fewer than five compressive-strength tests for each concrete mixture, testing shall be conducted from at least five randomly selected batches or from each batch if fewer than five are used.

2. Slump: ASTM C 143/C 143M; one test at point of placement for each composite sample, but not less than one test for each day's pour of each concrete mixture. Perform additional tests when concrete consistency appears to change.
3. Air Content: ASTM C 231, pressure method, for normal-weight concrete; one test for each composite sample, but not less than one test for each day's pour of each concrete mixture.
4. Concrete Temperature: ASTM C 1064/C 1064M; one test hourly when air temperature is 40 deg F and below and when 80 deg F and above, and one test for each composite sample.
5. Compression Test Specimens: ASTM C 31/C 31M.
  - a. Cast and laboratory cure two sets of two standard cylinder specimens for each composite sample.
6. Compressive-Strength Tests: ASTM C 39/C 39M; test one set of two laboratory-cured specimens at 7 days and one set of two specimens at 28 days.
  - a. Test one set of two specimens at 7 days and one set of two specimens at 28 days.
  - b. A compressive-strength test shall be the average compressive strength from a set of two specimens obtained from same composite sample and tested at age indicated.
7. Strength of each concrete mixture will be satisfactory if every average of any three consecutive compressive-strength tests equals or exceeds specified compressive strength and no compressive-strength test value falls below specified compressive strength by more than 500 psi.
8. Test results shall be reported in writing to Architect, concrete manufacturer, and Contractor within 48 hours of testing. Reports of compressive-strength tests shall contain Project identification name and number, date of concrete placement, name of concrete testing and inspecting agency, location of concrete batch in Work, design compressive strength at 28 days, concrete mixture proportions and materials, compressive breaking strength, and type of break for both 7- and 28-day tests.
9. Nondestructive Testing: Impact hammer, sonoscope, or other nondestructive device may be permitted by Architect but will not be used as sole basis for approval or rejection of concrete.
10. Additional Tests: Testing and inspecting agency shall make additional tests of concrete when test results indicate that slump, air entrainment, compressive strengths, or other requirements have not been met, as directed by Architect. Testing and inspecting agency may conduct tests to determine adequacy of concrete by cored cylinders complying with ASTM C 42/C 42M or by other methods as directed by Architect.
11. Additional testing and inspecting, at Contractor's expense, will be performed to determine compliance of replaced or additional work with specified requirements.
12. Correct deficiencies in the Work that test reports and inspections indicate do not comply with the Contract Documents.

D. Measure floor and slab flatness and levelness according to ASTM E 1155 within 24 hours of finishing.

**END OF SECTION 033000**



## **SECTION 055000 - METAL FABRICATIONS**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Steel framing and supports for applications where framing and supports are not specified in other Sections.
  - 2. Loose bearing and leveling plates for applications where they are not specified in other Sections.
- B. Products furnished, but not installed, under this Section include the following:
  - 1. Steel pipe sleeves, slotted-channel inserts, and wedge-type inserts indicated to be cast into concrete or built into unit masonry.
  - 2. Steel weld plates and angles for casting into concrete for applications where they are not specified in other Sections.

#### **1.3 COORDINATION**

- A. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written recommendations to ensure that shop primers and topcoats are compatible with one another.
- B. Coordinate installation of metal fabrications that are anchored to or that receive other work. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.

#### **1.4 ACTION SUBMITTALS**

- A. Shop Drawings: Show fabrication and installation details. Include plans, elevations, sections, and details of metal fabrications and their connections. Show anchorage and accessory items. Provide Shop Drawings for the following:
  - 1. Steel framing and supports for applications where framing and supports are not specified in other Sections.
  - 2. Loose bearing and leveling plates for applications where they are not specified in other Sections.

## 1.5 FIELD CONDITIONS

- A. Field Measurements: Verify actual locations of walls and other construction contiguous with metal fabrications by field measurements before fabrication.

## PART 2 - PRODUCTS

### 2.1 METALS

- A. Metal Surfaces, General: Provide materials with smooth, flat surfaces unless otherwise indicated. For metal fabrications exposed to view in the completed Work, provide materials without seam marks, roller marks, rolled trade names, or blemishes.
- B. Steel Plates, Shapes, and Bars: ASTM A36/A36M.
- C. Stainless-Steel Sheet, Strip, and Plate: ASTM A240/A240M or ASTM A666, Type 304.
- D. Stainless-Steel Bars and Shapes: ASTM A276, Type 304.
- E. Rolled-Steel Floor Plate: ASTM A786/A786M, rolled from plate complying with ASTM A36/A36M or ASTM A283/A283M, Grade C or D.
- F. Rolled-Stainless-Steel Floor Plate: ASTM A793.
- G. Abrasive-Surface Floor Plate: Steel plate with abrasive granules rolled into surface or with abrasive material metallically bonded to steel.
- H. Steel Tubing: ASTM A500/A500M, cold-formed steel tubing.
- I. Steel Pipe: ASTM A53/A53M, Standard Weight (Schedule 40) unless otherwise indicated.
- J. Zinc-Coated Steel Wire Rope: ASTM A741.
  - 1. Wire-Rope Fittings: Hot-dip galvanized-steel connectors with capability to sustain, without failure, a load equal to minimum breaking strength of wire rope with which they are used.
- K. Slotted Channel Framing: Cold-formed metal box channels (struts) complying with MFMA-4.
- L. indicated.

### 2.2 FASTENERS

- A. General: Unless otherwise indicated, provide Type 304 stainless-steel fasteners for exterior use and zinc-plated fasteners with coating complying with ASTM B633 or ASTM F1941, Class Fe/Zn 5, at exterior walls. Select fasteners for type, grade, and class required.
  - 1. Provide stainless-steel fasteners for fastening aluminum.
  - 2. Provide stainless-steel fasteners for fastening stainless steel.
  - 3. Provide stainless-steel fasteners for fastening nickel silver.
- B. Steel Bolts and Nuts: Regular hexagon-head bolts, ASTM A307, Grade A; with hex nuts, ASTM A563; and, where indicated, flat washers.

- C. High-Strength Bolts, Nuts, and Washers: ASTM F3125/F3125M, Grade A325, Type 3, heavy-hex steel structural bolts; ASTM A563, Grade DH3, heavy-hex carbon-steel nuts; and where indicated, flat washers.
- D. Stainless-Steel Bolts and Nuts: Regular hexagon-head annealed stainless-steel bolts, ASTM F593; with hex nuts, ASTM F594; and, where indicated, flat washers; Alloy [Group 1] [Group 2].
- E. Anchor Bolts: ASTM F1554, Grade 36, of dimensions indicated; with nuts, ASTM A563; and, where indicated, flat washers.
  - 1. Hot-dip galvanize or provide mechanically deposited, zinc coating where item being fastened is indicated to be galvanized.
- F. Anchors, General: Anchors capable of sustaining, without failure, a load equal to six times the load imposed when installed in unit masonry and four times the load imposed when installed in concrete, as determined by testing according to ASTM E488/E488M, conducted by a qualified independent testing agency.
- G. Cast-in-Place Anchors in Concrete: Either threaded type or wedge type unless otherwise indicated; galvanized ferrous castings, either ASTM A47/A47M malleable iron or ASTM A27/A27M cast steel. Provide bolts, washers, and shims as needed, all hot-dip galvanized per ASTM F2329.
- H. Post-Installed Anchors: Torque-controlled expansion anchors or chemical anchors.
  - 1. Material for Interior Locations: Carbon-steel components zinc plated to comply with ASTM B633 or ASTM F1941, Class Fe/Zn 5, unless otherwise indicated.
  - 2. Material for Exterior Locations and Where Stainless Steel Is Indicated: Alloy Group 1 stainless-steel bolts, ASTM F593, and nuts, ASTM F594.
- I. Slotted-Channel Inserts: Cold-formed, hot-dip galvanized-steel box channels (struts) complying with MFMA-4, 1-5/8 by 7/8 inches by length indicated with anchor straps or studs not less than 3 inches long at not more than 8 inches o.c. Provide with temporary filler and tee-head bolts, complete with washers and nuts, all zinc-plated to comply with ASTM B633, Class Fe/Zn 5, as needed for fastening to inserts.

## 2.3 MISCELLANEOUS MATERIALS

- A. Universal Shop Primer: Fast-curing, lead- and chromate-free, universal modified-alkyd primer complying with MPI#79 and compatible with topcoat.
  - 1. Use primer containing pigments that make it easily distinguishable from zinc-rich primer.
- B. Water-Based Primer: Emulsion type, anticorrosive primer for mildly corrosive environments that is resistant to flash rusting when applied to cleaned steel, complying with MPI#107 and compatible with topcoat.
- C. Epoxy Zinc-Rich Primer: Complying with MPI#20 and compatible with topcoat.
- D. Shop Primer for Galvanized Steel: Primer formulated for exterior use over zinc-coated metal and compatible with finish paint systems indicated.
- E. Galvanizing Repair Paint: High-zinc-dust-content paint complying with SSPC-Paint 20 and compatible with paints specified to be used over it.
- F. Bituminous Paint: Cold-applied asphalt emulsion complying with ASTM D1187/D1187M.

- G. Nonshrink, Nonmetallic Grout: Factory-packaged, nonstaining, noncorrosive, nongaseous grout complying with ASTM C1107/C1107M. Provide grout specifically recommended by manufacturer for interior and exterior applications.
- H. Concrete: Comply with requirements in Section 033000 "Cast-in-Place Concrete" for normal-weight, air-entrained, concrete with a minimum 28-day compressive strength of 3000 psi.

## 2.4 FABRICATION, GENERAL

- A. Shop Assembly: Preassemble items in the shop to greatest extent possible. Disassemble units only as necessary for shipping and handling limitations. Use connections that maintain structural value of joined pieces. Clearly mark units for reassembly and coordinated installation.
- B. Cut, drill, and punch metals cleanly and accurately. Remove burrs and ease edges to a radius of approximately 1/32 inch unless otherwise indicated. Remove sharp or rough areas on exposed surfaces.
- C. Form bent-metal corners to smallest radius possible without causing grain separation or otherwise impairing work.
- D. Form exposed work with accurate angles and surfaces and straight edges.
- E. Weld corners and seams continuously to comply with the following:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.
  - 4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- F. Form exposed connections with hairline joints, flush and smooth, using concealed fasteners or welds where possible. Where exposed fasteners are required, use Phillips flat-head (countersunk) fasteners unless otherwise indicated. Locate joints where least conspicuous.
- G. Fabricate seams and other connections that are exposed to weather in a manner to exclude water. Provide weep holes where water may accumulate.
- H. Cut, reinforce, drill, and tap metal fabrications as indicated to receive finish hardware, screws, and similar items.
- I. Provide for anchorage of type indicated; coordinate with supporting structure. Space anchoring devices to secure metal fabrications rigidly in place and to support indicated loads.
- J. Where units are indicated to be cast into concrete or built into masonry, equip with integrally welded steel strap anchors, 1/8 by 1-1/2 inches, with a minimum 6-inch embedment and 2-inch hook, not less than 8 inches from ends and corners of units and 24 inches o.c., unless otherwise indicated.

## 2.5 MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Provide steel framing and supports not specified in other Sections as needed to complete the Work.

- B. Fabricate units from steel shapes, plates, and bars of welded construction unless otherwise indicated. Fabricate to sizes, shapes, and profiles indicated and as necessary to receive adjacent construction.
  - 1. Fabricate units from slotted channel framing where indicated.
  - 2. Furnish inserts for units installed after concrete is placed.
- C. Fabricate supports for operable partitions from continuous steel beams of sizes recommended by partition manufacturer with attached bearing plates, anchors, and braces as recommended by partition manufacturer. Drill or punch bottom flanges of beams to receive partition track hanger rods; locate holes where indicated on operable partition Shop Drawings.
- D. Galvanize miscellaneous framing and supports where indicated.
- E. Prime miscellaneous framing and supports with zinc-rich primer where indicated.

## 2.6 LOOSE BEARING AND LEVELING PLATES

- A. Provide loose bearing and leveling plates for steel items bearing on masonry or concrete construction. Drill plates to receive anchor bolts and for grouting.
- B. Galvanize plates.
- C. Prime plates with zinc-rich primer.

## 2.7 LOOSE STEEL LINTELS

- A. Fabricate loose steel lintels from steel angles and shapes of size indicated for openings and recesses in masonry walls and partitions at locations indicated. Fabricate in single lengths for each opening unless otherwise indicated. Weld adjoining members together to form a single unit where indicated.
- B. Size loose lintels to provide bearing length at each side of openings equal to 1/12 of clear span, but not less than 8 inches unless otherwise indicated.
- C. Galvanize and prime loose steel lintels located in exterior walls.
- D. Prime loose steel lintels located in exterior walls with zinc-rich primer.

## 2.8 STEEL WELD PLATES AND ANGLES

- A. Provide steel weld plates and angles not specified in other Sections, for items supported from concrete construction as needed to complete the Work. Provide each unit with no fewer than two integrally welded steel strap anchors for embedding in concrete.

## 2.9 FINISHES, GENERAL

- A. Finish metal fabrications after assembly.
- B. Finish exposed surfaces to remove tool and die marks and stretch lines, and to blend into surrounding surface.

## 2.10 STEEL AND IRON FINISHES

- A. Galvanizing: Hot-dip galvanize items as indicated to comply with ASTM A153/A153M for steel and iron hardware and with ASTM A123/A123M for other steel and iron products.
  - 1. Do not quench or apply post galvanizing treatments that might interfere with paint adhesion.
- B. Preparation for Shop Priming Galvanized Items: After galvanizing, thoroughly clean railings of grease, dirt, oil, flux, and other foreign matter, and treat with metallic phosphate process.
- C. Shop prime iron and steel items not indicated to be galvanized unless they are to be embedded in concrete, sprayed-on fireproofing, or masonry, or unless otherwise indicated.
  - 1. Shop prime with universal shop primer unless zinc-rich primer is indicated.
- D. Preparation for Shop Priming: Prepare surfaces to comply with SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning."
  - 1. Exterior Items: SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning."
  - 2. Items Indicated to Receive Zinc-Rich Primer: SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning."
  - 3. Items Indicated to Receive Primers Specified in Section 099600 "High-Performance Coatings": SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning."
  - 4. Other Items: SSPC-SP 3, "Power Tool Cleaning."
- E. Shop Priming: Apply shop primer to comply with SSPC-PA 1, "Paint Application Specification No. 1: Shop, Field, and Maintenance Painting of Steel," for shop painting.
  - 1. Stripe paint corners, crevices, bolts, welds, and sharp edges.

## 2.11 ALUMINUM FINISHES

- A. Clear Anodic Finish: AAMA 611, Class I, AA-M12C22A41.

## PART 3 - EXECUTION

### 3.1 INSTALLATION, GENERAL

- A. Cutting, Fitting, and Placement: Perform cutting, drilling, and fitting required for installing metal fabrications. Set metal fabrications accurately in location, alignment, and elevation; with edges and surfaces level, plumb, true, and free of rack; and measured from established lines and levels.
- B. Fit exposed connections accurately together to form hairline joints. Weld connections that are not to be left as exposed joints but cannot be shop welded because of shipping size limitations. Do not weld, cut, or abrade surfaces of exterior units that have been hot-dip galvanized after fabrication and are for bolted or screwed field connections.
- C. Field Welding: Comply with the following requirements:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.

3. Remove welding flux immediately.
  4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- D. Fastening to In-Place Construction: Provide anchorage devices and fasteners where metal fabrications are required to be fastened to in-place construction. Provide threaded fasteners for use with concrete and masonry inserts, toggle bolts, through bolts, lag screws, wood screws, and other connectors.
- E. Provide temporary bracing or anchors in formwork for items that are to be built into concrete, masonry, or similar construction.
- F. Corrosion Protection: Coat concealed surfaces of aluminum that come into contact with grout, concrete, masonry, wood, or dissimilar metals with the following:
1. Cast Aluminum: Heavy coat of bituminous paint.
  2. Extruded Aluminum: Two coats of clear lacquer.

### 3.2 INSTALLING MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Install framing and supports to comply with requirements of items being supported, including manufacturers' written instructions and requirements indicated on Shop Drawings.
- B. Anchor supports for operable partitions overhead doors and overhead grilles securely to, and rigidly brace from, building structure.
- C. Support steel girders on solid grouted masonry, concrete, or steel pipe columns. Secure girders with anchor bolts embedded in grouted masonry or concrete or with bolts through top plates of pipe columns.
1. Where grout space under bearing plates is indicated for girders supported on concrete or masonry, install as specified in "Installing Bearing and Leveling Plates" Article.
- D. Install pipe columns on concrete footings with grouted baseplates. Position and grout column baseplates as specified in "Installing Bearing and Leveling Plates" Article.
1. Grout baseplates of columns supporting steel girders after girders are installed and leveled.

### 3.3 INSTALLING BEARING AND LEVELING PLATES

- A. Clean concrete and masonry bearing surfaces of bond-reducing materials, and roughen to improve bond to surfaces. Clean bottom surface of plates.
- B. Set bearing and leveling plates on wedges, shims, or leveling nuts. After bearing members have been positioned and plumbed, tighten anchor bolts. Do not remove wedges or shims but, if protruding, cut off flush with edge of bearing plate before packing with nonshrink grout. Pack grout solidly between bearing surfaces and plates to ensure that no voids remain.

### 3.4 ADJUSTING AND CLEANING

- A. Touchup Painting: Immediately after erection, clean field welds, bolted connections, and abraded areas. Paint uncoated and abraded areas with the same material as used for shop painting to comply with SSPC-PA 1 for touching up shop-painted surfaces.

1. Apply by brush or spray to provide a minimum 2.0-mil dry film thickness.
- B. Galvanized Surfaces: Clean field welds, bolted connections, and abraded areas and repair galvanizing to comply with ASTM A780/A780M.

**END OF SECTION 055000**



## **SECTION 055213 - PIPE AND TUBE RAILINGS**

### **PART 1 - GENERAL**

#### **1.1 SUMMARY**

- A. Section Includes:
  - 1. Stainless steel railings.

#### **1.2 COORDINATION**

- A. Coordinate installation of anchorages for railings. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.

#### **1.3 ACTION SUBMITTALS**

- A. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.

#### **1.4 DELIVERY, STORAGE, AND HANDLING**

- A. Protect mechanical finishes on exposed surfaces of railings from damage by applying a strippable, temporary protective covering before shipping.

#### **1.5 FIELD CONDITIONS**

- A. Field Measurements: Verify actual locations of walls and other construction contiguous with railings by field measurements before fabrication.

### **PART 2 - PRODUCTS**

#### **2.1 PERFORMANCE REQUIREMENTS**

- A. Structural Performance: Railings, including attachment to building construction, withstand the effects of gravity loads and the following loads and stresses within limits and under conditions indicated:
  - 1. Handrails and Top Rails of Guards:
    - a. Uniform load of 50 lbf/ ft. applied in any direction.
    - b. Concentrated load of 200 lbf applied in any direction.
    - c. Uniform and concentrated loads need not be assumed to act concurrently.
  - 2. Infill of Guards:
    - a. Concentrated load of 50 lbf applied horizontally on an area of 1 sq. ft..

- b. Infill load and other loads need not be assumed to act concurrently.
  - B. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes.
- 2.2 METALS, GENERAL
- A. Metal Surfaces, General: Provide materials with smooth surfaces, without seam marks, roller marks, rolled trade names, stains, discolorations, or blemishes.
  - B. Brackets, Flanges, and Anchors: Cast or formed metal of same type of material and finish as supported rails unless otherwise indicated.
- 2.3 STAINLESS STEEL RAILINGS
- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - 1. CraneVeyor Corp.
    - 2. Julius Blum & Co., Inc.
    - 3. Kane Innovations, Inc.
    - 4. Stainless Fabricators, Inc.
    - 5. Trex Commercial Products, Inc.
    - 6. Tri Tech, Inc.
    - 7. Tubular Specialties Manufacturing, Inc.
    - 8. Tuttle, a Dant Clayton Division.
    - 9. VIVA Railings, LLC.
    - 10. Wagner Companies (The); R&B Wagner, Inc.
  - B. Source Limitations: Obtain each type of railing from single source from single manufacturer.
  - C. Tubing: ASTM A554, Grade MT 316L.
  - D. Castings: ASTM A743/A743M, Grade CF 8M or CF 3M.
  - E. Plate and Sheet: ASTM A240/A240M or ASTM A666, Type 316L.
  - F. Cable Infill: ¼-inch cables with turn-buckle system, made from stainless steel, ASTM A240/A240M or ASTM A666, Type 316.
- 2.4 FASTENERS
- A. Fastener Materials:
    - 1. Stainless Steel Railing Components: Type 316 stainless steel fasteners.
    - 2. Finish exposed fasteners to match appearance, including color and texture, of railings.
  - B. Fasteners for Anchoring Railings to Other Construction: Select fasteners of type, grade, and class required to produce connections suitable for anchoring railings to other types of construction and capable of withstanding design loads.
  - C. Fasteners for Interconnecting Railing Components:

1. Provide concealed fasteners for interconnecting railing components and for attaching them to other work, unless exposed fasteners are unavoidable or are the standard fastening method for railings indicated.

## 2.5 MISCELLANEOUS MATERIALS

- A. Welding Rods and Bare Electrodes: Select in accordance with AWS specifications for metal alloy welded.
  1. For stainless steel railings, provide type and alloy as recommended by producer of metal to be welded and as required for color match, strength, and compatibility in fabricated items.
- B. Nonshrink, Nonmetallic Grout: Factory-packaged, nonstaining, noncorrosive, nongaseous grout, complying with ASTM C1107/C1107M. Provide grout specifically recommended by manufacturer for interior and exterior applications.
- C. Anchoring Cement: Factory-packaged, nonshrink, nonstaining, hydraulic-controlled expansion cement formulation for mixing with water at Project site to create pourable anchoring, patching, and grouting compound.
  1. Water-Resistant Product: At exterior locations, provide formulation that is resistant to erosion from water exposure without needing protection by a sealer or waterproof coating and that is recommended by manufacturer for exterior use.

## 2.6 FABRICATION

- A. General: Fabricate railings to comply with requirements indicated for design, dimensions, member sizes and spacing, details, finish, and anchorage, but not less than that required to support structural loads.
- B. Shop assemble railings to greatest extent possible to minimize field splicing and assembly. Disassemble units only as necessary for shipping and handling limitations.
  1. Clearly mark units for reassembly and coordinated installation.
  2. Use connections that maintain structural value of joined pieces.
- C. Cut, drill, and punch metals cleanly and accurately.
  1. Remove burrs and ease edges to a radius of approximately 1/32 inch unless otherwise indicated.
  2. Remove sharp or rough areas on exposed surfaces.
- D. Form work true to line and level with accurate angles and surfaces.
- E. Fabricate connections that are exposed to weather in a manner that excludes water.
  1. Provide weep holes where water may accumulate.
  2. Locate weep holes in inconspicuous locations.
- F. Cut, reinforce, drill, and tap as indicated to receive finish hardware, screws, and similar items.
- G. Connections: Fabricate railings with welded connections unless otherwise indicated.
- H. Welded Connections: Cope components at connections to provide close fit, or use fittings designed for this purpose. Weld all around at connections, including at fittings.

- I. Form changes in direction as follows:
  - 1. As detailed.
- J. Close exposed ends of hollow railing members with prefabricated cap and end fittings of same metal and finish as railings.
- K. Provide wall returns at ends of wall-mounted handrails unless otherwise indicated. Close ends of returns unless clearance between end of rail and wall is 1/4 inch or less.
- L. Brackets, Flanges, Fittings, and Anchors: Provide wall brackets, flanges, miscellaneous fittings, and anchors to interconnect railing members to other work unless otherwise indicated.
- M. Provide inserts and other anchorage devices for connecting railings to concrete or masonry work.
  - 1. Fabricate anchorage devices capable of withstanding loads imposed by railings.
  - 2. Coordinate anchorage devices with supporting structure.
- N. For railing posts set in concrete, provide stainless steel sleeves not less than 6 inches long with inside dimensions not less than 1/2 inch greater than outside dimensions of post, with metal plate forming bottom closure.

## 2.7 STAINLESS STEEL FINISHES

- A. Surface Preparation: Remove tool and die marks and stretch lines, or blend into finish.
- B. Polished Finishes: Grind and polish surfaces to produce uniform finish, free of cross scratches.
  - 1. Run grain with long dimension of each piece.
  - 2. When polishing is completed, passivate and rinse surfaces.
  - 3. Remove embedded foreign matter and leave surfaces chemically clean.
- C. Stainless Steel Pipe and Tubing Finishes:
  - 1. 180-Grit Polished Finish: Uniform, directionally textured finish.
- D. Stainless Steel Sheet and Plate Finishes:
  - 1. Directional Satin Finish: ASTM A480/A480, No. 4.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine plaster and gypsum board assemblies, where reinforced to receive anchors, to verify that locations of concealed reinforcements are clearly marked for Installer. Locate reinforcements and mark locations if not already done.

### 3.2 INSTALLATION, GENERAL

- A. Perform cutting, drilling, and fitting required for installing railings.

1. Fit exposed connections together to form tight, hairline joints.
  2. Install railings level, plumb, square, true to line; without distortion, warp, or rack.
  3. Set railings accurately in location, alignment, and elevation; measured from established lines and levels.
  4. Do not weld, cut, or abrade surfaces of railing components that are coated or finished after fabrication and that are intended for field connection by mechanical or other means without further cutting or fitting.
  5. Set posts plumb within a tolerance of 1/16 inch in 3 feet.
  6. Align rails so variations from level for horizontal members and variations from parallel with rake of steps and ramps for sloping members do not exceed 1/4 inch in 12 feet.
- B. Control of Corrosion: Prevent galvanic action and other forms of corrosion by insulating metals and other materials from direct contact with incompatible materials.
- C. Adjust railings before anchoring to ensure matching alignment at abutting joints.
- D. Fastening to In-Place Construction: Use anchorage devices and fasteners where necessary for securing railings and for properly transferring loads to in-place construction.

### 3.3 RAILING CONNECTIONS

- A. Welded Connections: Use fully welded joints for permanently connecting railing components. Comply with requirements for welded connections in "Fabrication" Article, whether welding is performed in the shop or in the field.

### 3.4 ANCHORING POSTS

- A. Use stainless steel pipe sleeves preset and anchored into concrete for installing posts. After posts are inserted into sleeves, fill annular space between post and sleeve with nonshrink, nonmetallic grout, mixed and placed to comply with anchoring material manufacturer's written instructions.
- B. Anchor posts to metal surfaces with flanges, angle type, or floor type, as required by conditions, connected to posts and to metal supporting members as follows:
1. For stainless steel railings, weld flanges to post and bolt to supporting surfaces.

### 3.5 CLEANING

- A. Clean stainless steel by washing thoroughly with clean water and soap and rinsing with clean water.

### 3.6 PROTECTION

- A. Protect finishes of railings from damage during construction period with temporary protective coverings approved by railing manufacturer. Remove protective coverings at time of Substantial Completion.
- B. Restore finishes damaged during installation and construction period, so no evidence remains of correction work. Return items that cannot be refinished in the field to the shop; make required alterations and refinish entire unit, or provide new units.

**END OF SECTION 055213**



## **SECTION 061000 - ROUGH CARPENTRY**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Wood blocking and nailers.
  - 2. Fasteners

#### **1.3 DEFINITIONS**

- A. Boards or Strips: Lumber of less than 2 inches nominal size in least dimension.
- B. Dimension Lumber: Lumber of 2 inches nominal size or greater but less than 5 inches nominal size in least dimension.

#### **1.4 ACTION SUBMITTALS**

- A. Product Data: For each type of process and factory-fabricated product. Indicate component materials and dimensions and include construction and application details.
  - 1. Include data for wood-preservative treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements. Indicate type of preservative used and net amount of preservative retained.
  - 2. Include data for fire-retardant treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements. Include physical properties of treated materials based on testing by a qualified independent testing agency.
  - 3. For fire-retardant treatments, include physical properties of treated lumber both before and after exposure to elevated temperatures, based on testing by a qualified independent testing agency according to ASTM D5664.
  - 4. For products receiving a waterborne treatment, include statement that moisture content of treated materials was reduced to levels specified before shipment to Project site.

#### **1.5 INFORMATIONAL SUBMITTALS**

- A. Material Certificates: For dimension lumber specified to comply with minimum allowable unit stresses. Indicate species and grade selected for each use and design values approved by the ALSC Board of Review.

## 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Stack wood products flat with spacers beneath and between each bundle to provide air circulation. Protect wood products from weather by covering with waterproof sheeting, securely anchored. Provide for air circulation around stacks and under coverings.

## PART 2 - PRODUCTS

### 2.1 WOOD PRODUCTS, GENERAL

- A. Lumber: DOC PS 20 and applicable rules of grading agencies indicated. If no grading agency is indicated, comply with the applicable rules of any rules-writing agency certified by the ALSC Board of Review. Grade lumber by an agency certified by the ALSC Board of Review to inspect and grade lumber under the rules indicated.
  - 1. Factory mark each piece of lumber with grade stamp of grading agency.
  - 2. Dress lumber, S4S, unless otherwise indicated.
- B. Maximum Moisture Content of Lumber: 15 percent unless otherwise indicated.

### 2.2 WOOD-PRESERVATIVE-TREATED LUMBER

- A. Preservative Treatment by Pressure Process: AWP A U1; Use Category UC2 for interior construction not in contact with ground, Use Category UC3b for exterior construction not in contact with ground, and Use Category UC4a for items in contact with ground.
  - 1. Preservative Chemicals: Acceptable to authorities having jurisdiction and containing no arsenic or chromium. Do not use inorganic boron (SBX) for sill plates.
  - 2. For exposed items indicated to receive a stained or natural finish, chemical formulations shall not require incising, contain colorants, bleed through, or otherwise adversely affect finishes.
- B. Kiln-dry lumber after treatment to a maximum moisture content of 19 percent. Do not use material that is warped or that does not comply with requirements for untreated material.
- C. Mark lumber with treatment quality mark of an inspection agency approved by the ALSC Board of Review.
- D. Application: Treat items indicated on Drawings, and the following:
  - 1. Wood nailers, blocking, stripping, and similar members in connection with vapor barriers, and waterproofing.

### 2.3 MISCELLANEOUS LUMBER

- A. General: Provide miscellaneous lumber indicated and lumber for support or attachment of other construction, including the following:
  - 1. Blocking.
  - 2. Nailers.



## 2.4 FASTENERS

- A. General: Fasteners shall be of size and type indicated and shall comply with requirements specified in this article for material and manufacture.
  - 1. Where rough carpentry is exposed to weather, in ground contact, pressure-preservative treated, or in area of high relative humidity, provide fasteners with hot-dip zinc coating complying with ASTM A153/A153M.
- B. Nails, Brads, and Staples: ASTM F1667.
- C. Power-Driven Fasteners: Fastener systems with an evaluation report acceptable to authorities having jurisdiction, based on ICC-ES AC70.
- D. Post-Installed Anchors: Fastener systems with an evaluation report acceptable to authorities having jurisdiction, based on ICC-ES AC01 as appropriate for the substrate.
  - 1. Material: Carbon-steel components, zinc plated to comply with ASTM B633, Class Fe/Zn 5.
  - 2. Material: Stainless steel with bolts and nuts complying with ASTM F593 and ASTM F594, Alloy Group 1 or 2.

## PART 3 - EXECUTION

### 3.1 INSTALLATION, GENERAL

- A. Set rough carpentry to required levels and lines, with members plumb, true to line, cut, and fitted. Fit rough carpentry accurately to other construction. Locatenailers, blocking, and similar supports to comply with requirements for attaching other construction.
- B. Sort and select lumber so that natural characteristics do not interfere with installation or with fastening other materials to lumber. Do not use materials with defects that interfere with function of member or pieces that are too small to use with minimum number of joints or optimum joint arrangement.
- C. Comply with AWP A M4 for applying field treatment to cut surfaces of preservative-treated lumber.
  - 1. Use copper naphthenate for items not continuously protected from liquid water.

### 3.2 WOOD BLOCKING, AND NAILER INSTALLATION

- A. Install where indicated and where required for attaching other work. Form to shapes indicated and cut as required for true line and level of attached work. Coordinate locations with other work involved.
- B. Attach items to substrates to support applied loading. Recess bolts and nuts flush with surfaces unless otherwise indicated.
- C. Provide permanent grounds of dressed, pressure-preservative-treated, key-beveled lumber not less than 1-1/2 inches wide and of thickness required to bring face of ground to exact thickness of finish material. Remove temporary grounds when no longer required.

### 3.3 PROTECTION

- A. Protect wood that has been treated with inorganic boron (SBX) from weather. If, despite protection, inorganic boron-treated wood becomes wet, apply EPA-registered borate treatment. Apply borate solution by spraying to comply with EPA-registered label.
- B. Protect rough carpentry from weather. If, despite protection, rough carpentry becomes wet, apply EPA-registered borate treatment. Apply borate solution by spraying to comply with EPA-registered label.

**END OF SECTION 061000**

## **SECTION 064116 - PLASTIC-LAMINATE-CLAD ARCHITECTURAL CABINETS**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Plastic-laminate-clad architectural cabinets.
  - 2. Wood furring, blocking, shims, and hanging strips for installing plastic-laminate-clad architectural cabinets that are not concealed within other construction.

#### **1.3 COORDINATION**

- A. Coordinate sizes and locations of framing, blocking, furring, reinforcements, and other related units of Work specified in other Sections to support loads imposed by installed and fully loaded cabinets.

#### **1.4 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
  - 1. Include data for fire-retardant treatment from chemical-treatment manufacturer and certification by treating plant that treated materials comply with requirements.
- B. Shop Drawings:
  - 1. Include plans, elevations, sections, and attachment details.
  - 2. Show large-scale details.
  - 3. Show locations and sizes of furring, blocking, and hanging strips, including concealed blocking and reinforcement specified in other Sections.
  - 4. Show locations and sizes of cutouts and holes for items installed in plastic-laminate architectural cabinets.
- C. Samples: For each exposed product and for each color and texture specified, in manufacturer's or manufacturer's standard size.
- D. Samples for Initial Selection: For each type of exposed finish.
- E. Samples for Verification: For the following:
  - 1. Plastic Laminates: 8 by 10 inches, for each type, color, pattern, and surface finish required.
    - a. Provide one sample applied to core material with specified edge material applied to one edge.

2. Corner Pieces:
  - a. Cabinet-front frame joints between stiles and rails and at exposed end pieces, 18 inches high by 18 inches wide by 6 inches deep.
  - b. Miter joints for standing trim.
3. Exposed Cabinet Hardware and Accessories: One full-size unit for each type and finish.

#### 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For manufacturer and Installer.
- B. Product Certificates: For each type of product.

#### 1.6 QUALITY ASSURANCE

- A. Manufacturer's Qualifications: Employs skilled workers who custom fabricate products similar to those required for this Project and whose products have a record of successful in-service performance.

#### 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Do not deliver cabinets until painting and similar finish operations that might damage architectural cabinets have been completed in installation areas. Store cabinets in installation areas or in areas where environmental conditions comply with requirements specified in "Field Conditions" Article.

#### 1.8 FIELD CONDITIONS

- A. Environmental Limitations: Do not deliver or install cabinets until building is enclosed, wet-work is complete, and HVAC system is operating and maintaining temperature and relative humidity at levels planned for building occupants during the remainder of the construction period.
- B. Field Measurements: Where cabinets are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication, and indicate measurements on Shop Drawings. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
  1. Locate concealed framing, blocking, and reinforcements that support cabinets by field measurements before being enclosed/concealed by construction, and indicate measurements on Shop Drawings.
- C. Established Dimensions: Where cabinets are indicated to fit to other construction, establish dimensions for areas where cabinets are to fit. Provide allowance for trimming at site, and coordinate construction to ensure that actual dimensions correspond to established dimensions.

### **PART 2 - PRODUCTS**

#### 2.1 PLASTIC-LAMINATE-CLAD ARCHITECTURAL CABINETS

- A. Quality Standard: Unless otherwise indicated, comply with the Architectural Woodwork Standards for grades of cabinets indicated for construction, finishes, installation, and other requirements.

1. The Contract Documents contain requirements that are more stringent than the referenced quality standard. Comply with requirements of Contract Documents in addition to those of the referenced quality standard.
- B. Architectural Woodwork Standards Grade: Premium.
- C. Type of Construction: Frameless.
- D. Door and Drawer-Front Style: Flush overlay.
  1. Reveal Dimension: 1/2 inch.
- E. High-Pressure Decorative Laminate: NEMA LD 3, grades as indicated or if not indicated, as required by quality standard.
  - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - 1) Formica Corporation. (Basis of Design: Deco-Metal)
    - 2) Pionite; a Panolam Industries International, Inc. brand.
    - 3) Wilsonart LLC.
- F. Laminate Cladding for Exposed Surfaces:
  1. Horizontal Surfaces: Grade HGS.
  2. Vertical Surfaces: Grade VGS.
  3. Edges: PVC edge banding with radiused edges, 0.12 inch thick, matching laminate in color, pattern, and finish.
  4. Pattern Direction: Vertically for doors and fixed panels, horizontally for drawer fronts.
- G. Materials for Semiexposed Surfaces:
  1. Surfaces Other Than Drawer Bodies: High-pressure decorative laminate, NEMA LD 3, Grade CLS Thermoset decorative panels.
    - a. Edges of Plastic-Laminate Shelves: PVC edge banding with radiused edges, 0.12 inch thick, matching laminate in color, pattern, and finish.
  2. Drawer Sides and Backs: Solid-hardwood lumber.
  3. Drawer Bottoms: Hardwood plywood.
- H. Dust Panels: 1/4-inch plywood or tempered hardboard above compartments and drawers unless located directly under tops.
- I. Concealed Backs of Panels with Exposed Plastic-Laminate Surfaces: High-pressure decorative laminate, NEMA LD 3, Grade BKL.
- J. Drawer Construction: Fabricate with exposed fronts fastened to subfront with mounting screws from interior of body.
  1. Join subfronts, backs, and sides with glued rabbeted joints supplemented by mechanical fasteners or glued dovetail joints.
- K. Colors, Patterns, and Finishes: Provide materials and products that result in colors and textures of exposed laminate surfaces complying with the following requirements:

1. As indicated by laminate manufacturer's designations.
2. Match Architect's sample.
3. As selected by Architect from laminate manufacturer's full range in the following categories:
  - a. Solid colors, matte finish.
  - b. Solid colors with core same color as surface, matte finish.
  - c. Wood grains, matte finish.
  - d. Patterns, matte finish.

## 2.2 WOOD MATERIALS

- A. Wood Products: Provide materials that comply with requirements of referenced quality standard for each type of architectural cabinet and quality grade specified unless otherwise indicated.
  1. Wood Moisture Content: 5 to 10 percent.
- B. Composite Wood and Agrifiber Products: Provide materials that comply with requirements of referenced quality standard for each type of architectural cabinet and quality grade specified unless otherwise indicated.
  1. Particleboard: ANSI A208.1, Grade M-2.
  2. Softwood Plywood: DOC PS 1.

## 2.3 CABINET HARDWARE AND ACCESSORIES

- A. Frameless Concealed Hinges (European Type): BHMA A156.9, B01602, 135 degrees of opening, self-closing.
- B. Back-Mounted Pulls: BHMA A156.9, B02011.
- C. Wire Pulls: Back mounted, solid metal, 4 inches long, 5/16 inch in diameter.
- D. Catches: Magnetic catches, BHMA A156.9, B03141.
- E. Shelf Rests: BHMA A156.9, B04013; plastic two-pin plastic with shelf hold-down clip.
- F. Drawer Slides: BHMA A156.9.
  1. Grade 1 and Grade 2: Side mounted and extending under bottom edge of drawer.
    - a. Type: Full extension.
    - b. Material: Epoxy-coated steel with polymer rollers.
  2. Grade 1HD-100 and Grade 1HD-200: Side mounted; full-extension type; zinc-plated-steel ball-bearing slides.
  3. For drawers not more than 3 inches high and not more than 24 inches wide, provide Grade 1HD-100.
  4. For drawers more than 3 inches high, but not more than 6 inches high and not more than 24 inches wide, provide Grade 1HD-100.
  5. For drawers more than 6 inches high or more than 24 inches wide, provide Grade 1HD-100.
- G. Door Locks: BHMA A156.11, E07121. Provide one per door.

- H. Drawer Locks: BHMA A156.11, E07041. Provide one per drawer.
- I. Door and Drawer Silencers: BHMA A156.16, L03011.
- J. Grommets for Cable Passage: 2-inch OD, molded-plastic grommets and matching plastic caps with slot for wire passage.
  - 1. Color: Black.
  - 2. Provide 1 per 4'-0" of counter.
- K. Exposed Hardware Finishes: For exposed hardware, provide finish that complies with BHMA A156.18 for BHMA finish number indicated.
  - 1. Satin Stainless Steel: BHMA 630.
- L. For concealed hardware, provide manufacturer's standard finish that complies with product class requirements in BHMA A156.9.

## 2.4 MISCELLANEOUS MATERIALS

- A. Furring, Blocking, Shims, and Hanging Strips: Softwood or hardwood lumber, kiln-dried to less than 15 percent moisture content.
- B. Anchors: Select material, type, size, and finish required for each substrate for secure anchorage. Provide metal expansion sleeves or expansion bolts for post-installed anchors. Use nonferrous-metal or hot-dip galvanized anchors and inserts at inside face of exterior walls and at floors.
- C. Adhesive for Bonding Plastic Laminate: Unpigmented contact cement.
  - 1. Adhesive for Bonding Edges: Hot-melt adhesive.

## 2.5 FABRICATION

- A. Fabricate architectural cabinets to dimensions, profiles, and details indicated.
- B. Complete fabrication, including assembly and hardware application, to maximum extent possible before shipment to Project site. Disassemble components only as necessary for shipment and installation. Where necessary for fitting at site, provide ample allowance for scribing, trimming, and fitting.
  - 1. Notify Architect seven days in advance of the dates and times architectural cabinet fabrication will be complete.
  - 2. Trial fit assemblies at manufacturer's shop that cannot be shipped completely assembled. Install dowels, screws, bolted connectors, and other fastening devices that can be removed after trial fitting. Verify that various parts fit as intended and check measurements of assemblies against field measurements before disassembling for shipment.
- C. Shop-cut openings to maximum extent possible to receive hardware, appliances, electrical work, and similar items. Locate openings accurately and use templates or roughing-in diagrams to produce accurately sized and shaped openings. Sand edges of cutouts to remove splinters and burrs.

## **PART 3 - EXECUTION**

### **3.1 PREPARATION**

- A. Before installation, condition cabinets to humidity conditions in installation areas for not less than 72 hours.

### **3.2 INSTALLATION**

- A. Architectural Woodwork Standards Grade: Install cabinets to comply with quality standard grade of item to be installed.
- B. Assemble cabinets and complete fabrication at Project site to extent that it was not completed in the shop.
- C. Anchor cabinets to anchors or blocking built in or directly attached to substrates. Secure with wafer-head cabinet installation screws.
- D. Install cabinets level, plumb, and true in line to a tolerance of 1/8 inch in 96 inches using concealed shims.
  - 1. Scribe and cut cabinets to fit adjoining work, refinish cut surfaces, and repair damaged finish at cuts.
  - 2. Install cabinets without distortion so doors and drawers fit openings and are accurately aligned. Adjust hardware to center doors and drawers in openings and to provide unencumbered operation. Complete installation of hardware and accessory items as indicated.
  - 3. Fasten wall cabinets through back, near top and bottom, and at ends not more than 16 inches o.c. with No. 10 wafer-head screws sized for not less than 1-1/2-inch penetration into wood framing, blocking, or hanging strips.

### **3.3 FIELD QUALITY CONTROL**

- A. Inspections: Provide inspection of installed Work through certifying that woodwork, including installation, complies with requirements of the Architectural Woodwork Standards for the specified grade.
  - 1. Inspection entity shall prepare and submit report of inspection.

### **3.4 ADJUSTING AND CLEANING**

- A. Repair damaged and defective cabinets, where possible, to eliminate functional and visual defects. Where not possible to repair, replace architectural cabinets. Adjust joinery for uniform appearance.
- B. Clean, lubricate, and adjust hardware.
- C. Clean cabinets on exposed and semiexposed surfaces.

**END OF SECTION 064116**



## **SECTION 078413 - PENETRATION FIRESTOPPING**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Penetrations in fire-resistance-rated walls.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Product Schedule: For each penetration firestopping system. Include location and design designation of qualified testing and inspecting agency.

#### **1.4 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: For qualified Installer.
- B. Installer Certificates: From Installer indicating penetration firestopping has been installed in compliance with requirements and manufacturer's written recommendations.
- C. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency, for penetration firestopping.

#### **1.5 QUALITY ASSURANCE**

- A. Fire-Test-Response Characteristics: Penetration firestopping shall comply with the following requirements:
  - 1. Penetration firestopping tests are performed by a qualified testing agency acceptable to authorities having jurisdiction.
  - 2. Penetration firestopping is identical to those tested per testing standard referenced in "Penetration Firestopping" Article. Provide rated systems complying with the following requirements:
    - a. Penetration firestopping products bear classification marking of qualified testing and inspecting agency.
    - b. Classification markings on penetration firestopping correspond to designations listed by the following:
      - 1) UL in its "Fire Resistance Directory."

- B. Preinstallation Conference: Conduct conference at Project site.

## 1.6 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install penetration firestopping when ambient or substrate temperatures are outside limits permitted by penetration firestopping manufacturers or when substrates are wet because of rain, frost, condensation, or other causes.
- B. Install and cure penetration firestopping per manufacturer's written instructions using natural means of ventilations or, where this is inadequate, forced-air circulation.

## 1.7 COORDINATION

- A. Coordinate construction of openings and penetrating items to ensure that penetration firestopping is installed according to specified requirements.
- B. Coordinate sizing of sleeves, openings, core-drilled holes, or cut openings to accommodate penetration firestopping.
- C. Notify Owner's testing agency at least seven days in advance of penetration firestopping installations; confirm dates and times on day preceding each series of installations.

# PART 2 - PRODUCTS

## 2.1 PENETRATION FIRESTOPPING

- A. Provide penetration firestopping that is produced and installed to resist spread of fire according to requirements indicated, resist passage of smoke and other gases, and maintain original fire-resistance rating of construction penetrated. Penetration firestopping systems shall be compatible with one another, with the substrates forming openings, and with penetrating items if any.
- B. Penetrations in Fire-Resistance-Rated Walls: Provide penetration firestopping with ratings determined per ASTM E 814 or UL 1479, based on testing at a positive pressure differential of 0.01-inch wg.
  - 1. Fire-resistance-rated walls include fire-barrier walls and fire partitions.
  - 2. F-Rating: Not less than the fire-resistance rating of constructions penetrated.
- C. Exposed Penetration Firestopping: Provide products with flame-spread and smoke-developed indexes of less than 25 and 450, respectively, as determined per ASTM E 84.
- D. VOC Content: Penetration firestopping sealants and sealant primers shall comply with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
  - 1. Sealants: 250 g/L.
  - 2. Sealant Primers for Nonporous Substrates: 250 g/L.
  - 3. Sealant Primers for Porous Substrates: 775 g/L.
- E. Accessories: Provide components for each penetration firestopping system that are needed to install fill materials and to maintain ratings required. Use only those components specified by penetration firestopping manufacturer and approved by qualified testing and inspecting agency for firestopping indicated.

1. Permanent forming/damming/backing materials, including the following:
  - a. Slag-wool-fiber or rock-wool-fiber insulation.
  - b. Sealants used in combination with other forming/damming/backing materials to prevent leakage of fill materials in liquid state.
  - c. Fire-rated form board.
  - d. Fillers for sealants.
2. Temporary forming materials.
3. Substrate primers.
4. Collars.
5. Steel sleeves.

## 2.2 FILL MATERIALS

- A. Latex Sealants: Single-component latex formulations that do not re-emulsify after cure during exposure to moisture.
- B. Firestop Devices: Factory-assembled collars formed from galvanized steel and lined with intumescent material sized to fit specific diameter of penetrant.
- C. Intumescent Composite Sheets: Rigid panels consisting of aluminum-foil-faced elastomeric sheet bonded to galvanized-steel sheet.
- D. Intumescent Putties: Nonhardening dielectric, water-resistant putties containing no solvents, inorganic fibers, or silicone compounds.
- E. Intumescent Wrap Strips: Single-component intumescent elastomeric sheets with aluminum foil on one side.
- F. Mortars: Prepackaged dry mixes consisting of a blend of inorganic binders, hydraulic cement, fillers, and lightweight aggregate formulated for mixing with water at Project site to form a nonshrinking, homogeneous mortar.
- G. Pillows/Bags: Reusable heat-expanding pillows/bags consisting of glass-fiber cloth cases filled with a combination of mineral-fiber, water-insoluble expansion agents, and fire-retardant additives. Where exposed, cover openings with steel-reinforcing wire mesh to protect pillows/bags from being easily removed.
- H. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.
- I. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below:
  1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces, and nonsag formulation for openings in vertical and sloped surfaces, unless indicated firestopping limits use of nonsag grade for both opening conditions.

## 2.3 MIXING

- A. For those products requiring mixing before application, comply with penetration firestopping manufacturer's written instructions for accurate proportioning of materials, water (if required), type of

mixing equipment, selection of mixer speeds, mixing containers, mixing time, and other items or procedures needed to produce products of uniform quality with optimum performance characteristics for application indicated.

## **PART 3 - EXECUTION**

### **3.1 EXAMINATION**

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for opening configurations, penetrating items, substrates, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### **3.2 PREPARATION**

- A. Surface Cleaning: Clean out openings immediately before installing penetration firestopping to comply with manufacturer's written instructions and with the following requirements:
  - 1. Remove from surfaces of opening substrates and from penetrating items foreign materials that could interfere with adhesion of penetration firestopping.
  - 2. Clean opening substrates and penetrating items to produce clean, sound surfaces capable of developing optimum bond with penetration firestopping. Remove loose particles remaining from cleaning operation.
  - 3. Remove laitance and form-release agents from concrete.
- B. Priming: Prime substrates where recommended in writing by manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.
- C. Masking Tape: Use masking tape to prevent penetration firestopping from contacting adjoining surfaces that will remain exposed on completion of the Work and that would otherwise be permanently stained or damaged by such contact or by cleaning methods used to remove stains. Remove tape as soon as possible without disturbing firestopping's seal with substrates.

### **3.3 INSTALLATION**

- A. General: Install penetration firestopping to comply with manufacturer's written installation instructions and published drawings for products and applications indicated.
- B. Install forming materials and other accessories of types required to support fill materials during their application and in the position needed to produce cross-sectional shapes and depths required to achieve fire ratings indicated.
  - 1. After installing fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not indicated as permanent components of firestopping.
- C. Install fill materials for firestopping by proven techniques to produce the following results:
  - 1. Fill voids and cavities formed by openings, forming materials, accessories, and penetrating items as required to achieve fire-resistance ratings indicated.

2. Apply materials so they contact and adhere to substrates formed by openings and penetrating items.
3. For fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

#### 3.4 IDENTIFICATION

- A. Identify penetration firestopping with preprinted metal or plastic labels. Attach labels permanently to surfaces adjacent to and within 6 inches of firestopping edge so labels will be visible to anyone seeking to remove penetrating items or firestopping. Use mechanical fasteners or self-adhering-type labels with adhesives capable of permanently bonding labels to surfaces on which labels are placed. Include the following information on labels:
  1. The words "Warning - Penetration Firestopping - Do Not Disturb. Notify Building Management of Any Damage."
  2. Contractor's name, address, and phone number.
  3. Designation of applicable testing and inspecting agency.
  4. Date of installation.
  5. Manufacturer's name.
  6. Installer's name.

#### 3.5 FIELD QUALITY CONTROL

- A. Owner will engage a qualified testing agency to perform tests and inspections.
- B. Where deficiencies are found or penetration firestopping is damaged or removed because of testing, repair or replace penetration firestopping to comply with requirements.
- C. Proceed with enclosing penetration firestopping with other construction only after inspection reports are issued and installations comply with requirements.

#### 3.6 CLEANING AND PROTECTION

- A. Clean off excess fill materials adjacent to openings as the Work progresses by methods and with cleaning materials that are approved in writing by penetration firestopping manufacturers and that do not damage materials in which openings occur.
- B. Provide final protection and maintain conditions during and after installation that ensure that penetration firestopping is without damage or deterioration at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, immediately cut out and remove damaged or deteriorated penetration firestopping and install new materials to produce systems complying with specified requirements.

**END OF SECTION 078413**



## **SECTION 078443 - JOINT FIRESTOPPING**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Joints in or between fire-resistance-rated constructions.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
- B. Product Schedule: For each joint firestopping system. Include location, illustration of firestopping system, and design designation of qualified testing agency.
  - 1. Engineering Judgments: Where Project conditions require modification to a qualified testing agency's illustration for a particular joint firestopping system condition, submit illustration, with modifications marked, approved by joint firestopping system manufacturer's fire-protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly.

#### **1.4 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: For Installer.
- B. Product Test Reports: For each joint firestopping system, for tests performed by a qualified testing agency.

#### **1.5 CLOSEOUT SUBMITTALS**

- A. Installer Certificates: From Installer indicating that joint firestopping systems have been installed in compliance with requirements and manufacturer's written instructions.

#### **1.6 QUALITY ASSURANCE**

- A. Installer Qualifications: A firm that has been approved by FM Global according to FM Global 4991, "Approval of Firestop Contractors," or been evaluated by UL and found to comply with UL's "Qualified Firestop Contractor Program Requirements."

## 1.7 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install joint firestopping systems when ambient or substrate temperatures are outside limits permitted by joint firestopping system manufacturers or when substrates are wet due to rain, frost, condensation, or other causes.
- B. Install and cure joint firestopping systems per manufacturer's written instructions using natural means of ventilation or, where this is inadequate, forced-air circulation.

## 1.8 COORDINATION

- A. Coordinate construction of joints to ensure that joint firestopping systems can be installed according to specified firestopping system design.
- B. Coordinate sizing of joints to accommodate joint firestopping systems.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics:
  - 1. Perform joint firestopping system tests by a qualified testing agency acceptable to authorities having jurisdiction.
  - 2. Test per testing standards referenced in "Joint Firestopping Systems" Article. Provide rated systems complying with the following requirements:
    - a. Joint firestopping systems shall bear classification marking of a qualified testing agency.
      - 1) UL in its "Fire Resistance Directory."

### 2.2 JOINT FIRESTOPPING SYSTEMS

- A. Joint Firestopping Systems: Systems that resist spread of fire, passage of smoke and other gases, and maintain original fire-resistance rating of assemblies in or between which joint firestopping systems are installed. Joint firestopping systems shall accommodate building movements without impairing their ability to resist the passage of fire and hot gases.
- B. Joints in or between Fire-Resistance-Rated Construction: Provide joint firestopping systems with ratings determined per ASTM E 1966 or UL 2079.
  - 1. Fire-Resistance Rating: Equal to or exceeding the fire-resistance rating of the wall, floor, or roof in or between which it is installed.
- C. Joints in Smoke Barriers: Provide fire-resistive joint systems with ratings determined per UL 2079 based on testing at a positive pressure differential of 0.30-inch wg.
  - 1. L-Rating: Not exceeding 5.0 cfm/ft. of joint at both ambient and elevated temperatures.
- D. Exposed Joint Firestopping Systems: Flame-spread and smoke-developed indexes of less than 25 and 450, respectively, as determined per ASTM E 84.



- E. VOC Content: Fire-resistive joint system sealants shall comply with the following limits for VOC content:
  - 1. Architectural Sealants: 250 g/L.
  - 2. Sealant Primers for Nonporous Substrates: 250 g/L.
  - 3. Sealant Primers for Porous Substrates: 775 g/L.
- F. Accessories: Provide components of fire-resistive joint systems, including primers and forming materials, that are needed to install elastomeric fill materials and to maintain ratings required. Use only components specified by joint firestopping system manufacturer and approved by the qualified testing agency for conditions indicated.

### **PART 3 - EXECUTION**

#### **3.1 EXAMINATION**

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for joint configurations, substrates, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### **3.2 PREPARATION**

- A. Surface Cleaning: Before installing fire-resistive joint systems, clean joints immediately to comply with fire-resistive joint system manufacturer's written instructions and the following requirements:
  - 1. Remove from surfaces of joint substrates foreign materials that could interfere with adhesion of elastomeric fill materials or compromise fire-resistive rating.
  - 2. Clean joint substrates to produce clean, sound surfaces capable of developing optimum bond with elastomeric fill materials. Remove loose particles remaining from cleaning operation.
  - 3. Remove laitance and form-release agents from concrete.
- B. Prime substrates where recommended in writing by joint firestopping system manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.

#### **3.3 INSTALLATION**

- A. General: Install fire-resistive joint systems to comply with manufacturer's written installation instructions and published drawings for products and applications indicated.
- B. Install forming materials and other accessories of types required to support elastomeric fill materials during their application and in position needed to produce cross-sectional shapes and depths required to achieve fire ratings indicated.
  - 1. After installing elastomeric fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not indicated as permanent components of fire-resistive joint system.
- C. Install elastomeric fill materials for fire-resistive joint systems by proven techniques to produce the following results:

1. Elastomeric fill voids and cavities formed by joints and forming materials as required to achieve fire-resistance ratings indicated.
2. Apply elastomeric fill materials so they contact and adhere to substrates formed by joints.
3. For elastomeric fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

#### 3.4 IDENTIFICATION

- A. Joint Identification: Identify joint firestopping systems with legible metal or plastic labels. Attach labels permanently to surfaces adjacent to and within 6 inches of joint edge so labels are visible to anyone seeking to remove or joint firestopping system. Use mechanical fasteners or self-adhering-type labels with adhesives capable of permanently bonding labels to surfaces on which labels are placed. Include the following information on labels:
  1. The words "Warning - Joint Firestopping - Do Not Disturb. Notify Building Management of Any Damage."
  2. Contractor's name, address, and phone number.
  3. Designation of applicable testing agency.
  4. Date of installation.
  5. Manufacturer's name.
  6. Installer's name.

#### 3.5 FIELD QUALITY CONTROL

- A. Inspecting Agency: Owner will engage a qualified testing agency to perform tests and inspections according to ASTM E 2393.
- B. Where deficiencies are found or joint firestopping systems are damaged or removed due to testing, repair or replace joint firestopping systems so they comply with requirements.
- C. Proceed with enclosing joint firestopping systems with other construction only after inspection reports are issued and installations comply with requirements.

#### 3.6 CLEANING AND PROTECTION

- A. Clean off excess elastomeric fill materials adjacent to joints as the Work progresses by methods and with cleaning materials that are approved in writing by joint firestopping system manufacturers and that do not damage materials in which joints occur.
- B. Provide final protection and maintain conditions during and after installation that ensure joint firestopping systems are without damage or deterioration at time of Substantial Completion. If damage or deterioration occurs despite such protection, cut out and remove damaged or deteriorated fire-resistive joint systems immediately and install new materials to produce fire-resistive joint systems complying with specified requirements.

**END OF SECTION 078443**

## **SECTION 078446 - FIRE-RESISTIVE JOINT SYSTEMS**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Joints in or between fire-resistance-rated constructions.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Product Schedule: For each fire-resistive joint system. Include location and design designation of qualified testing agency.
  - 1. Where Project conditions require modification to a qualified testing agency's illustration for a particular fire-resistive joint system condition, submit illustration, with modifications marked, approved by fire-resistive joint system manufacturer's fire-protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly.

#### **1.4 INFORMATIONAL SUBMITTALS**

- A. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency, for fire-resistive joint systems.

#### **1.5 QUALITY ASSURANCE**

- A. Fire-Test-Response Characteristics: Fire-resistive joint systems shall comply with the following requirements:
  - 1. Fire-resistive joint system tests are performed by a qualified testing agency acceptable to authorities having jurisdiction.
  - 2. Fire-resistive joint systems are identical to those tested per testing standard referenced in "Fire-Resistive Joint Systems" Article. Provide rated systems complying with the following requirements:
    - a. Fire-resistive joint system products bear classification marking of qualified testing agency.
    - b. Fire-resistive joint systems correspond to those indicated by reference to designations listed by the following:
      - 1) UL in its "Fire Resistance Directory."

- B. Preinstallation Conference: Conduct conference at Project site.

## 1.6 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install fire-resistive joint systems when ambient or substrate temperatures are outside limits permitted by fire-resistive joint system manufacturers or when substrates are wet due to rain, frost, condensation, or other causes.
- B. Install and cure fire-resistive joint systems per manufacturer's written instructions using natural means of ventilation or, where this is inadequate, forced-air circulation.

## 1.7 COORDINATION

- A. Coordinate construction of joints to ensure that fire-resistive joint systems are installed according to specified requirements.
- B. Coordinate sizing of joints to accommodate fire-resistive joint systems.
- C. Notify Owner's testing agency at least seven days in advance of fire-resistive joint system installations; confirm dates and times on day preceding each series of installations.

# PART 2 - PRODUCTS

## 2.1 FIRE-RESISTIVE JOINT SYSTEMS

- A. Where required, provide fire-resistive joint systems that are produced and installed to resist spread of fire according to requirements indicated, resist passage of smoke and other gases, and maintain original fire-resistance rating of assemblies in or between which fire-resistive joint systems are installed. Fire-resistive joint systems shall accommodate building movements without impairing their ability to resist the passage of fire and hot gases.
- B. Joints in or between Fire-Resistance-Rated Construction: Provide fire-resistive joint systems with ratings determined per ASTM E 1966 or UL 2079:
  - 1. Joints include those installed in or between fire-resistance-rated walls and roofs or roof/ceiling assemblies.
  - 2. Fire-Resistance Rating: Equal to or exceeding the fire-resistance rating of construction they will join.
- C. Exposed Fire-Resistive Joint Systems: Provide products with flame-spread and smoke-developed indexes of less than 25 and 450, respectively, as determined per ASTM E 84.
- D. VOC Content: Fire-resistive joint system sealants shall comply with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
  - 1. Architectural Sealants: 250 g/L.
  - 2. Sealant Primers for Nonporous Substrates: 250 g/L.
  - 3. Sealant Primers for Porous Substrates: 775 g/L.
- E. Accessories: Provide components of fire-resistive joint systems, including primers and forming materials, that are needed to install fill materials and to maintain ratings required. Use only components specified by

fire-resistive joint system manufacturer and approved by the qualified testing agency for systems indicated.

## **PART 3 - EXECUTION**

### **3.1 EXAMINATION**

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for joint configurations, substrates, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### **3.2 PREPARATION**

- A. Surface Cleaning: Clean joints immediately before installing fire-resistive joint systems to comply with fire-resistive joint system manufacturer's written instructions and the following requirements:
  - 1. Remove from surfaces of joint substrates foreign materials that could interfere with adhesion of fill materials.
  - 2. Clean joint substrates to produce clean, sound surfaces capable of developing optimum bond with fill materials. Remove loose particles remaining from cleaning operation.
  - 3. Remove laitance and form-release agents from concrete.
- B. Priming: Prime substrates where recommended in writing by fire-resistive joint system manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.
- C. Masking Tape: Use masking tape to prevent fill materials of fire-resistive joint system from contacting adjoining surfaces that will remain exposed on completion of the Work and that would otherwise be permanently stained or damaged by such contact or by cleaning methods used to remove stains. Remove tape as soon as possible without disturbing fire-resistive joint system's seal with substrates.

### **3.3 INSTALLATION**

- A. General: Install fire-resistive joint systems to comply with manufacturer's written installation instructions and published drawings for products and applications indicated.
- B. Install forming materials and other accessories of types required to support fill materials during their application and in position needed to produce cross-sectional shapes and depths required to achieve fire ratings indicated.
  - 1. After installing fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not indicated as permanent components of fire-resistive joint system.
- C. Install fill materials for fire-resistive joint systems by proven techniques to produce the following results:
  - 1. Fill voids and cavities formed by joints and forming materials as required to achieve fire-resistance ratings indicated.
  - 2. Apply fill materials so they contact and adhere to substrates formed by joints.

3. For fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

### 3.4 IDENTIFICATION

- A. Identify fire-resistive joint systems with preprinted metal or plastic labels. Attach labels permanently to surfaces adjacent to and within 6 inches of joint edge so labels will be visible to anyone seeking to remove or penetrate joint system. Use mechanical fasteners or self-adhering-type labels with adhesives capable of permanently bonding labels to surfaces on which labels are placed. Include the following information on labels:
  1. The words "Warning - Fire-Resistive Joint System - Do Not Disturb. Notify Building Management of Any Damage."
  2. Contractor's name, address, and phone number.
  3. Designation of applicable testing agency.
  4. Date of installation.
  5. Manufacturer's name.
  6. Installer's name.

### 3.5 FIELD QUALITY CONTROL

- A. Inspecting Agency: Owner will engage a qualified testing agency to perform tests and inspections.
- B. Where deficiencies are found or fire-resistive joint systems are damaged or removed due to testing, repair or replace fire-resistive joint systems so they comply with requirements.
- C. Proceed with enclosing fire-resistive joint systems with other construction only after inspection reports are issued and installations comply with requirements.

### 3.6 CLEANING AND PROTECTING

- A. Clean off excess fill materials adjacent to joints as the Work progresses by methods and with cleaning materials that are approved in writing by fire-resistive joint system manufacturers and that do not damage materials in which joints occur.
- B. Provide final protection and maintain conditions during and after installation that ensure fire-resistive joint systems are without damage or deterioration at time of Substantial Completion. If damage or deterioration occurs despite such protection, cut out and remove damaged or deteriorated fire-resistive joint systems immediately and install new materials to produce fire-resistive joint systems complying with specified requirements.

**END OF SECTION 078446**

## **SECTION 079200 - JOINT SEALANTS**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Silicone joint sealants.
  - 2. Nonstaining silicone joint sealants.
  - 3. Urethane joint sealants.
  - 4. Immersible joint sealants.
  - 5. Silyl-terminated polyether joint sealants.
  - 6. Mildew-resistant joint sealants.
  - 7. Polysulfide joint sealants.
  - 8. Butyl joint sealants.
  - 9. Latex joint sealants.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each joint-sealant product.
- B. Samples for Initial Selection: Manufacturer's color charts consisting of strips of cured sealants showing the full range of colors available for each product exposed to view.
- C. Samples for Verification: For each kind and color of joint sealant required, provide Samples with joint sealants in 1/2-inch-wide joints formed between two 6-inch-long strips of material matching the appearance of exposed surfaces adjacent to joint sealants.
- D. Joint-Sealant Schedule: Include the following information:
  - 1. Joint-sealant application, joint location, and designation.
  - 2. Joint-sealant manufacturer and product name.
  - 3. Joint-sealant formulation.
  - 4. Joint-sealant color.

#### **1.4 QUALITY ASSURANCE**

- A. Installer Qualifications: An authorized representative who is trained and approved by manufacturer.
- B. Product Testing: Test joint sealants using a qualified testing agency.
  - 1. Testing Agency Qualifications: Qualified according to ASTM C 1021 to conduct the testing indicated.

## 1.5 FIELD CONDITIONS

- A. Do not proceed with installation of joint sealants under the following conditions:
1. When ambient and substrate temperature conditions are outside limits permitted by joint-sealant manufacturer or are below 40 deg F.
  2. When joint substrates are wet.
  3. Where joint widths are less than those allowed by joint-sealant manufacturer for applications indicated.
  4. Where contaminants capable of interfering with adhesion have not yet been removed from joint substrates.

## 1.6 WARRANTY

- A. Special Installer's Warranty: Installer agrees to repair or replace joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
1. Warranty Period: Two years from date of Project Acceptance.
- B. Special Manufacturer's Warranty: Manufacturer agrees to furnish joint sealants to repair or replace those joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
1. Warranty Period: Five years from date of Project Acceptance.
- C. Special warranties specified in this article exclude deterioration or failure of joint sealants from the following:
1. Movement of the structure caused by stresses on the sealant exceeding sealant manufacturer's written specifications for sealant elongation and compression.
  2. Disintegration of joint substrates from causes exceeding design specifications.
  3. Mechanical damage caused by individuals, tools, or other outside agents.
  4. Changes in sealant appearance caused by accumulation of dirt or other atmospheric contaminants.

## PART 2 - PRODUCTS

### 2.1 JOINT SEALANTS, GENERAL

- A. Compatibility: Provide joint sealants, backings, and other related materials that are compatible with one another and with joint substrates under conditions of service and application, as demonstrated by joint-sealant manufacturer, based on testing and field experience.
- B. VOC Content of Interior Sealants: Sealants and sealant primers used inside the weatherproofing system shall comply with the following:
1. Architectural sealants shall have a VOC content of 250 g/L or less.
  2. Sealants and sealant primers for nonporous substrates shall have a VOC content of 250 g/L or less.
  3. Sealants and sealant primers for nonporous substrates shall have a VOC content of 775 g/L or less.
- C. Colors of Exposed Joint Sealants: As selected by Architect from manufacturer's full range.



## 2.2 SILICONE JOINT SEALANTS

- A. Silicone, S, NS, 50, NT: Single-component, nonsag, plus 50 percent and minus 50 percent movement capability, nontraffic-use, neutral-curing silicone joint sealant; ASTM C 920, Type S, Grade NS, Class 50, Use NT.
- B. Silicone, S, NS, 50, T, NT: Single-component, nonsag, plus 50 percent and minus 50 percent movement capability, traffic- and nontraffic-use, neutral-curing silicone joint sealant; ASTM C 920, Type S, Grade NS, Class 50, Uses T and NT.
- C. Silicone, S, P, 100/50, T, NT: Single-component, pourable, plus 100 percent and minus 50 percent movement capability traffic- and nontraffic-use, neutral-curing silicone joint sealant; ASTM C 920, Type S, Grade P, Class 100/50, Uses T and NT.

## 2.3 NONSTAINING SILICONE JOINT SEALANTS

- A. Nonstaining Joint Sealants: No staining of substrates when tested according to ASTM C 1248.
- B. Silicone, Nonstaining, S, NS, 50, NT: Nonstaining, single-component, nonsag, plus 50 percent and minus 50 percent movement capability, nontraffic-use, neutral-curing silicone joint sealant; ASTM C 920, Type S, Grade NS, Class 50, Use NT.

## 2.4 MILDEW-RESISTANT JOINT SEALANTS

- A. Mildew-Resistant Joint Sealants: Formulated for prolonged exposure to humidity with fungicide to prevent mold and mildew growth.
- B. Silicone, Mildew Resistant, Acid Curing, S, NS, 25, NT: Mildew-resistant, single-component, nonsag, plus 25 percent and minus 25 percent movement capability, nontraffic-use, acid-curing silicone joint sealant; ASTM C 920, Type S, Grade NS, Class 25, Use NT.

## 2.5 LATEX JOINT SEALANTS

- A. Acrylic Latex: Acrylic latex or siliconized acrylic latex, ASTM C 834, Type OP, Grade NF.

## 2.6 JOINT-SEALANT BACKING

- A. Sealant Backing Material, General: Nonstaining; compatible with joint substrates, sealants, primers, and other joint fillers; and approved for applications indicated by sealant manufacturer based on field experience and laboratory testing.
- B. Cylindrical Sealant Backings: ASTM C 1330, Type C (closed-cell material with a surface skin), and of size and density to control sealant depth and otherwise contribute to producing optimum sealant performance.
- C. Bond-Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer for preventing sealant from adhering to rigid, inflexible joint-filler materials or joint surfaces at back of joint. Provide self-adhesive tape where applicable.

## 2.7 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by joint-sealant manufacturer where required for adhesion of sealant to joint substrates indicated, as determined from preconstruction joint-sealant-substrate tests and field tests.
- B. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to manufacturers of sealants and sealant backing materials, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces in any way, and formulated to promote optimum adhesion of sealants to joint substrates.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with joint sealants and surfaces adjacent to joints.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine joints indicated to receive joint sealants, with Installer present, for compliance with requirements for joint configuration, installation tolerances, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Surface Cleaning of Joints: Clean out joints immediately before installing joint sealants to comply with joint-sealant manufacturer's written instructions and the following requirements:
  - 1. Remove all foreign material from joint substrates that could interfere with adhesion of joint sealant, including dust, paints (except for permanent, protective coatings tested and approved for sealant adhesion and compatibility by sealant manufacturer), old joint sealants, oil, grease, waterproofing, water repellents, water, surface dirt, and frost.
  - 2. Clean porous joint substrate surfaces by brushing, grinding, mechanical abrading, or a combination of these methods to produce a clean, sound substrate capable of developing optimum bond with joint sealants. Remove loose particles remaining after cleaning operations above by vacuuming or blowing out joints with oil-free compressed air. Porous joint substrates include the following:
    - a. Concrete.
    - b. Masonry.
    - c. Unglazed surfaces of ceramic tile.
  - 3. Remove laitance and form-release agents from concrete.
  - 4. Clean nonporous joint substrate surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion of joint sealants. Nonporous joint substrates include the following:
    - a. Metal.
    - b. Glass.
    - c. Porcelain enamel.
    - d. Glazed surfaces of ceramic tile.

- B. Joint Priming: Prime joint substrates where recommended by joint-sealant manufacturer or as indicated by preconstruction joint-sealant-substrate tests or prior experience. Apply primer to comply with joint-sealant manufacturer's written instructions. Confine primers to areas of joint-sealant bond; do not allow spillage or migration onto adjoining surfaces.
- C. Masking Tape: Use masking tape where required to prevent contact of sealant or primer with adjoining surfaces that otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove sealant smears. Remove tape immediately after tooling without disturbing joint seal.

### 3.3 INSTALLATION OF JOINT SEALANTS

- A. General: Comply with joint-sealant manufacturer's written installation instructions for products and applications indicated, unless more stringent requirements apply.
- B. Sealant Installation Standard: Comply with recommendations in ASTM C 1193 for use of joint sealants as applicable to materials, applications, and conditions indicated.
- C. Install sealant backings of kind indicated to support sealants during application and at position required to produce cross-sectional shapes and depths of installed sealants relative to joint widths that allow optimum sealant movement capability.
  - 1. Do not leave gaps between ends of sealant backings.
  - 2. Do not stretch, twist, puncture, or tear sealant backings.
  - 3. Remove absorbent sealant backings that have become wet before sealant application, and replace them with dry materials.
- D. Install bond-breaker tape behind sealants where sealant backings are not used between sealants and backs of joints.
- E. Install sealants using proven techniques that comply with the following and at the same time backings are installed:
  - 1. Place sealants so they directly contact and fully wet joint substrates.
  - 2. Completely fill recesses in each joint configuration.
  - 3. Produce uniform, cross-sectional shapes and depths relative to joint widths that allow optimum sealant movement capability.
- F. Tooling of Nonsag Sealants: Immediately after sealant application and before skinning or curing begins, tool sealants according to requirements specified in subparagraphs below to form smooth, uniform beads of configuration indicated; to eliminate air pockets; and to ensure contact and adhesion of sealant with sides of joint.
  - 1. Remove excess sealant from surfaces adjacent to joints.
  - 2. Use tooling agents that are approved in writing by sealant manufacturer and that do not discolor sealants or adjacent surfaces.
  - 3. Provide concave joint profile per Figure 8A in ASTM C 1193 unless otherwise indicated.
  - 4. Provide flush joint profile at all locations according to Figure 8B in ASTM C 1193.

### 3.4 FIELD QUALITY CONTROL

- A. Field-Adhesion Testing: Field test joint-sealant adhesion to joint substrates as follows:
  - 1. Extent of Testing: Test completed and cured sealant joints as follows:

- a. Perform 10 tests for the first 1000 feet of joint length for each kind of sealant and joint substrate.
    - b. Perform one test for each 1000 feet of joint length thereafter or one test per each floor per elevation.
  2. Test Method: Test joint sealants according to Method A, Field-Applied Sealant Joint Hand Pull Tab, in Appendix X1 in ASTM C 1193 or Method A, Tail Procedure, in ASTM C 1521.
    - a. For joints with dissimilar substrates, verify adhesion to each substrate separately; extend cut along one side, verifying adhesion to opposite side. Repeat procedure for opposite side.
  3. Inspect tested joints and report on the following:
    - a. Whether sealants filled joint cavities and are free of voids.
    - b. Whether sealant dimensions and configurations comply with specified requirements.
    - c. Whether sealants in joints connected to pulled-out portion failed to adhere to joint substrates or tore cohesively. Include data on pull distance used to test each kind of product and joint substrate. Compare these results to determine if adhesion complies with sealant manufacturer's field-adhesion hand-pull test criteria.
  4. Record test results in a field-adhesion-test log. Include dates when sealants were installed, names of persons who installed sealants, test dates, test locations, whether joints were primed, adhesion results and percent elongations, sealant material, sealant configuration, and sealant dimensions.
  5. Repair sealants pulled from test area by applying new sealants following same procedures used originally to seal joints. Ensure that original sealant surfaces are clean and that new sealant contacts original sealant.
- B. Evaluation of Field-Adhesion-Test Results: Sealants not evidencing adhesive failure from testing or noncompliance with other indicated requirements will be considered satisfactory. Remove sealants that fail to adhere to joint substrates during testing or to comply with other requirements. Retest failed applications until test results prove sealants comply with indicated requirements.

### 3.5 CLEANING

- A. Clean off excess sealant or sealant smears adjacent to joints as the Work progresses by methods and with cleaning materials approved in writing by manufacturers of joint sealants and of products in which joints occur.

### 3.6 PROTECTION

- A. Protect joint sealants during and after curing period from contact with contaminating substances and from damage resulting from construction operations or other causes so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out, remove, and repair damaged or deteriorated joint sealants immediately so installations with repaired areas are indistinguishable from original work.

### 3.7 JOINT-SEALANT SCHEDULE

- A. Joint-Sealant Application: Exterior joints in horizontal traffic surfaces.
1. Joint Locations:

- a. Isolation and contraction joints in cast-in-place concrete slabs.
    - b. Other joints as indicated on Drawings.
  - 2. Joint Sealant: Silicone, S, P, 50, T, NT.
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- B. Joint-Sealant Application: Exterior joints in vertical surfaces and horizontal nontraffic surfaces.
- 1. Joint Locations:
    - a. Control and expansion joints in unit masonry.
    - b. Joints between metal panels.
    - c. Joints between different materials listed above.
    - d. Perimeter joints between materials listed above and frames of doors windows and louvers.
    - e. Control and expansion joints in ceilings.
    - f. Other joints as indicated on Drawings.
  - 2. Joint Sealant: Silicone, nonstaining, S, NS, 50, NT.
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- C. Joint-Sealant Application: Interior joints in horizontal traffic surfaces.
- 1. Joint Locations:
    - a. Isolation joints in cast-in-place concrete slabs.
    - b. Control and expansion joints in tile flooring.
    - c. Other joints as indicated on Drawings.
  - 2. Joint Sealant: Silicone, S, P, 100/50, T, NT.
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- D. Joint-Sealant Application: Interior joints in vertical surfaces and horizontal nontraffic surfaces.
- 1. Joint Locations:
    - a. Control and expansion joints on exposed interior surfaces of exterior walls.
    - b. Tile control and expansion joints.
    - c. Vertical joints on exposed surfaces of unit masonry, walls and partitions.
    - d. Other joints as indicated on Drawings.
  - 2. Joint Sealant: Silicone, S, NS, 50, NT.
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- E. Joint-Sealant Application: Interior joints in vertical surfaces and horizontal nontraffic surfaces not subject to significant movement.
- 1. Joint Locations:
    - a. Control joints on exposed interior surfaces of exterior walls.
    - b. Perimeter joints between interior wall surfaces and frames of interior doors, windows and elevator entrances.
    - c. Other joints as indicated on Drawings.
  - 2. Joint Sealant: Acrylic latex.
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.

- F. Joint-Sealant Application: Mildew-resistant interior joints in vertical surfaces and horizontal nontraffic surfaces.
1. Joint Locations:
    - a. Joints between plumbing fixtures and adjoining walls, floors, and counters.
    - b. Tile control and expansion joints where indicated.
    - c. Around perimeter of floors, ceiling, and doors, in Clean Room, Dressing, and DCON.
    - d. Other joints as indicated on Drawings.
  2. Joint Sealant: Silicone, mildew resistant, acid curing, S, NS, 25, NT.
  3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- G. Joint-Sealant Application: Concealed mastics.
1. Joint Locations:
    - a. Aluminum thresholds.
    - b. Sill plates.
    - c. Other joints as indicated on Drawings.
  2. Joint Sealant: Silicone, S, P, 25, NT.
  3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.

**END OF SECTION 079200**

## **SECTION 081213 - HOLLOW METAL FRAMES**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes hollow-metal frames.

#### **1.3 DEFINITIONS**

- A. Minimum Thickness: Minimum thickness of base metal without coatings according to NAAMM-HMMA 803 or SDI A250.8.

#### **1.4 COORDINATION**

- A. Coordinate anchorage installation for hollow-metal frames. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors. Deliver such items to Project site in time for installation.

#### **1.5 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, fire-resistance ratings, and finishes.
- B. Shop Drawings: Include the following:
  - 1. Frame details for each frame type, including dimensioned profiles and metal thicknesses.
  - 2. Locations of reinforcement and preparations for hardware.
  - 3. Details of each different wall opening condition.
  - 4. Details of anchorages, joints, field splices, and connections.
  - 5. Details of moldings, removable stops, and glazing.
  - 6. Details of conduit and preparations for power, signal, and control systems.
- C. Schedule: Provide a schedule of hollow-metal work prepared by or under the supervision of supplier, using same reference numbers for details and openings as those on Drawings. Coordinate with final Door Hardware Schedule.

#### **1.6 INFORMATIONAL SUBMITTALS**

- A. Product Test Reports: For each type of frame assembly, for tests performed by a qualified testing agency.

- B. Oversize Construction Certification: For assemblies required to be fire rated and exceeding limitations of labeled assemblies.

#### 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver hollow-metal work palletized, packaged, or crated to provide protection during transit and Project-site storage. Do not use nonvented plastic.
  - 1. Provide additional protection to prevent damage to factory-finished units.
- B. Deliver welded frames with two removable spreader bars across bottom of frames, tack welded to jambs and mullions.
- C. Store hollow-metal work vertically under cover at Project site with head up. Place on minimum 4-inch-high wood blocking. Provide minimum 1/4-inch space between each unit to permit air circulation.

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Source Limitations: Obtain hollow-metal work from single source from single manufacturer.

#### 2.2 REGULATORY REQUIREMENTS

- A. Fire-Rated Assemblies: Complying with NFPA 80 and listed and labeled by a qualified testing agency acceptable to authorities having jurisdiction for fire-protection ratings indicated, based on testing at positive pressure according to NFPA 252 or UL 10C.
  - 1. Smoke- and Draft-Control Assemblies: Provide an assembly with gaskets listed and labeled for smoke and draft control by a qualified testing agency acceptable to authorities having jurisdiction, based on testing according to UL 1784 and installed in compliance with NFPA 105.
- B. Fire-Rated, Borrowed-Light Assemblies: Complying with NFPA 80 and listed and labeled by a testing and inspecting agency acceptable to authorities having jurisdiction for fire-protection ratings indicated, based on testing according to NFPA 257 or UL 9.

#### 2.3 INTERIOR FRAMES

- A. Construct interior frames to comply with the standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.
- B. Hollow-Metal Frames: NAAMM-HMMA 860..
  - 1. Physical Performance: Level A according to SDI A250.4.
  - 2. Materials: Uncoated steel sheet, minimum thickness of 0.053 inch.
  - 3. Construction: Full profile welded.
  - 4. Exposed Finish: Prime.



## 2.4 FRAME ANCHORS

### A. Jamb Anchors:

1. Stud-Wall Type: Designed to engage stud, welded to back of frames; not less than 0.042 inch thick.
2. Compression Type for Drywall Slip-on Frames: Adjustable compression anchors.

## 2.5 MATERIALS

- A. Cold-Rolled Steel Sheet: ASTM A 1008/A 1008M, Commercial Steel (CS), Type B; suitable for exposed applications.
- B. Hot-Rolled Steel Sheet: ASTM A 1011/A 1011M, Commercial Steel (CS), Type B; free of scale, pitting, or surface defects; pickled and oiled.
- C. Frame Anchors: ASTM A 879/A 879M, Commercial Steel (CS), 04Z coating designation; mill phosphatized.
  1. For anchors built into exterior walls, steel sheet complying with ASTM A 1008/A 1008M or ASTM A 1011/A 1011M, hot-dip galvanized according to ASTM A 153/A 153M, Class B.
- D. Inserts, Bolts, and Fasteners: Hot-dip galvanized according to ASTM A 153/A 153M.
- E. Glazing: Comply with requirements in Section 088000 "Glazing."

## 2.6 FABRICATION

- A. Fabricate hollow-metal work to be rigid and free of defects, warp, or buckle. Accurately form metal to required sizes and profiles, with minimum radius for metal thickness. Where practical, fit and assemble units in manufacturer's plant. To ensure proper assembly at Project site, clearly identify work that cannot be permanently factory assembled before shipment.
- B. Hollow-Metal Frames: Where frames are fabricated in sections due to shipping or handling limitations, provide alignment plates or angles at each joint, fabricated of same thickness metal as frames.
  1. Sidelight Frames: Provide closed tubular members with no visible face seams or joints, fabricated from same material as door frame. Fasten members at crossings and to jambs by butt welding.
  2. Provide countersunk, flat- or oval-head exposed screws and bolts for exposed fasteners unless otherwise indicated.
  3. Jamb Anchors: Provide number and spacing of anchors as follows:
    - a. Stud-Wall Type: Locate anchors not more than 18 inches from top and bottom of frame. Space anchors not more than 32 inches o.c. and as follows:
      - 1) Three anchors per jamb up to 60 inches high.
      - 2) Four anchors per jamb from 60 to 90 inches high.
      - 3) Five anchors per jamb from 90 to 96 inches high.
      - 4) Five anchors per jamb plus one additional anchor per jamb for each 24 inches or fraction thereof above 96 inches high.
  4. Door Silencers: Except on weather-stripped frames, drill stops to receive door silencers as follows. Keep holes clear during construction.

- a. Single-Door Frames: Drill stop in strike jamb to receive three door silencers.
  - b. Double-Door Frames: Drill stop in head jamb to receive two door silencers.
- C. Hardware Preparation: Factory prepare hollow-metal work to receive templated mortised hardware; include cutouts, reinforcement, mortising, drilling, and tapping according to SDI A250.6, the Door Hardware Schedule, and templates.
  - 1. Reinforce frames to receive nontemplated, mortised, and surface-mounted hardware.
  - 2. Comply with applicable requirements in SDI A250.6 and BHMA A156.115 for preparation of hollow-metal work for hardware.
- D. Stops and Moldings: Provide stops and moldings around glazed lites and louvers where indicated. Form corners of stops and moldings with butted or mitered hairline joints.
  - 1. Single Glazed Lites: Provide fixed stops and moldings welded on secure side of hollow-metal work.
  - 2. Provide fixed frame moldings on outside of exterior and on secure side of interior frames.
  - 3. Provide loose stops and moldings on inside of hollow-metal work.
  - 4. Coordinate rabbet width between fixed and removable stops with glazing and installation types indicated.

## 2.7 STEEL FINISHES

- A. Prime Finish: Clean, pretreat, and apply manufacturer's standard primer.
  - 1. Shop Primer: Manufacturer's standard, fast-curing, lead- and chromate-free primer complying with SDI A250.10; recommended by primer manufacturer for substrate; compatible with substrate and field-applied coatings despite prolonged exposure.

## 2.8 ACCESSORIES

- A. Mullions and Transom Bars: Join to adjacent members by welding or rigid mechanical anchors.

# PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for embedded and built-in anchors to verify actual locations before frame installation.
- C. Prepare written report, endorsed by Installer, listing conditions detrimental to performance of the Work.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Remove welded-in shipping spreaders installed at factory. Restore exposed finish by grinding, filling, and dressing, as required to make repaired area smooth, flush, and invisible on exposed faces.
- B. Drill and tap frames to receive nontemplated, mortised, and surface-mounted hardware.

### 3.3 INSTALLATION

- A. General: Install hollow-metal work plumb, rigid, properly aligned, and securely fastened in place. Comply with Drawings and manufacturer's written instructions.
- B. Hollow-Metal Frames: Install hollow-metal frames of size and profile indicated. Comply with SDI A250.11 or NAAMM-HMMA 840 as required by standards specified.
  - 1. Set frames accurately in position; plumbed, aligned, and braced securely until permanent anchors are set. After wall construction is complete, remove temporary braces, leaving surfaces smooth and undamaged.
    - a. At fire-rated openings, install frames according to NFPA 80.
    - b. Where frames are fabricated in sections because of shipping or handling limitations, field splice at approved locations by welding face joint continuously; grind, fill, dress, and make splice smooth, flush, and invisible on exposed faces.
    - c. Install frames with removable stops located on secure side of opening.
    - d. Install door silencers in frames before grouting.
    - e. Remove temporary braces necessary for installation only after frames have been properly set and secured.
    - f. Check plumb, square, and twist of frames as walls are constructed. Shim as necessary to comply with installation tolerances.
    - g. Field apply bituminous coating to backs of frames that will be filled with grout containing antifreezing agents.
  - 2. Metal-Stud Partitions: Solidly pack mineral-fiber insulation inside frames.
  - 3. Installation Tolerances: Adjust hollow-metal door frames for squareness, alignment, twist, and plumb to the following tolerances:
    - a. Squareness: Plus or minus 1/16 inch, measured at door rabbet on a line 90 degrees from jamb perpendicular to frame head.
    - b. Alignment: Plus or minus 1/16 inch, measured at jambs on a horizontal line parallel to plane of wall.
    - c. Twist: Plus or minus 1/16 inch, measured at opposite face corners of jambs on parallel lines, and perpendicular to plane of wall.
    - d. Plumbness: Plus or minus 1/16 inch, measured at jambs at floor.
- C. Glazing: Comply with installation requirements in Section 088000 "Glazing" and with hollow-metal manufacturer's written instructions.
  - 1. Secure stops with countersunk flat- or oval-head machine screws spaced uniformly not more than 9 inches o.c. and not more than 2 inches o.c. from each corner.

### 3.4 ADJUSTING AND CLEANING

- A. Final Adjustments: Remove and replace defective work, including hollow-metal work that is warped, bowed, or otherwise unacceptable.
- B. Remove grout and other bonding material from hollow-metal work immediately after installation.
- C. Prime-Coat Touchup: Immediately after erection, sand smooth rusted or damaged areas of prime coat and apply touchup of compatible air-drying, rust-inhibitive primer.
- D. Touchup Painting: Cleaning and touchup painting of abraded areas of paint are specified in painting Sections.

**END OF SECTION 081213**

## SECTION 081416 - FLUSH WOOD DOORS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Solid-core doors with wood-veneer faces.
  - 2. Factory finishing flush wood doors.
  - 3. Factory fitting flush wood doors to frames and factory machining for hardware.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of door. Include details of core and edge construction and trim for openings. Include factory-finishing specifications.
- B. Shop Drawings: Indicate location, size, and hand of each door; elevation of each kind of door; construction details not covered in Product Data; and the following:
  - 1. Dimensions and locations of blocking.
  - 2. Dimensions and locations of mortises and holes for hardware.
  - 3. Dimensions and locations of cutouts.
  - 4. Undercuts.
  - 5. Requirements for veneer matching.
  - 6. Doors to be factory finished and finish requirements.
  - 7. Fire-protection ratings for fire-rated doors.
- C. Samples for Initial Selection: For factory-finished doors.
- D. Samples for Verification:
  - 1. Factory finishes applied to actual door face materials, approximately 8 by 10 inches (200 by 250 mm), for each material and finish. For each wood species and transparent finish, provide set of three Samples showing typical range of color and grain to be expected in finished Work.
  - 2. Corner sections of doors, approximately 8 by 10 inches (200 by 250 mm), with door faces and edges representing actual materials to be used.
    - a. Provide Samples for each species of veneer and solid lumber required.
    - b. Finish veneer-faced door Samples with same materials proposed for factory-finished doors.
  - 3. Frames for light openings, 6 inches (150 mm) long, for each material, type, and finish required.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Sample Warranty: For special warranty.
- B. Quality Standard Compliance Certificates: AWI Quality Certification Program certificates.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Comply with requirements of referenced standard and manufacturer's written instructions.
- B. Package doors individually in plastic bags or cardboard cartons.
- C. Mark each door on bottom rail with opening number used on Shop Drawings.

#### 1.6 FIELD CONDITIONS

- A. Environmental Limitations: Do not deliver or install doors until spaces are enclosed and weathertight, wet work in spaces is complete and dry, and HVAC system is operating and maintaining ambient temperature and humidity conditions at occupancy levels during remainder of construction period.

#### 1.7 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace doors that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Warping (bow, cup, or twist) more than 1/4 inch in a 42-by-84-inch section.
    - b. Telegraphing of core construction in face veneers exceeding 0.01 inch in a 3-inch span.
  - 2. Warranty shall also include installation and finishing that may be required due to repair or replacement of defective doors.
  - 3. Warranty Period for Solid-Core Interior Doors: Life of installation.

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Algoma Hardwoods, Inc.
  - 2. Eggers Industries.
  - 3. Graham Wood Doors; ASSA ABLOY Group company.
  - 4. Marshfield DoorSystems, Inc.
  - 5. Mohawk Flush Doors, Inc.
  - 6. Oshkosh Door Company.
- B. Source Limitations: Obtain flush wood doors from single manufacturer.

## 2.2 FLUSH WOOD DOORS, GENERAL

- A. Quality Standard: In addition to requirements specified, comply with AWI's, AWMAC's, and WI's "Architectural Woodwork Standards."
  - 1. Provide AWI Quality Certification Labels indicating that doors comply with requirements of grades specified.
  - 2. Contract Documents contain selections chosen from options in quality standard and additional requirements beyond those of quality standard. Comply with those selections and requirements in addition to quality standard.
- B. Low-Emitting Materials: Fabricate doors with adhesives and composite wood products that do not contain urea formaldehyde.
- C. WDMA I.S.1-A Performance Grade: Extra Heavy Duty.
- D. Fire-Rated Wood Doors: Doors complying with NFPA 80 that are listed and labeled by a qualified testing agency, for fire-protection ratings indicated, based on testing at positive pressure according to NFPA 252 or UL 10C.
  - 1. Oversize Fire-Rated Door Assemblies: For units exceeding sizes of tested assemblies, provide certification by a qualified testing agency that doors comply with standard construction requirements for tested and labeled fire-rated door assemblies except for size.
  - 2. Cores: Provide core specified or mineral core as needed to provide fire-protection rating indicated.
  - 3. Edge Construction: Provide edge construction with intumescent seals concealed by outer stile. Comply with specified requirements for exposed edges.
  - 4. Pairs: Provide fire-retardant stiles that are listed and labeled for applications indicated without formed-steel edges and astragals. Provide stiles with concealed intumescent seals. Comply with specified requirements for exposed edges.
- E. Structural-Composite-Lumber-Core Doors:
  - 1. Structural Composite Lumber: WDMA I.S.10.
    - a. Screw Withdrawal, Face: 700 lbf.
    - b. Screw Withdrawal, Edge: 400 lbf.

## 2.3 VENEER-FACED DOORS FOR TRANSPARENT FINISH

- A. Interior Solid-Core Doors:
  - 1. Grade: Premium, with Grade AA faces.
  - 2. Species: Select white birch.
  - 3. Cut: Rotary cut.
  - 4. Match between Veneer Leaves: Book match.
  - 5. Assembly of Veneer Leaves on Door Faces: Center-balance match.
  - 6. Pair and Set Match: Provide for doors hung in same opening or separated only by mullions.
  - 7. Room Match: Match door faces within each separate room or area of building. Corridor-door faces do not need to match where they are separated by 20 feet or more.
  - 8. Room Match: Provide door faces of compatible color and grain within each separate room or area of building.
  - 9. Exposed Vertical and Top Edges: Same species as faces or a compatible species - edge Type A.
  - 10. Core: Glued wood stave.

11. Construction: Five plies. Stiles and rails are bonded to core, then entire unit is abrasive planed before veneering. Faces are bonded to core using a hot press.
12. WDMA I.S.1-A Performance Grade: Extra Heavy Duty.

## 2.4 LIGHT FRAMES AND LOUVERS

- A. Metal Frames for Light Openings in Fire-Rated Doors: Manufacturer's standard frame formed of 0.048-inch-thick, cold-rolled steel sheet; with baked-enamel- or powder-coated finish; and approved for use in doors of fire-protection rating indicated.

## 2.5 FABRICATION

- A. Factory fit doors to suit frame-opening sizes indicated. Comply with clearance requirements of referenced quality standard for fitting unless otherwise indicated.
  1. Comply with NFPA 80 requirements for fire-rated doors.
- B. Factory machine doors for hardware that is not surface applied. Locate hardware to comply with DHI-WDHS-3. Comply with final hardware schedules, door frame Shop Drawings, BHMA-156.115-W, and hardware templates.
  1. Coordinate with hardware mortises in metal frames to verify dimensions and alignment before factory machining.
  2. Metal Astragals: Factory machine astragals and formed-steel edges for hardware for pairs of fire-rated doors.
- C. Openings: Factory cut and trim openings through doors.
  1. Light Openings: Trim openings with moldings of material and profile indicated.
  2. Glazing: Factory install glazing in doors indicated to be factory finished. Comply with applicable requirements in Section 088000 "Glazing."

## 2.6 FACTORY FINISHING

- A. General: Comply with referenced quality standard for factory finishing. Complete fabrication, including fitting doors for openings and machining for hardware that is not surface applied, before finishing.
  1. Finish faces, all four edges, edges of cutouts, and mortises. Stains and fillers may be omitted on top and bottom edges, edges of cutouts, and mortises.
- B. Factory finish doors.
- C. Transparent Finish:
  1. Grade: Premium.
  2. Finish: AWI's, AWMAC's, and WI's "Architectural Woodwork Standards" System 9, UV curable, acrylated epoxy, polyester, or urethane System 11, catalyzed polyurethane.
  3. Staining: As selected by Architect from manufacturer's full range.
  4. Effect: Filled finish.
  5. Sheen: Semigloss.



## **PART 3 - EXECUTION**

### **3.1 EXAMINATION**

- A. Examine doors and installed door frames, with Installer present, before hanging doors.
  - 1. Verify that installed frames comply with indicated requirements for type, size, location, and swing characteristics and have been installed with level heads and plumb jambs.
  - 2. Reject doors with defects.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### **3.2 INSTALLATION**

- A. Hardware: For installation, see Section 087100 "Door Hardware."
- B. Installation Instructions: Install doors to comply with manufacturer's written instructions and referenced quality standard, and as indicated.
  - 1. Install fire-rated doors according to NFPA 80.
  - 2. Install smoke- and draft-control doors according to NFPA 105.
- C. Job-Fitted Doors: Align and fit doors in frames with uniform clearances and bevels as indicated below; do not trim stiles and rails in excess of limits set by manufacturer or permitted for fire-rated doors. Machine doors for hardware. Seal edges of doors, edges of cutouts, and mortises after fitting and machining.
  - 1. Clearances: Provide 1/8 inch at heads, jambs, and between pairs of doors. Provide 1/8 inch from bottom of door to top of decorative floor finish or covering unless otherwise indicated. Where threshold is shown or scheduled, provide 1/4 inch from bottom of door to top of threshold unless otherwise indicated.
    - a. Comply with NFPA 80 for fire-rated doors.
    - b. 2. Bevel non-fire-rated doors 1/8 inch in 2 inches at lock and hinge edges.
  - 2. Bevel fire-rated doors 1/8 inch in 2 inches at lock edge; trim stiles and rails only to extent permitted by labeling agency.
- D. Factory-Fitted Doors: Align in frames for uniform clearance at each edge.
- E. Factory-Finished Doors: Restore finish before installation if fitting or machining is required at Project site.

### **3.3 ADJUSTING**

- A. Operation: Rehang or replace doors that do not swing or operate freely.
- B. Finished Doors: Replace doors that are damaged or that do not comply with requirements. Doors may be repaired or refinished if Work complies with requirements and shows no evidence of repair or refinishing.

## **END OF SECTION 081416**



## **SECTION 087100 - DOOR HARDWARE**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Mechanical door hardware for the following:
    - a. Swinging doors.
  - 2. Cylinders for door hardware specified in other Sections.

#### **1.3 COORDINATION**

- A. Installation Templates: Distribute for doors, frames, and other work specified to be factory prepared. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing door hardware to comply with indicated requirements.
- B. Security: Coordinate installation of door hardware, keying, and access control with Owner's security consultant.

#### **1.4 PREINSTALLATION MEETINGS**

- A. Preinstallation Conference: Conduct conference at Project site.
  - 1. Conference participants shall include Installer's Architectural Hardware Consultant and Owner's security consultant.
- B. Keying Conference: Conduct conference at Project site.
  - 1. Conference participants shall include Installer's Architectural Hardware Consultant and Owner's security consultant.
  - 2. Incorporate conference decisions into keying schedule after reviewing door hardware keying system including, but not limited to, the following:
    - a. Flow of traffic and degree of security required.
    - b. Preliminary key system schematic diagram.
    - c. Requirements for key control system.
    - d. Requirements for access control.
    - e. Address for delivery of keys.

## 1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Shop Drawings: For electrified door hardware.
  - 1. Include diagrams for power, signal, and control wiring.
  - 2. Include details of interface of electrified door hardware and building safety and security systems.
- C. Samples: For each exposed product in each finish specified, in manufacturer's standard size.
  - 1. Tag Samples with full product description to coordinate Samples with door hardware schedule.
- D. Samples for Initial Selection: For each type of exposed finish.
- E. Samples for Verification: For each type of exposed product, in each finish specified.
  - 1. Sample Size: Full-size units or minimum 2-by-4-inch Samples for sheet and 4-inch long Samples for other products.
    - a. Full-size Samples will be returned to Contractor. Units that are acceptable and remain undamaged through submittal, review, and field comparison process may, after final check of operation, be incorporated into the Work, within limitations of keying requirements.
  - 2. Tag Samples with full product description to coordinate Samples with door hardware schedule.
- F. Door Hardware Schedule: Prepared by or under the supervision of Installer's Architectural Hardware Consultant. Coordinate door hardware schedule with doors, frames, and related work to ensure proper size, thickness, hand, function, and finish of door hardware.
  - 1. Submittal Sequence: Submit door hardware schedule concurrent with submissions of Product Data, Samples, and Shop Drawings. Coordinate submission of door hardware schedule with scheduling requirements of other work to facilitate the fabrication of other work that is critical in Project construction schedule.
  - 2. Format: Use same scheduling sequence and format and use same door numbers as in door hardware schedule in the Contract Documents.
  - 3. Content: Include the following information:
    - a. Identification number, location, hand, fire rating, size, and material of each door and frame.
    - b. Locations of each door hardware set, cross-referenced to Drawings on floor plans and to door and frame schedule.
    - c. Complete designations, including name and manufacturer, type, style, function, size, quantity, function, and finish of each door hardware product.
    - d. Description of electrified door hardware sequences of operation and interfaces with other building control systems.
    - e. Fastenings and other installation information.
    - f. Explanation of abbreviations, symbols, and designations contained in door hardware schedule.
    - g. Mounting locations for door hardware.
    - h. List of related door devices specified in other Sections for each door and frame.

- G. Keying Schedule: Prepared by or under the supervision of Installer's Architectural Hardware Consultant, detailing Owner's final keying instructions for locks. Include schematic keying diagram and index each key set to unique door designations that are coordinated with the Contract Documents.

#### 1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer and Architectural Hardware Consultant.
- B. Product Certificates: For each type of electrified door hardware.
  - 1. Certify that door hardware for use on each type and size of labeled fire-rated doors complies with listed fire-rated door assemblies.
- C. Product Test Reports: For compliance with accessibility requirements, for tests performed by manufacturer and witnessed by a qualified testing agency, for door hardware on doors located in accessible routes.
- D. Field quality-control reports.
- E. Sample Warranty: For special warranty.

#### 1.7 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For each type of door hardware to include in maintenance manuals.
- B. Schedules: Final door hardware and keying schedule.

#### 1.8 QUALITY ASSURANCE

- A. Installer Qualifications: Supplier of products and an employer of workers trained and approved by product manufacturers and of an Architectural Hardware Consultant who is available during the course of the Work to consult Contractor, Architect, and Owner about door hardware and keying.
  - 1. Warehousing Facilities: In Project's vicinity.
  - 2. Scheduling Responsibility: Preparation of door hardware and keying schedule.
  - 3. Engineering Responsibility: Preparation of data for electrified door hardware, including Shop Drawings, based on testing and engineering analysis of manufacturer's standard units in assemblies similar to those indicated for this Project.
- B. Architectural Hardware Consultant Qualifications: A person who is experienced in providing consulting services for door hardware installations that are comparable in material, design, and extent to that indicated for this Project and who is currently certified by DHI as an Architectural Hardware Consultant (AHC).

#### 1.9 DELIVERY, STORAGE, AND HANDLING

- A. Inventory door hardware on receipt and provide secure lock-up for door hardware delivered to Project site.

- B. Tag each item or package separately with identification coordinated with the final door hardware schedule, and include installation instructions, templates, and necessary fasteners with each item or package.
- C. Deliver keys to manufacturer of key control system for subsequent delivery to Owner.
- D. Deliver keys and permanent cores to Owner by registered mail or overnight package service.

#### 1.10 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of door hardware that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Structural failures including excessive deflection, cracking, or breakage.
    - b. Faulty operation of doors and door hardware.
    - c. Deterioration of metals, metal finishes, and other materials beyond normal weathering and use.
  - 2. Warranty Period: Three years from date of Project Acceptance unless otherwise indicated below:
    - a. Manual Closers: 10 years from date of Project Acceptance.

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Source Limitations: Obtain each type of door hardware from single manufacturer.
  - 1. Provide electrified door hardware from same manufacturer as mechanical door hardware unless otherwise indicated. Manufacturers that perform electrical modifications and that are listed by a testing and inspecting agency acceptable to authorities having jurisdiction are acceptable.

#### 2.2 PERFORMANCE REQUIREMENTS

- A. Fire-Rated Door Assemblies: Where fire-rated doors are indicated, provide door hardware complying with NFPA 80 that is listed and labeled by a qualified testing agency, for fire-protection ratings indicated, based on testing at positive pressure according to NFPA 252 or UL 10C.
- B. Smoke- and Draft-Control Door Assemblies: Where smoke- and draft-control door assemblies are required, provide door hardware that complies with requirements of assemblies tested according to UL 1784 and installed in compliance with NFPA 105.
  - 1. Air Leakage Rate: Maximum air leakage of 0.3 cfm/sq. ft. at the tested pressure differential of 0.3-inch wg of water.
- C. Means of Egress Doors: Latches do not require more than 15 lbf to release the latch. Locks do not require use of a key, tool, or special knowledge for operation.
- D. Accessibility Requirements: For door hardware on doors in an accessible route, comply with the DOJ's "2010 ADA Standards for Accessible Design" and ICC A117.1.

1. Provide operating devices that do not require tight grasping, pinching, or twisting of the wrist and that operate with a force of not more than 5 lbf.
2. Comply with the following maximum opening-force requirements:
  - a. Interior, Non-Fire-Rated Hinged Doors: 5 lbf applied perpendicular to door.
  - b. Fire Doors: Minimum opening force allowable by authorities having jurisdiction.
3. Bevel raised thresholds with a slope of not more than 1:2. Provide thresholds not more than 1/4 inch high.
4. Adjust door closer sweep periods so that, from an open position of 90 degrees, the door will take at least 5 seconds to move to a position of 12 degrees from the latch.

### 2.3 SCHEDULED DOOR HARDWARE

- A. Provide products for each door that comply with requirements indicated in Part 2 and door hardware schedule.

1. Door hardware is scheduled in Part 3.

### 2.4 HINGES

- A. Hinges: BHMA A156.1.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Allegion plc.
  - b. Baldwin Hardware Corporation.
  - c. Hager Companies.
  - d. McKinney Products Company; an ASSA ABLOY Group company.
  - e. PBB, Inc.

### 2.5 CONTINUOUS HINGES

- A. Continuous Hinges: BHMA A156.26; minimum 0.120-inch-thick, hinge leaves with minimum overall width of 4 inches; fabricated to full height of door and frame and to template screw locations; with components finished after milling and drilling are complete.
- B. Continuous, Gear-Type Hinges: Extruded-aluminum, pinless, geared hinge leaves joined by a continuous extruded-aluminum channel cap; with concealed, self-lubricating thrust bearings.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Allegion plc.
  - b. Hager Companies.
  - c. McKinney Products Company; an ASSA ABLOY Group company.
  - d. PBB, Inc.
  - e. Pemko Manufacturing Co.
  - f. Select Products Limited.

## 2.6 MECHANICAL LOCKS AND LATCHES

- A. Lock Functions: As indicated in door hardware schedule.
- B. Lock Throw: Comply with testing requirements for length of bolts required for labeled fire doors, and as follows:
  - 1. Mortise Locks: Minimum 3/4-inch latchbolt throw.
  - 2. Deadbolts: Minimum 1-inch bolt throw.
- C. Lock Backset: 2-3/4 inches unless otherwise indicated.
- D. Lock Trim:
  - 1. Description: See Schedule.
  - 2. Levers: Cast.
  - 3. Escutcheons (Roses): Cast.
  - 4. Dummy Trim: Match lever lock trim and escutcheons.
- E. Strikes: Provide manufacturer's standard strike for each lock bolt or latchbolt complying with requirements indicated for applicable lock or latch and with strike box and curved lip extended to protect frame; finished to match lock or latch.
  - 1. Flat-Lip Strikes: For locks with three-piece antifriction latchbolts, as recommended by manufacturer.
- F. Mortise Locks: BHMA A156.13; Operational Grade 1; stamped steel case with steel or brass parts; Series 1000.
  - 1. Manufacturers: Subject to compliance with requirements, [provide products by the following] [provide products by one of the following] [available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following]:
    - a. Corbin Russwin, Inc.; an ASSA ABLOY Group company.
    - b. SARGENT Manufacturing Company; ASSA ABLOY.
    - c. Yale

## 2.7 AUXILIARY LOCKS

- A. Mortise Auxiliary Locks: BHMA A156.36; Grade 1; with strike that suits frame.

## 2.8 MANUAL FLUSH BOLTS

- A. Manual Flush Bolts: BHMA A156.16; minimum 3/4-inch throw; designed for mortising into door edge.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Adams Rite Manufacturing Co; an ASSA ABLOY Group company.
    - b. Allegion plc.
    - c. Door Controls International, Inc.
    - d. Hager



## 2.9 AUTOMATIC AND SELF-LATCHING FLUSH BOLTS

- A. Automatic Flush Bolts: BHMA A156.3, Type 25; minimum 3/4-inch throw; with dust-proof strikes; designed for mortising into door edge. Include wear plates.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Adams Rite Manufacturing Co; an ASSA ABLOY Group company.
    - b. Allegion plc.
    - c. Door Controls International, Inc.
    - d. Hager

## 2.10 EXIT DEVICES AND AUXILIARY ITEMS

- A. Exit Devices and Auxiliary Items: BHMA A156.3.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Corbin Russwin, Inc.; an ASSA ABLOY Group company.
    - b. SARGENT Manufacturing Company; ASSA ABLOY.
    - c. Yale

## 2.11 LOCK CYLINDERS

- A. Lock Cylinders: Tumbler type, constructed from brass or bronze, stainless steel, or nickel silver. Provide cylinder from same manufacturer of locking devices.
- B. Construction Master Keys: Provide cylinders with feature that permits voiding of construction keys without cylinder removal. Provide 10 construction master keys.
- C. Construction Cores: Provide construction cores that are replaceable by permanent cores. Provide 10 construction master keys.

## 2.12 KEYING

- A. Keying System: Factory registered, complying with guidelines in BHMA A156.28, appendix. Provide one extra key blank for each lock. Incorporate decisions made in keying conference.
  - 1. Existing System:
    - a. Master key or grand master key locks to Owner's existing system.
- B. Keys: Nickel silver.

## 2.13 KEY CONTROL SYSTEM

- A. Key Lock Boxes: Designed for storage of 20 keys.

## 2.14 OPERATING TRIM

- A. Operating Trim: BHMA A156.6; stainless steel unless otherwise indicated.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Allegion plc.
    - b. Hager Companies.
    - c. Hiawatha, Inc; a division of the Activar Construction Products Group.

## 2.15 ACCESSORIES FOR PAIRS OF DOORS

- A. Coordinators: BHMA A156.3; consisting of active-leaf, hold-open lever and inactive-leaf release trigger; fabricated from steel with nylon-coated strike plates; with built-in, adjustable safety release.
- B. Carry-Open Bars: BHMA A156.3; prevent the inactive leaf from opening before the active leaf; provide polished brass or bronze carry-open bars with strike plate for inactive leaves of pairs of doors unless automatic or self-latching bolts are used.
- C. Astragals: BHMA A156.22.

## 2.16 SURFACE CLOSERS

- A. Surface Closers: BHMA A156.4; rack-and-pinion hydraulic type with adjustable sweep and latch speeds controlled by key-operated valves and forged-steel main arm. Comply with manufacturer's written instructions for size of door closers depending on size of door, exposure to weather, and anticipated frequency of use. Provide factory-sized closers, adjustable to meet field conditions and requirements for opening force.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Corbin Russwin, Inc.; an ASSA ABLOY Group company.
    - b. Rixson Specialty Door Controls; an ASSA ABLOY Group company.
    - c. SARGENT Manufacturing Company; ASSA ABLOY.

## 2.17 MECHANICAL STOPS AND HOLDERS

- A. Wall- and Floor-Mounted Stops: BHMA A156.16.

## 2.18 DOOR GASKETING

- A. Door Gasketing: BHMA A156.22; with resilient or flexible seal strips that are easily replaceable and readily available from stocks maintained by manufacturer.
- B. Maximum Air Leakage: When tested according to ASTM E 283 with tested pressure differential of 0.3-inch wg, as follows:
  - 1. Smoke-Rated Gasketing: 0.3 cfm/sq. ft. of door opening.
  - 2. Gasketing on Single Doors: 0.3 cfm/sq. ft. of door opening.

3. Gasketing on Double Doors: 0.50 cfm per foot of door opening.

## 2.19 THRESHOLDS

- A. Thresholds: BHMA A156.21; fabricated to full width of opening indicated.
  1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Hager Companies.
    - b. Pemko Manufacturing Co.
    - c. Reese Enterprises, Inc.
    - d. Rixson Specialty Door Controls; an ASSA ABLOY Group company.

## 2.20 METAL PROTECTIVE TRIM UNITS

- A. Metal Protective Trim Units: BHMA A156.6; fabricated from 0.050-inch-thick stainless steel; with manufacturer's standard machine or self-tapping screw fasteners.
  1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Allegion plc.
    - b. Hager Companies.
    - c. Hiawatha, Inc; a division of the Activar Construction Products Group.
    - d. Pawling Corporation.

## 2.21 FABRICATION

- A. Manufacturer's Nameplate: Do not provide products that have manufacturer's name or trade name displayed in a visible location except in conjunction with required fire-rating labels and as otherwise approved by Architect.
  1. Manufacturer's identification is permitted on rim of lock cylinders only.
- B. Base Metals: Produce door hardware units of base metal indicated, fabricated by forming method indicated, using manufacturer's standard metal alloy, composition, temper, and hardness. Furnish metals of a quality equal to or greater than that of specified door hardware units and BHMA A156.18.
- C. Fasteners: Provide door hardware manufactured to comply with published templates prepared for machine, wood, and sheet metal screws. Provide screws that comply with commercially recognized industry standards for application intended, except aluminum fasteners are not permitted. Provide Phillips flat-head screws with finished heads to match surface of door hardware unless otherwise indicated.
  1. Concealed Fasteners: For door hardware units that are exposed when door is closed, except for units already specified with concealed fasteners. Do not use through bolts for installation where bolt head or nut on opposite face is exposed unless it is the only means of securely attaching the door hardware. Where through bolts are used on hollow door and frame construction, provide sleeves for each through bolt.
  2. Fire-Rated Applications:
    - a. Wood or Machine Screws: For the following:

- 1) Hinges mortised to doors or frames; use threaded-to-the-head wood screws for wood doors and frames.
  - 2) Strike plates to frames.
  - 3) Closers to doors and frames.
- b. Steel Through Bolts: For the following unless door blocking is provided:
- 1) Surface hinges to doors.
  - 2) Closers to doors and frames.
  - 3) Surface-mounted exit devices.
3. Spacers or Sex Bolts: For through bolting of hollow-metal doors.
  4. Gasketing Fasteners: Provide noncorrosive fasteners for exterior applications and elsewhere as indicated.

## 2.22 FINISHES

- A. Provide finishes complying with BHMA A156.18 as indicated in door hardware schedule.
- B. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- C. Appearance of Finished Work: Variations in appearance of abutting or adjacent pieces are acceptable if they are within one-half of the range of approved Samples. Noticeable variations in the same piece are not acceptable. Variations in appearance of other components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine doors and frames, with Installer present, for compliance with requirements for installation tolerances, labeled fire-rated door assembly construction, wall and floor construction, and other conditions affecting performance of the Work.
- B. Examine roughing-in for electrical power systems to verify actual locations of wiring connections before electrified door hardware installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Steel Doors and Frames: For surface-applied door hardware, drill and tap doors and frames according to ANSI/SDI A250.6.
- B. Wood Doors: Comply with door and hardware manufacturers' written instructions.

### 3.3 INSTALLATION

- A. Mounting Heights: Mount door hardware units at heights indicated on Drawings and to comply with the following unless otherwise indicated or required to comply with governing regulations.
  - 1. Standard Steel Doors and Frames: ANSI/SDI A250.8.
  - 2. Custom Steel Doors and Frames: HMMA 831.
  - 3. Wood Doors: DHI's "Recommended Locations for Architectural Hardware for Wood Flush Doors."
- B. Install each door hardware item to comply with manufacturer's written instructions. Where cutting and fitting are required to install door hardware onto or into surfaces that are later to be painted or finished in another way, coordinate removal, storage, and reinstallation of surface protective trim units with finishing work. Do not install surface-mounted items until finishes have been completed on substrates involved.
  - 1. Set units level, plumb, and true to line and location. Adjust and reinforce attachment substrates as necessary for proper installation and operation.
  - 2. Drill and countersink units that are not factory prepared for anchorage fasteners. Space fasteners and anchors according to industry standards.
- C. Hinges: Install types and in quantities indicated in door hardware schedule, but not fewer than the number recommended by manufacturer for application indicated or one hinge for every 30 inches of door height, whichever is more stringent, unless other equivalent means of support for door, such as spring hinges or pivots, are provided.
- D. Lock Cylinders: Install construction cores to secure building and areas during construction period.
  - 1. Replace construction cores with permanent cores as directed by Owner.
- E. Key Control System:
  - 1. Key Lock Boxes: Install where indicated or approved by Architect to provide controlled access for fire and medical emergency personnel.
- F. Thresholds: Set thresholds for exterior doors and other doors indicated in full bed of sealant complying with requirements specified in Section 079200 "Joint Sealants."
- G. Stops: Provide floor stops for doors unless wall or other type stops are indicated in door hardware schedule. Do not mount floor stops where they will impede traffic.
- H. Perimeter Gasketing: Apply to head and jamb, forming seal between door and frame.
  - 1. Do not notch perimeter gasketing to install other surface-applied hardware.
- I. Meeting Stile Gasketing: Fasten to meeting stiles, forming seal when doors are closed.
- J. Door Bottoms: Apply to bottom of door, forming seal with threshold when door is closed.

### 3.4 ADJUSTING

- A. Initial Adjustment: Adjust and check each operating item of door hardware and each door to ensure proper operation or function of every unit. Replace units that cannot be adjusted to operate as intended. Adjust door control devices to compensate for final operation of heating and ventilating equipment and to comply with referenced accessibility requirements.

1. Door Closers: Adjust sweep period to comply with accessibility requirements and requirements of authorities having jurisdiction.

### 3.5 CLEANING AND PROTECTION

- A. Clean adjacent surfaces soiled by door hardware installation.
- B. Clean operating items as necessary to restore proper function and finish.
- C. Provide final protection and maintain conditions that ensure that door hardware is without damage or deterioration at time of Project Acceptance.

### 3.6 MAINTENANCE SERVICE

- A. Maintenance Tools and Instructions: Furnish a complete set of specialized tools and maintenance instructions for Owner's continued adjustment, maintenance, and removal and replacement of door hardware.

### 3.7 DOOR HARDWARE SCHEDULE

#### **Door Hardware Set No. 1**

##### **Interior Wood Doors & HM Frame**

1.5	Pr. Hinges	Hager	HT BB1168, 4 ½" x 4 ½"	
1	Lockset - Office	Corbin-Russwin	ML2051-ASM	630
1	Cylinder			
2	Kickplates	Hager, 12"x1"LDW		
1	Wall Bumper	Hager	234D	

#### **Door Hardware Set No. 2**

##### **Interior Wood Doors & HM Frame- Fire-rated**

1.5	Pr. Hinges	Hager	HT BB1168, 4 ½" x 4 ½"	
1	Lockset - Office	Corbin-Russwin	ML2051-ASM	630
1	Cylinder			
1	Closer	Corbin-Russwin	DC6210	689
2	Kickplates	Hager, 12"x1"LDW		
1	Wall Bumper	Hager	234D	

#### **Door Hardware Set No. 3**

##### **Interior Wood Doors & HM Frame- Fire-rated**

1.5	Pr. Hinges	Hager	HT BB1168, 4 ½" x 4 ½"	
1	Lockset - Storage	Corbin-Russwin	ML2057-ASM	630
1	Cylinder			
1	Closer	Corbin-Russwin	DC6210	689
1	Kickplates	Hager, 12"x1"LDW		
1	Wall Bumper	Hager	234D	

**Door Hardware Set No. 4****Interior Wood Doors & HM Frame**

1.5	Pr. Hinges	Hager	HT BB1168, 4 ½" x 4 ½"	
1	Lockset - Storage	Corbin-Russwin	ML2057-ASM	630
1	Cylinder			
1	Closer	Corbin-Russwin	DC6210	689
2	Kickplates	Hager, 12"x1"LDW		

**Door Hardware Set No. 5****Interior Wood Pair Doors**

3	Pr. Hinges	Hager	HT BB1168, 4 ½" x 4 ½"	
1	Lockset – Storage	Corbin-Russwin	ML2057-ASM	630
1	Cylinder			
4	Kickplates	Hager, 12"x1"LDW		
1	Automatic Flushbolt	Hager		

**Door Hardware Set No. 6****Exterior Aluminum Doors-Secured**

2	Cont. Hinge (Electrified)	Hager, 14 GA	780-111HD-ETW	
1	Exit Device w/ELR	Corbin-Russwin	ED5470-MELR-08-ASM	630
1	Exit Device- Exit Only	Corbin-Russwin	ED5470-08	630
2	Closers	Corbin-Russwin	DC6210xA3	689
1	Cylinder	Corbin Russwin		
1 set	Weatherstrip	By Alum. /FRP Manuf.		
1	Threshold	Hager	520S	
1	Card Reader (Provided and installed by Owner)	Provide all low-voltage wiring to location.		
2	Door Contacts- Generic	Provide all low-voltage wiring to location.		

**Door Hardware Set No. 7****Interior Wood Pair Doors**

1.5	Pr. Hinges	Hager	HT BB1168, 4 ½" x 4 ½"	
1	Lockset – Storage	Corbin-Russwin	ML2057-ASM -M25	630
1	Cylinder			
2	Kickplates	Hager, 12"x1"LDW		

**Door Hardware Set No. 9****Interior Fire-rated Wood Doors Pair in HM Frame**

3	Pr. Hinges	Hager	HT BB1168, 4 ½" x 4 ½"	
2	Exit Device	Corbin-Russwin	ED5470Bx2-08-ASM	630
2	Closer	Corbin-Russwin		
1	Cylinder			
4	Kickplates	Hager, 12"x1"LDW		
2	Door Bumper	Hager	234D	

**END OF SECTION 087100**



## **SECTION 088000 - GLAZING**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes:
  - 1. Glass for doors, interior borrowed lites.
  - 2. Glazing sealants and accessories.

#### **1.3 DEFINITIONS**

- A. Glass Manufacturers: Firms that produce primary glass, fabricated glass, or both, as defined in referenced glazing publications.
- B. Glass Thicknesses: Indicated by thickness designations in millimeters according to ASTM C 1036.
- C. Interspace: Space between lites of an insulating-glass unit.

#### **1.4 COORDINATION**

- A. Coordinate glazing channel dimensions to provide necessary bite on glass, minimum edge and face clearances, and adequate sealant thicknesses, with reasonable tolerances.

#### **1.5 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
- B. Glass Samples: For each type of the following products; 12 inches square.
  - 1. Tinted glass.
  - 2. Coated glass.
  - 3. Insulating glass.
- C. Glazing Accessory Samples: For sealants and colored spacers, in 12-inch lengths.
- D. Glazing Schedule: List glass types and thicknesses for each size opening and location. Use same designations indicated on Drawings.

## 1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Product Certificates: For glass.
- C. Product Test Reports: For tinted glass, coated glass, insulating glass, and glazing sealants, for tests performed by a qualified testing agency.
- D. Preconstruction adhesion and compatibility test report.
- E. Sample Warranties: For special warranties.

## 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Protect glazing materials according to manufacturer's written instructions. Prevent damage to glass and glazing materials from condensation, temperature changes, direct exposure to sun, or other causes.
- B. Comply with insulating-glass manufacturer's written instructions for venting and sealing units to avoid hermetic seal ruptures due to altitude change.

## 1.8 FIELD CONDITIONS

- A. Environmental Limitations: Do not proceed with glazing when ambient and substrate temperature conditions are outside limits permitted by glazing material manufacturers and when glazing channel substrates are wet from rain, frost, condensation, or other causes.
  - 1. Do not install glazing sealants when ambient and substrate temperature conditions are outside limits permitted by sealant manufacturer or are below 40 deg F.

## 1.9 WARRANTY

- A. Manufacturer's Special Warranty for Coated-Glass Products: Manufacturer agrees to replace coated-glass units that deteriorate within specified warranty period. Deterioration of coated glass is defined as defects developed from normal use that are not attributed to glass breakage or to maintaining and cleaning coated glass contrary to manufacturer's written instructions. Defects include peeling, cracking, and other indications of deterioration in coating.
  - 1. Warranty Period: 10 years from date of Project Acceptance.
- B. Manufacturer's Special Warranty for Insulating Glass: Manufacturer agrees to replace insulating-glass units that deteriorate within specified warranty period. Deterioration of insulating glass is defined as failure of hermetic seal under normal use that is not attributed to glass breakage or to maintaining and cleaning insulating glass contrary to manufacturer's written instructions. Evidence of failure is the obstruction of vision by dust, moisture, or film on interior surfaces of glass.
  - 1. Warranty Period: 10 years from date of Project Acceptance.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. GGI; General Glass International.
  - b. PPG Industries, Inc.
  - c. Vetrotech Saint-Gobain.
  - d. Viracon, Inc.
- B. Source Limitations for Glass: Obtain from single source from single manufacturer for each glass type.
  1. Obtain tinted glass from single source from single manufacturer.
  2. Obtain reflective-coated glass from single source from single manufacturer.
- C. Source Limitations for Glazing Accessories: Obtain from single source from single manufacturer for each product and installation method.

### 2.2 PERFORMANCE REQUIREMENTS

- A. Safety Glazing: Where safety glazing is indicated, provide glazing that complies with 16 CFR 1201, Category II.

### 2.3 GLASS PRODUCTS, GENERAL

- A. Glazing Publications: Comply with published recommendations of glass product manufacturers and organizations below unless more stringent requirements are indicated. See these publications for glazing terms not otherwise defined in this Section or in referenced standards.
  1. IGMA Publication for Insulating Glass: SIGMA TM-3000, "North American Glazing Guidelines for Sealed Insulating Glass Units for Commercial and Residential Use."
- B. Safety Glazing Labeling: Where safety glazing is indicated, permanently mark glazing with certification label of the SGCC or another certification agency acceptable to authorities having jurisdiction. Label shall indicate manufacturer's name, type of glass, thickness, and safety glazing standard with which glass complies.

### 2.4 GLASS PRODUCTS

- A. Clear Annealed Float Glass: ASTM C 1036, Type I, Class 1 (clear), Quality-Q3.
- B. Fully Tempered Float Glass: ASTM C 1048, Kind FT (fully tempered), Condition A (uncoated) unless otherwise indicated, Type I, Class 1 (clear) or Class 2 (tinted) as indicated, Quality-Q3.
  1. Fabrication Process: By horizontal (roller-hearth) process with roll-wave distortion parallel to bottom edge of glass as installed unless otherwise indicated.
- C. Heat-Strengthened Float Glass: ASTM C 1048, Kind HS (heat strengthened), Type I, Condition A (uncoated) unless otherwise indicated, Type I, Class 1 (clear) or Class 2 (tinted) as indicated, Quality-Q3.

1. Fabrication Process: By horizontal (roller-hearth) process with roll-wave distortion parallel to bottom edge of glass as installed unless otherwise indicated.
- D. Pyrolytic-Coated, Low-Maintenance Glass: Clear float glass with a coating on first surface having both photocatalytic and hydrophilic properties that act to loosen dirt and to cause water to sheet evenly over the glass instead of beading.
- E. Ceramic-Coated Spandrel Glass: ASTM C 1048, Type I, Condition B, Quality-Q3.

## 2.5 GLAZING SEALANTS

- A. General:
  1. Compatibility: Compatible with one another and with other materials they contact, including glass products, seals of insulating-glass units, and glazing channel substrates, under conditions of service and application, as demonstrated by sealant manufacturer based on testing and field experience.
  2. Suitability: Comply with sealant and glass manufacturers' written instructions for selecting glazing sealants suitable for applications indicated and for conditions existing at time of installation.
  3. Field-applied sealants shall have a VOC content of not more than 250 g/L.
  4. Sealants shall comply with the testing and product requirements of the California Department of Public Health's (formerly, the California Department of Health Services') "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
  5. Colors of Exposed Glazing Sealants: As selected by Architect from manufacturer's full range.
- B. Glazing Sealant: Neutral-curing silicone glazing sealant complying with ASTM C 920, Type S, Grade NS, Class 100/50, Use NT.

## 2.6 GLAZING TAPES

- A. Back-Bedding Mastic Glazing Tapes: Preformed, butyl-based, 100 percent solids elastomeric tape; nonstaining and nonmigrating in contact with nonporous surfaces; with or without spacer rod as recommended in writing by tape and glass manufacturers for application indicated; and complying with ASTM C 1281 and AAMA 800 for products indicated below:
  1. AAMA 804.3 tape, where indicated.
  2. AAMA 806.3 tape, for glazing applications in which tape is subject to continuous pressure.
  3. AAMA 807.3 tape, for glazing applications in which tape is not subject to continuous pressure.
- B. Expanded Cellular Glazing Tapes: Closed-cell, PVC foam tapes; factory coated with adhesive on both surfaces; and complying with AAMA 800 for the following types:
  1. AAMA 810.1, Type 1, for glazing applications in which tape acts as the primary sealant.
  2. AAMA 810.1, Type 2, for glazing applications in which tape is used in combination with a full bead of liquid sealant.

## 2.7 MISCELLANEOUS GLAZING MATERIALS

- A. General: Provide products of material, size, and shape complying with referenced glazing standard, with requirements of manufacturers of glass and other glazing materials for application indicated, and with a proven record of compatibility with surfaces contacted in installation.

- B. Cleaners, Primers, and Sealers: Types recommended by sealant or gasket manufacturer.
- C. Setting Blocks: Elastomeric material with a Shore, Type A durometer hardness of 85, plus or minus 5.
- D. Spacers: Elastomeric blocks or continuous extrusions of hardness required by glass manufacturer to maintain glass lites in place for installation indicated.
- E. Edge Blocks: Elastomeric material of hardness needed to limit glass lateral movement (side walking).
- F. Cylindrical Glazing Sealant Backing: ASTM C 1330, Type O (open-cell material), of size and density to control glazing sealant depth and otherwise produce optimum glazing sealant performance.

## 2.8 FABRICATION OF GLAZING UNITS

- A. Fabricate glazing units in sizes required to fit openings indicated for Project, with edge and face clearances, edge and surface conditions, and bite complying with written instructions of product manufacturer and referenced glazing publications, to comply with system performance requirements.
  - 1. Allow for thermal movements from ambient and surface temperature changes acting on glass framing members and glazing components.
    - a. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.
- B. Clean-cut or flat-grind vertical edges of butt-glazed monolithic lites to produce square edges with slight chamfers at junctions of edges and faces.
- C. Grind smooth and polish exposed glass edges and corners.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine framing, glazing channels, and stops, with Installer present, for compliance with the following:
  - 1. Manufacturing and installation tolerances, including those for size, squareness, and offsets at corners.
  - 2. Presence and functioning of weep systems.
  - 3. Minimum required face and edge clearances.
  - 4. Effective sealing between joints of glass-framing members.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Clean glazing channels and other framing members receiving glass immediately before glazing. Remove coatings not firmly bonded to substrates.
- B. Examine glazing units to locate exterior and interior surfaces. Label or mark units as needed so that exterior and interior surfaces are readily identifiable. Do not use materials that leave visible marks in the completed Work.

### 3.3 GLAZING, GENERAL

- A. Comply with combined written instructions of manufacturers of glass, sealants, gaskets, and other glazing materials, unless more stringent requirements are indicated, including those in referenced glazing publications.
- B. Protect glass edges from damage during handling and installation. Remove damaged glass from Project site and legally dispose of off Project site. Damaged glass includes glass with edge damage or other imperfections that, when installed, could weaken glass, impair performance, or impair appearance.
- C. Apply primers to joint surfaces where required for adhesion of sealants, as determined by preconstruction testing.
- D. Install setting blocks in sill rabbets, sized and located to comply with referenced glazing publications, unless otherwise required by glass manufacturer. Set blocks in thin course of compatible sealant suitable for heel bead.
- E. Do not exceed edge pressures stipulated by glass manufacturers for installing glass lites.
- F. Provide spacers for glass lites where length plus width is larger than 50 inches.
  - 1. Locate spacers directly opposite each other on both inside and outside faces of glass. Install correct size and spacing to preserve required face clearances, unless gaskets and glazing tapes are used that have demonstrated ability to maintain required face clearances and to comply with system performance requirements.
  - 2. Provide 1/8-inch minimum bite of spacers on glass and use thickness equal to sealant width. With glazing tape, use thickness slightly less than final compressed thickness of tape.
- G. Provide edge blocking where indicated or needed to prevent glass lites from moving sideways in glazing channel, as recommended in writing by glass manufacturer and according to requirements in referenced glazing publications.
- H. Set glass lites in each series with uniform pattern, draw, bow, and similar characteristics.
- I. Set glass lites with proper orientation so that coatings face exterior or interior as specified.
- J. Where wedge-shaped gaskets are driven into one side of channel to pressurize sealant or gasket on opposite side, provide adequate anchorage so gasket cannot walk out when installation is subjected to movement.
- K. Square cut wedge-shaped gaskets at corners and install gaskets in a manner recommended by gasket manufacturer to prevent corners from pulling away; seal corner joints and butt joints with sealant recommended by gasket manufacturer.

### 3.4 TAPE GLAZING

- A. Position tapes on fixed stops so that, when compressed by glass, their exposed edges are flush with or protrude slightly above sightline of stops.
- B. Install tapes continuously, but not necessarily in one continuous length. Do not stretch tapes to make them fit opening.
- C. Cover vertical framing joints by applying tapes to heads and sills first, then to jambs. Cover horizontal framing joints by applying tapes to jambs, then to heads and sills.

- D. Place joints in tapes at corners of opening with adjoining lengths butted together, not lapped. Seal joints in tapes with compatible sealant approved by tape manufacturer.
- E. Do not remove release paper from tape until right before each glazing unit is installed.
- F. Apply heel bead of elastomeric sealant.
- G. Center glass lites in openings on setting blocks, and press firmly against tape by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings.
- H. Apply cap bead of elastomeric sealant over exposed edge of tape.

### 3.5 GASKET GLAZING (DRY)

- A. Cut compression gaskets to lengths recommended by gasket manufacturer to fit openings exactly, with allowance for stretch during installation.
- B. Insert soft compression gasket between glass and frame or fixed stop so it is securely in place with joints miter cut and bonded together at corners.
- C. Installation with Drive-in Wedge Gaskets: Center glass lites in openings on setting blocks, and press firmly against soft compression gasket by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings. Compress gaskets to produce a weathertight seal without developing bending stresses in glass. Seal gasket joints with sealant recommended by gasket manufacturer.
- D. Installation with Pressure-Glazing Stops: Center glass lites in openings on setting blocks, and press firmly against soft compression gasket. Install dense compression gaskets and pressure-glazing stops, applying pressure uniformly to compression gaskets. Compress gaskets to produce a weathertight seal without developing bending stresses in glass. Seal gasket joints with sealant recommended by gasket manufacturer.
- E. Install gaskets so they protrude past face of glazing stops.

### 3.6 SEALANT GLAZING (WET)

- A. Install continuous spacers, or spacers combined with cylindrical sealant backing, between glass lites and glazing stops to maintain glass face clearances and to prevent sealant from extruding into glass channel and blocking weep systems until sealants cure. Secure spacers or spacers and backings in place and in position to control depth of installed sealant relative to edge clearance for optimum sealant performance.
- B. Force sealants into glazing channels to eliminate voids and to ensure complete wetting or bond of sealant to glass and channel surfaces.
- C. Tool exposed surfaces of sealants to provide a substantial wash away from glass.

### 3.7 CLEANING AND PROTECTION

- A. Immediately after installation remove nonpermanent labels and clean surfaces.

- B. Protect glass from contact with contaminating substances resulting from construction operations. Examine glass surfaces adjacent to or below exterior concrete and other masonry surfaces at frequent intervals during construction, but not less than once a month, for buildup of dirt, scum, alkaline deposits, or stains.
  - 1. If, despite such protection, contaminating substances do come into contact with glass, remove substances immediately as recommended in writing by glass manufacturer. Remove and replace glass that cannot be cleaned without damage to coatings.
- C. Remove and replace glass that is damaged during construction period.
- D. Wash glass on both exposed surfaces not more than four days before date scheduled for inspections that establish date of Substantial Completion. Wash glass as recommended in writing by glass manufacturer.

### 3.8 MONOLITHIC GLASS SCHEDULE

- A. Glass Type : Clear fully tempered float glass.
  - 1. Minimum Thickness: 6 mm.
  - 2. Safety glazing required.

**END OF SECTION 088000**



## **SECTION 088813 - FIRE-RESISTANT GLAZING**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Fire-protection-rated glazing.

#### **1.3 DEFINITIONS**

- A. Glass Manufacturers: Firms that produce primary glass, fabricated glass, or both, as defined in referenced glazing publications.
- B. Glass Thicknesses: Indicated by thickness designations in millimeters according to ASTM C 1036.

#### **1.4 COORDINATION**

- A. Coordinate glazing channel dimensions to provide necessary bite on glass, minimum edge and face clearances, and adequate sealant thicknesses, with reasonable tolerances.

#### **1.5 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
- B. Glass Samples: For each type of glass product; 12 inches square.
- C. Glazing Schedule: List glass types and thicknesses for each size opening and location. Use same designations indicated on Drawings.

#### **1.6 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: For installers.
- B. Product Certificates: For each type of glass and glazing product, from manufacturer.
- C. Sample Warranties: For special warranties.

## 1.7 QUALITY ASSURANCE

- A. Installer Qualifications: A qualified installer who employs glass installers for this Project who are certified under the National Glass Association's Certified Glass Installer Program.

## 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Protect glazing materials according to manufacturer's written instructions. Prevent damage to glass and glazing materials from condensation, temperature changes, direct exposure to sun, or other causes.

## 1.9 FIELD CONDITIONS

- A. Environmental Limitations: Do not deliver or install fire-resistant glazing until spaces are enclosed and weathertight and temporary HVAC system is operating and maintaining ambient temperature conditions at occupancy levels during the remainder of the construction period.

## 1.10 WARRANTY

- A. Manufacturer's Special Warranty on Laminated Glass: Manufacturer agrees to replace laminated-glass units that deteriorate within specified warranty period. Deterioration of laminated glass is defined as defects developed from normal use that are not attributed to glass breakage or to maintaining and cleaning laminated glass contrary to manufacturer's written instructions. Defects include edge separation, delamination materially obstructing vision through glass, and blemishes exceeding those allowed by referenced laminated-glass standard.

- 1. Warranty Period: 10 years from date of Project Acceptance.

# PART 2 - PRODUCTS

## 2.1 MANUFACTURERS

- A. Source Limitations for Glass: Obtain from single source from single manufacturer for each glass type.
- B. Source Limitations for Glazing Accessories: Obtain from single source from single manufacturer for each product and installation method.

## 2.2 PERFORMANCE REQUIREMENTS

- A. General: Installed glazing systems shall withstand normal thermal movement and impact loads (where applicable) without failure, including loss or glass breakage attributable to the following: defective manufacture, fabrication, or installation; deterioration of glazing materials; or other defects in construction.

## 2.3 GLASS PRODUCTS, GENERAL

- A. Glazing Publications: Comply with published recommendations of glass product manufacturers and organization below unless more stringent requirements are indicated. Refer to these publications for glazing terms not otherwise defined in this Section or in referenced standards.

## 2.4 GLASS PRODUCTS

- A. Float Glass: ASTM C 1036, Type I, Quality-Q3, Class I (clear) unless otherwise indicated.
- B. Ultraclear Float Glass: ASTM C 1036, Type I, Quality-Q3, Class I (clear), with visible light transmission not less than 91 percent.
- C. Tempered Float Glass: ASTM C 1048, Kind FT (fully tempered), Condition A (uncoated) unless otherwise indicated, Type I, Class I (clear) unless otherwise indicated, Quality-Q3.
  - 1. Fabrication Process: By horizontal (roller-hearth) process with roll-wave distortion parallel to bottom edge of glass as installed unless otherwise indicated.
- D. Laminated Glass: ASTM C 1172. Use materials that have a proven record of no tendency to bubble, discolor, or lose physical and mechanical properties after fabrication and installation.
  - 1. Construction: Laminate glass with polyvinyl butyral interlayer unless fire-protection or fire-resistance rating is based on another product.
  - 2. Interlayer Thickness: Provide thickness as needed to comply with requirements.
  - 3. Interlayer Color: Clear unless otherwise indicated.

## 2.5 FIRE-PROTECTION-RATED GLAZING

- A. Fire-Protection-Rated Glazing: Listed and labeled by a testing agency acceptable to authorities having jurisdiction, for fire-protection ratings indicated, based on positive-pressure testing according to NFPA 257 or UL 9, including the hose-stream test, and shall comply with NFPA 80.
  - 1. Fire-protection-rated glazing required to have a fire-protection rating of 20 minutes shall be exempt from the hose-stream test.
- B. Fire-Protection-Rated Glazing Labeling: Permanently mark fire-protection-rated glazing with certification label of a testing agency acceptable to authorities having jurisdiction. Label shall indicate manufacturer's name; test standard; whether glazing is permitted to be used in doors or openings; if permitted in openings, whether or not glazing has passed the hose-stream test; whether or not glazing meets 450 deg F (250 deg C) temperature-rise limitation; and the fire-resistance rating in minutes.
- C. Laminated Ceramic Glazing: Laminated glass made from two plies of clear, ceramic glass; 8-mm total thickness; and complying with 16 CFR 1201, Category II.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. AGC Glass Company North America, Inc.
    - b. SAFTI FIRST Fire Rated Glazing Solutions.
    - c. Schott North America, Inc.
    - d. Technical Glass Products.
    - e. Vetrotech Saint-Gobain (Basis for Design- KERALITE LAMINATED 45)

## 2.6 GLAZING ACCESSORIES

- A. Provide glazing gaskets, glazing sealants, glazing tapes, setting blocks, spacers, edge blocks, and other glazing accessories that are compatible with glazing products and each other and are approved by testing

agencies that listed and labeled fire-resistant glazing products with which products are used for applications and fire-protection ratings indicated.

- B. Glazing Sealants for Fire-Rated Glazing Products: Neutral-curing silicone glazing sealant complying with ASTM C 920, Type S, Grade NS, Class 50, Use NT. Comply with sealant and glass manufacturers' written instructions for selecting glazing sealants suitable for applications indicated.
  - 1. Sealants shall have a VOC content of 250 g/L or less.
  - 2. Colors of Exposed Glazing Sealants: As indicated by manufacturer's designations.
- C. Back-Bedding Mastic Glazing Tapes: Preformed, butyl-based, 100 percent solids elastomeric tape; nonstaining and nonmigrating in contact with nonporous surfaces; with or without spacer rod as recommended in writing by tape and glass manufacturers for application indicated; and complying with ASTM C 1281 and AAMA 800 for products indicated below:
  - 1. AAMA 804.3 tape, where indicated.
  - 2. AAMA 806.3 tape, for glazing applications in which tape is subject to continuous pressure.
  - 3. AAMA 807.3 tape, for glazing applications in which tape is not subject to continuous pressure.
- D. Expanded Cellular Glazing Tapes: Closed-cell, PVC foam tapes; factory coated with adhesive on both surfaces; and complying with AAMA 800 for the following types:
  - 1. AAMA 810.1, Type 1, for glazing applications in which tape acts as the primary sealant.
  - 2. AAMA 810.1, Type 2, for glazing applications in which tape is used in combination with a full bead of liquid sealant.

## 2.7 MISCELLANEOUS GLAZING MATERIALS

- A. General: Provide products of material, size, and shape complying with referenced glazing standard, requirements of manufacturers of glass and other glazing materials for application indicated, and with a proven record of compatibility with surfaces contacted in installation.
- B. Cylindrical Glazing Sealant Backing: ASTM C 1330, Type O (open-cell material), of size and density to control glazing sealant depth and otherwise produce optimum glazing sealant performance.
- C. Perimeter Insulation for Fire-Resistive Glazing: Product that is approved by testing agency that listed and labeled fire-resistant glazing product with which it is used for application and fire-protection rating indicated.

## 2.8 FABRICATION OF GLAZING UNITS

- A. Fabricate glazing units in sizes required to fit openings indicated for Project, with edge and face clearances, edge and surface conditions, and bite complying with written instructions of product manufacturer and referenced glazing publications, to comply with system performance requirements.

## **PART 3 - EXECUTION**

### **3.1 EXAMINATION**

- A. Examine framing, glazing channels, and stops, with Installer present, for compliance with manufacturing and installation tolerances, including those for size, squareness, and offsets at corners, and for compliance with minimum required face and edge clearances.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### **3.2 PREPARATION**

- A. Clean glazing channels and other framing members receiving glass immediately before glazing. Remove coatings not firmly bonded to substrates.
- B. Examine glazing units to locate the fire side and protected side. Label or mark units as needed so that fire side and protected side are readily identifiable. Do not use materials that leave visible marks in the completed work.

### **3.3 GLAZING, GENERAL**

- A. Use methods approved by testing agencies that listed and labeled fire-resistant glazing products.
- B. Comply with combined written instructions of manufacturers of glass, sealants, gaskets, and other glazing materials unless more stringent requirements are indicated, including those in referenced glazing publications.
- C. Protect glass edges from damage during handling and installation. Remove damaged glass from Project site and legally dispose of off Project site. Damaged glass is glass with edge damage or other imperfections that, when installed, could weaken glass and impair performance and appearance.
- D. Apply primers to joint surfaces where required for adhesion of sealants, as determined by preconstruction testing.
- E. Install setting blocks in sill rabbets, sized and located to comply with referenced glazing publications unless otherwise required by glass manufacturer. Set blocks in thin course of compatible sealant suitable for heel bead.
- F. Do not exceed edge pressures stipulated by glass manufacturers for installing glass lites.
- G. Provide spacers for glass lites where length plus width is larger than 50 inches.
  - 1. Locate spacers directly opposite each other on both inside and outside faces of glass. Install correct size and spacing to preserve required face clearances unless gaskets and glazing tapes are used that have demonstrated ability to maintain required face clearances and to comply with system performance requirements.
  - 2. Provide 1/8-inch minimum bite of spacers on glass and use thickness equal to sealant width. With glazing tape, use thickness slightly less than final compressed thickness of tape.
- H. Provide edge blocking where indicated or needed to prevent glass lites from moving sideways in glazing channel, as recommended in writing by glass manufacturer and according to requirements in referenced glazing publications.

- I. Set glass lites with proper orientation so that coatings face fire side or protected side as specified.
- J. Where wedge-shaped gaskets are driven into one side of channel to pressurize sealant or gasket on opposite side, provide adequate anchorage so gasket cannot walk out when installation is subjected to movement.
- K. Square cut wedge-shaped gaskets at corners and install gaskets in a manner recommended by gasket manufacturer to prevent corners from pulling away; seal corner joints and butt joints with sealant recommended by gasket manufacturer.

#### 3.4 TAPE GLAZING

- A. Position tapes on fixed stops so that, when compressed by glass, their exposed edges are flush with or protrude slightly above sightline of stops.
- B. Install tapes continuously, but not necessarily in one continuous length. Do not stretch tapes to make them fit opening.
- C. Cover vertical framing joints by applying tapes to heads and sills first and then to jambs. Cover horizontal framing joints by applying tapes to jambs and then to heads and sills.
- D. Place joints in tapes at corners of opening with adjoining lengths butted together, not lapped. Seal joints in tapes with compatible sealant approved by tape manufacturer.
- E. Do not remove release paper from tape until right before each glazing unit is installed.
- F. Apply heel bead of elastomeric sealant.
- G. Center glass lites in openings on setting blocks and press firmly against tape by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings.
- H. Apply cap bead of elastomeric sealant over exposed edge of tape.

#### 3.5 GASKET GLAZING (DRY)

- A. Cut compression gaskets to lengths recommended by gasket manufacturer to fit openings exactly, with allowance for stretch during installation.
- B. Insert soft compression gasket between glass and frame or fixed stop, so it is securely in place with joints miter cut and bonded together at corners.
- C. Installation with Drive-in Wedge Gaskets: Center glass lites in openings on setting blocks and press firmly against soft compression gasket by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings.
- D. Install gaskets so they protrude past face of glazing stops.

### 3.6 SEALANT GLAZING (WET)

- A. Install continuous spacers, or spacers combined with cylindrical sealant backing, between glass lites and glazing stops to maintain glass face clearances. Secure spacers or spacers and backings in place and in position to control depth of installed sealant relative to edge clearance for optimum sealant performance.
- B. Force sealants into glazing channels to eliminate voids and to ensure complete wetting or bond of sealant to glass and channel surfaces.
- C. Tool exposed surfaces of sealants to provide a substantial washaway from glass.

### 3.7 CLEANING AND PROTECTION

- A. Immediately after installation, remove nonpermanent labels and clean surfaces.
- B. Protect glass from contact with contaminating substances resulting from construction operations. Examine glass surfaces adjacent to or below exterior concrete and other masonry surfaces at frequent intervals during construction, but not less than once a month, for buildup of dirt, scum, alkaline deposits, or stains.
  - 1. If, despite such protection, contaminating substances do come into contact with glass, remove substances immediately as recommended in writing by glass manufacturer.
- C. Remove and replace glass that is damaged during construction period.
- D. Wash glass on both exposed surfaces in each area of Project not more than four days before date scheduled for inspections that establish date of Project Acceptance. Wash glass as recommended in writing by glass manufacturer.

**END OF SECTION 088813**





## **SECTION 092216 - NON-STRUCTURAL METAL FRAMING**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Non-load-bearing steel framing systems for interior gypsum board assemblies.
  - 2. Suspension systems for interior gypsum ceilings, soffits, and grid systems.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product.

### **PART 2 - PRODUCTS**

#### **2.1 DESCRIPTION**

- A. Fire-Test-Response Characteristics: For fire-resistance-rated assemblies that incorporate non-load-bearing steel framing, provide materials and construction identical to those tested in assembly indicated according to ASTM E 119 by an independent testing agency.
- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E 90 and classified according to ASTM E 413 by an independent testing agency.
- C. Recycled Content of Steel Products: Postconsumer recycled content plus one-half of preconsumer recycled content not less than **25** percent.

#### **2.2 FRAMING SYSTEMS**

- A. Framing Members, General: Comply with ASTM C 754 for conditions indicated.
  - 1. Steel Sheet Components: Comply with ASTM C 645 requirements for metal unless otherwise indicated.
  - 2. Protective Coating: ASTM A 653/A 653M, G60, hot-dip galvanized, unless otherwise indicated.
- B. Studs and Runners: ASTM C 645. Use either steel studs and runners or dimpled steel studs and runners.
  - 1. Steel Studs and Runners:

- a. Minimum Base-Metal Thickness: 0.018 inch U.N.O.
  - b. Depth: As indicated on Drawings
- 2. Dimpled Steel Studs and Runners:
  - a. Minimum Base-Metal Thickness: As indicated on Drawings or 0.015 inch.
  - b. Depth: As indicated on Drawings.
- C. Slip-Type Head Joints: Where indicated, provide one of the following:
  - 1. Single Long-Leg Runner System: ASTM C 645 top runner with 2-inch-deep flanges in thickness not less than indicated for studs, installed with studs friction fit into top runner and with continuous bridging located within 12 inches of the top of studs to provide lateral bracing.
  - 2. Double-Runner System: ASTM C 645 top runners, inside runner with 2-inch-deep flanges in thickness not less than indicated for studs and fastened to studs, and outer runner sized to friction fit inside runner.
  - 3. Deflection Track: Steel sheet top runner manufactured to prevent cracking of finishes applied to interior partition framing resulting from deflection of structure above; in thickness not less than indicated for studs and in width to accommodate depth of studs.
- D. Firestop Tracks: Top runner manufactured to allow partition heads to expand and contract with movement of the structure while maintaining continuity of fire-resistance-rated assembly indicated; in thickness not less than indicated for studs and in width to accommodate depth of studs.
- E. Flat Strap and Backing Plate: Steel sheet for blocking and bracing in length and width indicated.
  - 1. Minimum Base-Metal Thickness: As indicated on Drawings or 0.018 inch.
- F. Cold-Rolled Channel Bridging: Steel, 0.053-inch minimum base-metal thickness, with minimum 1/2-inch-wide flanges.
  - 1. Depth: 1-1/2 inches.
  - 2. Clip Angle: Not less than 1-1/2 by 1-1/2 inches, 0.068-inch-thick, galvanized steel.
- G. Hat-Shaped, Rigid Furring Channels: ASTM C 645.
  - 1. Minimum Base-Metal Thickness: 0.018 inch.
  - 2. Depth: 7/8 inch.

## 2.3 SUSPENSION SYSTEMS

- A. Tie Wire: ASTM A 641/A 641M, Class 1 zinc coating, soft temper, 0.062-inch-diameter wire, or double strand of 0.048-inch-diameter wire.
  - 1. Powder-Actuated Fasteners: Suitable for application indicated, fabricated from corrosion-resistant materials with clips or other devices for attaching hangers of type indicated, and capable of sustaining, without failure, a load equal to 10 times that imposed by construction as determined by testing according to ASTM E 1190 by an independent testing agency.
- B. Wire Hangers: ASTM A 641/A 641M, Class 1 zinc coating, soft temper, 0.16 inch in diameter.
- C. Flat Hangers: Steel sheet, 1 by 3/16 inch by length indicated.

- D. Carrying Channels: Cold-rolled, commercial-steel sheet with a base-metal thickness of 0.053 inch and minimum 1/2-inch-wide flanges.
  - 1. Depth: 2-1/2 inches.
- E. Furring Channels (Furring Members):
  - 1. Cold-Rolled Channels: 0.053-inch uncoated-steel thickness, with minimum 1/2-inch-wide flanges, 3/4 inch deep.
  - 2. Steel Studs and Runners: ASTM C 645.
    - a. Minimum Base-Metal Thickness: 0.018 inch.
    - b. Depth: 2-1/2 inches.
  - 3. Dimpled Steel Studs and Runners: ASTM C 645.
    - a. Minimum Base-Metal Thickness: 0.015 inch.
    - b. Depth: 2-1/2 inches.
  - 4. Hat-Shaped, Rigid Furring Channels: ASTM C 645, 7/8 inch deep.
    - a. Minimum Base-Metal Thickness: 0.018 inch.
- F. Grid Suspension System for Gypsum Board Ceilings: ASTM C 645, direct-hung system composed of main beams and cross-furring members that interlock.

## 2.4 AUXILIARY MATERIALS

- A. General: Provide auxiliary materials that comply with referenced installation standards.
  - 1. Fasteners for Metal Framing: Of type, material, size, corrosion resistance, holding power, and other properties required to fasten steel members to substrates.
- B. Isolation Strip at Exterior Walls: Provide the following:
  - 1. Foam Gasket: Adhesive-backed, closed-cell vinyl foam strips that allow fastener penetration without foam displacement, 1/8 inch thick, in width to suit steel stud size.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine areas and substrates, with Installer present, and including welded hollow-metal frames, cast-in anchors, and structural framing, for compliance with requirements and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Suspended Assemblies: Coordinate installation of suspension systems with installation of overhead structure to ensure that inserts and other provisions for anchorages to building structure have been installed to receive hangers at spacing required to support the Work and that hangers will develop their full strength.
  - 1. Furnish concrete inserts and other devices indicated to other trades for installation in advance of time needed for coordination and construction.

### 3.3 INSTALLATION, GENERAL

- A. Installation Standard: ASTM C 754, except comply with framing sizes and spacing indicated.
  - 1. Gypsum Board Assemblies: Also comply with requirements in ASTM C 840 that apply to framing installation.
- B. Install supplementary framing, and blocking to support fixtures, equipment services, heavy trim, grab bars, toilet accessories, furnishings, or similar construction.
- C. Install bracing at terminations in assemblies.
- D. Do not bridge building control and expansion joints with non-load-bearing steel framing members. Frame both sides of joints independently.

### 3.4 INSTALLING FRAMED ASSEMBLIES

- A. Where studs are installed directly against exterior masonry walls or dissimilar metals at exterior walls, install isolation strip between studs and exterior wall.
- B. Install studs so flanges within framing system point in same direction.
  - 1. Space studs as follows:
    - a. Single-Layer Application: 16 inches o.c. unless otherwise indicated.
    - b. Multilayer Application: 16 inches o.c. unless otherwise indicated.
    - c. Tile Backing Panels: 16 inches o.c. unless otherwise indicated.
- C. Install tracks (runners) at floors and overhead supports. Extend framing full height to structural supports or substrates above suspended ceilings, except where partitions are indicated to terminate at suspended ceilings. Continue framing around ducts penetrating partitions above ceiling.
  - 1. Slip-Type Head Joints: Where framing extends to overhead structural supports, install to produce joints at tops of framing systems that prevent axial loading of finished assemblies.
  - 2. Door Openings: Screw vertical studs at jambs to jamb anchor clips on door frames; install runner track section (for cripple studs) at head and secure to jamb studs.
    - a. Install two studs at each jamb unless otherwise indicated.
    - b. Install cripple studs at head adjacent to each jamb stud, with a minimum 1/2-inch clearance from jamb stud to allow for installation of control joint in finished assembly.
    - c. Extend jamb studs through suspended ceilings and attach to underside of overhead structure.

3. Other Framed Openings: Frame openings other than door openings the same as required for door openings unless otherwise indicated. Install framing below sills of openings to match framing required above door heads.
  4. Fire-Resistance-Rated Partitions: Install framing to comply with fire-resistance-rated assembly indicated and support closures and to make partitions continuous from floor to underside of solid structure.
    - a. Firestop Track: Where indicated, install to maintain continuity of fire-resistance-rated assembly indicated.
- D. Direct Furring:
1. Attach to concrete or masonry with stub nails, screws designed for masonry attachment, or powder-driven fasteners spaced 24 inches o.c.
- E. Installation Tolerance: Install each framing member so fastening surfaces vary not more than 1/8 inch from the plane formed by faces of adjacent framing.

### 3.5 INSTALLING SUSPENSION SYSTEMS

- A. Install suspension system components in sizes and spacings indicated on Drawings, but not less than those required by referenced installation standards for assembly types and other assembly components indicated.
- B. Isolate suspension systems from building structure where they abut or are penetrated by building structure to prevent transfer of loading imposed by structural movement.
- C. Suspend hangers from building structure as follows:
1. Install hangers plumb and free from contact with insulation or other objects within ceiling plenum that are not part of supporting structural or suspension system.
    - a. Splay hangers only where required to miss obstructions and offset resulting horizontal forces by bracing, countersplaying, or other equally effective means.
  2. Where width of ducts and other construction within ceiling plenum produces hanger spacings that interfere with locations of hangers required to support standard suspension system members, install supplemental suspension members and hangers in the form of trapezes or equivalent devices.
  3. Wire Hangers: Secure by looping and wire tying, either directly to structures or to inserts, eye screws, or other devices and fasteners that are secure and appropriate for substrate, and in a manner that will not cause hangers to deteriorate or otherwise fail.
  4. Flat Hangers: Secure to structure, including intermediate framing members, by attaching to inserts, eye screws, or other devices and fasteners that are secure and appropriate for structure and hanger, and in a manner that will not cause hangers to deteriorate or otherwise fail.
  5. Do not attach hangers to steel roof deck.
  6. Do not attach hangers to permanent metal forms. Furnish cast-in-place hanger inserts that extend through forms.
  7. Do not attach hangers to rolled-in hanger tabs of composite steel floor deck.
  8. Do not connect or suspend steel framing from ducts, pipes, or conduit.
- D. Fire-Resistance-Rated Assemblies: Wire tie furring channels to supports.

- E. Grid Suspension Systems: Attach perimeter wall track or angle where grid suspension systems meet vertical surfaces. Mechanically join main beam and cross-furring members to each other and butt-cut to fit into wall track.
- F. Installation Tolerances: Install suspension systems that are level to within 1/8 inch in 12 feet measured lengthwise on each member that will receive finishes and transversely between parallel members that will receive finishes.

**END OF SECTION 092216**

## **SECTION 092900 - GYPSUM BOARD**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Interior gypsum board.
  - 2. Exterior gypsum board for ceilings and soffits.
  - 3. Tile backing panels.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
- B. Samples: For the following products:
  - 1. Trim Accessories: Full-size Sample in 12-inch-long length for each trim accessory indicated.

#### **1.4 DELIVERY, STORAGE AND HANDLING**

- A. Store materials inside under cover and keep them dry and protected against weather, condensation, direct sunlight, construction traffic, and other potential causes of damage. Stack panels flat and supported on risers on a flat platform to prevent sagging.

#### **1.5 FIELD CONDITIONS**

- A. Environmental Limitations: Comply with ASTM C 840 requirements or gypsum board manufacturer's written recommendations, whichever are more stringent.
- B. Do not install paper-faced gypsum panels until installation areas are enclosed and conditioned.
- C. Do not install panels that are wet, those that are moisture damaged, and those that are mold damaged.
  - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
  - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

## **PART 2 - PRODUCTS**

### **2.1 PERFORMANCE REQUIREMENTS**

- A. Fire-Resistance-Rated Assemblies: For fire-resistance-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E 119 by an independent testing agency.
- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E 90 and classified according to ASTM E 413 by an independent testing agency.

### **2.2 GYPSUM BOARD, GENERAL**

- A. Size: Provide maximum lengths and widths available that will minimize joints in each area and that correspond with support system indicated.

### **2.3 INTERIOR GYPSUM BOARD**

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. American Gypsum.
  - 2. CertainTeed Corp.
  - 3. Georgia-Pacific Gypsum LLC.
  - 4. National Gypsum Company.
  - 5. USG Corporation.
- B. Gypsum Wallboard: ASTM C 1396/C 1396M.
  - 1. Thickness: 5/8 inch.
  - 2. Long Edges: Tapered and featured (rounded or beveled) for prefilling.
- C. Gypsum Board, Type X: ASTM C 1396/C 1396M.
  - 1. Thickness: 5/8 inch.
  - 2. Long Edges: Tapered and featured (rounded or beveled) for prefilling.
- D. Gypsum Ceiling Board: ASTM C 1396/C 1396M.
  - 1. Thickness: 1/2 inch.
  - 2. Long Edges: Tapered.

### **2.4 SPECIALTY GYPSUM BOARD**

- A. Glass-Mat Interior Gypsum Board: ASTM C 1658/C 1658M. With fiberglass mat laminated to both sides. Specifically designed for interior use.
  - 1. Core: 5/8 inch, Type X.
  - 2. Long Edges: Tapered.
  - 3. Mold Resistance: ASTM D 3273, score of 10.



## 2.5 EXTERIOR GYPSUM BOARD FOR CEILINGS AND SOFFITS

- A. Glass-Mat Gypsum Sheathing Board: ASTM C 1177/C 1177M, with fiberglass mat laminated to both sides and with manufacturer's standard edges.

1. Products: Subject to compliance with requirements, provide one of the following:

- a. CertainTeed Corp.; GlasRoc Sheathing.
- b. Georgia-Pacific Gypsum LLC; Dens-Glass Gold.
- c. National Gypsum Company; Gold Bond, e(2)XP.
- d. USG Corporation; Securock Glass Mat Sheathing.

2. Core: 5/8 inch.

## 2.6 TILE BACKING PANELS

- A. Cementitious Backer Units: ANSI A118.9 and ASTM C 1288 or 1325, with manufacturer's standard edges.

1. Thickness: 5/8 inch.
2. Mold Resistance: ASTM D 3273, score of 10.

## 2.7 TRIM ACCESSORIES

- A. Interior Trim: ASTM C 1047.

1. Material: Galvanized or aluminum-coated steel sheet or rolled zinc.
2. Shapes:
  - a. Cornerbead.
  - b. LC-Bead: J-shaped; exposed long flange receives joint compound.
  - c. L-Bead: L-shaped; exposed long flange receives joint compound.
  - d. Expansion (control) joint.

- B. Aluminum Trim: Extruded accessories of profiles and dimensions indicated.

1. Manufacturers: Subject to compliance with requirements provide products by one of the following:
  - a. Fry Reglet Corp.
  - b. Gordon, Inc.
  - c. Pittcon Industries.
2. Aluminum: Alloy and temper with not less than the strength and durability properties of ASTM B 221, Alloy 6063-T5.
3. Finish: Corrosion-resistant primer compatible with joint compound and finish materials specified.

## 2.8 JOINT TREATMENT MATERIALS

- A. General: Comply with ASTM C 475/C 475M.

- B. Joint Tape:

1. Interior Gypsum Board: Paper.
  2. Glass-Mat Gypsum Sheathing Board: 10-by-10 glass mesh.
  3. Tile Backing Panels: As recommended by panel manufacturer.
- C. Joint Compound for Interior Gypsum Board: For each coat use formulation that is compatible with other compounds applied on previous or for successive coats.
1. Prefilling: At open joints, rounded or beveled panel edges, and damaged surface areas, use setting-type taping compound.
  2. Embedding and First Coat: For embedding tape and first coat on joints, fasteners, and trim flanges, use drying-type, all-purpose compound.
    - a. Use setting-type compound for installing paper-faced metal trim accessories.
  3. Fill Coat: For second coat, use drying-type, all-purpose compound.
  4. Finish Coat: For third coat, use drying-type, all-purpose compound.
- D. Joint Compound for Tile Backing Panels:
1. Cementitious Backer Units: As recommended by backer unit manufacturer.

## 2.9 AUXILIARY MATERIALS

- A. General: Provide auxiliary materials that comply with referenced installation standards and manufacturer's written recommendations.
- B. Steel Drill Screws: ASTM C 1002, unless otherwise indicated.
1. Use screws complying with ASTM C 954 for fastening panels to steel members from 0.033 to 0.112 inch thick.
  2. For fastening cementitious backer units, use screws of type and size recommended by panel manufacturer.
- C. Sound Attenuation Blankets: ASTM C 665, Type I (blankets without membrane facing) produced by combining thermosetting resins with mineral fibers manufactured from glass, slag wool, or rock wool.
1. Fire-Resistance-Rated Assemblies: Comply with mineral-fiber requirements of assembly.
- D. Acoustical Joint Sealant: Manufacturer's standard nonsag, paintable, nonstaining latex sealant complying with ASTM C 834. Product effectively reduces airborne sound transmission through perimeter joints and openings in building construction as demonstrated by testing representative assemblies according to ASTM E 90.
1. Products: Subject to compliance with requirements, provide one of the following:
    - a. Accumetric LLC; BOSS 824 Acoustical Sound Sealant.
    - b. Grabber Construction Products; Acoustical Sealant GSC.
    - c. Pecora Corporation; AC-20 FTR.
    - d. Specified Technologies, Inc.; Smoke N Sound Acoustical Sealant.
    - e. USG Corporation; SHEETROCK Acoustical Sealant.
  2. Acoustical joint sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- E. Thermal Insulation: As specified in Division 07 Section "Thermal Insulation."

## **PART 3 - EXECUTION**

### **3.1 EXAMINATION**

- A. Examine areas and substrates including welded hollow-metal frames and framing, with Installer present, for compliance with requirements and other conditions affecting performance.
- B. Examine panels before installation. Reject panels that are wet, moisture damaged, and mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### **3.2 APPLYING AND FINISHING PANELS, GENERAL**

- A. Comply with ASTM C 840.
- B. Install ceiling panels across framing to minimize the number of abutting end joints and to avoid abutting end joints in central area of each ceiling. Stagger abutting end joints of adjacent panels not less than one framing member.
- C. Install panels with face side out. Butt panels together for a light contact at edges and ends with not more than 1/16 inch of open space between panels. Do not force into place.
- D. Locate edge and end joints over supports, except in ceiling applications where intermediate supports or gypsum board back-blocking is provided behind end joints. Do not place tapered edges against cut edges or ends. Stagger vertical joints on opposite sides of partitions. Do not make joints other than control joints at corners of framed openings.
- E. Form control and expansion joints with space between edges of adjoining gypsum panels.
- F. Cover both faces of support framing with gypsum panels in concealed spaces (above ceilings, etc.), except in chases braced internally.
  - 1. Unless concealed application is indicated or required for sound, fire, air, or smoke ratings, coverage may be accomplished with scraps of not less than 8 sq. ft. in area.
  - 2. Fit gypsum panels around ducts, pipes, and conduits.
  - 3. Where partitions intersect structural members projecting below underside of floor/roof slabs and decks, cut gypsum panels to fit profile formed by structural members; allow 1/4- to 3/8-inch-wide joints to install sealant.
- G. Isolate perimeter of gypsum board applied to non-load-bearing partitions at structural abutments, except floors. Provide 1/4- to 1/2-inch-wide spaces at these locations and trim edges with edge trim where edges of panels are exposed. Seal joints between edges and abutting structural surfaces with acoustical sealant.
- H. Attachment to Steel Framing: Attach panels so leading edge or end of each panel is attached to open (unsupported) edges of stud flanges first.
- I. STC-Rated Assemblies: Seal construction at perimeters, behind control joints, and at openings and penetrations with a continuous bead of acoustical sealant. Install acoustical sealant at both faces of partitions at perimeters and through penetrations. Comply with ASTM C 919 and with manufacturer's written recommendations for locating edge trim and closing off sound-flanking paths around or through assemblies, including sealing partitions above acoustical ceilings.

- J. Install sound attenuation blankets before installing gypsum panels unless blankets are readily installed after panels have been installed on one side.

### 3.3 APPLYING INTERIOR GYPSUM BOARD

- A. Install interior gypsum board in the following locations:

- 1. Wallboard Type: Vertical surfaces unless otherwise indicated.
- 2. Type X: Where required for fire-resistance-rated assembly.
- 3. Cementitious backer board: Behind all ceramic tile locations

- B. Single-Layer Application:

- 1. On ceilings, apply gypsum panels before wall/partition board application to greatest extent possible and at right angles to framing unless otherwise indicated.
- 2. On partitions/walls, apply gypsum panels horizontally (perpendicular to framing) unless otherwise indicated or required by fire-resistance-rated assembly, and minimize end joints.
  - a. Stagger abutting end joints not less than one framing member in alternate courses of panels.
  - b. At stairwells and other high walls, install panels horizontally unless otherwise indicated or required by fire-resistance-rated assembly.
- 3. On Z-furring members, apply gypsum panels vertically (parallel to framing) with no end joints. Locate edge joints over furring members.
- 4. Fastening Methods: Apply gypsum panels to supports with steel drill screws.

- C. Multilayer Application:

- 1. On ceilings, apply gypsum board indicated for base layers before applying base layers on walls/partitions; apply face layers in same sequence. Apply base layers at right angles to framing members and offset face-layer joints one framing member, 16 inches minimum, from parallel base-layer joints, unless otherwise indicated or required by fire-resistance-rated assembly.
- 2. On partitions/walls, apply gypsum board indicated for base layers and face layers vertically (parallel to framing) with joints of base layers located over stud or furring member and face-layer joints offset at least one stud or furring member with base-layer joints, unless otherwise indicated or required by fire-resistance-rated assembly. Stagger joints on opposite sides of partitions.
- 3. Fastening Methods: Fasten base layers and face layers separately to supports with screws.

### 3.4 APPLYING EXTERIOR GYPSUM PANELS FOR CEILINGS AND SOFFITS

- A. Apply panels perpendicular to supports, with end joints staggered and located over supports.

- 1. Install with 1/4-inch open space where panels abut other construction or structural penetrations.
- 2. Fasten with corrosion-resistant screws.

### 3.5 APPLYING TILE BACKING PANELS

- A. Cementitious Backer Units: ANSI A108.11, at locations indicated to receive tile.

- B. Where tile backing panels abut other types of panels in same plane, shim surfaces to produce a uniform plane across panel surfaces.

### 3.6 INSTALLING TRIM ACCESSORIES

- A. General: For trim with back flanges intended for fasteners, attach to framing with same fasteners used for panels. Otherwise, attach trim according to manufacturer's written instructions.
- B. Control Joints: Install control joints at locations indicated on Drawings according to ASTM C 840 and in specific locations approved by Architect for visual effect.
- C. Interior Trim: Install in the following locations:
  - 1. Cornerbead: Use at outside corners unless otherwise indicated.
  - 2. LC-Bead: Use at exposed panel edges.
- D. Aluminum Trim: Install in locations indicated on Drawings.

### 3.7 FINISHING GYPSUM BOARD

- A. General: Treat gypsum board joints, interior angles, edge trim, control joints, penetrations, fastener heads, surface defects, and elsewhere as required to prepare gypsum board surfaces for decoration. Promptly remove residual joint compound from adjacent surfaces.
- B. Prefill open joints, rounded or beveled edges, and damaged surface areas.
- C. Apply joint tape over gypsum board joints, except for trim products specifically indicated as not intended to receive tape.
- D. Gypsum Board Finish Levels: Finish panels to levels indicated below and according to ASTM C 840:
  - 1. Level 1: Ceiling plenum areas, concealed areas, and where indicated.
  - 2. Level 2: Panels that are substrate for tile.
  - 3. Level 4: At panel surfaces that will be exposed to view unless otherwise indicated.
    - a. Primer and its application to surfaces are specified in other Division 09 Sections.
- E. Cementitious Backer Units: Finish according to manufacturer's written instructions.

### 3.8 PROTECTION

- A. Protect adjacent surfaces from drywall compound and promptly remove from floors and other non-drywall surfaces. Repair surfaces stained, marred, or otherwise damaged during drywall application.
- B. Protect installed products from damage from weather, condensation, direct sunlight, construction, and other causes during remainder of the construction period.
- C. Remove and replace panels that are wet, moisture damaged, and mold damaged.
  - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
  - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

**END OF SECTION 092900**



## **SECTION 093013 - CERAMIC TILING**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Ceramic mosaic tile.
  - 2. Porcelain tile.
  - 3. Stone thresholds.
  - 4. Waterproof membrane.
  - 5. Crack isolation membrane.
  - 6. Metal edge strips.

#### **1.3 PREINSTALLATION MEETINGS**

- A. Preinstallation Conference: Conduct conference at Project site.
  - 1. Review requirements in ANSI A108.01 for substrates and for preparation by other trades.

#### **1.4 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
- B. Samples for Initial Selection: For tile, grout, and accessories involving color selection.
- C. Samples for Verification:
  - 1. Full-size units of each type and composition of tile and for each color and finish required.
  - 2. Assembled samples mounted on a rigid panel, with grouted joints, for each type and composition of tile and for each color and finish required. Make samples at least 12 inches square, but not fewer than four tiles. Use grout of type and in color or colors approved for completed Work.
  - 3. Full-size units of each type of trim and accessory for each color and finish required.
  - 4. Stone thresholds in 6-inch lengths.
  - 5. Metal edge strips in 6-inch lengths.

#### **1.5 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: For Installer.
- B. Master Grade Certificates: For each shipment, type, and composition of tile, signed by tile manufacturer and Installer.

- C. Product Certificates: For each type of product.
- D. Product Test Reports: For tile-setting and -grouting products.

#### 1.6 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match and are from same production runs as products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Tile and Trim Units: Furnish quantity of full-size units equal to 3 percent of amount installed for each type, composition, color, pattern, and size indicated.
  - 2. Grout: Furnish quantity of grout equal to 3 percent of amount installed for each type, composition, and color indicated.

#### 1.7 QUALITY ASSURANCE

- A. Installer Qualifications:
  - 1. Installer is a five-star member of the National Tile Contractors Association or a Trowel of Excellence member of the Tile Contractors' Association of America.

#### 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Deliver and store packaged materials in original containers with seals unbroken and labels intact until time of use. Comply with requirements in ANSI A137.1 for labeling tile packages.
- B. Store tile and cementitious materials on elevated platforms, under cover, and in a dry location.
- C. Store aggregates where grading and other required characteristics can be maintained and contamination can be avoided.
- D. Store liquid materials in unopened containers and protected from freezing.

#### 1.9 FIELD CONDITIONS

- A. Environmental Limitations: Do not install tile until construction in spaces is complete and ambient temperature and humidity conditions are maintained at the levels indicated in referenced standards and manufacturer's written instructions.

### **PART 2 - PRODUCTS**

#### 2.1 MANUFACTURERS

- A. Source Limitations for Tile: Obtain tile of each type and color or finish from single source or producer.
  - 1. Obtain tile of each type and color or finish from same production run and of consistent quality in appearance and physical properties for each contiguous area.



- B. Source Limitations for Setting and Grouting Materials: Obtain ingredients of a uniform quality for each mortar, adhesive, and grout component from single manufacturer and each aggregate from single source or producer.
  - 1. Obtain setting and grouting materials, except for unmodified Portland cement and aggregate, from single manufacturer.
- C. Source Limitations for Other Products: Obtain each of the following products specified in this Section from a single manufacturer:
  - 1. Stone thresholds.
  - 2. Waterproof membrane.

## 2.2 PRODUCTS, GENERAL

- A. ANSI Ceramic Tile Standard: Provide tile that complies with ANSI A137.1 for types, compositions, and other characteristics indicated.
  - 1. Provide tile complying with Standard grade requirements.
- B. ANSI Standards for Tile Installation Materials: Provide materials complying with ANSI A108.02, ANSI standards referenced in other Part 2 articles, ANSI standards referenced by TCNA installation methods specified in tile installation schedules, and other requirements specified.
- C. Factory Blending: For tile exhibiting color variations within ranges, blend tile in factory and package so tile units taken from one package show same range in colors as those taken from other packages and match approved Samples.
- D. Mounting: For factory-mounted tile, provide back- or edge-mounted tile assemblies as standard with manufacturer unless otherwise indicated.

## 2.3 TILE PRODUCTS

- A. Ceramic Tile Type CT: Porcelain cove base tile.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. American Olean; a division of Dal-Tile Corporation.
    - b. Daltile
    - c. Trinity Tile (Basis of Design, Social)
  - 2. Face Size: 4 by 24 inches.
  - 3. Thickness: 8mm.
  - 4. Dynamic Coefficient of Friction: Not less than 0.42.
  - 5. Tile Color and Pattern: As selected by Architect from manufacturer's full range.
  - 6. Grout Color: As selected by Architect from manufacturer's full range.
  - 7. Trim Units: Coordinated with sizes and coursing of adjoining flat tile where applicable. Provide shapes as follows, selected from manufacturer's standard shapes:
    - a. Tapered Transition Tile: Shape designed to effect transition between thickness of tile floor and adjoining floor finishes of different thickness, tapered to provide reduction in thickness from 1/2 to 1/4 inch across nominal 4-inch dimension.

B. Ceramic Tile Type CT: Porcelain mosaic floor tile.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. American Olean; a division of Dal-Tile Corporation.
  - b. Daltile
  - c. Trinity Tile (Basis of Design, Social)
2. Face Size: 2 by 2 inches.
3. Thickness: 8mm.
4. Dynamic Coefficient of Friction: Not less than 0.42.
5. Tile Color and Pattern: As selected by Architect from manufacturer's full range.
6. Grout Color: As selected by Architect from manufacturer's full range.
7. Trim Units: Coordinated with sizes and coursing of adjoining flat tile where applicable. Provide shapes as follows, selected from manufacturer's standard shapes:
  - a. Tapered Transition Tile: Shape designed to effect transition between thickness of tile floor and adjoining floor finishes of different thickness, tapered to provide reduction in thickness from 1/2 to 1/4 inch across nominal 4-inch dimension.

C. Ceramic Tile Type CT-: Ceramic mosaic wall tile.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. American Olean; a division of Dal-Tile Corporation.
  - b. Daltile
  - c. Trinity Tile (Basis of Design, Mosaics)
2. Face Size: 12 by 12 inch mosaic sheet.
3. Thickness: 6mm.
4. Pattern: 1 by 1 Hexagon Black.
5. Dynamic Coefficient of Friction: Not less than 0.42.
6. Tile Color and Pattern: As selected by Architect from manufacturer's full range.
7. Grout Color: As selected by Architect from manufacturer's full range.
8. Trim Units: Coordinated with sizes and coursing of adjoining flat tile where applicable. Provide shapes as follows, selected from manufacturer's standard shapes:
  - a. Tapered Transition Tile: Shape designed to effect transition between thickness of tile floor and adjoining floor finishes of different thickness, tapered to provide reduction in thickness from 1/2 to 1/4 inch across nominal 4-inch dimension.

## 2.4 THRESHOLDS

- A. General: Fabricate to sizes and profiles indicated or required to provide transition between adjacent floor finishes.
1. Bevel edges at 1:2 slope, with lower edge of bevel aligned with or up to 1/16 inch above adjacent floor surface. Finish bevel to match top surface of threshold. Limit height of threshold to 1/2 inch or less above adjacent floor surface.
- B. Slate Thresholds: ASTM C629/C629M, Classification II Interior, with fine, even grain and honed finish.
1. Description: Uniform, gray stone and unfading.

## 2.5 TILE BACKING PANELS

- A. Cementitious Backer Units: ANSI A118.9 or ASTM C1325, Type A, in maximum lengths available to minimize end-to-end butt joints.
  - 1. Thickness: 5/8 inch.

## 2.6 WATERPROOF MEMBRANE

- A. General: Manufacturer's standard product, selected from the following, that complies with ANSI A118.10 and is recommended by the manufacturer for the application indicated. Include reinforcement and accessories recommended by manufacturer.
- B. Fabric-Reinforced, Modified-Bituminous Sheet: Self-adhering, SBS-modified-bituminous sheet with fabric reinforcement facing; 0.040-inch nominal thickness.

## 2.7 SETTING MATERIALS

- A. Portland Cement Mortar (Thickset) Installation Materials: ANSI A108.02.
  - 1. Cleavage Membrane: Asphalt felt, ASTM D226/D226M, Type I (No. 15); or polyethylene sheeting, ASTM D4397, 4.0 mils thick.
  - 2. Reinforcing Wire Fabric: Galvanized, welded-wire fabric, 2 by 2 inches by 0.062-inch diameter; comply with ASTM A185/A185M and ASTM A82/A82M, except for minimum wire size.
  - 3. Latex Additive: Manufacturer's standard water emulsion, serving as replacement for part or all of gaging water, of type specifically recommended by latex-additive manufacturer for use with field-mixed portland cement and aggregate mortar bed.
- B. Standard Dry-Set Mortar (Thinset): ANSI A118.1.
  - 1. For wall applications, provide mortar that complies with requirements for nonsagging mortar in addition to the other requirements in ANSI A118.1.
- C. Modified Dry-Set Mortar (Thinset): ANSI A118.4.
  - 1. Provide prepackaged, dry-mortar mix containing dry, redispersible, vinyl acetate or acrylic additive to which only water must be added at Project site.
  - 2. For wall applications, provide mortar that complies with requirements for nonsagging mortar in addition to the other requirements in ANSI A118.4.

## 2.8 GROUT MATERIALS

- A. Water-Cleanable Epoxy Grout: ANSI A118.3, with a VOC content of 65 g/L or less.
  - 1. Provide product capable of withstanding continuous and intermittent exposure to temperatures of up to 140 and 212 deg F, respectively, and certified by manufacturer for intended use.

## 2.9 MISCELLANEOUS MATERIALS

- A. Trowelable Underlayments and Patching Compounds: Latex-modified, portland cement-based formulation provided or approved by manufacturer of tile-setting materials for installations indicated.

- B. Metal Edge Strips: Angle or L-shaped, height to match tile and setting-bed thickness, metallic, designed specifically for flooring and corner applications; exposed-edge material.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Blanke Corporation.
    - b. Ceramic Tool Company, Inc.
    - c. Schluter Systems L.P.
- C. Tile Cleaner: A neutral cleaner capable of removing soil and residue without harming tile and grout surfaces, specifically approved for materials and installations indicated by tile and grout manufacturers.

## 2.10 MIXING MORTARS AND GROUT

- A. Mix mortars and grouts to comply with referenced standards and mortar and grout manufacturers' written instructions.
- B. Add materials, water, and additives in accurate proportions.
- C. Obtain and use type of mixing equipment, mixer speeds, mixing containers, mixing time, and other procedures to produce mortars and grouts of uniform quality with optimum performance characteristics for installations indicated.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions where tile will be installed, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
  - 1. Verify that substrates for setting tile are firm; dry; clean; free of coatings that are incompatible with tile-setting materials, including curing compounds and other substances that contain soap, wax, oil, or silicone; and comply with flatness tolerances required by ANSI A108.01 for installations indicated.
  - 2. Verify that concrete substrates for tile floors installed with bonded mortar bed or thinset mortar comply with surface finish requirements in ANSI A108.01 for installations indicated.
    - a. Verify that surfaces that received a steel trowel finish have been mechanically scarified.
    - b. Verify that protrusions, bumps, and ridges have been removed by sanding or grinding.
  - 3. Verify that installation of grounds, anchors, recessed frames, electrical and mechanical units of work, and similar items located in or behind tile has been completed.
  - 4. Verify that joints and cracks in tile substrates are coordinated with tile joint locations; if not coordinated, adjust joint locations in consultation with Architect.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Fill cracks, holes, and depressions in concrete substrates for tile floors installed with thinset mortar with trowelable leveling and patching compound specifically recommended by tile-setting material manufacturer.
- B. Where indicated, prepare substrates to receive waterproofing by applying a reinforced mortar bed that complies with ANSI A108.1A and is sloped 1/4 inch per foot toward drains.
- C. Blending: For tile exhibiting color variations, verify that tile has been factory blended and packaged so tile units taken from one package show same range of colors as those taken from other packages and match approved Samples. If not factory blended, either return to manufacturer or blend tiles at Project site before installing.

### 3.3 CERAMIC TILE INSTALLATION

- A. Comply with TCNA's "Handbook for Ceramic, Glass, and Stone Tile Installation" for TCNA installation methods specified in tile installation schedules. Comply with parts of the ANSI A108 series "Specifications for Installation of Ceramic Tile" that are referenced in TCNA installation methods, specified in tile installation schedules, and apply to types of setting and grouting materials used.
  - 1. For the following installations, follow procedures in the ANSI A108 series of tile installation standards for providing 95 percent mortar coverage:
    - a. Tile floors in wet areas.
    - b. Tile floors consisting of tiles 8 by 8 inches or larger.
- B. Extend tile work into recesses and under or behind equipment and fixtures to form complete covering without interruptions unless otherwise indicated. Terminate work neatly at obstructions, edges, and corners without disrupting pattern or joint alignments.
- C. Accurately form intersections and returns. Perform cutting and drilling of tile without marring visible surfaces. Carefully grind cut edges of tile abutting trim, finish, or built-in items for straight aligned joints. Fit tile closely to electrical outlets, piping, fixtures, and other penetrations so plates, collars, or covers overlap tile.
- D. Provide manufacturer's standard trim shapes where necessary to eliminate exposed tile edges.
- E. Where accent tile differs in thickness from field tile, vary setting-bed thickness so that tiles are flush.
- F. Jointing Pattern: Lay tile in grid pattern unless otherwise indicated. Lay out tile work and center tile fields in both directions in each space or on each wall area. Lay out tile work to minimize the use of pieces that are less than half of a tile. Provide uniform joint widths unless otherwise indicated.
  - 1. For tile mounted in sheets, make joints between tile sheets same width as joints within tile sheets so joints between sheets are not apparent in finished work.
  - 2. Where adjoining tiles on floor, base, walls, or trim are specified or indicated to be same size, align joints.
  - 3. Where tiles are specified or indicated to be whole integer multiples of adjoining tiles on floor, base, walls, or trim, align joints unless otherwise indicated.
- G. Joint Widths: Unless otherwise indicated, install tile with the following joint widths:
  - 1. Ceramic Mosaic Tile: Standard sheet size spacing

2. Porcelain Tile: 1/4 inch.

H. Lay out tile wainscots to dimensions indicated or to next full tile beyond dimensions indicated.

I. Expansion Joints: Provide expansion joints and other sealant-filled joints, including control, contraction, and isolation joints, where indicated. Form joints during installation of setting materials, mortar beds, and tile. Do not saw-cut joints after installing tiles.

1. Where joints occur in concrete substrates, locate joints in tile surfaces directly above them.

J. Stone Thresholds: Install stone thresholds in same type of setting bed as adjacent floor unless otherwise indicated.

1. At locations where mortar bed (thickset) would otherwise be exposed above adjacent floor finishes, set thresholds in modified dry-set mortar (thinset).

2. Do not extend cleavage membrane waterproofing under thresholds set in modified dry-set mortar. Fill joints between such thresholds and adjoining tile set on cleavage membrane or waterproofing with elastomeric sealant.

### 3.4 TILE BACKING PANEL INSTALLATION

A. Install panels and treat joints according to ANSI A108.11 and manufacturer's written instructions for type of application indicated.

### 3.5 WATERPROOFING INSTALLATION

A. Install waterproofing to comply with ANSI A108.13 and manufacturer's written instructions to produce waterproof membrane of uniform thickness that is bonded securely to substrate.

B. Allow waterproofing to cure and verify by testing that it is watertight before installing tile or setting materials over it.

### 3.6 ADJUSTING AND CLEANING

A. Remove and replace tile that is damaged or that does not match adjoining tile. Provide new matching units, installed as specified and in a manner to eliminate evidence of replacement.

B. Cleaning: On completion of placement and grouting, clean all ceramic tile surfaces so they are free of foreign matter.

1. Remove grout residue from tile as soon as possible.

2. Clean grout smears and haze from tile according to tile and grout manufacturer's written instructions but no sooner than 10 days after installation. Use only cleaners recommended by tile and grout manufacturers and only after determining that cleaners are safe to use by testing on samples of tile and other surfaces to be cleaned. Protect metal surfaces and plumbing fixtures from effects of cleaning. Flush surfaces with clean water before and after cleaning.

### 3.7 PROTECTION

- A. Protect installed tile work with kraft paper or other heavy covering during construction period to prevent staining, damage, and wear. If recommended by tile manufacturer, apply coat of neutral protective cleaner to completed tile walls and floors.
- B. Prohibit foot and wheel traffic from tiled floors for at least seven days after grouting is completed.
- C. Before final inspection, remove protective coverings and rinse neutral protective cleaner from tile surfaces.

### 3.8 INTERIOR CERAMIC TILE INSTALLATION SCHEDULE

- A. Interior Floor Installations, Concrete Subfloor:
  - 1. Ceramic Tile Installation: TCNA F121 and ANSI A108.1B; cement mortar bed (thickset) on waterproof membrane.
    - a. Ceramic Tile Type: CT.
    - b. Bond Coat for Cured-Bed Method: Latex- portland cement mortar.
    - c. Grout: Water-Cleanable Epoxy Grout.
    - d. Location: As noted.
- B. Interior Wall Installations, Metal Studs or Furring:
  - 1. Ceramic Tile Installation: TCNA W244C or TCNA W244F; thinset mortar on cementitious backer units or fiber-cement backer board.
    - a. Ceramic Tile Type: CT.
    - b. Thinset Mortar: Latex- portland cement mortar.
    - c. Grout: Water-Cleanable Epoxy Grout.

**END OF SECTION 093013**





## **SECTION 095113 - ACOUSTICAL PANEL CEILINGS**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes acoustical panels and exposed suspension systems for interior ceilings.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
- B. Samples: For each exposed product and for each color and texture specified, 6 inches in size.
- C. Samples for Initial Selection: For components with factory-applied finishes.
- D. Samples for Verification: For each component indicated and for each exposed finish required, prepared on Samples of sizes indicated below:
  - 1. Acoustical Panels: Set of 6-inch-square Samples of each type, color, pattern, and texture.
  - 2. Exposed Suspension-System Members, Moldings, and Trim: Set of 6-inch-long Samples of each type, finish, and color.

#### **1.4 CLOSEOUT SUBMITTALS**

- A. Maintenance Data: For finishes to include in maintenance manuals.

#### **1.5 MAINTENANCE MATERIAL SUBMITTALS**

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Acoustical Ceiling Units: Full-size panels equal to 2 percent of quantity installed.
  - 2. Suspension-System Components: Quantity of each exposed component equal to 2 percent of quantity installed.

#### **1.6 QUALITY ASSURANCE**

- A. Testing Agency Qualifications: Qualified according to NVLAP for testing indicated.

## 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver acoustical panels, suspension-system components, and accessories to Project site and store them in a fully enclosed, conditioned space where they will be protected against damage from moisture, humidity, temperature extremes, direct sunlight, surface contamination, and other causes.
- B. Before installing acoustical panels, permit them to reach room temperature and a stabilized moisture content.
- C. Handle acoustical panels carefully to avoid chipping edges or damaging units in any way.

## 1.8 FIELD CONDITIONS

- A. Environmental Limitations: Do not install acoustical panel ceilings until spaces are enclosed and weathertight, wet-work in spaces is complete and dry, work above ceilings is complete, and ambient temperature and humidity conditions are maintained at the levels indicated for Project when occupied for its intended use.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Source Limitations: Obtain each type of acoustical ceiling panel and its supporting suspension system from single source from single manufacturer.

### 2.2 PERFORMANCE REQUIREMENTS

- A. Surface-Burning Characteristics: Comply with ASTM E 84; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1. Flame-Spread Index: Class A according to ASTM E 1264.
  - 2. Smoke-Developed Index: 450 or less.

### 2.3 ACOUSTICAL PANELS

- A. Basis of Design Product: Subject to compliance with requirements, provide Armstrong World Industries, "Cirrus Tegular High NRC #556", or comparable product by one of the following:
  - 1. Armstrong World Industries, Inc.
  - 2. CertainTeed Corp.
  - 3. Chicago Metallic Corporation.
  - 4. Tectum Inc.
  - 5. USG Interiors, Inc.; Subsidiary of USG Corporation
- B. Acoustical Panel Standard: Provide manufacturer's standard panels according to ASTM E 1264 and designated by type, form, pattern, acoustical rating, and light reflectance unless otherwise indicated.
  - 1. Type and Form: Type III, mineral base with painted finish; Form 1, nodular.
  - 2. Pattern: E (Lightly textured).

- C. Color: White.
- D. Light Reflectance (LR): Not less than 0.85.
- E. Ceiling Attenuation Class (CAC): Not less than 35.
- F. Noise Reduction Coefficient (NRC): Not less than 0.75.
- G. Edge/Joint Detail: Beveled, Tegal.
- H. Thickness: 3/4 inch.
- I. Modular Size: 24 by 24 inches.
- J. Antimicrobial Treatment: Manufacturer's standard broad spectrum, antimicrobial formulation that inhibits fungus, mold, mildew, and gram-positive and gram-negative bacteria and showing no mold, mildew, or bacterial growth when tested according to ASTM D 3273, ASTM D 3274, or ASTM G 21 and evaluated according to ASTM D 3274 or ASTM G 21.

#### 2.4 METAL SUSPENSION SYSTEM

- A. Metal Suspension-System Standard: Provide manufacturer's standard, direct-hung, metal suspension system and accessories according to ASTM C 635/C 635M and designated by type, structural classification, and finish indicated.
- B. Wide-Face, Single-Web, Steel Suspension System: Main and cross runners roll formed from cold-rolled 15/16 inch steel sheet electrolytically zinc coated, with prefinished flanges of width indicated.
  - 1. Structural Classification: Heavy-duty system.
  - 2. Face Finish: Painted white.
- C. Attachment Devices: Size for five times the design load indicated in ASTM C 635/C 635M, Table 1, "Direct Hung," unless otherwise indicated. Comply with seismic design requirements.
  - 1. Power-Actuated Fasteners in Concrete: Fastener system of type suitable for application indicated, fabricated from corrosion-resistant materials, with clips or other accessory devices for attaching hangers of type indicated and with capability to sustain, without failure, a load equal to 10 times that imposed by ceiling construction, as determined by testing according to ASTM E 1190, conducted by a qualified testing and inspecting agency.
- D. Wire Hangers, Braces, and Ties: Provide wires complying with the following requirements:
  - 1. Zinc-Coated, Carbon-Steel Wire: ASTM A 641/A 641M, Class 1 zinc coating, soft temper.
  - 2. Size: Select wire diameter so its stress at three times hanger design load (ASTM C 635/C 635M, Table 1, "Direct Hung") will be less than yield stress of wire, but provide not less than 0.135-inch-diameter wire.
- E. Hanger Rods Mild steel, zinc coated or protected with rust-inhibitive paint.
- F. Angle Hangers: Angles with legs not less than 7/8 inch wide; formed with 0.04-inch-thick, galvanized-steel sheet complying with ASTM A 653/A 653M, G90 coating designation; with bolted connections and 5/16-inch-diameter bolts.

## 2.5 METAL EDGE MOLDINGS AND TRIM

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following.
  - 1. Armstrong World Industries, Inc.
  - 2. CertainTeed Corp.
  - 3. Chicago Metallic Corporation.
  - 4. Gordon, Inc.
  - 5. USG Interiors, Inc.; Subsidiary of USG Corporation.
- B. Roll-Formed, Sheet-Metal Edge Moldings and Trim: Type and profile indicated or, if not indicated, manufacturer's standard moldings for edges and penetrations that comply with seismic design requirements; formed from sheet metal of same material, finish, and color as that used for exposed flanges of suspension-system runners.
  - 1. Provide manufacturer's standard edge moldings that fit acoustical panel edge details and suspension systems indicated and that match width and configuration of exposed runners unless otherwise indicated.
  - 2. For circular penetrations of ceiling, provide edge moldings fabricated to diameter required to fit penetration exactly.

## 2.6 ACOUSTICAL SEALANT

- A. Products: Subject to compliance with requirements, provide one of the following
  - 1. Acoustical Sealant for Concealed Joints:
    - a. Henkel Corporation; OSI Pro-Series SC-175 Acoustical Sound Sealant.
    - b. Pecora Corporation; AIS-919.
    - c. Tremco, Inc.; Tremco Acoustical Sealant.

# PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, including structural framing to which acoustical panel ceilings attach or abut, with Installer present, for compliance with requirements specified in this and other Sections that affect ceiling installation and anchorage and with requirements for installation tolerances and other conditions affecting performance of acoustical panel ceilings.
- B. Examine acoustical panels before installation. Reject acoustical panels that are wet, moisture damaged, or mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 PREPARATION

- A. Measure each ceiling area and establish layout of acoustical panels to balance border widths at opposite edges of each ceiling. Avoid using less-than-half-width panels at borders unless otherwise indicated, and comply with layout shown on reflected ceiling plans.

### 3.3 INSTALLATION

- A. Install acoustical panel ceilings according to ASTM C 636/C 636M, seismic design requirements, and manufacturer's written instructions.
- B. Suspend ceiling hangers from building's structural members and as follows:
  - 1. Install hangers plumb and free from contact with insulation or other objects within ceiling plenum that are not part of supporting structure or of ceiling suspension system.
  - 2. Splay hangers only where required to miss obstructions; offset resulting horizontal forces by bracing, countersplaying, or other equally effective means.
  - 3. Secure wire hangers to ceiling-suspension members and to supports above with a minimum of three tight turns. Connect hangers directly to structure or to inserts, eye screws, or other devices that are secure and appropriate for substrate and that will not deteriorate or otherwise fail due to age, corrosion, or elevated temperatures.
  - 4. Secure flat, angle, channel, and rod hangers to structure, including intermediate framing members, by attaching to inserts, eye screws, or other devices that are secure and appropriate for both the structure to which hangers are attached and the type of hanger involved. Install hangers in a manner that will not cause them to deteriorate or fail due to age, corrosion, or elevated temperatures.
  - 5. Do not support ceilings directly from permanent metal forms or floor deck. Fasten hangers to cast-in-place hanger inserts, postinstalled mechanical or adhesive anchors, or power-actuated fasteners that extend through forms into concrete.
  - 6. Do not attach hangers to steel deck tabs.
  - 7. Do not attach hangers to steel roof deck. Attach hangers to structural members.
  - 8. Space hangers not more than 48 inches o.c. along each member supported directly from hangers unless otherwise indicated; provide hangers not more than 8 inches from ends of each member.
  - 9. Size supplemental suspension members and hangers to support ceiling loads within performance limits established by referenced standards.
- C. Secure bracing wires to ceiling suspension members and to supports with a minimum of four tight turns. Suspend bracing from building's structural members as required for hangers, without attaching to permanent metal forms, steel deck, or steel deck tabs. Fasten bracing wires into concrete with cast-in-place or postinstalled anchors.
- D. Install edge moldings and trim of type indicated at perimeter of acoustical ceiling area and where necessary to conceal edges of acoustical panels.
  - 1. Apply acoustical sealant in a continuous ribbon concealed on back of vertical legs of moldings before they are installed.
  - 2. Screw attach moldings to substrate at intervals not more than 16 inches o.c. and not more than 3 inches from ends. Miter corners accurately and connect securely.
  - 3. Do not use exposed fasteners, including pop rivets, on moldings and trim.
- E. Install suspension-system runners so they are square and securely interlocked with one another. Remove and replace dented, bent, or kinked members.
- F. Install acoustical panels with undamaged edges and fit accurately into suspension-system runners and edge moldings. Scribe and cut panels at borders and penetrations to provide precise fit.
  - 1. For reveal-edged panels on suspension-system runners, install panels with bottom of reveal in firm contact with top surface of runner flanges.
  - 2. Paint cut edges of panel remaining exposed after installation; match color of exposed panel surfaces using coating recommended in writing for this purpose by acoustical panel manufacturer.
  - 3. Protect lighting fixtures and air ducts according to requirements indicated for fire-resistance-rated assembly.

#### 3.4 CLEANING

- A. Clean exposed surfaces of acoustical panel ceilings, including trim, edge moldings, and suspension-system members. Comply with manufacturer's written instructions for cleaning and touchup of minor finish damage.
- B. Remove and replace ceiling components that cannot be successfully cleaned and repaired to permanently eliminate evidence of damage.

**END OF SECTION 095113**

## **SECTION 096513 - RESILIENT BASE AND ACCESSORIES**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Resilient base.
  - 2. Resilient molding accessories.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
- B. Samples: For each exposed product and for each color and texture specified, not less than 12 inches long.
- C. Samples for Initial Selection: For each type of product indicated.
- D. Samples for Verification: For each type of product indicated and for each color, texture, and pattern required in manufacturer's standard-size Samples, but not less than 12 inches long.

#### **1.4 MAINTENANCE MATERIAL SUBMITTALS**

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Furnish not less than 10 linear feet for every 500 linear feet or fraction thereof, of each type, color, pattern, and size of resilient product installed.

#### **1.5 DELIVERY, STORAGE, AND HANDLING**

- A. Store resilient products and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended by manufacturer, but not less than 50 deg F or more than 90 deg F.

#### **1.6 FIELD CONDITIONS**

- A. Maintain ambient temperatures within range recommended by manufacturer, but not less than 70 deg F or more than 95 deg F, in spaces to receive resilient products during the following time periods:
  - 1. 48 hours before installation.

2. During installation.
  3. 48 hours after installation.
- B. After installation and until Substantial Completion, maintain ambient temperatures within range recommended by manufacturer, but not less than 55 deg F or more than 95 deg F.
- C. Install resilient products after other finishing operations, including painting, have been completed.

## **PART 2 - PRODUCTS**

### **2.1 THERMOSET-RUBBER BASE**

- A. Product Standard: ASTM F 1861, NSF 332, Group I (solid, homogeneous).
- B. Thickness: 0.125 inch.
- C. Height: 5-1/4" inches.
- D. Profile: Impulse #15 by Roppe (Basis of Design); or provide comparable product by Johnsonite or Armstrong.
- E. Lengths: Coils in manufacturer's standard length.
- F. Outside Corners: Preformed.
- G. Inside Corners: Preformed.
- H. Colors: As selected by Architect from full range of industry colors.

### **2.2 RUBBER MOLDING ACCESSORY**

- A. Description: Rubber carpet edge for glue-down applications, nosing for resilient flooring.
- B. Locations: Provide rubber molding accessories at all changes in flooring material.
- C. Colors and Patterns: As selected by Architect from full range of industry colors.

### **2.3 INSTALLATION MATERIALS**

- A. Trowelable Leveling and Patching Compounds: Latex-modified, portland cement based or blended hydraulic-cement-based formulation provided or approved by resilient-product manufacturer for applications indicated.
- B. Adhesives: Water-resistant type recommended by resilient-product manufacturer for resilient products and substrate conditions indicated.
  1. Adhesives shall have a VOC content of 50 g/L or less except that adhesive for rubber stair treads shall have a VOC content of 60 g/L or less.



## **PART 3 - EXECUTION**

### **3.1 EXAMINATION**

- A. Examine substrates, with Installer present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
  - 1. Verify that finishes of substrates comply with tolerances and other requirements specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of resilient products.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.
  - 1. Installation of resilient products indicates acceptance of surfaces and conditions.

### **3.2 PREPARATION**

- A. Prepare substrates according to manufacturer's written instructions to ensure adhesion of resilient products.
- B. Concrete Substrates for Resilient Stair Accessories: Prepare horizontal surfaces according to ASTM F 710.
  - 1. Verify that substrates are dry and free of curing compounds, sealers, and hardeners.
  - 2. Remove substrate coatings and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, using mechanical methods recommended by manufacturer. Do not use solvents.
  - 3. Alkalinity and Adhesion Testing: Perform tests recommended by manufacturer. Proceed with installation only after substrate alkalinity falls within range on pH scale recommended by manufacturer in writing, but not less than 5 or more than 9 pH.
  - 4. Moisture Testing: Proceed with installation only after substrates pass testing according to manufacturer's written recommendations, but not less stringent than the following:
    - a. Perform anhydrous calcium chloride test according to ASTM F 1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of 3 lb of water/1000 sq. ft. in 24 hours.
    - b. Perform relative humidity test using in situ probes according to ASTM F 2170. Proceed with installation only after substrates have maximum 75 percent relative humidity level.
- C. Fill cracks, holes, and depressions in substrates with trowelable leveling and patching compound; remove bumps and ridges to produce a uniform and smooth substrate.
- D. Do not install resilient products until they are the same temperature as the space where they are to be installed.
  - 1. At least 48 hours in advance of installation, move resilient products and installation materials into spaces where they will be installed.
- E. Immediately before installation, sweep and vacuum clean substrates to be covered by resilient products.

### 3.3 RESILIENT BASE INSTALLATION

- A. Comply with manufacturer's written instructions for installing resilient base.
- B. Apply resilient base to walls, columns, pilasters, casework and cabinets in toe spaces, and other permanent fixtures in rooms and areas where base is required.
- C. Install resilient base in lengths as long as practical without gaps at seams and with tops of adjacent pieces aligned.
- D. Tightly adhere resilient base to substrate throughout length of each piece, with base in continuous contact with horizontal and vertical substrates.
- E. Do not stretch resilient base during installation.
- F. On masonry surfaces or other similar irregular substrates, fill voids along top edge of resilient base with manufacturer's recommended adhesive filler material.
- G. Preformed Corners: Install preformed corners before installing straight pieces.

### 3.4 RESILIENT ACCESSORY INSTALLATION

- A. Comply with manufacturer's written instructions for installing resilient accessories.
- B. Resilient Molding Accessories: Butt to adjacent materials and tightly adhere to substrates throughout length of each piece. Install reducer strips at edges of floor covering that would otherwise be exposed.

### 3.5 CLEANING AND PROTECTION

- A. Comply with manufacturer's written instructions for cleaning and protecting resilient products.
- B. Perform the following operations immediately after completing resilient-product installation:
  - 1. Remove adhesive and other blemishes from exposed surfaces.
  - 2. Sweep and vacuum horizontal surfaces thoroughly.
  - 3. Damp-mop horizontal surfaces to remove marks and soil.
- C. Protect resilient products from marks, marks, indentations, and other damage from construction operations and placement of equipment and fixtures during remainder of construction period.
- D. Cover resilient products subject to wear and foot traffic until Project Acceptance.

**END OF SECTION 096513**

## **SECTION 096519 - RESILIENT TILE FLOORING**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Luxury vinyl floor tile.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
- B. Shop Drawings: For each type of resilient floor tile.
  - 1. Include floor tile layouts, edges, columns, doorways, enclosing partitions, built-in furniture, cabinets, and cutouts.
  - 2. Show details of special patterns.
- C. Samples: Full-size units of each color, texture, and pattern of floor tile required.
- D. Samples for Initial Selection: For each type of floor tile indicated.
- E. Samples for Verification: Full-size units of each color and pattern of floor tile required.

#### **1.4 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: For Installer.

#### **1.5 CLOSEOUT SUBMITTALS**

- A. Maintenance Data: For each type of floor tile to include in maintenance manuals.

#### **1.6 MAINTENANCE MATERIAL SUBMITTALS**

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Floor Tile: Furnish one box for every 50 boxes or fraction thereof, of each type, color, and pattern of floor tile installed.

## 1.7 QUALITY ASSURANCE

- A. Installer Qualifications: An entity that employs installers and supervisors who are competent in techniques required by manufacturer for floor tile installation and seaming method indicated.

## 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Store floor tile and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended by manufacturer, but not less than 50 deg F or more than 90 deg F. Store floor tiles on flat surfaces.

## 1.9 FIELD CONDITIONS

- A. Maintain ambient temperatures within range recommended by manufacturer, but not less than 50 deg F or more than 90 deg F, in spaces to receive floor tile during the following periods:
  - 1. 48 hours before installation.
  - 2. During installation.
  - 3. 48 hours after installation.
- B. After installation and until Project Acceptance, maintain ambient temperatures within range recommended by manufacturer, but not less than 55 deg F or more than 95 deg F.
- C. Close spaces to traffic during floor tile installation.
- D. Close spaces to traffic for 48 hours after floor tile installation.
- E. Install floor tile after other finishing operations, including painting, have been completed.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics: For resilient floor tile, as determined by testing identical products according to ASTM E648 or NFPA 253 by a qualified testing agency.
  - 1. Critical Radiant Flux Classification: Class I, not less than 0.45 W/sq. cm.

### 2.2 LUXURYVINYL FLOOR TILE(LVT)

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Armstrong World Industries, Inc
  - 2. Mannington
  - 3. Shaw (Creating Space, Thoughtful)
- B. Tile Standard: ASTM F1700.
  - 1. Class: Class III, Printed Film Vinyl Tile.
  - 2. Type: B, Embossed Surface.

- C. Thickness: 0.197 inches.
- D. Size: 24 by 24 inches.
- A. Colors and Patterns: As indicated by Architect from full range of industry colors and patterns.

## 2.3 INSTALLATION MATERIALS

- A. Trowelable Leveling and Patching Compounds: Latex-modified, portland-cement-based or blended hydraulic-cement-based formulation provided or approved by floor tile manufacturer for applications indicated.
- B. Adhesives: Water-resistant type recommended by floor tile and adhesive manufacturers to suit floor tile and substrate conditions indicated.
  - 1. Adhesives shall comply with the following for VOC content: Vinyl Composition Tile Adhesives: 50 g/L or less.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
  - 1. Verify that finishes of substrates comply with tolerances and other requirements specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of floor tile.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Prepare substrates according to floor tile manufacturer's written instructions to ensure adhesion of resilient products.
- B. Concrete Substrates: Prepare according to ASTM F710.
  - 1. Verify that substrates are dry and free of curing compounds, sealers, and hardeners.
  - 2. Remove substrate coatings and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, using mechanical methods recommended by floor tile manufacturer. Do not use solvents.
  - 3. Alkalinity and Adhesion Testing: Perform tests recommended by floor tile manufacturer. Proceed with installation only after substrate alkalinity falls within range on pH scale recommended by manufacturer in writing, but not less than 5 or more than 9 pH.
  - 1. Moisture Testing: Proceed with installation only after substrates pass testing according to floor tile manufacturer's written recommendations, but not less stringent than the following:
    - a. Perform anhydrous calcium chloride test according to ASTM F 1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of 3 lb of water/1000 sq. ft. in 24 hours.

- b. Perform relative humidity test using in situ probes according to ASTM F 2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level.
- C. Fill cracks, holes, and depressions in substrates with trowelable leveling and patching compound; remove bumps and ridges to produce a uniform and smooth substrate.
- D. Do not install floor tiles until materials are the same temperature as space where they are to be installed.
  - 1. At least 48 hours in advance of installation, move resilient floor tile and installation materials into spaces where they will be installed.
- E. Immediately before installation, sweep and vacuum clean substrates to be covered by resilient floor tile.

### 3.3 FLOOR TILE INSTALLATION

- A. Comply with manufacturer's written instructions for installing floor tile.
- B. Lay out floor tiles from center marks established with principal walls, discounting minor offsets, so tiles at opposite edges of room are of equal width. Adjust as necessary to avoid using cut widths that equal less than one-half tile at perimeter.
  - 1. Lay tiles square with room axis in pattern indicated.
- C. Match floor tiles for color and pattern by selecting tiles from cartons in the same sequence as manufactured and packaged, if so numbered. Discard broken, cracked, chipped, or deformed tiles.
  - 1. Lay tiles with grain direction alternating in adjacent tiles (basket-weave pattern).
- D. Scribe, cut, and fit floor tiles to butt neatly and tightly to vertical surfaces and permanent fixtures including built-in furniture, cabinets, pipes, outlets, and door frames.
- E. Extend floor tiles into toe spaces, door reveals, closets, and similar openings. Extend floor tiles to center of door openings.
- F. Maintain reference markers, holes, and openings that are in place or marked for future cutting by repeating on floor tiles as marked on substrates. Use chalk or other nonpermanent marking device.
- G. Install floor tiles on covers for telephone and electrical ducts, building expansion-joint covers, and similar items in installation areas. Maintain overall continuity of color and pattern between pieces of tile installed on covers and adjoining tiles. Tightly adhere tile edges to substrates that abut covers and to cover perimeters.
- H. Adhere floor tiles to substrates using a full spread of adhesive applied to substrate to produce a completed installation without open cracks, voids, raising and puckering at joints, telegraphing of adhesive spreader marks, and other surface imperfections.

### 3.4 CLEANING AND PROTECTION

- A. Comply with manufacturer's written instructions for cleaning and protecting floor tile.
- B. Perform the following operations immediately after completing floor tile installation:
  - 1. Remove adhesive and other blemishes from surfaces.

2. Sweep and vacuum surfaces thoroughly.
  3. Damp-mop surfaces to remove marks and soil.
- C. Protect floor tile from mars, marks, indentations, and other damage from construction operations and placement of equipment and fixtures during remainder of construction period.

**END OF SECTION 096519**





## **SECTION 096813 - TILE CARPETING**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes modular carpet tile.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
  - 1. Include manufacturer's written data on physical characteristics, durability, and fade resistance.
  - 2. Include manufacturer's written installation recommendations for each type of substrate.
- B. Shop Drawings: For carpet tile installation, plans showing the following:
  - 1. Carpet tile type, color, and dye lot.
  - 2. Type, color, and location of edge, transition, and other accessory strips.
- C. Product Schedule: For carpet tile. Use same designations indicated on Drawings.

#### **1.4 INFORMATIONAL SUBMITTALS**

- A. Sample Warranty: For special warranty.

#### **1.5 CLOSEOUT SUBMITTALS**

- A. Maintenance Data: For carpet tiles to include in maintenance manuals. Include the following:
  - 1. Methods for maintaining carpet tile, including cleaning and stain-removal products and procedures and manufacturer's recommended maintenance schedule.
  - 2. Precautions for cleaning materials and methods that could be detrimental to carpet tile.

#### **1.6 MAINTENANCE MATERIAL SUBMITTALS**

- A. Furnish extra materials, from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Carpet Tile: Full-size units equal to 5 percent of amount installed for each type indicated, but not less than 10 sq. yd..

## 1.7 QUALITY ASSURANCE

- A. Installer Qualifications: An experienced installer who is certified by the International Certified Floorcovering Installers Association at the certification level.

## 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Comply with CRI's "CRI Carpet Installation Standard."

## 1.9 FIELD CONDITIONS

- A. Comply with CRI's "CRI Carpet Installation Standard" for temperature, humidity, and ventilation limitations.
- B. Environmental Limitations: Do not deliver or install carpet tiles until spaces are enclosed and weathertight, wet-work in spaces is complete and dry, and ambient temperature and humidity conditions are maintained at levels planned for building occupants during the remainder of the construction period.
- C. Do not install carpet tiles over concrete slabs until slabs have cured and are sufficiently dry to bond with adhesive and concrete slabs have pH range recommended by carpet tile manufacturer.
- D. Where demountable partitions or other items are indicated for installation on top of carpet tiles, install carpet tiles before installing these items.

## 1.10 WARRANTY

- A. Special Warranty for Carpet Tiles: Manufacturer agrees to repair or replace components of carpet tile installation that fail in materials or workmanship within specified warranty period.
  - 1. Warranty does not include deterioration or failure of carpet tile due to unusual traffic, failure of substrate, vandalism, or abuse.
  - 2. Failures include, but are not limited to, the following:
    - a. More than 10 percent edge raveling, snags, and runs.
    - b. Dimensional instability.
    - c. Excess static discharge.
    - d. Delamination.
  - 3. Warranty Period: 10 years from date of Project Acceptance.

## PART 2 - PRODUCTS

### 2.1 CARPET TILE (CR)

- A. Basis of Design: Shaw Contract, Creating Space, or provide a comparable product by one of the following:
  - 1. Bentley Mills
  - 2. Mannington Group
  - 3. Patcraft

- B. Color: As indicated by manufacturer's designations.
- C. Primary Backing/Backcoating: Manufacturer's standard composite materials.
- D. Size: 18 by 36 inches.

## 2.2 INSTALLATION ACCESSORIES

- A. Trowelable Leveling and Patching Compounds: Latex-modified, hydraulic-cement-based formulation provided or recommended by carpet tile manufacturer.
- B. Adhesives: Water-resistant, mildew-resistant, nonstaining, pressure-sensitive type to suit products and subfloor conditions indicated, that comply with flammability requirements for installed carpet tile, and are recommended by carpet tile manufacturer for releasable installation.
  - 1. VOC Limits: Provide adhesives with VOC content not more than 5- g/L when calculated according to 40 CFR 59, Subpart D (EPA method 24).

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for maximum moisture content, alkalinity range, installation tolerances, and other conditions affecting carpet tile performance.
- B. Concrete Slabs: Verify that finishes comply with requirements specified in Section 033000 "Cast-in-Place Concrete" and that surfaces are free of cracks, ridges, depressions, scale, and foreign deposits. Verify that concrete slabs comply with the following:
  - 1. Slab substrates are dry and free of curing compounds, sealers, hardeners, and other materials that may interfere with adhesive bond. Determine adhesion and dryness characteristics by performing bond and moisture tests recommended by carpet tile manufacturer.
  - 2. Subfloor finishes comply with requirements specified in Division 3 Section "Cast-in-Place Concrete" for slabs receiving carpet tile.
  - 3. Subfloors are free of cracks, ridges, depressions, scale, and foreign deposits.
  - 4. substances that may interfere with adhesive bond or show through surface.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. General: Comply with CRI's "Carpet Installation Standards" and with carpet tile manufacturer's written installation instructions for preparing substrates indicated to receive carpet tile.
- B. Use trowelable leveling and patching compounds, according to manufacturer's written instructions, to fill cracks, holes, depressions, and protrusions in substrates. Fill or level cracks, holes and depressions 1/8 inch wide or wider, and protrusions more than 1/32 inch unless more stringent requirements are required by manufacturer's written instructions.

- C. Concrete Substrates: Remove coatings, including curing compounds, and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, without using solvents. Use mechanical methods recommended in writing by adhesive and carpet tile manufacturers.
- D. Metal Substrates: Clean grease, oil, soil and rust, and prime if recommended in writing by adhesive manufacturer. Rough sand painted metal surfaces and remove loose paint. Sand aluminum surfaces, to remove metal oxides, immediately before applying adhesive.
- E. Broom and vacuum clean substrates to be covered immediately before installing carpet tile.

### 3.3 INSTALLATION

- A. General: Comply with CRI's "CRI Carpet Installation Standard," Section 18, "Modular Carpet" and with carpet tile manufacturer's written installation instructions.
- B. Installation Method: Glue down; install every tile with full-spread, releasable, pressure-sensitive adhesive.
- C. Maintain dye-lot integrity. Do not mix dye lots in same area.
- D. Cut and fit carpet tile to butt tightly to vertical surfaces, permanent fixtures, and built-in furniture including cabinets, pipes, outlets, edgings, thresholds, and nosings. Bind or seal cut edges as recommended by carpet tile manufacturer.
- E. Extend carpet tile into toe spaces, door reveals, closets, open-bottomed obstructions, removable flanges, alcoves, and similar openings.
- F. Maintain reference markers, holes, and openings that are in place or marked for future cutting by repeating on carpet tile as marked on subfloor. Use nonpermanent, nonstaining marking device.
- G. Install pattern parallel to walls and borders.
- H. Access Flooring: Stagger joints of carpet tiles so carpet tile grid is offset from access flooring panel grid. Do not fill seams of access flooring panels with carpet adhesive; keep seams free of adhesive.

### 3.4 CLEANING AND PROTECTION

- A. Perform the following operations immediately after installing carpet tile:
  - 1. Remove excess adhesive and other surface blemishes using cleaner recommended by carpet tile manufacturer.
  - 2. Remove yarns that protrude from carpet tile surface.
  - 3. Vacuum carpet tile using commercial machine with face-beater element.
- B. Protect installed carpet tile to comply with CRI's "Carpet Installation Standard," Section 20, "Protecting Indoor Installations."
- C. Protect carpet tile against damage from construction operations and placement of equipment and fixtures during the remainder of construction period. Use protection methods indicated or recommended in writing by carpet tile manufacturer.

### END OF SECTION 096813

## **SECTION 099123 - INTERIOR PAINTING**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes surface preparation and the application of paint systems on the following interior substrates:
  - 1. Architectural woodwork.
  - 2. Steel and iron.
  - 3. Galvanized metal.
  - 4. Gypsum board.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Samples for Initial Selection: For each type of topcoat product.
- C. Samples for Verification: For each type of paint system and in each color and gloss of topcoat.
  - 1. Submit Samples on rigid backing, 8 inches square.
  - 2. Apply coats on Samples in steps to show each coat required for system.
  - 3. Label each coat of each Sample.
  - 4. Label each Sample for location and application area.
- D. Product List: For each product indicated, included the following:
  - 1. Cross-reference to paint system and locations of application areas. Use same designations indicated on Drawings and in schedules. Include color designations.
  - 2. Printout of current "MPI Approved Products List" for each product category specified in Part 2, with the proposed product highlighted.

#### **1.4 QUALITY ASSURANCE**

- A. MPI Standards:
  - 1. Products: Complying with MPI standards indicated and listed in "MPI Approved Products List."
  - 2. Preparation and Workmanship: Comply with requirements in "MPI Architectural Painting Specification Manual" for products and paint systems indicated.

## 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg. F.
  - 1. Maintain containers in clean condition, free of foreign materials and residue.
  - 2. Remove rags and waste from storage areas daily.

## 1.6 FIELD CONDITIONS

- A. Apply paints only when temperature of surfaces to be painted and ambient air temperatures are between 50 and 95 deg. F.
- B. Do not apply paints when relative humidity exceeds 85 percent; at temperatures less than 5 deg. F above the dew point; or to damp or wet surfaces.

## 1.7 EXTRA MATERIALS

- A. Furnish extra materials described below that are from same production run (batch mix) as materials applied and that are packaged for storage and identified with labels describing contents.
  - 1. Quantity: Furnish an additional **5** percent, but not less than 1 gal. of each material and color applied.

# PART 2 - PRODUCTS

## 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Benjamin Moore & Co.
  - 2. Duron, Inc.
  - 3. ICI Paints.
  - 4. Porter Paints.
  - 5. Sherwin-Williams Company (The).

## 2.2 PAINT, GENERAL

- A. Material Compatibility:
  - 1. Materials for use within each paint system shall be compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
  - 2. For each coat in a paint system, products shall be recommended in writing by topcoat manufacturers for use in paint system and on substrate indicated.
- B. VOC Content of Field-Applied Interior Paints and Coatings: Provide products that comply with the following limits for VOC content, exclusive of colorants added to a tint base, when calculated according to 40 CFR 59, Subpart D (EPA Method 24); these requirements do not apply to paints and coatings that are applied in a fabrication or finishing shop:

1. Flat Paints, Coatings, and Primers: VOC content of not more than 50 g/L.
2. Nonflat Paints, Coatings, and Primers: VOC content of not more than 150 g/L.
3. Anti-Corrosive and Anti-Rust Paints Applied to Ferrous Metals: VOC not more than 250 g/L.
4. Floor Coatings: VOC not more than 100 g/L.
5. Shellacs, Clear: VOC not more than 730 g/L.
6. Shellacs, Pigmented: VOC not more than 550 g/L.
7. Flat Topcoat Paints: VOC content of not more than 50 g/L.
8. Nonflat Topcoat Paints: VOC content of not more than 150 g/L.
9. Floor Coatings: VOC not more than 100 g/L.
10. Primers, Sealers, and Undercoaters: VOC content of not more than 200 g/L.
11. Dry-Fog Coatings: VOC content of not more than 400 g/L.
12. Pre-Treatment Wash Primers: VOC content of not more than 420 g/L.

C. Chemical Components of Field-Applied Interior Paints and Coatings: Provide topcoat paints and anti-corrosive and anti-rust paints applied to ferrous metals that comply with the following chemical restrictions; these requirements do not apply to paints and coatings that are applied in a fabrication or finishing shop:

1. Aromatic Compounds: Paints and coatings shall not contain more than 1.0 percent by weight of total aromatic compounds (hydrocarbon compounds containing one or more benzene rings).
2. Restricted Components: Paints and coatings shall not contain any of the following:
  - a. Acrolein.
  - b. Acrylonitrile.
  - c. Antimony.
  - d. Benzene.
  - e. Butyl benzyl phthalate.
  - f. Cadmium.
  - g. Di (2-ethylhexyl) phthalate.
  - h. Di-n-butyl phthalate.
  - i. Di-n-octyl phthalate.
  - j. 1,2-dichlorobenzene.
  - k. Diethyl phthalate.
  - l. Dimethyl phthalate.
  - m. Ethylbenzene.
  - n. Formaldehyde.
  - o. Hexavalent chromium.
  - p. Isophorone.
  - q. Lead.
  - r. Mercury.
  - s. Methyl ethyl ketone.
  - t. Methyl isobutyl ketone.
  - u. Methylene chloride.
  - v. Naphthalene.
  - w. Toluene (methylbenzene).
  - x. 1,1,1-trichloroethane.
  - y. Vinyl chloride.

D. Colors: As selected by Architect from manufacturer's full range. Allow for up to two colors in each room for walls.

## 2.3 PRIMERS/SEALERS

A. Interior Latex Primer/Sealer: MPI #50.

1. VOC Content: E Range of E1.
2. Environmental Performance Rating: EPR 1.

## 2.4 METAL PRIMERS

- A. Quick-Drying Alkyd Metal Primer: MPI #76.
  1. VOC Content: E Range of E1.
- B. Waterborne Galvanized-Metal Primer: MPI #134.
  1. VOC Content: E Range of E1.
  2. Environmental Performance Rating: EPR 1.

## 2.5 LATEX PAINTS

- A. High-Performance Architectural Latex (Eggshell): MPI #139 (Gloss Level 3).
  1. VOC Content: E Range of E2.
  2. Environmental Performance Rating: EPR 5.
- B. High-Performance Architectural Latex (Semigloss): MPI #141 (Gloss Level 5).
  1. VOC Content: E Range of E1.
  2. Environmental Performance Rating: EPR 5.

# PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
- B. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
  1. Wood: 15 percent.
  2. Gypsum Board: 12 percent.
- C. Gypsum Board Substrates: Verify that finishing compound is sanded smooth.
- D. Verify suitability of substrates, including surface conditions and compatibility, with existing finishes and primers.
- E. Proceed with coating application only after unsatisfactory conditions have been corrected.
  1. Application of coating indicates acceptance of surfaces and conditions.



### 3.2 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual" applicable to substrates and paint systems indicated.
- B. Remove hardware, covers, plates, and similar items already in place that are removable and are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting.
  - 1. After completing painting operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection if any.
  - 2. Do not paint over labels of independent testing agencies or equipment names, identification, performance rating, or nomenclature plates.
- C. Clean substrates of substances that could impair bond of paints, including dust, dirt, oil, grease, and incompatible paints and encapsulates.
  - 1. Remove incompatible primers and reprime substrate with compatible primers or apply tie coat as required to produce paint systems indicated.
- D. Steel Substrates: Remove rust, loose mill scale, and shop primer, if any. Clean using methods recommended in writing by paint manufacturer.
- E. Galvanized-Metal Substrates: Remove grease and oil residue from galvanized sheet metal by mechanical methods to produce clean, lightly etched surfaces that promote adhesion of subsequently applied paints.
- F. Wood Substrates:
  - 1. Scrape and clean knots, and apply coat of knot sealer before applying primer.
  - 2. Sand surfaces that will be exposed to view, and dust off.
  - 3. Prime edges, ends, faces, undersides, and backsides of wood.
  - 4. After priming, fill holes and imperfections in the finish surfaces with putty or plastic wood filler. Sand smooth when dried.

### 3.3 APPLICATION

- A. Apply paints according to manufacturer's written instructions and to recommendations in "MPI Manual."
  - 1. Use applicators and techniques suited for paint and substrate indicated.
  - 2. Paint surfaces behind movable equipment and furniture same as similar exposed surfaces. Before final installation, paint surfaces behind permanently fixed equipment or furniture with prime coat only.
  - 3. Paint front and backsides of access panels, removable or hinged covers, and similar hinged items to match exposed surfaces.
  - 4. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
  - 5. Primers specified in painting schedules may be omitted on items that are factory primed or factory finished if acceptable to topcoat manufacturers.
- B. Tint each undercoat a lighter shade to facilitate identification of each coat if multiple coats of same material are to be applied. Tint undercoats to match color of topcoat, but provide sufficient difference in shade of undercoats to distinguish each separate coat.

- C. If undercoats or other conditions show through topcoat, apply additional coats until cured film has a uniform paint finish, color, and appearance.
- D. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.

### 3.4 FIELD QUALITY CONTROL

- A. Testing of Paint Materials: Owner reserves the right to invoke the following procedure at any time and as often as Owner deems necessary during the period when paints are being applied:
  - 1. Owner will engage the services of a qualified testing agency to sample paint materials being used. Samples of material delivered to Project site will be taken, identified, sealed, and certified in presence of Contractor.
  - 2. Testing agency will perform tests for compliance with product requirements.
  - 3. Owner may direct Contractor to stop applying paints if test results show materials being used do not comply with product requirements. Contractor shall remove noncomplying-paint materials from Project site, pay for testing, and repaint surfaces painted with rejected materials. Contractor will be required to remove rejected materials from previously painted surfaces if, on repainting with complying materials, the two paints are incompatible.

### 3.5 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing paint application, clean spattered surfaces. Remove spattered paints by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.
- C. Protect work of other trades against damage from paint application. Correct damage to work of other trades by cleaning, repairing, replacing, and refinishing, as approved by Architect, and leave in an undamaged condition.
- D. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.

### 3.6 INTERIOR PAINTING SCHEDULE

- A. Dressed Lumber Substrates: Including architectural woodwork.
  - 1. High-Performance Architectural Latex System: MPI INT 6.3A.
    - a. Prime Coat: Interior latex-based wood primer.
    - b. Intermediate Coat: High-performance architectural latex matching topcoat.
    - c. Topcoat: High-performance architectural latex (semigloss).
- B. Steel Substrates:
  - 1. High-Performance Architectural Latex System MPI INT 5.1R:
    - a. Prime Coat: Primer, alkyd, quick dry, for metal, MPI #76.
    - b. Intermediate Coat: Latex, interior, high performance architectural, matching topcoat.

- c. Topcoat: Latex, interior, high performance architectural, semi-gloss (MPI Gloss Level 5).
- C. Galvanized-Metal Substrates:
  - 1. High-Performance Architectural Latex System MPI INT 5.3M:
    - a. Prime Coat: Primer, galvanized, water based, MPI #134.
    - b. Intermediate Coat: Latex, interior, high performance architectural, matching topcoat.
    - c. Topcoat: Latex, interior, high performance architectural, semi-gloss (MPI Gloss Level 5).
- D. Gypsum Board Substrates:
  - 1. High-Performance Architectural Latex System MPI INT 9.2B:
    - a. Prime Coat: Primer sealer, latex, interior.
    - b. Intermediate Coat: Latex, interior, high performance architectural, matching topcoat.
    - c. Topcoat: Latex, interior, high performance architectural, semi-gloss (MPI Gloss Level 5).

**END OF SECTION 099123**



## **SECTION 101423 –PANEL SIGNAGE**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. This Section includes the following:
  - 1. Panel signs.

#### **1.3 DEFINITIONS**

- A. ADA-ABA Accessibility Guidelines: U.S. Architectural & Transportation Barriers Compliance Board's "Americans with Disabilities Act (ADA) Accessibility Guidelines for Buildings and Facilities; Architectural Barriers Act (ABA) Accessibility Guidelines."

#### **1.4 ACTION SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Show fabrication and installation details for signs.
  - 1. Show sign mounting heights, locations of supplementary supports to be provided by others, and accessories.
  - 2. Provide message list, typestyles, graphic elements, including tactile characters and Braille, and layout for each sign.
- C. Samples for Initial Selection: Manufacturer's color charts consisting of actual units or sections of units showing the full range of colors available for the following:
  - 1. Aluminum.
  - 2. Acrylic sheet.
  - 3. Polycarbonate sheet.
  - 4. Fiberglass sheet.
  - 5. Die-cut vinyl characters and graphic symbols. Include representative samples of available typestyles and graphic symbols.
- D. Samples for Verification: For each of the following products and for the full range of color, texture, and sign material indicated, of sizes indicated:
  - 1. Panel Signs: Not less than 12 inches square including border.
- E. Sign Schedule: Use same designations indicated on Drawings.

## 1.5 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For signs to include in maintenance manuals.

## 1.6 QUALITY ASSURANCE

- A. Source Limitations for Signs: Obtain each sign type indicated from one source from a single manufacturer.
- B. Regulatory Requirements: Comply with applicable provisions in ADA-ABA Accessibility Guidelines and ICC/ANSI A117.1.

## 1.7 PROJECT CONDITIONS

- A. Weather Limitations: Proceed with installation only when[ existing and forecasted] weather conditions permit installation of signs in exterior locations to be performed according to manufacturers' written instructions and warranty requirements.
- B. Field Measurements: Verify recess openings by field measurements before fabrication and indicate measurements on Shop Drawings.

## 1.8 COORDINATION

- A. Coordinate placement of anchorage devices with templates for installing signs.

# PART 2 - PRODUCTS

## 2.1 MATERIALS

- A. Fiberglass Sheet: Molded, seamless, thermosetting, glass-fiber-reinforced polyester panels with a minimum tensile strength of 15,000 psi when tested according to ASTM D 638 and with a minimum flexural strength of 30,000 psi when tested according to ASTM D 790.
- B. Acrylic Sheet: ASTM D 4802, Category A-1 (cell-cast sheet), Type UVA (UV absorbing).
- C. Polycarbonate Sheet: Of thickness indicated, manufactured by extrusion process, coated on both surfaces with abrasion-resistant coating:
  - 1. Impact Resistance: 16 ft-lbf/in. per ASTM D 256, Method A.
  - 2. Tensile Strength: 9000 lbf/sq. in. per ASTM D 638.
  - 3. Flexural Modulus of Elasticity: 340,000 lbf/sq. in. per ASTM D 790.
  - 4. Heat Deflection: 265 deg F at 264 lbf/sq. in. per ASTM D 648.
  - 5. Abrasion Resistance: 1.5 percent maximum haze increase for 100 revolutions of a Taber abraser with a load of 500 g per ASTM D 1044.
- D. Applied Vinyl: Die-cut characters from vinyl film of nominal thickness of 3 mils with pressure-sensitive adhesive backing, suitable for exterior applications.

## 2.2 PANEL SIGNS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. ACE Sign Systems, Inc.
  2. Advance Corporation; Braille-Tac Division.
  3. APCO Graphics, Inc.
  4. ASI-Modulex, Inc.
  5. Best Sign Systems Inc.
  6. Gemini Incorporated.
  7. Mohawk Sign Systems.
  8. Avali's Wayfinding Solutions, Inc,
- B. Interior Panel Signs: Provide smooth sign panel surfaces constructed to remain flat under installed conditions within a tolerance of plus or minus 1/16 inch measured diagonally from corner to corner, complying with the following requirements:
1. Melamine Plastic Laminate, All signs 0.25 inch thick.
  2. Edge Condition: Square cut.
  3. Corner Condition: Square.
  4. Mounting: Unframed.
    - a. Wall mounted with two-face tape.
    - b. Manufacturer's standard anchors for substrates encountered.
  5. Color: As selected by Architect from manufacturer's full range.
  6. Tactile Characters: Characters and Grade 2 Braille raised 1/32 inch above surface with contrasting colors.
- C. Exterior Panel Signs: Provide smooth sign panel surfaces constructed to remain flat under installed conditions within a tolerance of plus or minus 1/16 inch measured diagonally from corner to corner, complying with the following requirements:
1. Melamine Plastic Laminate, 0.250-inch thick.
  2. Edge Condition: Square cut.
  3. Corner Condition: Square.
  4. Mounting: Unframed.
    - a. Wall mounted.
    - b. Manufacturer's standard noncorroding anchors for substrates encountered.
  5. Color: As selected by Architect from manufacturer's full range.
- D. Panel Sign Schedule (Mohawk Sign Systems, Inc. –Basis for Design):
1. PS #1: Room Identification Sign with Braille.
    - a. Sign Size: 6"x6"x 1/4".
    - b. Message Panel Material: Interior Panel Sign, Series 300.
    - c. Text/Message: Room #.
    - d. Location: As directed in the field by Architect.
    - e. Quantity: Provide one per interior non-occupied room such as Mechanical, Data, Electrical Rooms.
  2. PS #2: Room Identification Sign with Braille and Two Changeable Message Insert.
    - a. Sign Size: 6"x6"x 1/4".

- b. Message Panel Material: Interior Panel Sign, Series 300 w/two changeable message inserts.
  - c. Text/Message: Room #.
  - d. Location: As directed in the field by Architect.
  - e. Quantity: Provide one per occupied room except two if there are two doors.
- 3. PS #3: Room Identification Sign with pictograms and Braille.
  - a. Sign Size: 6"x8"x 1/4".
  - b. Message Panel Material: Interior Panel Sign, Series 300 w/male or female pictogram and accessibility symbol.
  - c. Text/Message: Male or Female.
  - d. Location: As directed in the field by Architect.
  - e. Quantity: one per toilet room, gender as indicated by room name.
- 4. PS #5: Room Identification Sign with Braille- Exterior:
  - a. Sign Size: 6"x6"x 1/4".
  - b. Message Panel Material: Exterior Panel Sign, Series 300.
  - c. Text/Message: Room #.
  - d. Location: As directed in the field by Architect.
  - e. Quantity: Provide one at each exterior mechanical or electrical room exterior door.

## 2.3 ACCESSORIES

- A. Anchors and Inserts: Provide nonferrous-metal or hot-dip galvanized anchors and inserts for exterior installations and elsewhere as required for corrosion resistance. Use toothed steel or lead expansion-bolt devices for drilled-in-place anchors. Furnish inserts, as required, to be set into concrete or masonry work.

## 2.4 FABRICATION

- A. General: Provide manufacturer's standard signs of configurations indicated.
  - 1. Conceal fasteners if possible; otherwise, locate fasteners where they will be inconspicuous.

## 2.5 FINISHES, GENERAL

- A. Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
- B. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- C. Appearance of Finished Work: Variations in appearance of abutting or adjacent pieces are acceptable if they are within one-half of the range of approved Samples. Noticeable variations in the same piece are not acceptable. Variations in appearance of other components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.



## 2.6 ACRYLIC SHEET FINISHES

- A. Colored Coatings for Acrylic Sheet: For copy and background colors, provide colored coatings, including inks, dyes, and paints, that are recommended by acrylic manufacturers for optimum adherence to acrylic surface and that are UV and water resistant for three years for application intended.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of work.
- B. Verify that items, including anchor inserts, are sized and located to accommodate signs.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. Locate signs and accessories where indicated, using mounting methods of types described and complying with manufacturer's written instructions.
  - 1. Install signs level, plumb, and at heights indicated, with sign surfaces free of distortion and other defects in appearance.
  - 2. Interior Wall Signs: Install signs on walls adjacent to latch side of door where applicable. Where not indicated or possible, such as double doors, install signs on nearest adjacent walls. Locate to allow approach within 3 inches of sign without encountering protruding objects or standing within swing of door.
- B. Wall-Mounted Signs: Comply with sign manufacturer's written instructions except where more stringent requirements apply.
  - 1. Two-Face Tape: Mount signs to smooth, nonporous surfaces. Do not use this method for vinyl-covered or rough surfaces.
  - 2. Hook-and-Loop Tapes: Mount signs to smooth, nonporous surfaces.
  - 3. Magnetic Tape: Mount signs to smooth, nonporous surfaces.
  - 4. Silicone-Adhesive Mounting: Attach signs to irregular, porous, or vinyl-covered surfaces.
  - 5. Shim Plate Mounting: Provide 1/8-inch-thick, concealed aluminum shim plates with predrilled and countersunk holes, at locations indicated, and where other mounting methods are not practicable. Attach plate with fasteners and anchors suitable for secure attachment to substrate. Attach panel signs to plate using method specified above.
  - 6. Mechanical Fasteners: Use nonremovable mechanical fasteners placed through predrilled holes. Attach signs with fasteners and anchors suitable for secure attachment to substrate as recommended in writing by sign manufacturer.
  - 7. Signs Mounted on Glass: Provide matching opaque plate on opposite side of glass to conceal mounting materials.

### 3.3 CLEANING AND PROTECTION

- A. After installation, clean soiled sign surfaces according to manufacturer's written instructions. Protect signs from damage until acceptance by Owner.

**END OF SECTION 101400**

## **SECTION 102800 - TOILET, BATH, AND LAUNDRY ACCESSORIES**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Public-use washroom accessories.
  - 2. Public-use shower room accessories.
  - 3. Underlavatory guards

#### **1.3 COORDINATION**

- A. Coordinate accessory locations with other work to prevent interference with clearances required for access by people with disabilities, and for proper installation, adjustment, operation, cleaning, and servicing of accessories.
- B. Deliver inserts and anchoring devices set into concrete or masonry as required to prevent delaying the Work.

#### **1.4 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
  - 2. Include anchoring and mounting requirements, including requirements for cutouts in other work and substrate preparation.
  - 3. Include electrical characteristics.
- B. Samples: Full size, for each exposed product and for each finish specified.
  - 1. Approved full-size Samples will be returned and may be used in the Work.
- C. Product Schedule: Indicating types, quantities, sizes, and installation locations by room of each accessory required.
  - 1. Identify locations using room designations indicated.
  - 2. Identify accessories using designations indicated.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Sample Warranty: For manufacturer's special warranty.

## 1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For accessories to include in maintenance manuals.

# PART 2 - PRODUCTS

## 2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

## 2.2 PUBLIC-USE/PRIVATE WASHROOM ACCESSORIES

- A. Source Limitations: Obtain public-use washroom accessories from single source from single manufacturer.

- B. Toilet Tissue (Roll) Dispenser:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. AJW Architectural Products.
  - b. American Specialties, Inc.
  - c. Bobrick Washroom Equipment, Inc.
  - d. Bradley Corporation.
2. Description: Roll-in-reserve dispenser with hinged front secured with tumbler lockset.
3. Mounting: Partition mounted, serving two adjacent toilet compartments or Surface mounted.
4. Operation: Spindleless with tension-spring controlled delivery.
5. Capacity: Designed for 4-1/2- or 5-inch-diameter tissue rolls.
6. Material and Finish: Stainless steel, No. 4 finish (satin).

- C. Grab Bar:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. AJW Architectural Products.
  - b. American Specialties, Inc.
  - c. Bobrick Washroom Equipment, Inc.
  - d. Bradley Corporation.
2. Mounting: Flanges with concealed fasteners.
3. Material: Stainless steel, 0.05 inch thick.
  - a. Finish: Smooth, No. 4 finish (satin) on ends and slip-resistant texture in grip area.

4. Outside Diameter: 1-1/4 inches.
5. Configuration and Length: As indicated on Drawings.

D. Coat Hook:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. AJW Architectural Products.
  - b. American Specialties, Inc.
  - c. Bobrick Washroom Equipment, Inc.
  - d. Bradley Corporation.
2. Description: Single-prong unit.
3. Material and Finish: Stainless steel, No. 4 finish (satin).

2.3 PUBLIC-USE/PRIVATE SHOWER ROOM ACCESSORIES

A. Source Limitations: Obtain public-use shower room accessories from single source from single manufacturer.

B. Shower Curtain Rod:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. AJW Architectural Products.
  - b. American Specialties, Inc.
  - c. Bobrick Washroom Equipment, Inc.
  - d. Bradley Corporation.
2. Description: 1-1/4-inch OD; fabricated from nominal 0.05-inch-thick stainless steel.
3. Mounting Flanges: Stainless-steel flanges designed for exposed fasteners.
4. Finish: Stainless steel, No. 4 finish (satin).

C. Shower Curtain:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. AJW Architectural Products.
  - b. American Specialties, Inc.
  - c. Bobrick Washroom Equipment, Inc.
  - d. Bradley Corporation.
2. Size: Minimum 6 inches wider than opening by 72 inches high.
3. Material: Nylon-reinforced vinyl, minimum 10 oz. or 0.008-inch-thick vinyl, with integral antibacterial agent.
4. Color: White.
5. Grommets: Corrosion resistant at minimum 6 inches o.c. through top hem.
6. Shower Curtain Hooks: Chrome-plated or stainless-steel, spring wire curtain hooks with snap fasteners, sized to accommodate specified curtain rod. Provide one hook per curtain grommet.

D. Folding Shower Seat:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. AJW Architectural Products.
  - b. American Specialties, Inc.
  - c. Bobrick Washroom Equipment, Inc.
  - d. Bradley Corporation.
2. Configuration: L-shaped seat, designed for wheelchair access.
3. Seat: Phenolic or polymeric composite of slat-type or one-piece construction in color as selected by Architect.
4. Mounting Mechanism: Stainless steel, No. 4 finish (satin).

E. Towel Bar:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. AJW Architectural Products.
  - b. American Specialties, Inc.
  - c. Bobrick Washroom Equipment, Inc.
  - d. Bradley Corporation.
2. Description: 3/4-inch-round tube with circular end brackets.
3. Mounting: Flanges with concealed fasteners.
4. Length: 24 inches.
5. Material and Finish: Stainless steel, No. 4 finish (satin).

## 2.4 UNDERLAVATORY GUARDS

A. Underlavatory Guard:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Buckaroos, Inc.
  - b. Plumberex Specialty Products, Inc.
  - c. Truebro by IPS Corporation.
2. Description: Insulating pipe covering for supply and drain piping assemblies that prevents direct contact with and burns from piping; allow service access without removing coverings.
3. Material and Finish: Antimicrobial, molded plastic, white.

## 2.5 MATERIALS

- A. Stainless Steel: ASTM A666, Type 304, 0.031-inch minimum nominal thickness unless otherwise indicated.
- B. Fasteners: Screws, bolts, and other devices of same material as accessory unit and tamper-and-theft resistant where exposed, and of galvanized steel where concealed.

## 2.6 FABRICATION

- A. General: Fabricate units with tight seams and joints, and exposed edges rolled. Hang doors and access panels with full-length, continuous hinges. Equip units for concealed anchorage and with corrosion-resistant backing plates.
- B. Keys: Provide universal keys for internal access to accessories for servicing and resupplying. Provide minimum of six keys to Owner's representative.

## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Install accessories according to manufacturers' written instructions, using fasteners appropriate to substrate indicated and recommended by unit manufacturer. Install units level, plumb, and firmly anchored in locations and at heights indicated.
- B. Grab Bars: Install to withstand a downward load of at least 250 lbf, when tested according to ASTM F446.

### 3.2 ADJUSTING AND CLEANING

- A. Adjust accessories for unencumbered, smooth operation. Replace damaged or defective items.
- B. Remove temporary labels and protective coatings.
- C. Clean and polish exposed surfaces according to manufacturer's written instructions.

**END OF SECTION 102800**





## **SECTION 104413 - FIRE PROTECTION CABINETS**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Fire-protection cabinets for the following:
    - a. Portable fire extinguishers.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product. Show door hardware, cabinet type, trim style, and panel style. Include roughing-in dimensions and details showing recessed-, semirecessed-, or surface-mounting method and relationships of box and trim to surrounding construction.
  - 1. Show location of knockouts for hose valves.
- B. Shop Drawings: For fire-protection cabinets. Include plans, elevations, sections, details, and attachments to other work.

#### **1.4 CLOSEOUT SUBMITTALS**

- A. Maintenance Data: For fire-protection cabinets to include in maintenance manuals.

#### **1.5 COORDINATION**

- A. Coordinate size of fire-protection cabinets to ensure that type and capacity of fire extinguishers indicated are accommodated.
- B. Coordinate sizes and locations of fire-protection cabinets with wall depths.

### **PART 2 - PRODUCTS**

#### **2.1 PERFORMANCE REQUIREMENTS**

- A. Fire-Rated Fire-Protection Cabinets: Listed and labeled to comply with requirements in ASTM E 814 for fire-resistance rating of walls where they are installed.

- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

## 2.2 FIRE-PROTECTION CABINET

- A. Cabinet Type: Suitable for fire extinguisher.

- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Fire-End & Croker Corporation.
  - b. JL Industries, Inc.; a division of the Activar Construction Products Group.
  - c. Kidde Residential and Commercial Division, Subsidiary of Kidde plc
  - d. Larsens Manufacturing Company.

- B. Cabinet Construction: Nonrated] 1-hour fire rated.

- 1. Fire-Rated Cabinets: Construct fire-rated cabinets with double walls fabricated from 0.043-inch-thick cold-rolled steel sheet lined with minimum 5/8-inch-thick fire-barrier material. Provide factory-drilled mounting holes.

- C. Cabinet Material: Stainless-steel sheet.

- 1. Shelf: Same metal and finish as cabinet.

- D. Semirecessed Cabinet: One-piece combination trim and perimeter door frame overlapping surrounding wall surface with exposed trim face and wall return at outer edge (backbend).

- 1. Rolled-Edge Trim: 2-1/2-inch backbend depth.

- E. Cabinet Trim Material: Stainless-steel sheet.

- F. Door Material: Stainless-steel sheet.

- G. Door Style: Vertical duo panel with frame.

- H. Door Glazing: Tempered float glass (clear).

- I. Door Hardware: Manufacturer's standard door-operating hardware of proper type for cabinet type, trim style, and door material and style indicated.

- 1. Provide recessed door pull and friction latch.
- 2. Provide continuous hinge, of same material and finish as trim, permitting door to open 180 degrees.

- J. Accessories:

- 1. Mounting Bracket: Manufacturer's standard steel, designed to secure fire extinguisher to fire-protection cabinet, of sizes required for types and capacities of fire extinguishers indicated, with plated or baked-enamel finish.
- 2. Door Lock: Cam lock that allows door to be opened during emergency by pulling sharply on door handle.
- 3. Identification: Lettering complying with authorities having jurisdiction for letter style, size, spacing, and location.

- a. Identify fire extinguisher in fire-protection cabinet with the words "FIRE EXTINGUISHER."
  - 1) Location: Applied to cabinet door.
  - 2) Application Process: Silk-screened.
  - 3) Lettering Color: Red.
  - 4) Orientation: Vertical.

K. Materials:

1. Stainless Steel: ASTM A 666, Type 304.
2. Tempered Float Glass: ASTM C 1048, Kind FT, Condition A, Type I, Quality q3, 3 mm thick, Class 1 (clear).

## 2.3 FABRICATION

- A. Fire-Protection Cabinets: Provide manufacturer's standard box (tub) with trim, frame, door, and hardware to suit cabinet type, trim style, and door style indicated.
  1. Weld joints and grind smooth.
  2. Provide factory-drilled mounting holes.
  3. Prepare doors and frames to receive locks.
  4. Install door locks at factory.
- B. Cabinet Doors: Fabricate doors according to manufacturer's standards, from materials indicated and coordinated with cabinet types and trim styles.
  1. Fabricate door frames with tubular stiles and rails and hollow-metal design, minimum 1/2 inch thick.
  2. Fabricate door frames of one-piece construction with edges flanged.
  3. Miter and weld perimeter door frames.
- C. Cabinet Trim: Fabricate cabinet trim in one piece with corners mitered, welded, and ground smooth.

## 2.4 GENERAL FINISH REQUIREMENTS

- A. Comply with NAAMM's AMP 500, "Metal Finishes Manual for Architectural and Metal Products," for recommendations for applying and designating finishes.
- B. Protect mechanical finishes on exposed surfaces of fire-protection cabinets from damage by applying a strippable, temporary protective covering before shipping.
- C. Finish fire-protection cabinets after assembly.
- D. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

## 2.5 STAINLESS-STEEL FINISHES

- A. Surface Preparation: Remove tool and die marks and stretch lines, or blend into finish.

- B. Polished Finishes: Grind and polish surfaces to produce uniform finish, free of cross scratches.
  - 1. Run grain of directional finishes with long dimension of each piece.
  - 2. When polishing is completed, passivate and rinse surfaces. Remove embedded foreign matter and leave surfaces chemically clean.
  - 3. Directional Satin Finish: No. 4.

### **PART 3 - EXECUTION**

#### **3.1 EXAMINATION**

- A. Examine walls and partitions for suitable framing depth and blocking where semirecessed cabinets will be installed.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### **3.2 PREPARATION**

- A. Prepare recesses for semirecessed fire-protection cabinets as required by type and size of cabinet and trim style.

#### **3.3 INSTALLATION**

- A. General: Install fire-protection cabinets in locations and at mounting heights indicated
  - 1. Fire-Protection Cabinets: 54 inches above finished floor to top of cabinet.
- B. Fire-Protection Cabinets: Fasten cabinets to structure, square and plumb.
  - 1. Fasten mounting brackets to inside surface of fire-protection cabinets, square and plumb.

#### **3.4 ADJUSTING AND CLEANING**

- A. Remove temporary protective coverings and strippable films, if any, as fire-protection cabinets are installed unless otherwise indicated in manufacturer's written installation instructions.
- B. Adjust fire-protection cabinet doors to operate easily without binding. Verify that integral locking devices operate properly.
- C. On completion of fire-protection cabinet installation, clean interior and exterior surfaces as recommended by manufacturer.
- D. Touch up marred finishes, or replace fire-protection cabinets that cannot be restored to factory-finished appearance. Use only materials and procedures recommended or furnished by fire-protection cabinet and mounting bracket manufacturers.
- E. Replace fire-protection cabinets that have been damaged or have deteriorated beyond successful repair by finish touchup or similar minor repair procedures.

### **END OF SECTION 104413**

## **SECTION 104416 - FIRE EXTINGUISHERS**

### **PART 1 - GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. Section includes portable, hand-carried fire extinguishers and mounting brackets for fire extinguishers.

#### **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product. Include rating and classification, material descriptions, dimensions of individual components and profiles, and finishes for fire extinguisher and mounting brackets.

#### **1.4 CLOSEOUT SUBMITTALS**

- A. Operation and Maintenance Data: For fire extinguishers to include in maintenance manuals.

#### **1.5 COORDINATION**

- A. Coordinate type and capacity of fire extinguishers with fire-protection cabinets to ensure fit and function.

### **PART 2 - PRODUCTS**

#### **2.1 PORTABLE, HAND-CARRIED FIRE EXTINGUISHERS**

- A. Fire Extinguishers: Type, size, and capacity for each fire-protection cabinet and mounting bracket indicated.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Fire End & Croker Corporation
    - b. J. L. Industries, Inc., a division of Activar Construction Products Group
    - c. Kidde Residential and Commercial Division, Subsidiary of Kidde plc
    - d. Larsen's Manufacturing Company
  - 2. Valves: Manufacturer's standard.
  - 3. Handles and Levers: Manufacturer's standard.
  - 4. Instruction Labels: Include pictorial marking system complying with NFPA 10, Appendix B.

- B. Multipurpose Dry-Chemical Type in Steel Container: UL-rated 3-A:40-B:C, 6-lb nominal capacity, with monoammonium phosphate-based dry chemical in enameled-steel container.

## 2.2 MOUNTING BRACKETS

- A. Mounting Brackets: Manufacturer's standard galvanized steel, designed to secure fire extinguisher to wall or structure, of sizes required for types and capacities of fire extinguishers indicated, with plated or red baked-enamel finish.

## **PART 3 - EXECUTION**

### 3.1 EXAMINATION

- A. Examine fire extinguishers for proper charging and tagging.
  - 1. Remove and replace damaged, defective, or undercharged fire extinguishers.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. General: Install fire extinguishers and mounting brackets in locations indicated and in compliance with requirements of authorities having jurisdiction.
- B. Mounting Brackets: Fasten mounting brackets to surfaces, square and plumb, at locations indicated.

## **END OF SECTION 104416**

## SECTION 105123 - PLASTIC-LAMINATE-CLAD LOCKERS

### PART 1 - GENERAL

#### 1.1 SUMMARY

##### A. Section Includes:

1. Plastic-laminate-clad wood lockers.

#### 1.2 ACTION SUBMITTALS

##### A. Product Data:

1. Plastic-laminate-clad wood lockers.

##### B. Product Data Submittals: For each product.

1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for each type of locker.

##### C. Shop Drawings: For plastic-laminate-clad wood lockers.

1. Include plans, elevations, sections, and attachment details.
2. Show details full size.
3. Show locations and sizes of furring, blocking, and hanging strips, including concealed blocking and reinforcement specified in other Sections.
4. Show locations and sizes of cutouts and holes for items installed in lockers.
5. Show locker fillers, trim, base, sloping tops, and accessories.
6. Show locker identification system and numbering sequence.

##### D. Samples for Initial Selection: For each type of the following:

1. High-pressure decorative laminates.
2. Thermally fused laminate overlay panels.

##### E. Samples for Verification: For the following products:

1. Plastic-laminate-clad panels, not less than 8 by 10 inches, for each type, color, pattern, and surface finish, with separate samples of unfaced panel product used for core.
2. Thermally fused laminate-overlay-surfaced panels, not less than 8 by 10 inches, for each type, color, pattern, and surface finish.
3. Corner pieces of locker front frame joints between stiles and rail, as well as exposed end pieces, not less than 18 inches wide by 18 inches high by 6 inches deep.
4. Exposed cabinet hardware and accessories, one unit for each type and finish.

#### 1.3 INFORMATIONAL SUBMITTALS

##### A. Qualification Data: For Installer.

- B. Sample Warranty: For special warranty.

#### 1.4 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For adjusting, repairing, and replacing locker doors and latching mechanisms to include in maintenance manuals.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Do not deliver lockers until painting and similar operations that could damage lockers have been completed in installation areas. If lockers must be stored in other-than-installation areas, store only in areas where environmental conditions are the same as those in final installation location, and comply with requirements specified in "Field Conditions" Article.

#### 1.6 FIELD CONDITIONS

- A. Environmental Limitations: Do not deliver or install lockers until building is enclosed, wet-work is complete, and HVAC system is operating and maintaining temperature between 60 and 90 deg F and relative humidity between 25 and 55 percent during the remainder of the construction period.
- B. Field Measurements: Where lockers are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication, and indicate measurements on Shop Drawings.
  - 1. Locate concealed framing, blocking, and reinforcements that support lockers by field measurements before being enclosed, and indicate measurements on Shop Drawings.
- C. Established Dimensions: Where lockers are indicated to fit to other construction, establish dimensions for areas where lockers are to fit. Provide allowance for trimming at site, and coordinate construction to ensure that actual dimensions correspond to established dimensions.

#### 1.7 COORDINATION

- A. Coordinate sizes and locations of concealed wood support bases.
- B. Coordinate sizes and locations of framing, blocking, furring, reinforcements, and other related units of work specified in other Sections to ensure that lockers can be supported and installed as indicated.

#### 1.8 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of lockers that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Structural failures.
    - b. Faulty operation of locks or hardware.
    - c. Deterioration of wood, finishes, and other materials beyond normal use.
  - 2. Warranty Period: Three years from date of Substantial Completion.



## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Accessibility Standard: For lockers indicated to be accessible, comply with applicable provisions in the USDOJ's "2010 ADA Standards for Accessible Design" and ICC A117.1.

### 2.2 PLASTIC-LAMINATE-CLAD WOOD LOCKERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Classic Woodworking, LLC.
  - 2. Famous Lockers.
  - 3. Hollman, Inc.
  - 4. Ideal Products, Inc.
  - 5. Legacy Lockers.
  - 6. List Industries Inc.
- B. Construction Style: Flush overlay.
  - 1. Reveal Dimension: 1/2 inch.
- C. Final Assembly: Manufacturer's standard factory assembly.
- D. Locker Body: Fabricated from particleboard-core panels covered on both sides with thermally fused laminate overlay.
  - 1. Side Panels: Manufacturer's standard 3/4 or 5/8 inch thick.
  - 2. Back Panel: 1/2 inch thick.
  - 3. Top Panel: Manufacturer's standard 3/4 or 5/8 inch thick.
  - 4. Bottom Panel: Manufacturer's standard 3/4 or 5/8 inch thick.
  - 5. Exposed Panel Edges: Thermally fused laminate overlay to match panel.
- E. Plastic-Laminate-Clad Wood Doors: High-pressure decorative laminate, Grade VGS, over both sides of particleboard core.
  - 1. Thickness: 3/4 inch thick.
  - 2. Panel Edges: 3-mm-thick PVC.
- F. End Panels: Match style, material, construction, and finish of plastic-laminate-clad wood doors.
- G. Shelves: Fabricated from particleboard-core panels covered on both sides with thermally fused laminate overlay; adjustable.
  - 1. Thickness: 3/4 inch.
  - 2. Exposed Edges: 3-mm-thick PVC.
- H. Corners and Filler Panels: 3/4-inch-thick panels. Match style, material, construction, and finish of plastic-laminate-clad wood doors.
- I. Continuous Finish Base: Plastic-laminate-clad, 3/4-inch-thick panel that matches door faces; fabricated in lengths as long as practical to enclose base and base ends of lockers.

J. Plastic-Laminate Colors, Patterns, and Finishes:

1. As selected by Architect from plastic-laminate manufacturer's full range of solid colors with core same color as surface.

2.3 MATERIALS

A. Composite Wood: Provide materials that comply with requirements of referenced quality standard for each type of woodwork and quality grade specified unless otherwise indicated.

1. Softwood Plywood: DOC PS 1, medium-density overlay.

B. High-Pressure Decorative Laminate: ISO 4586-3, grades as follows:

1. Horizontal Surfaces: Grade HGS.
2. Postformed Surfaces: Grade HGP.
3. Vertical Surfaces: Grade HGS.

C. Furring, Blocking, Shims, and Hanging Strips: Softwood or hardwood lumber, kiln dried to less than 15 percent moisture content.

D. Anchors: Material, type, size, and finish as required for each substrate for secure anchorage. Provide metal expansion sleeves or expansion bolts for post-installed anchors. Use nonferrous-metal or hot-dip galvanized anchors and inserts at inside face of exterior walls and at floors.

2.4 HARDWARE

A. Cam Padlock Hasp: Surface mounted, steel; finished to match other locker hardware.

B. Frameless Hinges (European Type): Fully concealed, self-closing, nickel-plated steel, with not less than 125 degrees of opening.

1. Provide two hinges for doors 36 inches high and less.
2. Provide three hinges for doors more than 36 inches high.

C. Accessible Handle: Metal, fixed, graspable lever handle and rose trim; recessed.

D. Shelf Rests: BHMA A156.9, B04013.

E. Hooks: Manufacturer's standard, ball-pointed aluminum or steel; finished to match other locker hardware. Attach hooks with at least two fasteners.

1. Provide one double-prong ceiling hook and two single-prong wall hooks for each compartment of double-tier lockers.

F. Coat Rods: 3/4-inch- diameter steel; finished to match other locker hardware.

1. Provide coat rods as indicated on Drawings.
2. Provide coat rod for each compartment of double-tier lockers.

G. Exposed Hardware Finish:

1. Satin chrome unless otherwise indicated.

2. Unless otherwise indicated, provide finish that complies with BHMA A156.18 for BHMA finish number indicated.
  - a. Satin Chromium Plated: BHMA 626 for brass or bronze base; BHMA 652 for steel base.

## 2.5 ACCESSORIES

- A. Number Identification Plates: 1-1/2-inch-diameter, etched, embossed, or stamped, stainless steel plates with black numbers and letters at least 1/2 inch high. Identify lockers in sequence indicated on Drawings.

## 2.6 FABRICATION

- A. Fabricate each locker with shelves, an individual door and frame, an individual top, a bottom, and a back, and with common intermediate uprights separating compartments.
  1. Fabricate lockers to dimensions, profiles, and details indicated.
  2. Ease edges of corners of solid-wood members to 1/16-inch radius.
- B. Fabricate lockers square, rigid, without warp, and with finished faces flat and free of dents, scratches, and chips. Accurately factory machine components for attachments. Make joints tight and true.
  1. Fabricate lockers using manufacturer's standard construction, with joints made with dowels, dados, or rabbets. Dado side panels to receive shelving except where indicated to be adjustable.
  2. Fabricate lockers with joints that are dadoed or rabbeted, glued full length, and stapled. Dado side panels to receive shelving except where indicated to be adjustable.
- C. Venting: Fabricate lockers with space between doors and locker assembly of not less than 1/4 inch.
- D. Number Identification Plates: Inlay number plates flush in each locker door, near top, centered.
- E. Complete fabrication, including assembly, finishing, and hardware application, to maximum extent possible, before shipment to Project site. Disassemble components only as necessary for shipment and installation. Where necessary for fitting at site, provide ample allowance for scribing, trimming, and fitting.
  1. Trial fit assemblies at fabrication shop that cannot be shipped completely assembled. Install dowels, screws, bolted connectors, and other fastening devices that can be removed after trial fitting. Verify that the parts fit as intended, and check measurements of assemblies against field measurements indicated on Shop Drawings before disassembling for shipment.
  2. Use only manufacturer's nuts, bolts, screws, and other devices for assembly.
- F. Shop cut openings, to maximum extent possible, to receive hardware, electrical work, and similar items. Locate openings accurately and use templates or roughing-in diagrams to produce accurately sized and shaped openings. Sand edges of cutouts to remove splinters and burrs.
- G. Attach PVC edging to panels by thermally fusing edging to panels after panel fabrication.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine walls and floors or support bases, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Verify that furring is attached to concrete and masonry walls that are to receive lockers.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Condition lockers to average prevailing humidity conditions in installation areas before installation.
- B. Before installing lockers, examine factory-fabricated work for completeness and complete work as required, including removal of packing.

### 3.3 INSTALLATION

- A. Install lockers level, plumb, and true; use concealed shims.
- B. Connect groups of lockers together with manufacturer's standard[ **brass-finished**] fasteners, through predrilled holes, with no exposed fasteners on face frames. Fit lockers accurately together to form flush, tight, hairline joints.
- C. Install lockers without distortion so doors and drawers fit openings properly and are accurately aligned. Adjust hardware to center doors and drawers in openings, providing unencumbered operation. Complete installation of hardware and accessory items as indicated.
  - 1. Installation Tolerance: No more than 1/8 inch in 96-inch sag, bow, or other variation from a straight line. Shim as required with concealed shims.
- D. Locker Anchorage:
  - 1. Fasten lockers through wood locker base, at ends, and not more than 36 inches o.c. with No. 8 flush-head wood screws sized for 1-inch penetration into wood base.
  - 2. Fasten lockers through back, near top and bottom, at ends with No. 8 flush-head wood screws sized for 1-inch penetration into wood framing, blocking, or furring and spaced not more than 16 inches o.c.
- E. Scribe and cut corner and filler panels to fit adjoining work using fasteners concealed where practical. Repair damaged finish at cuts.
- F. Install number identification plates after lockers are in place.
  - 1. Attach number identification plate on each locker door, near top, centered, with at least two screws with finish matching the plate.
  - 2. Attach name identification plate holder on each locker door, centered, with at least two screws, with finish matching the name identification plate holder.

3.4 ADJUSTING

- A. Clean, lubricate, and adjust hardware. Adjust doors to operate easily without binding. Verify that integral locking devices operate properly.

3.5 PROTECTION

- A. Protect lockers from damage, abuse, dust, dirt, stain, or paint. Do not permit use during construction.
- B. Touch up marred finishes, or replace lockers that cannot be restored to factory-finished appearance. Use only materials and procedures recommended or furnished by locker manufacturer.

**END OF SECTION 105123**



## SECTION: 105300- ALUMINUM CANOPY

### PART 1 - GENERAL

#### 1.1 DESCRIPTION OF WORK

- A. Work in this section includes furnishing and installation of roll-formed aluminum cantilevered style canopies.
- B. Related Items and Considerations
  - 1. Flashing of various designs may be required. Generic flashing. Specialty flashing to be supplied by installer.
  - 2. Determine wall construction, make-up and thickness.
  - 3. Ensure adequate wall condition to carry canopy loads where required.
  - 4. Consider water drainage away from canopy where necessary.
  - 5. Any necessary removal or relocation of existing structures, obstructions or materials.

#### 1.2 FIELD MEASUREMENT

- A. Confirm dimensions prior to preparation of shop drawings when possible.
- B. If requested, supply manufacturer s standard literature and specifications for canopies.
- C. Submit shop drawings showing structural component locations/positions, material dimensions and details of construction and assembly, signed and sealed by a North Carolina professional engineer.

#### 1.3 PERFORMANCE REQUIREMENTS

- A. Canopy must conform to local building codes.

#### 1.4 DELIVER, STORAGE, HANDLING

- A. Deliver and store all canopy components in protected areas.

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide **Mapes Super Lumideck, Hanger Rod Canopy** or a comparable product by one of the following:
  - 1. Architectural Fabrication
  - 2. MASA Architectural Canopies

3. Mitchell Metals
  4. SkyScape Architectural Canopies
- B. Basis-of-Design Product: Subject to compliance with requirements, provide Mapes Cantilever or a comparable product by one of the following:
1. Architectural Fabrication
  2. MASA Architectural Canopies
  3. Mitchell Metals
  4. SkyScape Architectural Canopies

## 2.2 MATERIALS

- A. Decking shall consist of an interlocking roll-form W style pan (.078" aluminum).
- B. Intermediate framing members shall be extruded aluminum, alloy 6063-T6, in profile and thickness shown on Drawings.
- C. Fascia shall be standard extruded 8" J style.

## 2.3 FINISHES

- A. Finish type shall be -- Class II Clear Anodized.

## 2.4 FABRICATION

- A. All canopies are shipped in preassembled sections for ease of installation.
  1. All connections shall be mechanically assembled utilizing 3/16 fasteners with a minimum shear stress of 350 lb. Pre-welded or factory-welded connections are not acceptable.
- B. Decking shall be designed with interlocking roll-formed aluminum members.
- C. Concealed drainage. Water shall drain from covered surfaces into intermediate trough and be directed to Front Scupper.

## PART 3 - EXECUTION

### 3.1 INSPECTION

- A. Confirm that surrounding area is ready for the canopy installation.
- B. Installer shall confirm dimensions and elevations to be as shown on drawings.
- C. Erection shall be performed by an approved installer and scheduled after all concrete, masonry and roofing in the area is completed

### 3.2 INSTALLATION



A. Installation shall be in strict accordance with manufacturer s shop drawings. Particular attention should be given to protecting the finish during handling and erection.

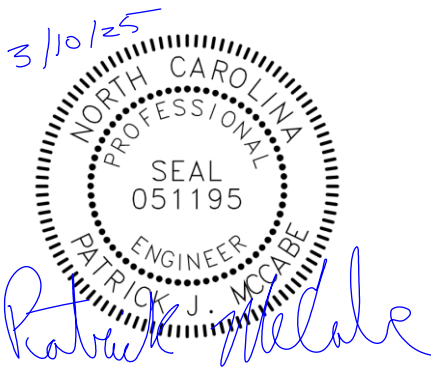
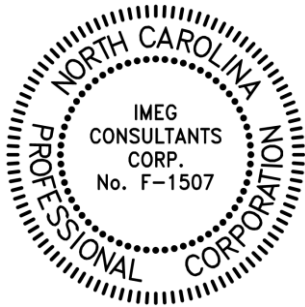
3.3 After installation, entire system shall be left in a clean condition.

**END OF SECTION 105300**



## PLUMBING SPECIFICATIONS

220500	GENERAL (PLUMBING) PROVISIONS
220523	VALVES
220529	PIPE HANGERS AND SUPPORTS
220700	INSULATION
221000	PIPE AND PIPE FITTINGS
221119	PIPING SPECIALTIES
223300	WATER HEATER
224000	PLUMBING FIXTURES
229000	ELECTRICAL WORK IN PLUMBING CONTRACT





## **SECTION 220500 – PLUMBING GENERAL PROVISIONS**

### **A. GENERAL**

1. Scope of Work
  - a. The Contractor shall provide all materials, equipment and labor necessary to install and set into operation a complete plumbing system as shown on the engineering drawings and as specified herein.
2. Quality Assurance
  - a. See the General and Supplementary General Conditions.
  - b. All work shall be in accordance with State Code and Underwriter's Regulations. Minimum requirements shall be the State Plumbing Code.
  - c. Wherever the words "Approved", "Approval", or "Approved Equal" appear, it is intended that items other than the model numbers specified shall be subject to the approval of the Engineer.
  - d. "Provide" as used herein shall mean that the Contractor responsible shall furnish and install said item or equipment. "Furnish" as used herein shall mean that the Contractor responsible shall acquire and make available said item or equipment and that installation shall be by others. "Install" as used herein shall mean that the Contractor responsible shall make installation of items or equipment furnished by others.
  - e. All material and equipment that the Contractor proposes to substitute in lieu of those specified shall be submitted to the Engineer ten (10) days before the bid date for evaluation. The submittal shall include a full description of the material or equipment and all pertinent engineering data required to substantiate the equality of the proposed item to that specified. Items that are submitted for approval after this date will not be accepted. Section 01600 of the General Conditions will be followed for substitutions after award of the contract.
3. Submittals
  - a. See General and Supplementary General Conditions.
  - b. Within twenty days after notification of the award of the Contract and written notice to begin work, the Contractor shall submit to the Architect/Engineer for approval a detailed list of equipment and material which he proposes to use. Items requiring submittal data for approval will be noted at this time. Six (6) sets of submittal data shall be provided for approval
  - c. Each submittal shall bear the approval of the Contractor indicating that he has reviewed the data and found it to meet the requirements of the specifications as well as space limitations and other project conditions. The submittals shall be clearly identified showing project name, manufacturer's catalog number, and all necessary performance and fabrication data. Detailed submittal data shall be provided when items are to be considered as substitutions for specified items. Acceptance for approval shall be in writing from the Engineer.
  - d. The Contractor shall submit to the Engineer a set of accurately marked-up plans indicating all changes encountered during the construction. Final payment will be contingent upon receipt of these as-built plans.
  - e. The Contractor shall furnish four (4) bound sets of maintenance and operating instructions as outlined in Paragraph C, (Execution), Item #6, of this specification section.

- f. The Contractor shall submit to the Owner all certificates required for operating the system in compliance with the plans and specifications.
- 4. Product Delivery, Storage and Handling
  - a. All material and equipment shall be delivered and unloaded by the Contractor within the project site as noted herein or as directed by the Owner.
  - b. The Contractor shall protect all material and equipment from breakage, theft, or weather damage. No material or equipment shall be stored on the ground.
  - c. The material and equipment shall remain the property of the Contractor until the project has been completed and turned over to the Owner.
- 5. Work Conditions and Coordination
  - a. The Contractor shall review the electrical plans to establish points of connection and the extent of electrical work to be provided in his Contract. A licensed electrician shall perform all electrical work.
  - b. Electrical work shall be in accordance with State codes, and as specified in Division 16 contained herein.
  - c. Pipe chases required for installation of work shall be provided by the General Contractor unless otherwise noted. This Contractor shall be responsible for coordinating the location of all required chases.
  - d. All work shall be coordinated with other trades. Cutting of new work and subsequent patching shall be at the Contractor's expense at no extra cost to the Owner.
- 6. Guarantee
  - a. Where items of equipment or material carry a manufacturer's warranty for any period in excess of twelve (12) months, then the manufacturer's warranty shall apply for that particular piece of equipment or material. The contractor shall replace such defective equipment or materials, without cost to the owner, within the manufacturer's warranty period.
  - b. The contractor shall unconditionally guarantee materials and workmanship against patent defects arising from faulty materials, faulty workmanship or negligence for a period of twelve (12) months following the final acceptance of the work and shall replace such defective materials or workmanship without cost to the owner.
  - c. Additionally, the contractor shall guarantee materials and workmanship against latent defects arising from faulty materials, faulty workmanship or negligence which is hidden or not readily apparent to the owner at the time of final acceptance and which is discovered by the owner within six (6) years following final acceptance of the work. The contractor shall replace such defective materials or workmanship without cost to the owner.

## **B. PRODUCT**

- 1. Materials and equipment shall be new, unless noted otherwise, of the highest grade and quality and free from defects or other imperfections. Material and equipment found defective shall be removed and replaced at the Contractor's expense.
- 2. The Contractor shall provide nameplates for identification of all equipment, switches, panels, etc. The nameplates shall be laminated phenolic plastic, black front and back

with white core, white engraved letters (1/4" minimum) etched into the white core. Nameplates shall be fastened with pan head tapping screws.

**C. EXECUTION**

1. Inspection
  - a. This Contractor shall examine the areas of completed work and shall insure that no defects or errors are present which would result in the poor application or installation of subsequent work.
2. Installation
  - a. All work shall be performed in a manner indicating proficiency in the trade.
  - b. All pipes shall be either parallel to building walls or plumb where installed in a vertical position and shall be concealed when located in architecturally finished areas.
  - c. Any cutting or patching required for installation of this Contractor's work shall be kept to a minimum. Written approval shall be required by the Architect/Engineer if cutting of primary structure is involved.
  - d. All finishing shall be by the General Contractor.
  - e. The Contractor shall lay out and install his work in advance of pouring concrete floors or walls. He shall furnish all sleeves to the General Contractor for openings through poured masonry floors or walls, above grade, required for passage of all pipes required to support his equipment.
  - f. All fixtures shall be accurately roughed in according to the manufacturer's installation dimensions so that no offset adaptors, flexible connections or other improvising are necessary. All incorrect work shall be torn out and corrected and walls and floors patched.
  - g. Connections to cold water, soil and waste lines shall be made at locations shown on the Drawings.
3. Performance
  - a. The Contractor shall perform all excavation and backfill operations necessary for installation of his work.
  - b. Rock excavation shall be defined in the Supplementary General Conditions. Unless specifically stated, neither rock excavation nor a unit price for rock excavation shall be required in the bid.
4. Erection
  - a. All support steel, angles, channels, pipes or structural steel stands and anchoring devices that may be required to rigidly support or anchor material and equipment shall be provided by this Contractor.
5. Adjust and Clean
  - a. All equipment and installed materials shall be thoroughly clean and free of all dirt, oil, grit, grease, etc.
  - b. Factory painted equipment shall not be repainted unless damaged areas exist. These areas shall be touched up with a material suitable for intended service. In no event shall nameplates be painted.

- c. At a scheduled meeting, the Contractor shall instruct the Owner or the Owner's representative in the operation and maintenance of all equipment installed under his Contract.
- 6. Maintenance and Operating Manual
  - a. The Contractor shall prepare four (4) copies of a manual describing the proper maintenance and system operation. This manual shall not consist of standard factory printed data intended for dimension or design purposes (although these may be included), but shall be prepared to describe this particular job. This manual shall include the following:
    - 1) Index and page numbers.
    - 2) Certificate of substantial completion.
    - 3) A summary sheet of warranties with the dates noted and a copy of all warranties.
    - 4) List of all subcontractors and suppliers with names, addresses and phone numbers.
    - 5) Certified testing and balancing report.
    - 6) All submittal data and shop drawings.
  - b. The O & M manuals shall be installed in 3 ring heavy back note books with the name of the building and the words, "Operations and Maintenance Manuals" permanently affixed to the cover and spine.
  - c. The operating and maintenance manuals shall be submitted to the Engineer (2) weeks before the pre-final inspection, for approval. When the manuals are considered complete by the Engineer, they will be turned over to the Owner for their permanent use.

**END OF SECTION 220500**



## **SECTION 220523 - VALVES**

### **A. GENERAL**

1. Valves shall be installed where indicated or required.
2. Insofar as possible, all valves shall be by the same manufacturer.
3. All valves stored on project site shall have ports closed.
4. Valves shall serve dual functions as shut-off and balancing valves.
5. Valves shall have an adjustable set point with locking mechanism which will permit closing of the valve and reopening of the valve to the previously determined set point.

### **B. PRODUCT**

1. Isolation/Shutoff valves up to and including 3" in line size shall be full port, forged brass ball valves with threaded ends, Watts Series FBV-1 or approved equivalent.
2. Provide stem extensions, as necessary, to accommodate piping insulation.

### **C. EXECUTION**

1. All flanged connections shall be gasketed.
2. In no case shall raised face flanges be bolted to flat face flanges.
3. All valve stems shall be accessible and in no case shall valve stems be installed below horizontal.
3. The Contractor shall set in service all valves to operating conditions as part of his Contract.
4. The contractor shall provide 1" diameter brass valve tags for all valves.
5. The contractor shall provide ceiling markers for ceilings above lay-in ceiling.
6. The contractor shall provide a framed valve chart.

**END OF SECTION 220523**

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## **SECTION 220529 - PIPE HANGERS AND SUPPORTS**

### **A. GENERAL**

1. This Section includes all hangers and supports, etc. as may be required to provide a complete piping system.
2. The actual arrangement of the piping shall follow the general locations shown on the Drawings, such that clearances, line drainage, etc. shall be maintained.
3. Refer to specification Section 221000 for piping.

### **B. PRODUCT**

1. Piping shall be as stated in Piping Section(s).
2. Hangers and supports shall be as manufactured by B-Line Systems, Inc., PHD Manufacturing, Empire, or Modern Support Devices.

### **C. EXECUTION**

1. In no case shall this Contractor be allowed to cut or reduce the specified covering to allow the application of a smaller hanger than required.
2. Hangers shall be spaced as dictated by North Carolina Plumbing Code.
3. Hangers shall be provided at each change in direction.
4. Vertical risers shall be supported at each floor, 5 feet on center, and/or at changes in direction of pipe.
5. Do not support piping from bar joist bridging and/or roof deck.

**END OF SECTION 220529**

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## **SECTION 220700 - PIPE INSULATION**

### **A. GENERAL**

1. The Contractor shall insulate hot water supply and return, and cold water piping as specified below.
2. All insulation, linings, coverings and adhesives shall have a flame spread classification of 25 or less and a smoke developed rating of not more than 50, except for exposed outside piping.

### **B. PRODUCT**

1. All hot and cold water piping (unless otherwise noted) shall be insulated with 1" thick fibrous glass materials with factory applied cover. All hot and cold water piping located in unconditioned spaces shall be insulated with 1 1/2" thick fibrous glass materials with factory applied cover. Cover shall be embossed vapor barrier, laminated with pressure sealing cap adhesive.
2. All exposed piping in finished areas and equipment spaces shall have an additional layer of Kraft paper with vapor sealing tape followed by 8oz. /sq.yd. canvas cloth wrap, glued with two coats of sizing. Canvas shall be coated twice with Foster fireproof lagging to assure flame and smoke spread ratings.

### **C. EXECUTION**

1. Insulation shall be installed in accordance with manufacturer's recommendations.
2. All exterior piping insulation above grade shall be provided with a protective aluminum jacket with a factory-applied asphalt and Kraft paper moisture barrier. Aluminum jackets shall be cross-crimped (longitudinally corrugated) for strength. Aluminum jackets shall be not less than 0.106" thick and shall be secured with aluminum or stainless steel screw; not more than 8" apart.
3. All piping exposed outdoors shall be wrapped with electric trace before insulation is applied.
4. Any pipe covered prior to leak testing shall be exposed at contractor expense.
5. All piping shall be provided with identification in accordance with ANDI A13.1-1981 standards. Markers shall be located at each wall, floor, and ceiling penetration, and at every 25ft (10 feet in mechanical rooms). Markers shall be fully legible from floor level showing medium contained in pipe, and direction of flow. Wording on markers shall be as follows:
  - a) "Domestic Cold Water Supply".
  - b) "Domestic Hot Water Supply".
6. Provide sheet metal saddle at each hanger. Provide wood blocking at each saddle.

**END OF SECTION 220700**

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## **SECTION 221000 - PIPE AND PIPE FITTINGS**

### **A. GENERAL**

1. This section includes all pipe, pipe fittings, hangers, and supports, etc. as may be required to provide a complete water plumbing system.
2. The actual arrangement of the piping shall follow the general locations shown on the drawings, such that clearances, line drainage, etc. shall be maintained.
3. Refer to specification Section 220523.
4. Refer to specification Section 220529.
5. Refer to specification Section 220700
6. Refer to specification Section 221119.

### **B. PRODUCT**

1. Domestic Water Pipe and Pipe Fittings
  - a) Copper Pipe
    1. Water piping above grade shall be Type "L" hard drawn copper. Water piping below grade shall be Type "K" soft drawn. Pipe shall conform to ASTM B-88 Specification.
    2. Water piping fittings shall be sweat or grooved type wrought copper conforming the ANSI-B16.22, ASME B16.18, or ASTM B584 Specification.
    3. Use silver solder on all piping.
    4. All piping systems shall be hydrostatically tested at 150 psi for a period of 48 hours without loss of pressure. Any leaks that occur shall be repaired and another test started.
2. Storm, Sanitary Waste and Vent Pipe and Pipe Fittings
  - a) PVC Pipe
    1. Building sanitary sewer and storm lines below grade shall be schedule 40 PVC-DWV conforming to ASTM D-2665-68.
    2. Building sanitary sewer, storm, and vent lines above grade shall be schedule 40 PVC-DWV conforming to ASTM D-2665-68.
    3. PVC fittings to conform to piping specifications.
    4. Joints for PVC piping shall be made using the piping manufacturer's approved solvent cement.
    5. Waste pipe shall be tested at each floor. A test tee will be installed below each floor and pipe will be filled with water for a height of 10' above finished floor. The pipe shall be gas and water tight. Water shall stand in the system for a period of 3 hours without evidence of leakage.
    6. PVC piping is not permitted in return air plenums.

## **C. EXECUTION**

1. Sleeves shall be provided wherever pipes pass through walls, floors, and ceilings. Sleeves shall be Schedule 40, black steel, ½" in diameter larger than the pipe or insulation on the pipe. Sleeves through floors shall be caulked and made watertight.
2. In pipe chases, the Contractor shall provide for suspension of all piping from the structure. Do not allow piping to rub against masonry when expanding and contracting.
3. Close and protect open ends of piping until final connections are made. Such closing shall be made with fittings which cannot be easily removed. Caps or plugs shall be required at all times during construction so that no pipes are left open at the end of any day's work, even though continuation is expected the next day.
4. All piping and equipment installed under this Contract shall be tested in the presence of the Engineer or a designated representative of the Owner, and the proper Plumbing Inspector, proved tight for the periods stated above, or longer if required by the Inspector. Engineer shall be given 48 hour written notification of all tests.
5. No plumbing system or part thereof shall be covered or concealed until after it has been tested and approved. If such work has been covered or concealed before testing, it shall be exposed for testing.
6. All water piping shall be sterilized with chlorine, 50 milligrams per liter, and held for a 24-hour period, after which the system shall be flushed prior to being put into service. During the flushing of the system, all flush valves shall be thoroughly flushed out to insure the removal of sediment, pipe dope, etc., from water lines and flush valves, removing such working parts of the flush valves as may be deemed necessary. The system shall be drained and flushed sufficiently to provide chlorine residue of 0.2 ppm or less. Provide documentation of test results of domestic water from qualified testing laboratory at final inspection.

**END OF SECTION 221000**



## **SECTION 221119 - PIPING SPECIALTIES**

### **A. GENERAL**

1. This Section includes miscellaneous items required for a complete plumbing system.

### **B. PRODUCT**

1. Escutcheons shall be chrome plated, spring type, on all pipes passing through walls and ceilings in finished areas. Floor escutcheons shall be cast brass, chrome plated, with set screw.
2. Stops shall be compression type, chrome plated, angle or straight way pattern on all fixtures, hot and cold water supply. On service sinks, use brass gate valve as specified.
3. Flashing for vents through the roof shall be two-piece type, 16 ounce copper counter flashing and base flashing, or a two-piece type, 4 pound lead counter flashing and base flashing. The base flashing shall be installed by the General Contractor with the roof system.
4. Pipe anchors for rough-in use shall be "Rapid Rough" products. Use for anchoring rough-in of all hot and cold water connections for all lavatories, sinks and other wall connected fixtures.
5. Insulating couplings shall be V-line, as manufactured by Walter Vallett or approved equal.
6. Shock absorbers shall be of all stainless steel construction and in conformance with P. D. I. Standard WH201. Shock absorbers shall be installed as noted at the locations shown on the plans and shall be totally accessible. Where there are no shock absorbers noted or shown on the plans, 18 inch air chamber type shock absorbers shall be installed at the hot and cold water supply to each fixture.
7. Unions shall be bronze body with packless brass ground joints. Wrought iron pipe unions shall be malleable iron, ground joint with bronze to iron seat.

### **C. EXECUTION**

1. Escutcheons shall be of sufficient size to cover outside diameter of the pipe or the insulation of the pipe.
2. Vent flashing shall extend down at least 4 inches from the top of the pipe. Flashing shall extend at least 12 inches in all directions from the pipe and shall be parallel to the roof line.
3. Pipe anchors for rough-in use shall be installed to hold pipes securely in alignment, according to the manufacturer's rough-in dimensions. Remove these devices after the wall is built around the pipes.
4. Unions shall be installed as shown on the plans, and where required, to disconnect piping for future replacement or repairs.
5. Dielectric unions shall be installed at hot water heaters and at any junction of dissimilar metal pipes.

**END OF SECTION 221119**

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## **SECTION 223300 - WATER HEATER**

### **A. GENERAL**

1. Provide water heater as scheduled on the drawings.
2. All water heaters shall be by one manufacturer insofar as possible.
3. Water heaters shall be State Industries, AO Smith, or approved equivalent.

### **B. PRODUCT**

1. Water heaters shall be UL listed.
2. Water heaters shall meet the standby loss requirements of the U.S. Department of energy and current edition of ASHRAE/IESNA 90.1.
3. Water heaters shall have 150 psi working pressure and be equipped with extruded high density anode rod.
4. All internal surfaces of the heater(s) exposed to water shall be glasslined with an alkaline borosilicate composition that has been fused-to-steel by firing at a temperature range of 1400°F to 1600°F.
5. Electric heating elements shall be medium watt density with zinc plated copper sheath. Each element shall be controlled by an individually mounted thermostat and high temperature cutoff switch.
6. The outer jacket shall be of backed enamel finish and shall enclose the tank with foam insulation.

### **C. EXECUTION**

1. Water heaters shall be installed as shown on the drawings.
2. Water heaters shall be provided with accessories noted on the drawings.

**END OF SECTION 223300**

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## **SECTION 224000 - PLUMBING FIXTURES**

### **A. GENERAL**

1. Provide plumbing fixtures as scheduled on the drawings.
2. All fixtures shall be by one manufacturer insofar as possible.
3. Submit shop drawings on the following:
  - a. Fixtures
  - b. Floor drains and cleanouts
  - c. Trim
4. All fixtures are to be white.

### **B. PRODUCT**

1. Products approved for use on this shall be as follows:
  - a. Fixtures: Kohler, American Standard, Eljer, Zurn, Toto, Crane
  - b. Stainless steel sinks: Elkay, Just, Eljer
  - c. Flush Valves: Sloan, Delaney, Zurn
  - d. Floor drains and cleanouts: Zurn, Smith, Josam.
  - e. Trim: Kohler, American Standard, Eljer, Chicago Faucets, T & S Brass and Bronze, Delta, Symmons, Sloan, Delaney, Stern-Williams, McGuire, Brasscraft, Cambridge Brass, Speakman, Zurn, Moen.

### **C. EXECUTION**

1. Fixtures and carriers shall be installed in accordance with the manufacturer's recommendations.
2. All fixtures, drains, traps, etc. shall be set plumb and level.
3. All handicapped fixtures and trim shall be installed in accordance with the State Building Code, latest edition.
4. Provide trap primer and required piping on all floor drains.
5. All fixtures are to be water saving type.
6. Provide vandal-proof options for all fixtures used by public. This includes screws, aerators, and showerheads.

**END OF SECTION 224000**

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## **SECTION 229000 - ELECTRICAL WORK IN PLUMBING CONTRACT**

### **A. GENERAL**

1. This Contractor shall be responsible for the entire control system and control connections to all equipment installed as part of his contract.
2. Wiring from disconnect switches to plumbing equipment shall be by the plumbing contractor. Final electrical connections to plumbing equipment shall be by this contractor..
3. All power and control wiring shall be in conduits.
4. All electrical work shall be performed by a licensed electrician.
5. All electrical work shall be in accordance with the State Building Code and all its supplements and the latest edition of the National Electrical Code.

### **B. PRODUCT**

1. All motor starters, disconnects, switches, relays, conduits, conductors, etc. that are required for a complete electrical power and/or control system shall conform to the requirements set forth by NEC.
2. Refer to the plans for the type, size and electrical characteristics of the starters, disconnects, switches, relays, conductor and conduits.
3. All conductors and conduits shall be sized as noted on the plans or as required per NEC.

### **C. EXECUTION**

1. All motor starters, disconnects, and switches shall be installed on or as close to the equipment they are serving as possible, or where shown on the plans.
2. Control wiring electrical connection to equipment subject to vibration which develops objectionable noises shall be made from the conduit system with short lengths of flexible "Liquid- Tite" conduit. Connection to other equipment shall be made with rigid conduit.
3. Control wiring conduits shall be run in a concealed space such as wall cavities, ceiling cavities, etc. except in the mechanical rooms where conduit may be run exposed.

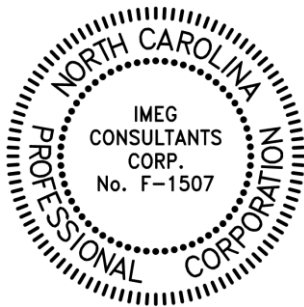
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## MECHANICAL SPECIFICATIONS

230500	GENERAL MECHANICAL REQUIREMENTS
230513	ELECTRICAL WORK IN MECHANICAL CONTRACT
230548	VIBRATION ISOLATION
230553	IDENTIFICATION OF HVAC COMPONENTS
230593	TEST AND BALANCING
230700	INSULATION
230900	INSTRUMENTATION AND CONTROL FOR HVAC
231000	PIPE AND PIPE FITTINGS
233100	DUCTWORK
233313	FIRE DAMPERS
233600	AIR TERMINAL UNITS
233700	AIR DISTRIBUTION
238113	OUTDOOR PACKAGED UNIT





## **SECTION 230500 – GENERAL MECHANICAL REQUIREMENTS**

### **A. GENERAL**

1. Scope of Work
  - a. The Contractor shall provide all materials, equipment and labor necessary to install and set into operation a complete mechanical systems as shown on the engineering drawings and as specified herein.
2. Quality Assurance
  - a. See the General and Supplementary General Conditions.
  - b. All work shall be in accordance with State Code and Underwriter's Regulations. Minimum requirements shall be the State Plumbing, Mechanical, Gas, and Energy Code.
  - c. Wherever the words "Approved", "Approval", or "Approved Equal" appear, it is intended that items other than the model numbers specified shall be subject to the approval of the Engineer.
  - d. "Provide" as used herein shall mean that the Contractor responsible shall furnish and install said item or equipment. "Furnish" as used herein shall mean that the Contractor responsible shall acquire and make available said item or equipment and that installation shall be by others. "Install" as used herein shall mean that the Contractor responsible shall make installation of items or equipment furnished by others.
  - e. All material and equipment that the Contractor proposes to substitute in lieu of those specified, shall be submitted to the Engineer ten (10) days before the bid date for evaluation. The submittal shall include a full description of the material or equipment and all pertinent engineering data required to substantiate the equality of the proposed item to that specified. Items that are submitted for approval after this date will not be accepted. The General Conditions will be followed for substitutions after award of the contract.
3. Submittals
  - a. See General and Supplementary General Conditions.
  - b. Within twenty days after notification of the award of the Contract and written notice to begin work, the Contractor shall submit to the Architect/Engineer for approval a detailed list of equipment and material which he proposes to use. Items requiring submittal data for approval will be noted at this time. Six (6) sets of submittal data shall be provided for approval
  - c. Each submittal shall bear the approval of the Contractor indicating that he has reviewed the data and found it to meet the requirements of the specifications as well as space limitations and other project conditions. The submittals shall be clearly identified showing project name, manufacturer's catalog number, and all necessary performance and fabrication data. Detailed submittal data shall be provided when items are to be considered as substitutions for specified items. Acceptance for approval shall be in writing from the Engineer.

- d. The Contractor shall submit to the Engineer a set of accurately marked-up plans indicating all changes encountered during the construction. Final payment will be contingent upon receipt of these as-built plans.
  - e. The Contractor shall furnish four (4) bound sets of maintenance and operating instructions as outlined in Paragraph C, (Execution), Item #6, of this specification section.
  - f. The Contractor shall submit to the Owner all certificates required for operating the system in compliance with the plans and specifications.
4. Product Delivery, Storage and Handling
- a. All material and equipment shall be delivered and unloaded by the Contractor within the project site as noted herein or as directed by the Owner.
  - b. The Contractor shall protect all material and equipment from breakage, theft, or weather damage. No material or equipment shall be stored on the ground.
  - c. The material and equipment shall remain the property of the Contractor until the project has been completed and turned over to the Owner.
5. Work Conditions and Coordination
- a. The Contractor shall review the electrical plans to establish points of connection and the extent of electrical work to be provided in his Contract. All electrical work shall be performed by a licensed electrician.
  - b. Electrical work shall be in accordance with State codes, and as specified in Division 16 contained herein.
  - c. Pipe chases required for installation of work shall be provided by the General Contractor unless otherwise noted. This Contractor shall be responsible for coordinating the location of all required chases.
  - d. All work shall be coordinated with other trades. Cutting of new work and subsequent patching shall be at the Contractor's expense at no extra cost to the Owner.
6. Guarantee
- a. Where items of equipment or material carry a manufacturer's warranty for any period in excess of twelve (12) months, then the manufacturer's warranty shall apply for that particular piece of equipment or material. The contractor shall replace such defective equipment or materials, without cost to the owner, within the manufacturers warranty period.
  - b. The contractor shall unconditionally guarantee materials and workmanship against patent defects arising from faulty materials, faulty workmanship or negligence for a period of twelve (12) months following the finals acceptance of the work an shall replace such defective materials or workmanship without cost to the owner.
  - c. The contractor shall provide a five year compressor warranty for all refrigeration compressors from date of system acceptance.
  - d. Additionally, the contractor shall guarantee materials and workmanship against latent defects arising from faulty materials, faulty workmanship or negligence which is hidden or not readily apparent to the owner at the time of final acceptance and which is discovered by the owner within six

(6) years following final acceptance of the work. The contractor shall replace such defective materials or workmanship without cost to the owner.

**B. PRODUCT**

1. Materials and equipment shall be new, unless noted otherwise, of the highest grade and quality and free from defects or other imperfections. Material and equipment found defective shall be removed and replaced at the Contractor's expense.
2. The Contractor shall provide nameplates for identification of all equipment, switches, panels, etc. The nameplates shall be laminated phenolic plastic, black front and back with white core, white engraved letters (1/4" minimum) etched into the white core. Nameplates shall be fastened with pan head tapping screws.

**C. EXECUTION**

1. Inspection
  - a. This Contractor shall examine the areas of completed work and shall insure that no defects or errors are present which would result in the poor application or installation of subsequent work.
2. Installation
  - a. All work shall be performed in a manner indicating proficiency in the trade.
  - b. All pipes shall be either parallel to building walls or plumb where installed in a vertical position and shall be concealed when located in architecturally finished areas.
  - c. Any cutting or patching required for installation of this Contractor's work shall be kept to a minimum. Written approval shall be required by the Architect/Engineer if cutting of primary structure is involved.
  - d. All finishing shall be by the General Contractor.
  - e. The Contractor shall lay out and install his work in advance of pouring concrete floors or walls. He shall furnish all sleeves to the General Contractor for openings through poured masonry floors or walls, above grade, required for passage of all pipes required to support his equipment.
  - e. All fixtures shall be accurately roughed in according to the manufacturer's installation dimensions so that no offset adaptors, flexible connections or other improvising are necessary. All incorrect work shall be torn out and corrected and walls and floors patched.
3. Performance
  - a. The Contractor shall perform all excavation and backfill operations necessary for installation of his work.
  - b. Rock excavation shall be defined in the Supplementary General Conditions. Unless specifically stated, neither rock excavation nor a unit price for rock excavation shall be required in the bid.

4. Erection
  - a. All support steel, angles, channels, pipes or structural steel stands and anchoring devices that may be required to rigidly support or anchor material and equipment shall be provided by this Contractor.
5. Adjust and Clean
  - a. All equipment and installed materials shall be thoroughly clean and free of all dirt, oil, grit, grease, etc.
  - b. Factory painted equipment shall not be repainted unless damaged areas exist. These areas shall be touched up with a material suitable for intended service. In no event shall nameplates be painted.
  - c. At a scheduled meeting, the Contractor shall instruct the Owner or the Owner's representative in the operation and maintenance of all equipment installed under his Contract.
6. Maintenance and Operating Manual
  - a. The Contractor shall prepare four (4) copies of a manual describing the proper maintenance and system operation. This manual shall not consist of standard factory printed data intended for dimension or design purposes (although these may be included), but shall be prepared to describe this particular job. This manual shall include the following:
    - 1) Index and page numbers.
    - 2) Certificate of substantial completion.
    - 3) A summary sheet of warranties with the dates noted and a copy of all warranties.
    - 4) List of all subcontractors and suppliers with names, addresses and phone numbers.
    - 5) Certified testing and balancing report.
    - 6) All submittal data and shop drawings.
  - b. The O & M manuals shall be installed in 3 ring heavy back note books with the name of the building and the words, "Operations and Maintenance Manuals" permanently affixed to the cover and spine.
  - c. The operating and maintenance manuals shall be submitted to the Engineer (2) weeks before the pre-final inspection, for approval. When the manuals are considered complete by the Engineer, they will be turned over to the Owner for their permanent use.

END OF SECTION 230500

## **SECTION 230513 – ELECTRICAL WORK (MECHANICAL)**

### **A. GENERAL**

1. This Contractor shall be responsible for the entire control system and control connections to all equipment installed as part of his contract.
2. Wiring from disconnect switches, junction boxes, etc. up to mechanical equipment shall be by this contractor. Final electrical connections to mechanical equipment shall be by this contractor.
3. All power and control wiring shall be in conduits.
4. All electrical work shall be performed by a licensed electrician.
5. All electrical work shall be in accordance with the State Building Code and all its supplements and the latest edition of the National Electrical Code.

### **B. PRODUCT**

1. All motor starters, disconnects, switches, relays, conduits, conductors, etc. that are required for a complete electrical power and/or control system shall conform to the requirements set forth by NEC.
2. Refer to the plans for the type, size and electrical characteristics of the starters, disconnects, switches, relays, conductor and conduits.
3. All conductors and conduits shall be sized as noted on the plans or as required per NEC.

### **C. EXECUTION**

1. All motor starters, disconnects, and switches shall be installed on or as close to the equipment they are serving as possible, or where shown on the plans.
2. Electrical connection to equipment subject to vibration which develops objectionable noises shall be made from the conduit system with short lengths of flexible "Liquid- Tite" conduit. Connection to other equipment shall be made with rigid conduit.
3. Conduits shall be run in a concealed space such as wall cavities, ceiling cavities, etc. except in the mechanical rooms where conduit may be run exposed.

**END OF SECTION 230513**

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## **SECTION 230548 – VIBRATION ISOLATION**

### **A. GENERAL**

1. All equipment having rotating or moving parts shall have vibration isolators to eliminate transmission of objectionable noise to other material or equipment.
2. Isolators shall be selected for the use intended and shall be approved by the Engineer.

### **B. PRODUCT**

1. Flexible connections shall be provided between metal ductwork and motorized housings.
2. Flexible fabric duct connectors shall be twenty-ounce, fire retardant, UL labeled, 10" maximum length, Ventfab or approved equal.
3. Neoprene pads, springs, hangers, isolation pads, etc., where required, shown or indicated, shall be by Consolidated Kinetics Company' Vibration Mountings, Inc.; Vibration Eliminator Company; or approved equal.

### **C. EXECUTION**

1. Flexible connections shall be made according to the manufacturer's recommendations utilizing angles, bolts, clips or other fastenings necessary for securing the material to the duct pipe and the equipment.
2. Install neoprene pad between motor and air handling unit casing.
3. All vibration isolation equipment shall be coordinated with equipment specified and installed according to manufacturer's recommendations.
4. Flexible pipe connections shall be braided stainless steel with enlarged connections by Mctraflex or approved equal.

END OF SECTION 230548

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## SECTION 230553 – IDENTIFICATION OF HVAC COMPONENTS

### A. GENERAL

1. This section includes insulation for piping, ductwork, and equipment, as shown on the plans.
2. All coverings and adhesives shall have a flame spread classification of 25 or less and a smoke developed rating of not more than 50.

### B. PRODUCT

### C. EXECUTION

#### 1. EQUIPMENT

- a. All HVAC equipment, including air handlers, fans and pumps shall be properly identified with equipment identification, equipment controlled, electrical ratings and date of installation.
- b. Equipment shall be clearly identified with engraved phenolic plates securely fastened to the equipment with sheet metal screws. Phenolic plates shall be white background and black lettering.
- c. All serviceable equipment (fans, reheat coils, VABe identifiedtc.) located above ceilings or other concealed spaces shall be clearly identified on an adjacent finished surface below service space. Label shall be engraved phenolic plate with white background and white letters. Label shall list name of equipment.
- d. Equipment labeling shall be coordinated with owner to match identification used by Building Automaton System.

#### 2. DUCTWORK

- a. Paint all exposed ductwork insulation in mechanical rooms white. Ductwork exposed in finished spaces shall be painted as shown on architectural plans.

Duct System	Color Stencil Identification	Label Color	Lettering Color
Supply Ductwork	SUPPLY AIR	Green	White
Return Ductwork	RETURN AIR	Blue	White
Exhaust Ductwork	EXHAUST	Yellow	Black

#### PIPING AND VALVES

##### b. Valve Identification

- i. All valves shall be tagged brass valve tags with chains for isolation and control valves.
- ii. Provide valve tag chart in the O&M manual.
- iii. Include the tag numbers in the as-built drawings.

- c. All piping shall be provided with identification in accordance with ANSI A13.1-1981 standards. Markers shall be located at each wall, floor or ceiling penetration, and at every 20 ft. Markets shall be fully legible from floor level showing medium contained pipe, and direction flow. Stenciling as indicated below will be acceptable in lieu of markers.
- d. All exposed piping in mechanical rooms shall be painted and marked as listed below:

Piping System	Color	Stencil Identification	Label Color	Lettering Color
Natural Gas	Yellow	GAS	Yellow	Black

- e. Pipe identification shall contrast in color to the pipe colors and be easily readable. The width of color bands should be equal to the size of the stencil indicated below.
- f. f. For un-insulated systems, stencil sizes are as follows:
  - i. For pipe diameters up to 1 inch, use 1/2-inch letters.
  - ii. For pipe diameters from 1 inch to 2 inches, use 1-inch letters.
  - iii. For pipe diameters from 2 inches to 6 inches, use 2-inch letters.
  - iv. For pipe diameters over 6 inches, use 3-inch letters.

END OF SECTION 230553

## **SECTION 230593 – TESTING AND BALANCING**

### **A. GENERAL**

#### **1. SECTION INCLUDES**

- a. Testing, Adjusting, and Balancing:
  - i. Air condition equipment, including air distribution devices, supply ducts, air handling units, condensing units, fans, coils, and related equipment.
  - ii. Hydronic systems, including pumps, water distribution systems, chillers, boilers, heat exchangers, coils, and related equipment.

#### **2. REFERENCES**

- a. American Society of Heating, Refrigerating and Air-conditioning Engineers (ASHRAE)
  - i. Standard 111-2008 – Measurement, Testing, Adjusting and Balancing of Building Heating, Ventilation, Air-conditioning and Refrigeration Systems.
  - ii. Applications Handbook 2019, Chapter 39 – Testing, Adjusting, and Balancing
- b. Testing, Adjusting and Balancing Bureau (TABB) – International Standards for Environmental Systems Balance.
- c. Sheet Metal and Air Conditioning Contractors' National Standards for Total System Balance.
- d. Associated Air Balance Council (AABC) – National Standards for Total System Balance.
- e. National Environmental Balancing Bureau (NEBB) – Procedural Standards for Testing, Adjusting and Balancing of Environmental Systems.

#### **3. DEFINITIONS**

- a. Adjusting: Varying of system flow by modifying settings of dampers and valves, in combination with varying fan speeds to obtain optimum operating conditions for the entire system.
- b. Balancing: Proportioning of air and hydronic flows through system mains, branches and terminal devices using standardized procedures to obtain specified air or hydronic flow while imposing the least amount of restriction on the HVAC system.
- c. Testing: Use of specialized and calibrated instruments to measure temperatures, pressures, rotational speeds, electrical characteristic, air and hydronic flow in velocities or quantities used in evaluating the performance of an HVAC system.

#### **4. COORDINATION**

- a. The testing, adjusting and balancing Contractor shall coordinate his work with the mechanical system and temperature control system installing Contractors

to accomplish coordination and verification of system operation and readiness for testing, adjusting and balancing.

- b. Coordinate and assist CxP with all verification activities including providing all required sampling data necessary for the commissioning process.

## 5. SUBMITTALS

### a. Qualification Statements:

- i. Submit company's certification documents, including:
- ii. Contractor Certification:
- iii. Supervisor Certification
- iv. Technician Certification
- v. Submit name of testing agency to Owner within thirty (30) days on Notice to Proceed.
- vi. Submit list of projects completed by testing agency of similar size, scope and equipment. Include name of Contractor and building Owner contacts.
- vii. Submit a certification letter stating that the TAB agency is an independent entity not owned in part or in whole by any subcontractor employed on the current project.

### b. Reports:

- i. Deficiency Report: Following examination of installed system, prior to balancing, submit report indicating system deficiencies that would prevent proper testing, adjusting and balancing of systems and equipment to meet specified performance.
- ii. TAB Report: Submit a copy of the complete testing, adjusting and balancing report to FMC Project Manager and RECS Atlanta Staff Engineer via email when it becomes available. Report shall include any drawings indicating air outlets, thermostats and equipment identified to correspond with data sheets.
- iii. Reports shall be on TABB/SMACNA (NEBB or AABC), forms that indicate information addressing each of the testing methods, readings and adjustments.

### c. Closeout Submittals:

- i. Provide complete copy of testing, adjusting and balancing report. Include report in operation and maintenance manual.

## 6. QUALITY ASSURANCE

### a. Qualifications:

- i. Testing and balancing shall be performed by a testing agency who specializes in testing, adjusting and balancing of heating, ventilating, air-moving equipment, air-conditioning systems and hydronic systems, and has a minimum of one (1) year experience.
- ii. Testing agency shall have successfully completed a minimum of five (5) projects, similar in size and scope.

- iii. Testing agency shall be a certified member of TABB (AABC and/or NEBB).
- iv. Maintain a copy of applicable standards at the project site.
- b. Certifications:
  - i. TAB Technician shall be certified by a nationally recognized certifying agency (AABC and/or NEBB).
  - ii. Perform total system balance in accordance with Testing, Adjusting and Balancing Bureau (TABB) – Quality Assurance Program for Environmental Systems Balance, and (AABC National Standards for Field Measurement and Instrumentation and/or NEBB Quality Assurance Program – Conformance Certification).
- c. PROJECT CONDITIONS
  - i. Testing, adjusting and balancing shall commence after the HVAC systems installation is complete and in working order. Associated areas of general construction shall be in place including interior and exterior doors, windows, walls, ceilings and existing conditions.
- d. SPECIAL WARRANTY
  - i. Provide warranty for a period of ninety (90) days following physical occupancy of building, during which time the Owner may request a re-check of up to 10% of total number of terminals, or resetting of any outlet, coil or device listed in the test report. This period of time shall be no longer than 180 days after submission of the completed report.
  - ii. Warranty shall meet the requirements of the following program(s):
    - 1. TABB – Quality Assurance Program
    - 2. AABC – National Performance Guarantee
    - 3. NEBB – Conformance Certification

**B. PRODUCTS – NOT USED**

**C. EXECUTION**

- 1. Prior to commencing testing, adjusting and balancing of environmental system(s), verify the following conditions; if deficiencies are evident, submit Deficiency Report to Engineer. Do not begin testing, adjusting and balancing of environmental system until deficiencies have been remedied.
  - a. Systems are started and operating in a safe and normal condition.
  - b. Temperature control systems are installed, complete, and operable.
  - c. Automatic and manual dampers are operable and fully open.
  - d. Thermal overload protection is in place for fans, pumps, chillers and other equipment.
  - e. Start-up air filters are removed.
  - f. Final filters are clean and properly installed.
  - g. Duct and fan systems are clean.

- h. Fans are rotating correctly.
  - i. Fire and volume dampers are in place and open.
  - j. Air coils fins are cleaned and combed.
  - k. Access doors are closed and duct end caps are in place.
  - l. Air outlets are installed and connected.
  - m. Leak testing on duct system has been performed in accordance with SMACNA Standards, or as specified.
  - n. Gauges and/or test parts are properly located for balancing.
  - o. Service and balance valves are fully open.
2. SITE TOLERANCES
- a. Air Handling Systems: Adjust to within plus 10 percent of outlet total plus allowable leakage rate.
  - b. Air Outlets and Inlets: Adjust to within plus or minus 10 percent of design for the space.
3. AIR SYSTEMS PROCEDURE
- a. Adhere to the following procedure:
    - i. TABB – HVAC Testing, Adjusting and Balancing International Standards; with particular focus on the following chapters:
    - ii. Preliminary TABB procedures
    - iii. General air systems TABB procedures
  - b. TABB procedures for specific (VAV, CAV, Multizone, Dual duct, etc.) air systems
  - c. Sheet Metal and Air Conditioning Contractors' National Association (SMACNA) HVAC Systems – Testing, Adjusting and Balancing.
  - d. NEBB – Procedural standards for TAB of environmental systems.
  - e. AABC – National standards for total systems balance.
  - f. Minimum air procedures should include the following:
    - i. Test and adjust fan RPM to design requirements.
    - ii. Test and record motor full load nameplate rating and actual ampere draw.
    - iii. Test and record system static pressures, fan suction and discharge.
    - iv. Adjust all main supply and return air duct to within tolerances listed in this section of work
    - v. Test and adjust each diffuser, grille and register. Reading and tests of diffusers, grilles and registers shall include design velocity (FPM) and adjusted velocity, design CFM and adjusted CFM.
    - vi. Test and record outside, mixed air, and discharge temperatures (D.B. for heating cycle, D.B. and W.B. for cooling cycle).



- vii. In coordination with the ATC contractor, set adjustments of automatically operated dampers to operate as specified, indicated and/or noted.
- viii. Test and adjust air handling and distribution systems to provide required or design supply, return, outside and exhaust air quantities within design tolerance
- ix. In air systems employing filters, blank off filter area to simulate a pressure drop that is midway between that of a clean filter and that of a dirty filter.
- x. Make air velocity measurements in ducts by Pitot tube traverse entire cross-sectional area of duct in accordance with SMACNA equal area method or Log Linear method.
- xi. Measure air quantities at all air inlets and outlets.
- xii. Use volume control devices to regulate air quantities only to the extent that adjustments do not create objectionable air motion or sound levels.
- xiii. Vary total system air quantities by adjustments of fan speeds. Provide drive changes recommendations. Vary branch air quantities by damper regulation.
- xiv. Measure static air pressure conditions on air supply units, including filter and coil pressure drops, and total pressure across the fan. Make allowances for loading of filters and coils.
- xv. Adjust outside air automatic dampers. Outside air, return air and exhaust dampers for design conditions within specified tolerances.
- xvi. Where modulating dampers or economizers are provided, take and record measurement at full return air, minimum outside air and 100 percent outside air mode of operation.
- xvii. Verify and record, in the T&B Report, "K" factors for all VAV air terminal devices and air flow stations.

#### 4. ADJUSTING

- a. Recorded data shall represent actual measured or observed conditions.
- b. Permanently mark setting of valves, dampers and other adjustment devices allowing for settings to be restored. Set and lock memory stops.
- c. Leave systems in proper working, replacing belt guards, closing access doors, closing doors to electrical switch boxes and restoring thermostats to specified settings.
- d. Areas or rooms designed to maintain positive, negative or balanced air pressures with respect to adjacent spaces, as indicated by the design air quantities, require special attention. Adjust fan drives, distribution dampers, terminals and controls to maintain indicated pressure relationship.

**END OF SECTION 230593**

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## **SECTION 230700 – INSULATION**

### **A. GENERAL**

1. This section includes insulation for piping, ductwork, and equipment, as shown on the plans.
2. All insulation, linings, coverings, and adhesives shall have a flame spread classification of 25 or less and a smoke developed rating of not more than 50.
3. Insulation shall be Certainteed, Owens Corning, or Johns-Manville.

### **B. PRODUCT**

1. Duct
  - a) Unless otherwise noted in the drawings all rectangular and round air conditioning supply, return, exhaust, and outside air duct shall be externally insulated with 3” thick, 3/4 lb. density foil scrim Kraft jacketed insulation. Joints shall be wrapped with a minimum of 3” wide FSK band of insulation to prevent any possible leakage and condensation. Ducts with widths over 30” shall be further secured on the underside with mechanical fasteners on 18” maximum centers.
  - b) In addition to the duct wrap specified in B1.a of this specification, all low-pressure rectangular supply and return ductwork shall be lined for 15 feet downstream from air handling unit (or up to and including the first 90 degree elbow). Duct liner shall be 1” thick, 2lb. dense, Shuller Permorate Linacoustic HP, or approved equivalent. Coat all exposed leading edges and transverse joints with a fire-retardant adhesive.
  - c) Duct sizes shown are actual duct dimension. Where ductwork is lined, as noted above, the duct insulation thickness shall be added to the listed ductwork dimensions for final duct size.
  - d) Duct routed outside the building shall be insulated with minimum R-8 fiberglass. All joints shall be sealed with mastic prior to insulating. Apply final skin of sheet metal and seal weather tight.
  - e) Ductwork located in mechanical rooms shall be wrapped with duct board insulation 2” thickness rigid Fiberglas Owens/Corning or equal, ASTM C 612, 3 pounds per cubic foot density, with Foil reinforced jacket. The board shall be attached with field applied perforated base pins or weld pins applied on 12” centers. Finish shall be 8oz canvas jacket, totally sized with Foster 81-42W or equal lagging adhesive. Corner board shall be used on all edges.
  - f) Ductwork located outside the building shall be wrapped with duct board insulation 2” thickness rigid Fiberglas Owens/Corning or equal, ASTM C 612, 3 pounds per cubic foot density, with Foil reinforced jacket. The board shall be attached with field applied perforated base pins or weld pins applied on 12” centers. Board shall be wrapped with self-adhesive weather barrier equal to Alumaguard.

**C. EXECUTION**

1. Insulation shall be installed in accordance with manufacturer's recommendations.

END OF SECTION 230700

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## **SECTION 230900 – INSTRUMENTATION AND CONTROL FOR HVAC**

### **PART 1 - GENERAL**

#### **1.1 SUMMARY**

- A. This section describes the scope of work for the Facility Management and Control System that must be installed by a qualified FCMS Contractor and integrated to the Enterprise Server by the Enterprise Developer. This section also coordinates the responsibilities of the Mechanical and Electrical trade contractors pertaining to control products or systems, furnished by each trade, and that will be integrated by this Division.
- B. All labor, material, equipment and software not specifically referred to herein or on the plans, that is required to meet the functional intent of this specification, shall be provided without additional cost to the Owner.
- C. It is the owner's goal to implement an open system that will allow products from various suppliers to be integrated into a unified system. Allowing various system integrators the ability to maintain, service and perform work on NAC and all controllers that are integrated into the system in order to provide flexibility and expansion of the system. The Owner shall be the named license holder of all software associated with any and all incremental work on the project(s).

#### **1.2 SCOPE OF WORK**

- A. The Facility Management and Control System (FMCS) shall be comprised of Network Area Controllers (NAC) within each facility. The NAC shall connect to the owner's local or wide area network, depending on configuration. Access to the system by and End User shall be accomplished, either locally in each building, or remotely from a central site or sites, shall be accomplished through a standard Web browser via the Internet and/or local area network. Authorized users shall also be able to configure a dashboard view of pertinent data and this view shall be saved for later use. Each NAC shall communicate to unitary BACnet IP controllers (BIPC), Niagara IP Controllers (NIPC) or MODBUS IP controllers (MIPC) on equipment such as air handling units, VAV boxes, switchgear, etc. or provided by the FCMS Contractor. The NAC shall also connect to other open and legacy protocol systems devices provided under other Divisions. It is the owner's goal to eliminate any gateway or redundant device(s) between NAC and IP controllers.
- B. The Facility Management and Control System (FMCS) as provided in this Division shall be the Schneider JACE (NAC) based on the Niagara4 Framework (or "Niagara4"), a device framework developed by Tridium. Schneider provides an open automation infrastructure, an open license, and is available from multiple systems integrators. Schneider integrates diverse systems and devices (regardless of manufacturer, communication standard or software) into a unified platform that can be easily managed in real time over a secure network using a standard Web browser.
- C. The work provided in this specification shall be performed by two entities. The FMCS Contractor shall have overall responsibility for the Division work. The Enterprise Developer shall be appointed by the Owner and shall provide all work at the Enterprise Server level. The

successful general contractor shall include all costs of the Enterprise developer in their bid documents. Owner will oversee all work of the Enterprise Developer and services they provide. See Section 1.3 for more detail on the division of work.

- D. All materials and equipment used shall be standard components. All systems and components shall have been thoroughly tested and proven in actual use for at least two years.
- E. All wiring shall be done in accordance with all local and national codes.

### 1.3 DIVISION OF WORK

- A. The Division 23 FMCS contractor shall be responsible for all Network Area Controllers (NAC), Local IP controllers (LIPC), any miscellaneous third-party factory mounted equipment controllers, control devices, control panels, controller programming, controller programming software, controller input/output and power wiring and controller network wiring specified to be provided in Division 23.
- B. The Division 26 (if applicable) contractors shall be responsible for all Security NAC, miscellaneous control devices, control panels, controller programming, controller programming software, controller input/output and power wiring and controller network wiring specified to be provided in Division 26. These devices shall be configured and commissioned by Division 26 contractors and later managed in the NAC by FMCS contractor. Exception - Security NACs provided in Division 26 shall be connected to the Enterprise Server by the Enterprise Developer.
- C. The FMCS contractor shall also be responsible for the software and programming of the NAC, graphical user interface software (GUI), User Configurable Dashboard software, and connection of the NAC to the local or wide area network. FMCS shall be responsible for development of all graphical screens, Web browser pages, setup of schedules, logs and alarms, and network management for all LIPCs, and other third party devices provided in Division 23 and 26. Any third party devices not provided by FMCS contractor shall be configured and commissioned by appropriate contractor and later managed in the NAC or LIPC by FMCS contractor.
- D. For reasons of security and consistency, it is the owner's intention to divide the work defined in this section into two sections. Work performed at the NAC level and below shall be performed by a pre-qualified FMCS Systems Integrator. All work provided at the Enterprise Server and between the server and other systems shall be provided by the owner appointed Enterprise Developer. The Enterprise Developer shall be responsible for the "learning" of the WBI (web browser graphics) from the NAC to the Enterprise Server, the configuration of the Periscope Dashboard software and the global integration strategies across NACs and other intelligent building systems. The Enterprise Developer shall also be responsible for all Security integration at the Server level, if applicable.
- E. The Enterprise Developer shall be responsible for all Server upgrades and ongoing maintenance licensing for Server and NACs.

### 1.4 RELATED WORK SPECIFIED ELSEWHERE

- A. Products integrated and installed but not furnished under this section

- 1. Project specific equipment

- a. NAC shall be a JACE-9000 w/ minimum 2GB LPDDR4 RAM, 2 isolated RS-485 ports, 2 10/100/1000 MB Ethernet ports, Tridium's standard drivers, USB Backup and Restore and Wi-Fi connectivity.
- b. Removeable micro-SD card with 8GB total storage
- c. USB type C connector debug port
- d. Power Supply Requirements
  - 24VAC rated at 24VA minimum or 24VDC rated at 1A (24W) minimum.
- e. WPM-8000 universal power supply for NAC
- f. Provide additional non-standard communications drivers per specifications.
- g. Provide additional RS-485, RS-232 and IO modules as needed to connect to BAS and third-party systems or networks.
- h. Add Device pack license as needed to communicate with FMCS devices. NAC shall have the capacity to connect to a minimum of 25 devices and should be sized to provide a minimum of 20% spare capacity
- i. Provide initial 18-month Software Maintenance Agreement on all NACs.

## 1.5 QUALITY ASSURANCE

- A. The FMCS system shall be designed and installed, commissioned and serviced by factory trained personnel. Systems Integrator shall have an in-place support facility within 100 miles of the site with technical staff, spare parts inventory and necessary test and diagnostic equipment.
  - 1. The Systems Integrator shall provide full time, on site, experienced project manager for this work, responsible for direct supervision of the design, installation, start up and commissioning of the FMCS.
  - 2. The Bidder shall be regularly engaged in the manufacturing, installation and maintenance of FMCS systems and shall have a minimum of ten (10) years of demonstrated technical expertise and experience in the manufacture, installation and maintenance of FMCS systems similar in size and complexity to this project with a maintained service organization. Provide a list of 10 projects, similar in size and scope to this project, completed within the last five years.
- B. Materials and equipment shall be the catalogued products of manufacturers regularly engaged in production and installation of automatic temperature control systems and shall be manufacturer's latest standard design that complies with the specification requirements.
- C. All FMCS peer-to-peer network controllers, central system controllers and local user displays shall be UL Listed under Standard UL 916, category PAZX; Standard ULC C100, category UUKL7; and under Standard UL 864, categories UUKL, UDTZ, and QVAX and be so listed at the time of bid. All floor level controllers shall comply, at a minimum, with UL Standard UL 916 category PAZX; Standard UL 864, categories UDTZ, and QVAX and be so listed at the time of Bid.
- D. All electronic equipment shall conform to the requirements of FCC Regulation, Part 15, Governing Radio Frequency Electromagnetic Interference and be so labeled.
- E. The manufacturer of the building automation system shall provide documentation supporting compliance with ISO-9002 (Model for Quality Assurance in Production, Installation, and Servicing) and ISO-140001 (The application of well-accepted business management principles

to the environment). The intent of this specification requirement is to ensure that the products from the manufacturer are delivered through a Quality System and Framework that will assure consistency in the products delivered for this project.

- F. This system shall have a documented history of compatibility by design for a minimum of 15 years. Future compatibility shall be supported for no less than 10 years. Compatibility shall be defined as the ability to upgrade existing field panels to a compatible level of technology, and extend new field panels on a previously installed network.
  - 1. Compatibility shall be defined as the ability for any existing field panel microprocessor to be connected and directly communicate with new field panels without bridges, routers or protocol converters.

## 1.6 SUBMITTALS

- A. Provide individuals experienced with the installation and startup of equipment related to this type of integration.
  - 1. Eight copies of shop drawings of the entire FMCS shall be submitted and shall consist of a complete list of equipment and materials, including manufacturers catalog data sheets and installation instructions. Shop drawings shall also contain complete wiring and schematic diagrams, software descriptions, calculations, and any other details required to demonstrate that the system has been coordinated and will properly function as a system. Terminal identification for all control wiring shall be shown on the shop drawings. The FMCS Systems Integrator shall submit an architecture layout that depicts devices from the Server to NAC down to the device level.
  - 2. A complete written Sequence of Operation shall also be included with the submittal package. The FMCS Systems Integrator shall coordinate data from other contractors supplying products and systems, as part of their package and shall provide catalog data sheets, wiring diagrams and point lists to the owner for proper coordination of work.
- B. Submittal shall also include a trunk cable schematic diagram depicting operator workstations, control panel locations and a description of the communication type, media and protocol. The FMCS Systems Integrator shall be responsible for integrating all network level devices into the overall trunk cable schematic diagrams for the entire Wide Area Network (WAN).
- C. Submittal shall also include a complete point list of all points to be connected FMCS by the Systems Integrator. FMCS System Integrator shall provide necessary point lists, protocol documentation, and factory support information for systems provided in their respective divisions but integrated into the FMCS.
- D. Submittal shall also include a copy of each of the graphics developed for the Graphic User Interface including a flowchart (site map) indicating how the graphics are to be linked to one another for system navigation. The graphics are intended to be 80% - 90% complete at this stage with the only remaining changes to be based on review comments from the A/E design team and/or Owner. Submittal shall also include a copy of the expected Dashboard viewlets being provided for owner configuration. It is expected that the successful Systems Integrator shall utilize the City of Greenville graphic templates as much as possible. The owner will provide an example of an acceptable graphic template. Where a particular graphic template does not exist, the Integrator shall create a similar template and gain approval during submittal process.



- E. Upon completion of the work, provide a complete set of 'as-built' drawings and application software on compact disk. Drawings shall be provided as AutoCAD™ or Visio™ compatible files. Eight copies of the 'as-built' drawings shall be provided in addition to the documents on compact disk. Division 23 and 26 contractors shall provide as-builts for their portions of work. The FMCS Systems Integrator shall be responsible for as-builts pertaining to overall FMCS architecture and network diagrams. All as built drawings shall also be installed into the FMCS server in a dedicated directory.

## 1.7 SPECIFIC NOMENCLATURE

- A. Acronyms used in this specification are as follows:

FMCS	Facility Management and Control System
TCS	Temperature Control System
NAC	Network Area Controller (Existing Schneider JACE)
LIPC	Local IP Controllers (includes BIPC, NIPC and MIPC)
BIPC	BACnet IP Controller
NIPC	Niagara IP Controller
MIPC	Modbus IP Controller
GUI	Graphical User Interface
WBI	Web Browser Interface
POT	Portable Operator's Terminal
PMI	Power Measurement Interface
DDC	Direct Digital Controls
LAN	Local Area Network
WAN	Wide Area Network
OOT	Object Oriented Technology
PICS	Product Interoperability Compliance Statement

## 1.8 SOFTWARE LICENCE AGREEMENT

- A. The Owner shall sign a copy of the manufacturer's standard software and firmware licensing agreement as a condition of this contract. Such license shall grant use of all programs and application software to Owner as defined by the manufacturer's license agreement but shall protect manufacturer's rights to disclosure of trade secrets contained within such software.
- B. It is the owner's express goal to implement an open system that will allow products from various suppliers to be integrated into a unified Scheider system in order to provide flexibility for expansion, maintenance, and service of the system. The Owner shall be the named license holder of all software associated with any and all incremental work on the project(s). In addition, the Owner shall receive ownership of all job specific configuration documentation, data files, and application-level software developed for the project. This shall include all custom, job specific software code and documentation for all configuration and programming that is generated for a given project and/or configured for use with the NAC, FMCS Server(s), and any related LAN / WAN / Intranet and Internet connected routers and devices. Any and all required IDs and passwords for access to any component or software program shall be provided to the owner.

1.9 DELIVERY, STORAGE AND HANDLING

- A. Provide factory-shipping cartons for each piece of equipment and control device. Maintain cartons through shipping, storage, and handling as required to prevent equipment damage. Store equipment and materials inside and protected from weather.

1.10 JOB CONDITIONS

- A. Cooperation with Other Trades: Coordinate the Work of this section with that of other sections to ensure that the Work will be carried out in an orderly fashion. It shall be this Systems Integrator's responsibility to check the Contract Documents for possible conflicts between his Work and that of other crafts in equipment location, pipe, duct and conduit runs, electrical outlets and fixtures, air diffusers, and structural and architectural features.

1.11 WARRANTY

- A. Provide all services, materials and equipment necessary for the successful operation of the entire FMCS for a period of one year after beneficial use.
- B. The adjustment, required testing, and repair of the system includes all computer equipment, transmission equipment and all sensors and control devices.
- C. With owner pre-approval, the on-line support services shall allow the local FMCS Systems Integrator to connect over telephone lines to monitor and control the facility's building automation system. Pending owner approval, this remote connection to the facility shall be within 2 hours of the time that the problem is reported. This coverage shall be extended to include normal business hours, after business hours, weekends and holidays.
  - 1. If the problem cannot be resolved on-line by the local office, the national office of the building automation system manufacturer shall have the same capabilities for remote connection to the facility.
  - 2. If the problem cannot be resolved with on-line support services, the FMCS manufacturer shall dispatch the appropriate personnel to the job site to resolve the problem within a reasonable time frame.

1.12 ACCEPTABLE SYSTEM INTEGRATORS

- A. The FMCS Systems Integrator shall provide NAC hardware, software, local IP controllers (LIPC) and DDC components. NAC hardware and software shall be the Schneider brand.
- B. Local IP Controllers shall be either Schneider (NIPC), Honeywell Optimizer Unitary Controller or Distech Controls (BIPC) IP devices.
- C. The FMCS Systems Integrator shall have a technical support group accessible that is staffed with qualified personnel, capable of providing instruction and technical support service for networked control systems.
- D. The successful FMCS Systems Integrator shall not have password access to the Enterprise Server and shall be restricted to NAC access only.

- E. Acceptable Systems Integrators of the hardware and software components as specified herein are as follows:
  - 1. Schneider by Controls Contractors
  - 2. Schneider by Mechanical Contractors
  - 3. Other – City Approved

## PART 2 - PRODUCTS

### 2.1 GENERAL

- A. The Facility Management Control System (FMCS) shall be comprised of a network of interoperable, stand-alone digital controllers, a computer system, graphical user interface software, printers, network devices and other devices as specified herein.
- B. The installed system shall provide secure password access to all features, functions and data contained in the overall FMCS.

### 2.2 OPEN, INTEROPERABLE, INTEGRATED ARCHITECTURES

- A. The intent of this specification is to provide a peer-to-peer networked, stand-alone, distributed control system with the capability to integrate ANSI/ASHRAE Standard 135-2001 BACnet, MODBUS, , and other existing open and proprietary communication protocols if applicable in one open, interoperable system.
- B. The supplied computer software shall employ component-based technology (OOT) for representation of all data and control devices within the system. In addition, adherence to industry standards including ANSI / ASHRAE™ Standard 135-2001, BACnet to assure interoperability between all system components is required. For each BACnet device, the device supplier must provide a PICS document showing the installed device's compliance level. Minimum compliance is Level 3; with the ability to support data read and write functionality. Physical connection of BACnet devices shall be via Ethernet (BACnet IP). BACnet RS-485 (BACnet MSTP) solutions are not acceptable unless written approval by Owner has been received prior to bid date.
- C. All components and controllers supplied under this Division shall be true "peer-to-peer" communicating devices. Components or controllers requiring "polling" by a host to pass data shall not be acceptable.
- D. The supplied system must incorporate the ability to access all data using standard Web browsers without requiring proprietary operator interface and configuration programs. An Open Database Connectivity (ODBC) Systems requiring proprietary database and user interface programs shall not be acceptable.
- E. An IP topology is required to manage DDC Controllers and the flow and sharing of data without unduly burdening the customer's internal Intranet network. FMCS Contractor shall provide/install a separate isolated IP network including cabling, switches, routers, power supplies, cabinets, etc. for the BIPC, NIPC and MIPC devices to communicate back to a local NAC. Only NACs will reside on the City's WAN/LAN.

1. Maximum acceptable response time from any alarm occurrence (at the point of origin) to the point of annunciation shall not exceed 5 seconds for network connected user interfaces.
2. Maximum acceptable response time from any alarm occurrence (at the point of origin) to the point of annunciation shall not exceed 60 seconds for remote or dial-up connected user interfaces.

## 2.3 NETWORK

- A. The Local Area Network (LAN) shall be a minimum 100 Megabits/sec Ethernet network supporting BACnet, Java, XML, HTTP/HTTPS, and OBIX for maximum flexibility for integration of building data with enterprise information systems and providing support for multiple Network Area Controllers (NACs), user workstations and, if specified, a local server.
- B. Local area network minimum physical and media access requirements:
  1. Ethernet; IEEE standard 802.3
  2. Cable; 100 Base-T, UTP-8 wire, category 5
  3. Minimum throughput; 100 Mbps.

## 2.4 NETWORK ACCESS

- A. Remote Access.

For Local Area Network installations, the Owner shall provide a connection to the Internet to enable access via the City's Intranet to a corporate server. FMCS Systems Integrator shall connect to IP drop provided by the Owner within 25 feet.

## 2.5 NETWORK AREA CONTROLLER (NAC)

- A. The FMCS Systems Integrator shall supply one or more Network Area Controllers (NAC) as part of this contract to manage devices/points in all specification sections except for Division 280000 Security. Security NACs are provided under Division 280000 and all card access, video and intrusion detection shall be integrated into the existing Enterprise software by the Division 280000 Systems Integrator. This division shall be required to integrate BACnet zone information provided by the Division 280000 Systems Integrator into the HVAC and Lighting Sequence of Operation. The number of NACs provided by this Systems Integrator is dependent on the type/quantity of devices and points. It is the responsibility of the FMCS Systems Integrator to coordinate with all Division contractors to determine the quantity and type of NACs needed to fulfill the operating sequences.
- B. The Network Area Controller (NAC) shall provide the interface between the LAN or WAN and the field control devices and provide global supervisory control functions over the control devices connected to the NAC. It shall be capable of executing application control programs to provide:
  1. Calendar functions
  2. Scheduling
  3. Trending
  4. Alarm monitoring and routing
  5. Time synchronization
  6. Haystack Tagging
  7. Integration of BACnet IP/Modbus TCP/IP controller data

8. Network Management functions for all third-party BACnet and Modbus based devices if specified as such.
  9. Provide license for a minimum of 25 devices connected.
- C. The Network Area Controller must provide the following hardware features as a minimum (also see section 1.4.a):
1. Two Ethernet Port – 10/100/1000 Mbps
  2. One RS-232 port
  3. Two isolated RS-485 port
  4. USB Backup and Restore
  5. Flash memory for long term data backup (If battery backup or flash memory is not supplied, the controller must contain a hard disk with at least 1 gigabyte storage capacity)
  6. The NAC must be capable of operation over a temperature range of -20 to 60°C
  7. The NAC must be capable of withstanding storage temperatures of between -40 to 85°C
  8. The NAC must be capable of operation over a humidity range of 5 to 95% RH, non-condensing
- D. The NAC shall support standard Web browser access via the Intranet/Internet. It shall support a minimum of 32 simultaneous users.
- E. NAC Alarm Notification and actions
1. The NAC shall provide alarm recognition, storage; routing, management, and analysis to supplement distributed capabilities of equipment or application specific controllers.
  2. The NAC shall be able to route any alarm condition to any defined user location whether connected to a local network or remote via dial-up telephone connection, or wide-area network.
  3. Alarm generation shall be selectable for annunciation type and acknowledgement requirements including but limited to:
    - a. To alarm
    - b. Return to normal
    - c. To fault
  4. Provide for the creation of a minimum of eight of alarm classes for the purpose of routing types and or classes of alarms, i.e.: security, HVAC, Fire, etc.
  5. Provide timed (schedule) routing of alarms by class, object, group, or node.
  6. Provide alarm generation from binary object “runtime” and /or event counts for equipment maintenance. The user shall be able to reset runtime or event count values with appropriate password control.
  7. Control equipment and network failures shall be treated as alarms and annunciated.
  8. Alarms shall be annunciated in any of the following manners as defined by the user:
    - a. Screen message text

- b. Email of the complete alarm message to multiple recipients. Provide the ability to route and email alarms based on:
    - 1. Day of week
    - 2. Time of day
    - 3. Recipient
  - c. Pagers via paging services that initiate a page on receipt of email message
  - d. Graphic with flashing alarm object(s)
- 9. The following shall be recorded by the NAC for each alarm (at a minimum):
  - a. Time and date
  - b. Location (building, floor, zone, office number, etc.)
  - c. Equipment (air handler #, accessway, etc.)
  - d. Acknowledge time, date, and user who issued acknowledgement.
  - e. Number of occurrences since last acknowledgement.
- 10. Alarm actions may be initiated by user defined programmable objects created for that purpose.
- 11. Defined users shall be given proper access to acknowledge any alarm, or specific types or classes of alarms defined by the user.
- 12. A log of all alarms shall be maintained by the NAC and/or a server (if configured in the system) and shall be available for review by the user.
- 13. Provide a “query” feature to allow review of specific alarms by user defined parameters.
- 14. A separate log for system alerts (controller failures, network failures, etc.) shall be provided and available for review by the user.
- 15. An Error Log to record invalid property changes or commands shall be provided and available for review by the user.

F. NAC Data Collection and Storage

- 1. The NAC shall have the ability to collect data for any property of any object and store this data for future use. See points list for required logs.
- 2. The data collection shall be performed by log objects, resident in the NAC that shall have, at a minimum, the following configurable properties:
  - a. Designating the log as interval or deviation.
  - b. For interval logs, the object shall be configured for time of day, day of week and the sample collection interval.
  - c. For deviation logs, the object shall be configured for the deviation of a variable to a fixed value. This value, when reached, will initiate logging of the object.

- d. For all logs, provide the ability to set the maximum number of data stores for the log and to set whether the log will stop collecting when full, or rollover the data on a first-in, first-out basis.
  - e. Each log shall have the ability to have its data cleared on a time-based event or by a user-defined event or action.
- 3. All log data shall be archived to a database in the Enterprise Server and the data shall be accessed from a standard Web browser and the Periscope Dashboard.
- 4. All log data, when accessed from a server, shall be capable of being manipulated using standard SQL statements.
- 5. All log data shall be available to the user in the following data formats:
  - a. HTML
  - b. XML
  - c. Plain Text
  - d. Comma or tab separated values
- 6. Systems that do not provide log data in HTML and XML formats at a minimum shall not be acceptable.
- 7. The NAC shall have the ability to archive its log data remotely to a server on the network. Provide the ability to configure the following archiving properties, at a minimum:
  - a. Archive on time of day
  - b. Archive on user-defined number of data stores in the log (buffer size)
  - c. Archive when log has reached its user-defined capacity of data stores
  - d. Provide ability to clear logs once archive.

#### G. NAC AUDIT LOG

- 1. Provide and maintain an Audit Log that tracks all activities performed on the NAC. Provide the ability to specify a buffer size for the log and the ability to archive log based on time or when the log has reached its user-defined buffer size. Provide the ability to archive the log to a server. For each log entry, provide the following data:
  - a. Time and date
  - b. User ID
  - c. Change or activity: i.e., Change setpoint, add or delete objects, commands, etc.

#### H. NAC DATABASE BACKUP AND STORAGE

- 1. The NAC shall have the ability to automatically backup its database. The database shall be backed up based on a user-defined time interval. Enterprise Developer shall coordinate with Owner to establish/implement a backup procedure.
- 2. Copies of the current database and, at the most recently saved database shall be stored in the NAC. The age of the most recently saved database is dependent on the user-defined database save interval.
- 3. The NAC database shall be stored, at a minimum, in XML format to allow for user viewing and editing, if desired. Other formats are acceptable as well, as long as XML format is supported.

## 2.6 INTEGRATED DEVELOPMENT ENVIRONMENT (IDE)

- A. An integrated development environment for development of graphic screens, control logic, security, alarm notification and data storage has been established using the Schneider Tool and currently resides on a Server in the existing datacenter and several laptops. The successful FMCS Systems Integrator shall utilize its own laptop for all programming and graphical development. The Enterprise Developer shall utilize the IDE at the server via a VPN connection or its own separate laptop IDE. The IDE residing on the central server shall be the most current version of the Schneider toolset and the FMCS Systems Integrator shall utilize the exact same version when programming NACs. Provide licenses for any new software configuration, programming or graphical tools that are required for viewing or managing the new BIPC, NIPC or MIPC devices.
- B. The server and NAC IDE tools shall be identical; however, it shall be possible to limit views and commands via a unique user profile and password in either. The IDE shall include a quick viewing of, and access to, the hierarchical structure of the database. Menu-pull downs, and toolbars shall employ buttons, commands and navigation to permit the operator to perform tasks with a minimum knowledge of the HVAC Control System and basic computing skills. These shall include, but are not limited to, forward/backward buttons, home button, and a context sensitive locator line (similar to a URL line), that displays the location and the selected object identification.
- C. Security. Each operator shall be required to log on to that system with a user name and password in order to view, edit, add, or delete data. The Owner shall control/set all passwords and security levels for all operators. The Owner shall provide the FMCS and Enterprise Developer with the standard passwords required to be used in the Enterprise Server and the NAC. The FMCS Systems Integrator shall not use any passwords except those provided by the owner. The system administrator shall have the ability to set passwords and security levels for all other operators. Each operator password shall be able to restrict the operators' access for viewing and/or changing each system application, full screen editor, and object. Each operator shall automatically be logged off of the system if no keyboard or mouse activity is detected.
- D. System Diagnostics. The system shall automatically monitor the operation of all workstations, modems, network connections, building management panels, and controllers. The failure of any device shall be annunciated to the operator.
- E. Alarm Management
  - 1. The system will be provided with a dedicated alarm window or console. Refer to Sequence of Operations/Points List for Alarm strategies. The Alarm Console will notify the operator of an alarm condition and allow the operator to view details of the alarm and acknowledge the alarm. The use of the Alarm Console can be enabled or disabled by the system administrator. Alarms shall be created and grouped per the owner's requirements by the FMCS Systems Integrator at the NAC level. The Enterprise Developer shall bring the NAC alarms into the existing Enterprise server and generate the strategies to send alarms to the appropriate city or contractor parties.
  - 2. Alarms shall be capable of being routed to any of the following:
    - a. Local Alarm Console (by FMCS Systems Integrator)
    - b. Remote Alarm Station (by Enterprise Developer)
    - c. Email recipient (multiple if needed) (by Enterprise Developer)
    - d. Local Printer connected to Personal Computer (by Enterprise Developer)



3. When the Alarm Console is enabled, a separate alarm notification window will supersede all other windows on the desktop and shall not be capable of being minimized or closed by the operator. This window will notify the operator of new alarms and un-acknowledged alarms. Alarm notification windows or banners that can be minimized or closed by the operator shall not be acceptable. Alarms shall be able to be mapped into groupings where the groupings have common displays, sounds or hyperlinks. This grouping shall be used to distinguish alarms when alarms are coming in from multiple sites or classes (i.e. buildings, regions, trades, etc.) for faster recognition.
4. The system shall be provided with an alarm database management view. The view shall allow a user with appropriate password to:
  - a. Filter or Clear old records before a certain date and time
  - b. Clear records older than the currently highlighted record
  - c. Clear all records
  - d. Modify the alarm table options including which alarm details are displayed, column width, etc.
  - e. Export the alarm database records to .pdf, text or CSV formats.

## 2.7 WEB BROWSER CLIENTS

- A. The NAC system shall also allow use of an unlimited number of clients using a standard Web browser such as Internet Explorer™ or Chrome. The system shall be capable of providing a rich user experience (including full use of the engineering toolset) using java applets or a simple user interface using only HTML5. Refer to Sequence of Operations for the client side display types that are required on this project.
- B. The Web browser software shall run on any operating system and system configuration that is supported by the Web browser. Systems that require specific machine requirements in terms of processor speed, memory, etc., in order to allow the Web browser to function with the FMCS, shall not be acceptable.
- C. The Web browser shall provide the same view of the graphics, schedules, calendars, logs, etc. as is provided by the Graphical User Interface. Systems that require different views or that require different means of interacting with objects such as schedules, or logs, shall not be permitted.
- D. The Web browser client shall support at a minimum, the following functions:
  1. User log-on identification and password shall be required. If an unauthorized user attempts access, a blank web page shall be displayed. Security using Java authentication and encryption techniques to prevent unauthorized access shall be implemented.
  2. Graphical screens developed for the GUI shall be the same screens used for the Web browser client (unless clearly stated in the sequence of operation). Any animated graphical objects supported by the GUI shall be supported by the Web browser interface. Enterprise Developer shall provide a FMCS Systems Integrator with a basis of performance/expectation for GUI. FMCS Systems Integrator shall use this standard graphic template or modify the graphics slightly to achieve the desired specification requirement/outcome.
  3. Storage of the graphical screens shall be in the Network Area Controller (NAC) and these graphics shall be “learned” by the Enterprise Server.

4. Real-time values displayed on a Web page shall update automatically without requiring a manual “refresh” of the Web page.
5. Owner shall have administrator-defined access privileges. Depending on the access privileges assigned, the user shall be able to perform the following:
  - a. Modify common application objects, such as schedules, calendars, and set points in a graphical manner.
    1. Schedule times will be adjusted using a graphical slider, without requiring any keyboard entry from the operator.
    2. Holidays shall be set by using a graphical calendar, without requiring any keyboard entry from the operator.
  - b. Commands to start and stop binary objects shall be done by right-clicking the selected object and selecting the appropriate command from the pop-up menu. No entry of text shall be required.
  - c. View logs and charts
  - d. View and acknowledge alarms
  - e. Setup and execute SQL queries on log and archive information
6. The system shall provide the capability to specify a user’s (as determined by the log-on user identification) home page. Provide each specific user a defined home page based on their usage requirements. From the home page, links to other views, or pages in the system shall be possible, if allowed by the system administrator.
7. Graphic screens on the Web Browser client shall support hypertext links to other locations on the Internet or on Intranet sites, by specifying the Uniform Resource Locator (URL) for the desired link.
8. BIPC, and NIPC Devices shall also serve an appropriate standard HTML5 graphic representative of the physical equipment being controller. The owner shall also be able to connect directly to these devices to see a local HTML page graphic of the equipment being controller. A HMTL Summary Page graphic shall also be provided for any MIPC from the Niagara Server.

## 2.8 END USER DASHBOARD CLIENTS

- A. The Owner has an existing browser-based Periscope dashboard application that provides rapid identification of real-time and historical trends, including energy use, operational efficiencies and critical metrics. In this project, the Enterprise Developer shall provide the latest Periscope HTML5 product including the following part numbers:
  - a. PERN4-BASE
  - b. PER-ENERGY
  - c. PER-SUSTAIN
  - d. PER-ANALYTIC
  - e. PER\_MAP
- B. The Dashboard service shall run as a module on a Niagara4 station and shall utilize the existing Niagara4 licensing and security model. All user profiles defined in the station shall be available for dashboard access and conform to the defined security levels and categories. The Enterprise Developer shall build a new dashboard for this building only.
- C. The Enterprise Developer shall map the necessary point and trend data required into the Server and Dashboard application.

- D. The Dashboard interface is designed to complement the Niagara4 HTML5 Pages by providing multiple, concurrent, user-centric "Views" or "Viewlets" that can be easily configured and frequently modified by non-technical end-users.
- E. Configure an initial Dashboard for the building staff per the Owner's requirements and provide an Eight 8-hour training session for the Owner's staff. The initial configuration shall include:
  - 1) Setup of the new Sites including Site name, address, square footage, and any utility resource costs.
  - 2) Discovery of Point and trend data from the new location. Enterprise Developer shall assist Owner in understanding the Niagara4 point names and importing the desired point and trend values.
  - 3) Setup of 2 customizable dashboard views for the Owner. The Enterprise Developer shall demonstrate how the Owner can add Views to create a preferred daily view.
- F. The Dashboard shall save the User's custom configuration so that returning users are greeted with previously saved views.
- G. Additional Views shall be made available to Owner for purchase as developed. Owner shall be able to receive notification from the manufacturer on new viewlets and software updates.

## 2.9 SERVER FUNCTIONS AND HARDWARE

- A. Controls Contractor to coordinate with owner on connection to any existing Enterprise Server. The server shall support all Network Area Controllers (NAC) connected to the customer's network whether local or remote. All programming, software upgrades and setup of the Enterprise Server shall be by Enterprise Developer.
- B. Local connections shall be via an Ethernet LAN. It shall be possible to provide access to all Network Area Controllers via a single connection to the server. In this configuration, each Network Area Controller can be accessed from a remote Graphical User Interface (GUI) or from a standard Web browser (WBI) by connecting to through the server. Provide a general, intuitive navigational path from the server to the NACs. Store all required O&M data sheets, drawings, help files, etc. on the server and link from each NAC where applicable.

## 2.10 SYSTEM PROGRAMMING

- A. The Graphical User Interface software (GUI) shall provide the ability to perform system programming and graphic display engineering as part of a complete software package. Access to the programming functions and features of the GUI shall be through password access as assigned by the system administrator.
- B. A library of control, application, and graphic components shall be provided to enable the creation of all applications and user interface screens. Applications are to be created by selecting the desired control components from the library, dragging or pasting them on the screen, and linking them together using a built-in graphical connection tool. Completed applications may be stored in the library for future use. Graphical User Interface screens shall be created in the same fashion. Data for the user displays is obtained by graphically linking the user display components to the application components to provide "real-time" data updates. Any real-time data value or component property may be connected to display its current value on a user display. Systems requiring a separate software tool to create applications and browser user interface displays shall not be acceptable.

### C. Programming Methods

1. Provide the capability to copy component s from the supplied libraries, or from a user-defined library to the user's application. Component shall be linked by a graphical linking scheme by dragging a link from one component to another. Component links will support one-to-one, many-to-one, or one-to-many relationships. Linked components shall maintain their connections to other objects regardless of where they are positioned on the page and shall show link identification for links to components on other pages for easy identification. Links will vary in color depending on the type of link; i.e., internal, external, hardware, etc.
2. Configuration of each component will be done through the component's property sheet using fill-in the blank fields, list boxes, and selection buttons. Requiring the use of custom programming, scripting language, or a manufacturer-specific procedural language for every component configuration will not be accepted.
3. The software shall provide the ability to view the logic in a monitor mode. When on-line, the monitor mode shall provide the ability to view the logic in real time for easy diagnosis of the logic execution. When off-line (debug), the monitor mode shall allow the user to set values to inputs and monitor the logic for diagnosing execution before it is applied to the system.
4. All programming shall be done in real-time. Systems requiring the uploading, editing, and downloading of database component s shall not be allowed.
5. The system shall support component duplication within a customer's database. An application, once configured, can be copied and pasted for easy re-use and duplication. All links, other than to the hardware, shall be maintained during duplication.

### 2.11 COMPONENT LIBRARIES

- A. A standard library of components shall be included for development and setup of application logic, user interface displays, system services, and communication networks.
- B. The components in this library shall be capable of being copied and pasted into the user's database and shall be organized according to their function. In addition, the user shall have the capability to group components created in their application and store the new instances of these components in a user-defined library.
- C. In addition to the standard libraries specified here, the supplier of the system shall maintain an on-line accessible (over the Internet) library, available to all registered users to provide new or updated components and applications as they are developed.
- D. All control components shall conform to the control component specified in the BACnet specification.
- E. The component library shall include components to support the integration of devices connected to the Network Area Controller (NAC). At a minimum, provide the following as part of the standard library included with the programming software:
  1. For BACnet devices, provide the following components at a minimum:

- a. Analog In
  - b. Analog Out
  - c. Analog Value
  - d. Binary
  - e. Binary In
  - f. Binary Out
  - g. Binary Value
  - h. Multi-State In
  - i. Multi-State Out
  - j. Multi-State Value
  - k. Schedule Export
  - l. Calendar Export
  - m. Trend Export
  - n. Device
2. For each BACnet component, provide the ability to assign the component a BACnet device and component instance number.
3. For BACnet devices, provide the following support at a minimum
- a. Segmentation
  - b. Segmented Request
  - c. Segmented Response
  - d. Application Services
  - e. Read Property
  - f. Read Property Multiple
  - g. Write Property
  - h. Write Property Multiple
  - i. Confirmed Event Notification
  - j. Unconfirmed Event Notification
  - k. Acknowledge Alarm
  - l. Get Alarm Summary
  - m. Who-has
  - n. I-have
  - o. Who-is
  - p. I-am
  - q. Subscribe COV
  - r. Confirmed COV notification
  - s. Unconfirmed COV notification
  - t. Media Types
  - u. Ethernet
  - v. BACnet IP Annex J
  - w. MSTP
  - x. BACnet Broadcast Management Device (BBMD) function
  - y. Routing

## 2.12 BACNET NETWORK MANAGEMENT

- A. The Network Area Controller shall support the integration of device data from BACnet TCP/IP for all devices provided in Division 230900. If third-party equipment not provided in this

section cannot be supplied as BACnet IP or supplied with a BACnet IP gateway, then FMCS Supplier shall provide the appropriate BACnet MSTP or Modbus driver to connect to the third-party system device. The solution to connect the third-party device must be approved in advance by Owner's representative. (also see section 1.5.F.1)

- B. Provide the required components in the library, included with the Graphical User Interface programming software, to support the integration of the BACnet system data into the FMCS. Components provided shall include at a minimum:
  - 1. Read/Write BACnet AI Points
  - 2. Read/Write BACnet AO Points
  - 3. Read/Write BACnet AV Points
  - 4. Read/Write BACnet BI Points
  - 5. Read/Write BACnet BO Points
  - 6. Read/Write BACnet BV Points
- C. All scheduling, alarming, logging and global supervisory control functions, of the BACnet system devices, shall be performed by the Network Area Controller.
- D. The FMCS supplier shall provide a BACnet IP system communications driver. The equipment system vendor that provided the equipment utilizing BACnet shall provide documentation of the system's interface and shall provide factory support at no charge during system commissioning
- E. BACnet Conformance:
  - 1. Logic controllers shall as a minimum support MS/TP BACnet LAN type. They shall communicate directly via this BACnet LAN at 9.6, 19.2, 38.4 and 76.8 Kbps, as native BACnet devices. Logic controllers shall be of BACnet conformance class 3 and support all BACnet services necessary to provide the following BACnet functional groups:
    - a. Files Functional Group
    - b. Reinitialize Functional Group
    - c. Device Communications Functional Group
  - 2. Refer to Section 22.2, BACnet Functional Groups, in the BACnet Standard, for a complete list of the services that must be directly supported to provide each of the functional groups listed above. All proprietary services, if used in the system, shall be thoroughly documented and provided as part of the submittal data. All necessary tools shall be supplied for working with proprietary information.

## 2.13 BACnet IP COMMUNICATING THERMOSTATS

- A. The manufacturer of the Thermostat hardware and software components must be primarily engaged in the manufacture of BAS as specified herein and must have been so for a minimum of five (5) years.
- B. The manufacturer shall be ISO 9001:2000 certified. This is to ensure that all manufacturing, design and support policies comply with a minimum quality assurance standard. Corporate

quality assurance policies should be available for examination upon request by the owner or his agent.

- C. The manufacturer of the hardware and software components shall have a technical support group accessible via a toll-free number that is staffed with qualified personnel, capable of providing instruction and technical support service for networked control systems.
- D. Acceptable providers of the Communicating Thermostat hardware and software components as specified herein are as follows. Acceptance as a product provider does not provide approval to be an acceptable FMCS Systems Integrator.
  - 1. Distech Controls
  - 2. Contemporary Controls
  - 3. Viconics
  - 4. Schneider
- E. Communicating Thermostats shall be BACnet IP thermostats. FMCS Systems Integrator shall standardize on BACnet IP protocol for all thermostats.

#### 2.14 BACNET IP VAV BOX CONTROLLERS (BIPC)

- A. The manufacturer of the hardware and software components must be primarily engaged in the manufacture of BAS as specified herein and must have been so for a minimum of five (5) years.
- B. The manufacturer shall be ISO 9001:2000 certified. Certification is to ensure that all manufacturing, design and support policies comply with a minimum quality assurance standard. Corporate quality assurance policies should be available for examination upon request by the owner or his agent.
- C. The manufacturer of the hardware and software components shall have a technical support group accessible via a toll-free number that is staffed with qualified personnel, capable of providing instruction and technical support service for networked control systems.
- D. Acceptable manufacturers of the hardware and software components as specified herein are as follows. Acceptance as a product manufacturer does not provide approval to be an acceptable Systems Integrator.
  - 1. Distech
  - 2. Honeywell
  - 3. Schneider

#### 2.15 NETWORK IP CONTROLLER(S) STANDARDS

- A. Where beneficial, provide Plant, AHU, and VAV IP Controllers that can meet the required sequence of operation and can be custom programmed. All controllers shall be designed for easy installation and servicing including removable enclosures, removable terminals, and factory applied labels for all I/O. All internal points shall be fully supported by the Graphical User Interface (GUI), allowing the user to easily modify them and monitor them. All of the internal programming points (e.g. variables, constants, PID's, timers, inputs and outputs) shall be exposed to the network on dedicated network variable outputs.

B. IP Equipment Control Units (Primary Systems such as Chiller, Boiler, Water System and a VAV AHU)

a. Acceptable Plant IP Controllers (Primary Systems such as Chiller, Boiler, Water Systems):

- i. Schneider SmartX
- ii. Eclipse S-1000 Controllers
- iii. Honeywell Optimizer Unitary Controller

b. IP Plant Controllers shall include:

- i. NXP iMX6 SoloX2: 800 MHz ARM Cortex-A9/M4 or equal
- ii. 512 MB DDR SDRAM
- iii. 2GB total eMMC flash storage with user space set at 1GB
- iv. Powered from 24VAC/DC source
- v. 5 Universal inputs: Type 3 (10K) thermistors, 0-100K ohm, 0-10VDC, 0-20mA with external resistor, Dry Contact
- vi. 2 Analog outputs: 0-10VDC, 4mA max output current
- vii. 3 Digital outputs: Triac, 24VAC @.5 amp
- viii. 2 10/100MB Ethernet ports capable of daisy chaining
- ix. 1 RS-485 serial port

c. VAV IP Controller Products:

- i. Distech IP VAV Control Units (IP-VAV)
- ii. Honeywell Optimizer VAV Controller
- iii. Schneider VAV Controller

- a) The IP-VAV-CTRL shall be 32-bit microprocessor-based operating at a minimum of 400 MHz
- b) They shall be multi-tasking, real-time digital control processors consisting of modular hardware with plug-in enclosed processors, communication controllers, power supplies and input/output point modules.
- c) Controller size shall be sufficient to fully meet the requirements of this specification and the attached point list.
- d) Each IP-VAV-CTRL shall have minimum of 512MB memory, with a minimum of 1GB non-volatile flash, to support its own operating system and databases, including:
  - a. Control processes
  - b. Maintenance support applications
  - c. Custom processes
- e) The IP-VAV-CTRL shall have a Real Time clock with rechargeable battery.
- f) Power Requirements
  - a. 24 VAC with local transformer power
  - b. 50 VAC utilizing Power Over Ethernet (POE)



- g) The IP-VAV-CTRL will support the following communications protocols:
  - a. BACnet/IP
    - i. Supporting IPv4 addressing.
    - ii. DHCP support and Auto DNS.
    - iii. 2 - RJ45 ports each capable of supporting 10/100 Base-T.
  - b. If the above functionality is not available, then appropriate router(s) and switches must be supplied to provide the functionality.
- h) 2 x USB 2.0 Expansion ports for:
  - a. 802.11 Wi-Fi Adapter enabling wireless connectivity including:
    - 1. 'Hot Spot'
    - 2. Client
    - 3. Access Point
  - b. If the above functionality is not available, then appropriate wireless router(s) and switches must be supplied to provide the functionality.
  - c. Shall contain a "FIPS 140-2 Inside" cryptographic module
- i) The controllers shall also function normally under ambient conditions of 32 °F to 122 °F and 5% to 90% RH (non-condensing).
- iv. Variable Air Volume (VAV) Terminal Control Units (TCU)
  - a) The VAV TCU controllers shall be powered from a 24 VAC source and shall function normally under an operating range of 20 to 28 VAC ( $\pm 15\%$ ), allowing for power source fluctuations and voltage drops.
  - b) The BAS contractor shall provide a dedicated power source and separate isolation transformer for each controller unable to function normally under the specified operating range.
  - c) The controllers shall also function normally under ambient conditions of 32 °F to 122 °F and 5% to 90% RH (non-condensing).
  - d) Provide each controller with a suitable cover or enclosure to protect the intelligence board assembly.
  - e) The VAV TCU shall include a built-in 'flow thru' differential pressure transducer.
    - a. The controller shall convert this value to actual air flow.

- b. Single point differential pressure sensing device is not acceptable.
  - c. Membrane based pressure differential transducer is not acceptable.
  - d. The VAV TCU differential pressure transducer shall have a measurement range of 0 to 2 in. W.C. and measurement accuracy of  $\pm 4\%$  at 0.05 to 2 in. W.C. and a minimum resolution of 0.0001 in. W.C., insuring primary air flow conditions shall be controlled and maintained to within  $\pm 5\%$  of setpoint at the specified minimum and maximum air flow parameters.
  - e. VAV TCU differential pressure transducer requiring periodic zero value air flow calibration is not acceptable.
- f) The BAS contractor shall verify the type of differential pressure sensors used in the existing boxes and ensure compatibility with the VAV TCU controllers.
  - g) The VAV TCU shall include provision for air flow balancing using a local air flow balancing interface.
  - h) An Intelligent Space Sensor (ISS) shall be used for balancing air flow.
    - a. In lieu of an ISS, a portable air flow balancing interface capable of balancing air flow is acceptable.
  - i) The portable air flow balancing interface shall connect to the VAV TCU or the matching room temperature sensor.
  - j) The VAV TCU shall also provide an air flow balancing tool.
  - k) This tool shall allow the air balancer to manually control the action of the actuator including the following function: open VAV damper, close VAV damper, open all VAV dampers, and close all VAV dampers.
  - l) Systems not able to provide a web based air balance tool or a portable air flow balancing interface or an Intelligent Space Sensor (ISS) capable of balancing air flow as part of the VAV TCU controller shall provide an individual full time technician during the air flow balancing process to assure full balance compliance.
  - m) The VAV box controller shall interface to a matching room temperature sensor as previously specified. The controller shall function to maintain space temperature to within  $\pm 1.5$  °F of setpoint at the room sensor location.
  - n) Each controller shall also incorporate an algorithm that allows for resetting of the associated air handling unit discharge temperature if required to satisfy space requirements.
    - a. This algorithm shall function to signal the respective controller to perform the required discharge temperature reset in order to maintain space temperature setpoint.

- o) It shall be possible to view and reset the space temperature, temperature setpoint, maximum airflow setting, minimum airflow setting, and actual airflow, through the BAS LAN.

## 2.16 MODBUS SYSTEM INTEGRATION

- A. The Network Area Controller shall support the integration of device data from Modbus RTU, Ascii, or TCP control system devices. The connection to the Modbus system shall be via an RS-232, RS485, or Ethernet IP as required by the device.
- B. Provide the required components in the library, included with the Graphical User Interface programming software, to support the integration of the Modbus system data into the FMCS. Components provided shall include at a minimum:
  - 1. Read/Write Modbus AI Registers
  - 2. Read/Write Modbus AO Registers
  - 3. Read/Write Modbus BI Registers
  - 4. Read/Write Modbus BO Registers
- C. All scheduling, alarming, logging and global supervisory control functions, of the Modbus system devices, shall be performed by the Network Area Controller.
- D. The FMCS supplier shall provide a Modbus system communications driver. The equipment system vendor that provided the equipment utilizing Modbus shall provide documentation of the system's Modbus interface and shall provide factory support at no charge during system commissioning
- E. Provide a Modbus Interface to the following equipment:
  - 1. switchgear
  - 2. packaged pumping system
  - 3. building energy metering

## 2.17 THIRD PARTY INTEGRATION

- A. The Network Area Controller shall support the integration of device data from the existing control system. The connection to the existing system shall be via an RS-232 connection between the Network Area Controller and the existing control system {if applicable on this project}.
- B. Provide the required data points from the third-party integration per sequence of operations and/or points list

## 2.18 SENSORS

- A. All control items, except thermostats, sensors and transmitters located in rooms shall be properly identified with engraved plastic nameplates permanently attached. Nameplates shall have white letters on a black background.
- B. All sensors shall be provided in NEMA 4X enclosures where exposed to the Pool environment.

- C. Room thermostat, sensor and transmitter locations shall be coordinated to align vertically or horizontally with adjacent light switches or other control devices. Room thermostats and sensors shall be mounted with the bottom 5'-0" above the floor. Sensors installed in areas where they are subject to physical abuse (ex: gymnasiums) shall be furnished with protective type aspirating guards. Sensors installed on exterior walls shall be installed on non-conductive (cork) sub-base. Sensors shall have plus or minus local control feature.
- D. Temperature Sensors: Thermistor type with an accuracy of plus or minus 0.40 degree F over the entire control range. Sensors for pipe installations shall be immersion type, brass well, and thermistor with integral lead wire. Sensors for duct application shall be insertion probe type, stainless steel probe, integral handi-box, and thermistor with integral lead wire. Space temperature sensors shall be compatible with the unit controller and shall be provided in a decorative metal or plastic enclosure (NEMA 4X where exposed to pool environment). Space temperature sensors shall be provided with setpoint and temperature indication only. Outdoor temperature sensors shall be mounted inside a protective weather and sun shield and shall be located on a North wall.
- E. Humidity Sensors: Thin-film capacitive type sensor with on-board nonvolatile memory, accuracy to plus or minus two percent (2%), 12 - 30 VDC input voltage, analog output (0 - 10 VDC). Operating range shall be 5 to 95% RH and -40 to 170 degree F. Duct mounted type sensors shall have a stainless steel insertion element, sealed to prohibit corrosion. Sensors shall be selected for wall, duct or outdoor type installation as appropriate.
- F. Carbon Dioxide Sensors (CO2): Sensors shall utilize Non-dispersive infrared technology (N.D.I.R.), repeatable to plus or minus 20 PPM. Sensor range shall be 0 - 2000 PPM. Accuracy shall be plus or minus five percent (5%) or 50 PPM, whichever is greater. Response shall be less than one minute. Input voltage shall be 20 to 30 VAC/DC. Output shall be 0 - 10 VDC. Sensor shall be wall or duct mounted type, as appropriate for the application, housed in a high impact plastic enclosure.
- G. Differential Air Pressure Switch: Differential pressure switches for proving fan operation or sense dirty air filters shall be SPDT type, UL approved, and selected for the appropriate operating range of the equipment to which it is applied. Sensor shall have 1/4" compression type fittings and shall have an adjustable setpoint. Furnish with 1/4" barbed type static pressure tips.
- H. Current Switches (Type 1): For proving fan or pump operational status, provide solid or split-core type current status switches with adjustable setpoint and solid-state internal circuitry. Current switch shall have induced power, trip point set adjustment to plus or minus 1% over a range of 1 to 135 amps, trip and power LED, and field adjustable to indicate both On-Off conditions and loss of load (broken belt, etc.). Units shall have a five-year manufacturer's warranty. Current switches shall be Hawkeye Series H-908 by Veris Industries, or approved equal.
- I. Current Switches (Type 2): For proving fan or pump operational status, provide solid or split-core type current switches ("Go/No" type). Current switch shall have induced power, 100 percent solid state with no moving parts. Units shall have a five-year manufacturer's warranty. Current switches shall be Hawkeye series H-900 by Veris Industries, or approved equal.
- J. Low Temperature Sensors: For sensing low temperatures in air handling units, provide SPST type switch, 35 to 45 degree F range, manual reset, vapor charged twenty foot long sensing

element, and 120-volt electrical power connection. Low temperature sensor (“freeze-stat”) shall be JCI Model A11A-1, or equal.

- K. Pressure Transmitters: For sensing static pressure in a duct system (usually for VAV systems), provide a pressure transmitter with integral capacitance type sensing action, solid state circuitry, accuracy of plus or minus 1% of range, zero and span adjustments, 10 to 35 VDC operating voltage, 4 to 20mA output, and integral inlet port connections. Select pressure range suitable for the application. Differential pressure transmitter shall be Ashcroft CXLdp, or approved equal.
- L. Line Voltage Thermostats: For control of equipment using line voltage on-off thermostats (exhaust fans, unit heaters, etc.) provide 120 volt UL Listed wall mounted thermostats. Thermostat shall have a range of 50 to 90 degree F with minimum 2 degree F differential, snap acting switch, and dial adjustment for temperature setting. Line Voltage Thermostats shall be Honeywell series T631 series or approved equal.
- M. Firestat: For sensing sudden increases in duct temperature (ex: fire condition), provide 120 volt UL Listed SPST switch with adjustable setpoint that breaks the circuit on a rise in temperature above the setpoint and de-energizes the air handling unit fan.
- N. Aquastat: For sensing temperature of a fluid within a pipe system, provide 120-volt SPST strap-on type aquastat, temperature control range of 65 to 200-degree F (adjustable). Aquastat shall be HW Model L6006C1018, or equal.
- O. Air Flow Monitoring Device
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Ebtron Advantage Gold Series for use with GTx116 transmitters or a comparable product by one of the following:
    - a. Tek-Air Systems or equal
  - 2. Provide airflow/temperature measurement devices (ATMD) where indicated on the plans. Fan inlet measurement devices shall not be substituted for duct or plenum measurement devices indicated on the plans.
  - 3. Each ATMD shall consist of one or more sensor probes and a single, remotely mounted, microprocessor-based transmitter capable of independently processing up to 16 independently wired sensor assemblies.
    - a. Each sensor assembly shall contain two individually wired, hermetically sealed bead-in-glass thermistors.
    - b. Thermistors shall be mounted in the sensor assembly using a marine-grade, waterproof epoxy. Thermistor leads shall be protected and not exposed to the environment.
    - c. The airflow rate of each sensor assembly shall be equally weighted and averaged by the transmitter prior to output.
    - d. The temperature of each sensor assembly shall be velocity weighted and averaged by the transmitter prior to output.
    - e. Each transmitter shall have a 16-character alpha-numeric display capable of displaying airflow, temperature, system status, configuration settings and diagnostics.

- f. Devices using chip-in-glass or diode-case chip thermistors are not acceptable.
- g. Devices using less than two thermistors in each sensor assembly are not acceptable.
- h. Devices using platinum wire RTDs are not acceptable.
- i. Devices having electronic circuitry mounted in or at the sensor probe are not acceptable.
- j. Pitot tubes and arrays are not acceptable.
- k. Vortex shedding devices are not acceptable.

#### 4. All Sensor Probes

- a. Each sensor assembly shall independently determine the airflow rate and temperature at each measurement point.
- b. Each sensor assembly shall be calibrated at a minimum of 16 airflow rates and 3 temperatures to standards that are traceable to the National Institute of Standards and Technology (NIST).
- c. Airflow accuracy shall be  $\pm 2\%$  of Reading over the entire operating airflow range.
  - i. Devices whose accuracy is the combined accuracy of the transmitter and sensor probes must demonstrate that the total accuracy meets the performance requirements of this specification throughout the measurement range.
- d. Temperature accuracy shall be  $\pm 0.15^\circ \text{F}$  over the entire operating temperature range of  $-20^\circ \text{F}$  to  $160^\circ \text{F}$ .
- e. The operating humidity range for each sensor probe shall be 0-99% RH (non-condensing).
- f. Each sensor probe shall have an integral, U.L. listed, plenum rated cable and terminal plug for connection to the remotely mounted transmitter. All terminal plug interconnecting pins shall be gold plated.
- g. Each sensor assembly shall not require matching to the transmitter in the field.
- h. A single manufacturer shall provide both the airflow/temperature measuring probe(s) and transmitter for each measurement location.

#### 5. Duct and Probes

- a. Probes shall be constructed of extruded, gold anodized, 6063 aluminum tube. All wires within the aluminum tube shall be Kynar coated.
- b. Probe assembly mounting brackets shall be constructed of 304 stainless steel. Probe assemblies shall be mounted using one of the following options:
  - i. Insertion mounted through the side or top of the duct
  - ii. Internally mounted inside the duct or plenum
  - iii. Standoff mounted inside the plenum
- c. The number of sensor housings provided for each location shall be as follows:

Duct Area (sq.ft.)	Total # Sensors / Location
-----------------------	----------------------------------

<2	4
2 to < 4	6
4 to < 8	8
8 to <16	12
>=16	16

- d. The operating airflow range shall be 0 to 5,000 FPM unless otherwise indicated on the plans.

#### 6. Fan Inlet Probes

- a. Sensor assemblies shall be mounted on 304 stainless steel housings.
- b. Mounting rods shall be field adjustable to fit the fan inlet and constructed of nickel plated steel.
- c. Mounting feet shall be constructed of 304 stainless steel.
- d. The operating airflow range shall be 0 to 10,000 FPM unless otherwise indicated on the plans.

#### 7. Transmitters

- a. The transmitter shall have an integral LCD display capable of simultaneously displaying airflow and temperature. The LCD display shall be capable of displaying individual airflow and temperature readings of each independent sensor assembly.
  - b. The transmitter shall be capable of field configuration and diagnostics using an on-board pushbutton interface and LCD display.
  - c. The transmitter shall have a power switch and operate on 24 VAC (isolation not required).
    - i. The transmitter shall use a switching power supply fused and protected from transients and power surges.
    - ii. The transmitter shall use "watch-dog" circuitry to assure reset after power disruption, transients and brown-outs.
  - d. All interconnecting pins, headers and connections on the main circuit board, option cards and cable receptacles shall be gold plated.
  - e. The operating temperature range for the transmitter shall be -20° F to 120° F. The transmitter shall be installed at a location that is protected from weather and water.
  - f. The transmitter shall be capable of communicating with other devices using the following interface option: Linear analog output signals for airflow and temperature: Field selectable, fuse protected and isolated, 0-10VDC/4-20mA (4-wire)
8. The transmitter shall be capable of accepting an infra-red interface card for downloading airflow and temperature data or uploading transmitter configuration data using a handheld PDA (Palm or Microsoft Windows Mobile operating systems).
- a. Provide PDA upload/download software.
    - i. Download software shall be capable of displaying and saving individual sensor airflow rates, the average airflow rate, individual sensor temperatures and the average temperature received from the transmitter.

- ii. Upload software shall be capable of displaying and saving all setup parameters that can be configured using the on-board pushbutton interface and LCD display.
- 9. The ATMD shall be UL listed as an entire assembly.
- 10. The ATMD shall carry the CE Mark for European Union shipments.
- 11. The manufacturer's authorized representative shall review and approve placement and operating airflow rates for each measurement location indicated on the plans.

## 2.21 DAMPERS AND ACTUATORS

- A. Damper actuators shall be sized by the Systems Integrator for the intended application. Unless noted otherwise, dampers will be furnished by the Systems Integrator for all field installed dampers that are not included as part of the equipment. In general, provide opposed blade type dampers for modulating control and parallel type dampers for two-position control applications. Actuators shall be Honeywell MS or ML series actuators.
- B. Control Dampers: When indicated to be furnished by the Systems Integrator, control dampers shall be equal to Ruskin CD30VG2 or Honeywell D2 or D3 series dampers. Provide all automatic control dampers not specified to be integral with other equipment. Frames shall be 5 inches wide and of no less than 16-gauge galvanized steel. Inter-blade linkage shall be within the frame and out of the air stream. Blades shall not be over 8 inches wide nor less than 16-gauge galvanized steel triple V type for rigidity. Bearings shall be acetal, oilite, nylon or ball-bearing with ½ inch diameter plated steel shafts. Dampers shall be suitable for temperature ranges of -40 to 180F. All proportional control dampers shall be opposed or parallel blade type as hereinafter specified and all two-position dampers shall be parallel blade types. Dampers shall be sized to meet flow requirements of the application. The sheet metal contractor shall furnish and install baffles to fit the damper to duct size. Baffles shall not exceed 6". Dampers with dimensions of 24 inches and less shall be rated for 3,000 fpm velocity and shall withstand a maximum system pressure of 5.0 in. wc. Dampers with dimensions of 36 inches and less shall be rated for 2,500 fpm velocity and shall withstand a maximum system pressure of 4.0 in. wc. Dampers with dimensions of 48 inches and less shall be rated for 2,000 fpm velocity and shall withstand a maximum system pressure of 2.5 in. wc. Side seals shall be stainless steel of the tight-seal spring type. Dampers shall be minimum leakage type to conserve energy and the temperature control manufacturer shall submit leakage data for all low leakage control dampers with the temperature control submittal. Maximum leakage for low leakage dampers in excess of sixteen inches square shall be 8 CFM per square foot at static pressure of 1 inch of WC. Low leakage damper blade edges shall be fitted with replaceable, snap-on, inflatable seals to limit damper leakage. Testing and ratings shall be in accordance with AMCA Standard 500. Damper blade width shall be no greater than 8 inches, and dampers over 48 inches wide by 74 inches high shall be sectionalized. Testing and ratings to be in accordance with AMCA Standard 500.
- C. Damper Actuators: Damper actuators shall be provided for all automatic dampers. Damper actuators controlled through the DDC system shall be low voltage electronic type, either modulating or two-position, as required to achieve the intended sequence of operation. Provide with spring return when required for fail-safe operation. Modulating dampers shall be positive positioning in response to a 2 - 10 VDC or 4 - 20mA control signal. Actuator shall include the capability of adding auxiliary switches for position indication. Furnish actuators other than spring return type with a release button (clutch) or handle on the actuator to allow for manual



override. Power supply to the actuator shall be by 120 VAC, 24 VAC, or 24 VDC and the actuator shall be furnished with a factory installed 3-foot cable with end fitting for field connection. All actuators shall be UL Listed by the manufacturer. Actuators shall be Honeywell MS or ML series actuators.

## 2.22 VARIABLE FREQUENCY DRIVES.

- A. All drives shall be Honeywell SmartVFD HVAC™ or equal. No substitutions will be allowed without prior written approval. Substitution requests must be submitted in writing at least 2 weeks prior to bid date and will not be considered without a complete list of deviations from this specification.
- B. The VFD shall be 0-320Hz, designed specifically for use in HVAC applications in which speed control of the motor can be applied and shall include a software Wizard for easy setup of Pumps and Fans through answering questions in the Wizard.
- C. The VFD shall include a Real Time Clock, (RTC) with automatic daylight savings time and calendar, able to provide three time channels programmed to perform different functions (start/stop and preset frequencies) based on time and sleep function to minimize downtime energy.
- D. The VFD shall have built in 2 stand-alone PID control loops to control HVAC (Pumps and Fans) stand-alone, the PID Controller must allow:
  - a. Two different feedback signals (minimum and maximum control).
  - b. Two set point sources for the PID control (selectable with digital input).
  - c. External PID controller (control of an external final control element).
  - d. Single input control (analog signal rising edge starts VFD).
  - e. Run interlock input (Damper interlock) VFD will not start before input is activated.
  - f. Direct or reverse acting regulation.
  - g. Feed forward control (faster response to process changes).
  - h. The integral controller functionality shall provide control of up to 4 motors.
  - i. The integral controller shall maintain set point by regulating one motor and disconnecting the other motors to/from the mains, by means of digital output relay controlled contactors.
  - j. The integral controller shall define order/priority in which the motors are started to equalize motor runtime.
- E. The VFD efficiency shall be 98.5% or better at full load. Displacement power factor rating shall be 98% or better at all speeds and loads.
- F. The VFD shall include built-in dual 5% DC choke to minimize harmonic (THD) from the device.
- G. The VFD shall have built-in serial communication RS485 for BACnet and Ethernet communication for BACnet IP, and allow reading monitored values and reading/writing configuration parameters from the FMCS
- H. The VFD shall provide a removable operator High Resolution Graphics LCD Display with keypad on the front of the VFD which can optionally be remotely mounted. Providing ability to save and write VFD configurations to keypads and exchange keypads with other VFDs of same model type. The display shall provide the following operator features:
  - a. START push button.
  - b. STOP push button.
  - c. LOCAL/REM push button.
  - d. BACK/RESET push button.
  - e. ARROWS UP/DOWN/RIGHT/LEFT push buttons.

- f. Indication of RUN, READY, FAULT Status and Operations.
  - g. Indication of drive rotation direction
- I. Live monitoring of up to nine selectable values simultaneously selectable from parameters, including outputs, operating parameters, temperature, drive status, last active fault, fire mode status and application status. The following values shall be monitored as initial set-up:
  - a. Frequency Reference (Hz)
  - b. Output Frequency (Hz)
  - c. Motor Speed (RPM)
  - d. Motor Current (A)
  - e. Motor Torque (Nm)
  - f. Motor Voltage (V)
  - g. DC-Link Voltage (V)
  - h. Unit Temperature (°C)
  - i. Motor Temperature (°C)
- J. The menu driven display shall provide the minimum, maximum, and actual values for all parameters, uploading and downloading of parameters, and multiple help functions including integral parameter descriptions available at the parameter on the VFD keypad display. All information shall be full description, no reference codes, to minimize the need for manual reference for setup, commissioning and maintenance.
- K. Diagnostic Screen: The display Diagnostic Screen shall provide a description for every fault, as well as the actual values and references stored at the instant of the fault event. The display shall blink the name of the fault when a fault appears. The last 40 faults with time and date stamps shall be stored in VFD history log.
- L. System Performance Monitor: The display shall collect and present operational and energy data values:
  - a. Cumulative amount of energy consumed.
  - b. Control unit operating time.
  - c. Motor running time.
  - d. Amount of time the unit has been powered.
  - e. Start command count.
- M. Fire Mode Wizard
  - a. The VFD shall have the ability to initiate an emergency mode which ignores all faults and commands to operate the motor in forward or reverse, according to one of the following:
  - b. Preset frequency 1, 2 or 3
  - c. Keypad frequency
  - d. Fieldbus frequency
  - e. AI1, AI2 or AI1 + AI2
  - f. PID1 Reference
  - g. Motor Pot Reference
- N. Protections:
  - a. Over voltage.
  - b. Under voltage.
  - c. Ground fault.
  - d. Mains phase supervision.
  - e. Motor phase supervision.

- f. Over current protection.
  - g. Unit over temperature.
  - h. Motor overload.
  - i. Motor stall protection.
  - j. Motor under load protection.
  - k. Short-circuit protection of +24V and +10V ref. voltages.
- O. Bypass Variable Frequency Drive
- a. When specified in the drawings, the VFD shall be designed for use in applications to support uninterruptible power to the motor in case of any failure of the VFD. VFD supplier shall be able to provide Bypass in 3 different options, 2 Contactor Bypass, 3 Contactor Bypass and Automatic Bypass. For Bypass only, these features must to be included:
    - i. Status lamps: VFD Run, Bypass Run
    - ii. Mode selection switch: Bypass, Off, Test, and VFD
    - iii. Fused disconnect
    - iv. Freeze /Fire /Smoke interlock
    - v. Isolation of VFD from power with motor running.
    - vi. TEST position provides power to the VFD without powering the motor.
  - b. Automatic Bypass shall allow any VFD fault to automatically send the VFD into bypass mode, dry contacts shall indicate when the bypass is in bypass mode, alerting the building management system. For Automatic Bypass, these features must to be included:
    - i. VFD fault shall automatically send the bypass to BYPASS Mode.
    - ii. Contact closure sends bypass to BYPASS Mode.
    - iii. Dry contacts indicate when the bypass is in BYPASS Mode, alerting the Facility Management and Control System.
- P. Operator Instruction and Training: The contractor shall provide on-site operator instruction to the owner's operating personnel. Operator instruction shall be done during normal working hours and shall be performed by a competent representative familiar with the VFD hardware, software and accessories.

## 2.23 ELECTRICAL MISCELLANEOUS

- A. Panels: All enclosures for DDC controllers and devices shall be fabricated in accordance with UL Standards from code gauge steel. Enclosures shall be provided with a continuous hinge on the door and a flush latching mechanism. Enclosures shall be shop painted with standard grade enamel coating. Back panels shall be furnished when required to facilitate installation of boards or accessories. All enclosures installed outdoors shall be constructed to NEMA 3R standards. All controllers shall be installed within an approved enclosure unless the controller will be installed within the control cabinet section of the equipment that it is intended to control. Enclosures shall facilitate the mounting of gauges, switches, pilot lights, and the like, on the face panel when required. Control devices that are mounted on the face of the panel shall be identified with engraved nameplates. Panels shall be Hoffman A1 series or approved equal.

- B. Power Transformers: Step-down power transformers shall be provided for all DDC controllers and associated accessory devices as required. Transformers shall be sized and selected to accommodate all connected accessory items. Transformers shall be UL Listed Class 2 type with 120 VAC primary, 24 VAC secondary. Transformers shall be Functional Devices TR series or approved equal.
- C. Relays: Miscellaneous control relays shall be provided as required to energize or control equipment and devices within the control system. Relays shall be located as close as practical to the controlled device (motor, motor starter, etc.). Where approved by NEC, relays may be installed within starters and equipment control panels where space is available. Relays installed outside of the controlled device shall be provided with a NEMA enclosure suitable for the location where installed. Relays shall be Functional Devices RIB series or approved equal.

## 2.24 ELECTRICAL WIRING

- A. Wiring: All wiring devices and accessories shall comply with the requirements of Division 26 and the NEC. All wiring shall be installed in a neat and professional manner. Control wiring shall not be installed in power circuit conduits or raceways unless specifically approved for that purpose. All wiring, except plenum wiring (where allowed), shall be run in electrical conduits. Plenum cable will be allowed in concealed locations where accessible. All cable must be installed with 90° angles and strapped according the NEC.
- B. Provide all interlock and control wiring. Provide wiring as required by functions as specified and as recommended by equipment and device manufacturers to achieve the specified control functions.
- C. Low voltage conductors shall be stranded bare or tinned-copper with premium grade polymer alloy insulation. For shielded cable, furnish multi-conductor of overall polyester supported aluminum foil with stranded tinned copper drain wire to facilitate grounding. Coaxial shield shall be copper braided type. Provide shielded cable where recommended by the equipment or device manufacturer, grounded in strict accordance with the manufacture's recommendations.
- D. Magnetic starters and disconnect switches shall not be used as junction boxes. Provide auxiliary junction boxes as required. Terminations for Fire Alarm Control Panel (FACP) interface shall be accomplished by the Electrical Contractor or his designated subcontractor.
- E. FMCS Systems Integrator shall provide power for all control devices and components from the closest available power source or as indicated on the power Drawings. When acceptable to the equipment manufacturer, low voltage power may be obtained from the internal equipment power source or transformer. Electrical Power for Systems Integrator's use has been provided at j-boxes located on plans.
- F. Magnetic starters shall be furnished and installed by the Electrical Contractor.
- G. Disconnects shall be furnished and installed by the Electrical Contractor.

## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. All work described in this section shall be performed by system integrators or contractors that have a successful history in the design and installation of integrated control systems. The installing office shall have a minimum of five years of integration experience and shall provide documentation in the submittal package verifying the company's experience.
- B. Install system and materials in accordance with manufacturer's instructions, and as detailed on the project drawing set.
- C. Drawings of FMCS network are diagrammatic only and any apparatus not shown but required to make the system operative to the complete satisfaction of the Architect shall be furnished and installed without additional cost.
- D. Line and low voltage electrical connections to control equipment shown specified or shown on the control diagrams shall be furnished and installed by the FMCS Systems Integrator in accordance with the specifications in Divisions 23 and 26.

### 3.2 WIRING

- A. All electrical control wiring and power wiring to the NAC, computers and network components shall be the responsibility of the FMCS contractor.
- B. All wiring shall be in accordance with the National Electrical Code and any applicable local codes. All FMCS wiring shall be installed in the conduit unless otherwise allowed by the National Electrical Code or applicable local codes. Where FMCS plenum rated cable wiring is allowed it shall be run parallel to or at right angles to the structure, properly supported and installed in a neat and workmanlike manner.

### 3.3 WARRANTY

- A. Equipment, materials and workmanship incorporated into the work shall be warranted for a period of one year from the time of system acceptance.
- B. Within this period, upon notice by the Owner, any defects in the work provided under this section due to faulty materials, methods of installation or workmanship shall be promptly (within 48 hours after receipt of notice) repaired or replaced by the FMCS contractor at no expense to the Owner.

### 3.4 WARRANTY ACCESS

- A. Pending owner pre-approval, the Owner shall grant to the FMCS contractor, reasonable access to the FMCS during the warranty period. The owner shall allow the contractor to access the FMCS from a remote location for the purpose of diagnostics and troubleshooting, via the Internet, during the warranty period.

### 3.5 SOFTWARE LICENSE

- A. The Owner shall be the named license holder of all software associated with any and all incremental work on the project(s). The owner requires that all Schneider based software and hardware on this project be the current version of N4.

- B. The owner, or his appointed agent, shall receive ownership of all job specific software configuration documentation, data files, and application-level software developed for the project. This shall include all custom, job specific software code and documentation for all configuration and programming that is generated for a given project and /or configured for use within Niagara4 based controllers and/or servers and any related LAN / WAN / Intranet and Internet connected routers and devices. Any and all required Ids and passwords for access to any component or software program shall be provided to the owner.

### 3.6 ACCEPTANCE TESTING

- A. Upon completion of the installation, the FMCS contractor shall load all system software and start-up the system. The FMCS contractor shall perform all necessary calibration, testing and de-bugging and perform all required operational checks to insure that the system is functioning in full accordance with these specifications. The FMCS contractor shall coordinate the checkout of the system such that other appropriate Divisions have a representative present during system checkout.
- B. The FMCS contractor shall perform tests to verify proper performance of components, routines, and points. Repeat tests until proper performance results. This testing shall include a point-by-point log to validate 100% of the input and output points of the DDC system operation.
- C. Upon completion of the performance tests described above, repeat these tests, point by point as described in the validation log above in presence of Owner's Representative, as required. Properly schedule these tests so testing is complete at a time directed by the Owner's Representative. Do not delay tests so as to prevent delay of occupancy permits or building occupancy.
- D. System Acceptance: Satisfactory completion is when the Division 230900 contractor has performed successfully all the required testing to show performance compliance with the requirements of the Contract Documents to the satisfaction of the Owner's Representative. System acceptance shall be contingent upon completion and review of all corrected deficiencies.

### 3.7 OPERATOR INSTRUCTION, TRAINING

- A. During system commissioning and at such time acceptable performance of the FMCS hardware and software has been established, the contractor shall provide on-site operator instruction to the owner's operating personnel. Operator instruction shall be done during normal working hours and shall be performed by a competent representative familiar with the system hardware, software and accessories.
- B. The FMCS contractor shall provide 24 hours of instruction to the owner's designated personnel on the operation of the FMCS and describe its intended use with respect to the programmed functions specified. Operator orientation of the FMCS shall include, but not be limited to; the overall operation program, equipment functions (both individually and as part of the total integrated system), commands, systems generation, advisories, and appropriate operator intervention required in responding to the System's operation.
- C. The training shall be in three sessions as follows:

1. One day session (8 hours) after system is started up and at least one week before first acceptance test. Manual shall have been submitted at least two weeks prior to training so that the owners' personnel can start to familiarize themselves with the system before classroom instruction begins.
2. One day follow up session (8 hours) after system has been operational for at least 1 month.
3. Warranty Follow Up: Two days (4 hours each) to be scheduled at the request of the owner during the one-year warranty period. These sessions shall cover topics as requested by the owner such as; how to add additional points, create and gather data for trends, graphic screen generation or modification of control routines.

**END OF SECTION 230900**

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## **SECTION 232113 – PIPE AND PIPE FITTINGS**

### **A. GENERAL**

1. This section includes all pipe, pipe fittings, hangers, and supports, etc. as may be required to provide a complete piping system.
2. Testing of all piping shall be made in the presence of the Engineer or a designated representative of the Owner. No piping shall be covered or put into operation before such testing has been approved. Covered pipe shall be exposed at contracts expense. Engineer shall be given 48 hours written notification of test.
3. The actual arrangement of the piping shall follow the general locations shown on the Drawings, such that clearances, line drainage, etc. shall be maintained.
4. Refer to specification Section 230523 for Valving.
5. Refer to specification Section 232116 for Piping Specialties.
6. Refer to specification Section 230529 for Hangers and Supports.
7. Refer to specification Section 230700 for Pipe Insulation.
8. All piping shall be provided with end caps or have ends covered prior to installation.

### **B. PRODUCT**

1. Gas Piping
  - a) Piping below grade shall be polyethylene having a cell classification of ASTM D-3350-PE234343E. Pipe and pipefittings shall meet the requirements of ASTM D-2513. All fittings and access shall be as manufactured and furnished by the pipe supplier.
  - b) Piping above grade shall be standard weight, schedule 40, black steel pipe conforming to ANSI B36.10, ASTM A53, or ASTM 106. Screwed fitting shall be malleable iron, 150 lb. S.W.P, will banded pattern conforming to ANSI B16.3.
  - c) Connections between plastic and metallic piping shall be in accordance with the State Code.
  - d) All pipes shall be buried in accordance with manufacturer's recommendations.
  - e) All plastic pipe shall have a 3" wide detector tape installed 18" above finished grade.
  - f) All metal pipe run below grade shall be coated with coal tar enamel coating.
  - g) All exposed gas piping surfaces, supports, etc., shall be painted one prime and one finish coat of rust resistant paint. Finish coat shall be yellow according to OSHA Standards unless otherwise noted on the plans.
  - h) All gas piping systems shall be tested in strict accordance with the National Fire Protection Association's National Fuel Gas Code NFPA54, and the State Building Code.

- i) All gas piping system shall be air tested at 50 psi for a period of not less than one (1) hour without loss of pressure. Any leaks that occur shall be repaired and another test started. All joints shall be checked for leaks with a water-soap solution. Where leaks are found, the joint shall be re-made.

### **C. EXECUTION**

1. Piping 2" and smaller shall be welded or have screwed fittings with extra heavy nipples, unless otherwise noted.
2. Piping 2 ½" and larger shall have welded fittings of the same material and weight as the piping in which they are installed.
3. Welding tees or weldolets shall be used.
4. No "Stub-In" shall be permitted.
5. All insulated piping shall be protected by saddles at horizontal support points or by insulation protectors if the insulation has a vapor barrier. Saddles where used shall be welded to the pipe.
6. Sleeves shall be provided wherever pipes pass through walls, floors and ceilings. Sleeves shall be Schedule 40, black steel, 1/2" in diameter larger than the pipe and insulation on the pipe. Sleeves through walls and ceilings shall be flush. Sleeve through floors shall extend two inches above finished floor. Sleeves in exterior walls shall be caulked and made watertight.
7. All pipe welding shall be uniform and thorough, and shall comply with AWS standards for pipe weldings. All pipe welding must be done by AWS certified welders experienced in this type of work. Provide copy of certification with other credentials to Engineer with piping submittal package.

**END OF SECTION 232113**

## **SECTION 233100 – DUCTWORK**

### **A. GENERAL**

1. This Section includes ductwork, splitter dampers, balancing dampers, air deflection devices, etc. required for a complete system.
2. The Drawings are intended to indicate, with reasonable accuracy, the location of components and the general arrangement of the system. All offsets, bends fittings and other devices, not shown but required for the full operation of the system, shall be provided.
3. Refer to specification Section 230700 for duct insulation.

### **B. PRODUCT**

1. Low and Medium Pressure Ductwork.
  - a) Round and rectangular ductwork shall be of gauges and construction methods as indicated in the latest ASHRAE Guide and SMACNA Standard.
  - b) Splitter dampers, balancing dampers, turning vanes and air deflection devices shall be installed as shown on the plans and/or where required for the proper control of airflow.
  - c) All take-offs to diffusers shall be tapered type taps with factory damper and locking quadrant.
  - d) All take-offs to VAV Units shall be made with conical taps.
2. Flexible Ductwork
  - a) Ducts shall be insulated type with foil wrapper complying with NFPA Standard No. 90A and UL181.
  - b) All flexible ducts shall have a factory installed 1" thick 1.5 lb./cu. ft. fiberglass insulation with a seamless vinyl vapor barrier.
  - c) Length of flexible duct shall not exceed 10 feet.
  - d) Flexible duct shall be secured and sealed in place with mastic to hard duct collars at each end, with nylon tie-wraps on the wire enforced inner mylar skin, followed by the insulation layer and then the exterior vapor layer secured with another tie-wrap.
3. Exposed Ductwork
  - a) Exposed shall be round, 18 gauge spiral lock seam with paintable finish, double wall and internally insulated at the factory. Inner wall shall be perforated.
  - b) Duct shall be fastened using sheet metal screws only and no duct tape.

### **C. EXECUTION**

1. Turning vanes shall be installed in square elbows for all ductwork.
2. Duct transitions, splitter dampers, and balancing dampers shall be constructed of gauges and materials as indicated in ASHRAE Guide and SMACNA Standards.

3. Hangers and supports for ductwork shall be of metal bands, angles and rods as indicated in ASHRAE Guide and SMACNA Standards. The minimum bandwidth shall be 1", 16 gauge, galvanized steel.
4. Where ductwork passes through floors and walls, the space around the ducts shall be sealed in an approved manner with mineral wool insulation, and/or proper fire seal material approved by the State or Local Inspector.
5. In exposed areas and mechanical rooms, ductwork openings shall be finished with a metal collar.
6. Ductwork shall be cross-braced and reinforced properly with galvanized steel angles as recommended by SMACNA Standards.
7. Where ductwork behind grilles or diffusers is visible, it shall be painted with two coats of flat black base fire retardant paint.
8. Duct connections to outside air louvers shall be pitched to drain outside and shall be soldered watertight.
9. Tape all low-pressure joints with Hardcast or approved equal for completely airtight system.
10. All medium pressure joints are to be sealed in accordance with SMACNA standards for ductwork 2" W.C. and greater. All ducts shall be air tight, rigid and free from vibration and noise.
11. Duct dimensions shown on the drawings are net inside dimensions.
12. Where ductwork is lined, as noted in Section 230700, the duct insulation thickness shall be added to the listed ductwork dimensions for final duct size.

**END OF SECTION 233100**

## **SECTION 233313 – FIRE DAMPERS**

### **A. GENERAL**

1. Fire dampers shall be installed where shown on the plans and as required by the latest edition of NFPA 90A.
2. All fire dampers shall be UL labeled.
3. Fire dampers shall be in compliance with UL 555 and UL 555S for dynamic dampers.

### **B. PRODUCT**

1. Fire dampers shall be of the type and rating as noted on the drawings or as required.
2. Fire dampers shall be Air Balance, Inc.; Ruskin; Metal Industries; or approved equivalent.

### **C. EXECUTION**

1. Fire dampers shall be installed in wall and floor openings utilizing steel sleeves, angles, other materials, and practices required to provide installation equivalent to the manufacturers UL tested assembly.
2. Fire dampers shall be installed in accordance with the manufacturer's instructions.
3. Access doors shall be provided for access to each damper assembly.
4. Doors shall be constructed with a minimum of 24 gauge double wall galvanized steel, insulated with 1" of insulation. Doors shall be UL listed.
5. Door size shall be 12" x 10" minimum, but as large as possible for access to fusible link.
6. Two fire dampers shall be installed in fire wall rated for 3 hours or more. Each fire damper shall have a rating equal to the fire wall.
7. Fire dampers shall be tested by the test and balance sub-contractor and mechanical contractor with witness by engineer of record.

**END OF SECTION 233313**

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## **SECTION 233600 – AIR TERMINAL UNITS**

### **A. GENERAL**

1. The contractor shall provide air terminal units where shown on the plans.
2. Units shall be selected as scheduled on the plans.
3. Units shall be by Price, Nailor, Carrier, Trane, Metalaire, Reddi, Krueger, or Titus.
4. Air terminal units shall be tested and certified in accordance with ARI Standard 880.

### **B. PRODUCT**

1. VAV Terminal Unit
  - a. The unit casing shall be of 24-gauge zinc coated steel, acoustically lined with ¾" thick, 1-1/2 pound density fiberglass and shall comply with UL 181 and NFPA 90A.
  - b. The reversible actuator shall be shaft mounted without linkage and shall be an integral part of the electronic controller.
  - c. Units shall have pressure independent electronic control and shall be reset for air flow between zero and the maximum cataloged cfm.
  - d. The damper shall be double thickness of 24-gauge steel, with shaft rotating in self-lubricating bearing.
  - e. Dampers shall be designed such as to prevent air leakage in excess of 1% of the rated quantity at rated inlet static pressure.
2. Electric Heat
  - a. Fan controls to be provided with a fan interlock relay.
  - b. Provide with an air static switch to prove airflow.

### **C. EXECUTION**

1. The units shall be installed where shown on the plans.
2. All units shall be installed according to manufacturer's recommendations.
3. Suspend units from spring and rubber vibration isolation hangers.
4. Provide flexible connections at ductwork and piping.
5. All electrical components shall be UL recognized and installed in accordance with the NEC.
6. Refer to drawings for controls.
7. Actuators shall be supplied by controls contractor and mounted at the factory.

END OF SECTION 233600

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## **SECTION 233700 – AIR DISTRIBUTION**

### **A. GENERAL**

1. Furnish and install air distribution devices of the type, size and configuration indicated on the drawings.
2. Refer to Architectural Reflected Ceiling Plan and Schedule for types of ceiling specified, and provide compatible frames on air distributions devices.

### **B. PRODUCT**

1. Diffusers, Grilles, and Registers
  - a) Surface mounted devices shall have sponge gaskets.
  - b) Devices shall be of steel construction with baked on enamel finish, unless otherwise noted.
  - c) All devices shall be by Krueger, Carnes, Titus, Metalaire, Tuttle & Bailey, Price or approved equivalent.
  - d) Ceiling mounted diffusers shall have insulation applied to metal top and neck to prevent sweating. Insulation shall match duct insulation.
  - e) Soffit grilles shall be extruded anodized aluminum with 1/4" x 1/4" insect screen.
  - f) Return and exhaust grilles in lay-in ceilings shall have full louvered face (24" x 24").
  - g) Devices in moist and humid spaces shall be of aluminum construction.
  - h) Provide heavy-duty steel return grilles (in gymnasiums, multi-purpose rooms, etc) or in all locations where the grille is within 8' off the floor.
  - i) Lay-in diffusers installed 12 feet above finished floor shall have adjustable vanes for vertical throw.
  - j) Where grilles are installed above hard ceilings with no access to balancing dampers, opposed blade dampers shall be installed.

### **C. EXECUTION**

1. Air distribution devices shall be mounted level, straight, and flush with walls or ceilings.
2. Color shall be as indicated on drawings, or as selected by the Architect/Engineer.
3. Locations of all air distribution devices shall be coordinated with ceiling and lighting work.
4. Provide submittals data to include, cfm, pressure drop, dimensional, velocity and noise criteria data.

**END OF SECTION 233700**

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## **SECTION 238113 – PACKAGED UNIT**

### **A. GENERAL**

1. Furnish and install where shown on the plan, a one-piece/cooling unit with capabilities as shown on the plans.
2. The unit shall be completely factory assembled, pre-charged, pre-wired, tested and ready to operate.
3. Unit shall be U.L. labeled.
4. Unit shall be Trane or approved equivalent by Carrier or York.

### **B. PRODUCT**

1. Gas Heat/DX Cooling
  - a. Cabinet shall be single, enclosed, and weatherproof casing or galvanized steel bonderized and finished with baked enamel. Entire cooling section shall be fully insulated with fire retardant insulation to prevent sweating. A base pan drain connection shall be provided. Panels shall be easily removable for service access.
  - b. Compressor system shall consist of serviceable hermetic compressor. Compressor shall have service shut-off valves; suction pressure operated capacity control unloader, suitable vibration isolators and crankcase heater.
  - c. Condenser and evaporator coils shall have aluminum plate fins mechanically bonded to copper tubes.
  - d. Indoor air fans shall be forward curved, centrifugal type, belt driven. Outdoor fans shall be propeller type, direct driven. All motors shall have overload protection and suitable vibration isolators.
  - e. Cooling system shall be protected by fusible plug, high and low pressurestat, compressor motor overloads, anti-cycling timer device (5 minutes). Controls shall include low voltage control circuit transformer, compressor and fan motor safety controls with automatic reset, high and low pressure cutout switches and terminals for accessory electrical connections.
  - f. Gas heater assembly shall include 18-gauge aluminized steel heat exchanger, intermittent ignition, safety lockout, redundant gas valve, pressure switch, and mechanical combustion system.
  - g. Accessories shall be as indicated on the drawings.

### **C. EXECUTION**

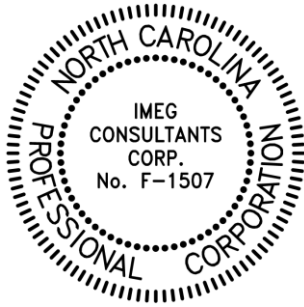
1. Units shall be located as shown on the plans.
2. Units shall be installed and connected in strict accordance with the manufacturer's installation instructions.
3. Controls shall be as indicated on the plans.

#### **D. INSTALLATION, SERVICE, AND WARRANTY**

1. The product manufacturer shall warrant his equipment for a period of one year to be free from defects in material and workmanship. Any part of the equipment that is found to be defective within the one year period shall be repaired and/or replaced by a well qualified factory designated repair station at no cost to the Owner.
2. The product manufacturer shall warrant the sealed refrigeration circuit for a period of five years. The sealed refrigeration circuit shall consist of the hermetic compressor assembly, evaporator coil, condenser coil, thermostatic expansion valve, and interconnecting tubing. All repairs under this warranty shall be made by a factory designated repair station at no cost to the Owner.
3. The warranty periods shall start from the day that the job is accepted by the Owner.

## ELECTRICAL SPECIFICATIONS

260000	GENERAL ELECTRICAL REQUIREMENTS
260520	WIRES AND CABLES
260533	BOXES AND CABINETS
260545	CONDUIT AND CONDUIT FITTINGS
262416	PANEL BOARDS AND CIRCUIT BREAKERS
262726	WIRING DEVICES
265100	LIGHTING FIXTURES





## **SECTION 26 00 00 - GENERAL ELECTRICAL PROVISIONS**

### **PART 1 - GENERAL**

#### **1.1 Scope of Work**

- A. This Contractor shall provide all materials, equipment and labor necessary to install and set into operation the electrical equipment as shown on the Engineering Drawings and as contained herein.

#### **1.2 Quality Assurance**

- A. See the General and Supplementary General Conditions.
- B. All work shall be in accordance with the North Carolina State Building Code, which includes the 2020 edition of the National Electrical Code.
- C. Wherever the words "Approved", "Approval", and "Approved Equal" appear, it is intended that items other than the model numbers specified shall be subject to the approval of the Engineer.
- D. "Provide" as used herein shall mean that the Contractor responsible shall furnish and install said item or equipment. "Furnish" as used herein shall mean that the Contractor responsible shall acquire and make available said item or equipment and that installation shall be by others. "Install" as used herein shall mean that the Contractor responsible shall make installation of items or equipment furnished by others.
- E. All material and equipment that the Contractor proposes to substitute in lieu of those specified shall be submitted to the Engineer ten (10) days prior to the bid date for evaluation. The submittal shall include a full description of the material or equipment and all pertinent engineering data required to substantiate the equality of the proposed item to that specified.

#### **1.3 Submittals**

- A. See General and Supplementary General Conditions and Division 1.
- B. Within ten (10) days after notification of the award of the Contract and written notice to begin work, the Contractor shall submit for approval to the Architect/Engineer a detailed list of equipment and material which he proposes to use. Items requiring submittal data for approval will be noted at this time. Six (6) sets of submittal data shall be provided for approval.
- C. Each submittal shall bear the approval of the Contractor indicating that he has reviewed the data and found it to meet the requirements of the specifications as well as space limitations and other project conditions. The submittals shall be clearly identified showing project name, manufacturer's catalog number and all necessary performance and fabrication data. Detailed submittal data shall be provided when items are to be considered as substitution for specified items. Acceptance for approval shall be in writing from the Engineer.

- D. The Contractor shall submit to the Engineer a set of accurately marked-up plans indicating all changes encountered during the construction. Final payment will be contingent on receipt of these as-built plans.
- E. The Contractor shall furnish an electronic set of maintenance and operating instructions, parts lists, electrical circuit wiring diagrams, all submittal data, and sufficient manufacturer's literature to operate and maintain all equipment.
- F. The Contractor shall submit to the Engineer a duplicate set of final electrical inspection certificates prior to final payment.

#### 1.4 Product Delivery, Storage and Handling

- A. All material and equipment shall be delivered and unloaded by the Contractor within the project site as noted herein or as directed by the Owner.
- B. The Contractor shall protect all material and equipment from breakage, theft or weather damage. No material or equipment shall be stored on the ground.
- C. The material and equipment shall remain the property of the Contractor until the project has been completed and turned over to the Owner.

#### 1.5 Work conditions and Coordination

- A. The Contractor shall review the mechanical plans to establish points of connection and the extent of electrical work to be provided in his Contract.
- B. This Contractor shall be responsible for all electrical work and make final connections to equipment installed in his Contract. Unless otherwise noted, this Contractor shall wire to disconnect switches, junction boxes, or circuit breakers as provided in his Contract.
- C. All work shall be coordinated with other trades. Cutting of new work and subsequent patching shall be approved by Architect/Engineer and shall be at the Contractor's expense with no extra cost to the owner.

#### 1.6 Guarantee

- A. See the General and Supplementary General Conditions.
- B. Where extended warranties or guarantees are available from the manufacturer, the Contractor shall prepare the necessary Contract Documents to validate these warranties as required by the manufacturer and present them to the Owner.



## **PART 2 - PRODUCT**

- 2.1 Materials and equipment shall be new, unless noted otherwise, of the highest grade and quality and free from defects or other imperfections. Materials and equipment found defective shall be removed and replaced at the Contractor's expense.
- 2.2 The Contractor shall provide nameplates for identification of all equipment, switches, panels, transformers, etc. The nameplates for 120/208-volt panels shall be laminated phenolic plastic, blue front and back with white core, white engraved letters (1/2" minimum) etched into the white core. The nameplates for 277/480-volt panels shall be laminated phenolic plastic, black front and back with white core, white engraved letters (1/2" minimum) etched into the white core. Name tags to be mounted with self-tapping sheet metal, stainless steel screws.
- 2.3 All materials and equipment be approved third party labeled or bear re-examination listing where such approval has been established for the type of device in question.

## **PART 3 - EXECUTION**

### **3.1 Inspection**

- A. If any part of this Contractor's work is dependent for its proper execution or for its subsequent efficiency or appearance on the character or conditions of contiguous work not executed by him, the Contractor shall examine and measure such contiguous work and report to the Architect or Engineer in writing any imperfection therein, or conditions that render it unsuitable for the reception of this work. Should the Contractor proceed without making such written report, he shall be held to have accepted such work and the existing conditions and he shall be responsible for any defects in this work consequent thereon and will not be relieved of the obligation of any guarantee because of any such imperfection or condition.
- B. It is the responsibility of the electrical contractor to notify the authority having jurisdiction to schedule required inspections including rough-in, above ceiling and final inspections.

### **3.2 Installation**

- A. All work shall be performed in a manner indicating proficiency in the trade.
- B. All conduit, pipes, ducts, etc., shall be either parallel to building walls or plumb where installed in a vertical position and shall be concealed when located in architecturally finished areas.
- C. Any cutting or patching required for installation of this Contractor's work shall be kept to a minimum. Written approval shall be required by the Architect/Engineer if cutting of primary structure is involved.
- D. All patching shall be done in such a manner as to restore the areas or surfaces as to match existing finishes.
- E. The Contractor shall lay out and install his work in advance of pouring concrete floors or walls. He shall furnish and install all sleeves or openings through poured masonry floors or walls above grade required for passage of all conduits, pipes or duct installed by him. The Contractor shall furnish and install all inserts and hangers required to support his equipment.

F. Grounding

1. All grounding shall be in accordance with the requirements of the NEC.
2. Install a separate green grounding conductor with the circuit conductors in each conduit. Use of the conduit only shall not be an acceptable means of equipment grounding.
3. All grounding conductors shall be sized per Article 250.122 of the NEC.
4. The ground system shall be tested with an "Earth Megger" and the test report submitted to the Engineer. If resistance exceeds 25 ohms provide an additional driven ground rods separated by a minimum of 6' interconnected with #3/0 copper. A copy of the test report shall be submitted to the electrical engineer.
5. All ground points shall be accessible for inspection.
6. Boxes with concentric, eccentric or over-sized knockouts shall be provided with bonding bushings and jumpers. The jumper shall be sized per NEC Table 250.122 and lugged to the box.

G. Electrical Identification

1. Furnish and install engraved laminated phenolic nameplates for all safety switches, panel boards, transformers, switchboards, motor control centers and other electrical equipment supplied for the project for identification. Nameplates shall be securely attached to equipment with self-tapping stainless-steel screws; if the screw sharp end is protected; otherwise Rivets shall be used. Letters shall be approximately 1/2-inch-high minimum. Embossed, self-adhesive plastic tape is not acceptable for marking equipment. Nameplate material colors shall be:
  - a. Blue surface with white core for 120/208-volt equipment.
  - b. Black surface with white core for 277/480-volt equipment.
  - c. Bright red surface with white core for all equipment related to fire alarm system.
  - d. Dark red (burgundy) surface with white core for all equipment related to security.
  - e. Green surface with white core for all equipment related to "emergency" systems.
  - f. Orange surface with white core for all equipment related to telephone systems.
  - g. Brown surface with white core for all equipment related to data systems.
  - h. White surface with black core for all equipment related to paging systems.
  - i. Purple surface with white core for all equipment related to TV systems.
2. Furnish and install self-adhesive plastic tape for all receptacle and wall switch cover plates indicating circuit numbers.

3. Furnish and install self-adhesive embossed plastic labels on outside of all junction box cover plates indicating circuit numbers.
4. All empty conduit runs and conduit with conductors for future use shall be identified for use and shall indicate where they terminate. Identification shall be by tags with string or wire attached to conduit or outlet.

### 3.3 Performance

- A. The Contractor shall perform all excavation, backfilling, and patching operations as indicated on the drawings.

### 3.4 Erection

- A. All support steel, angles, channels, pipes or structural steel stands and anchoring devices that may be required to rigidly support or anchor material and equipment shall be provided by this Contractor.

### 3.5 Field Quality Control

- A. The Contractor shall conform to the requirements of Division 3 for concrete testing.
- B. The Contractor shall test his entire installation and shall furnish the labor and materials required for these tests. Tests shall be performed in accordance with the requirements of the section of the specifications and in accordance with the requirements of the State Ordinances and Codes, and the National Electrical Code. The Contractor shall notify the Engineer of his readiness for such test. Final inspections are required along with final inspection certificates are required, prior to authorization of final payment.
- C. Testing required for compliance with the Contract shall be stated in subsequent sections. All tests specified shall be completely documented indicating time of day, date, temperature and all pertinent test information. All required documentation of readings indicated above shall be submitted to the engineer prior to, and as one of the prerequisites for, final acceptance of the project.
- D. Documentation
  1. All tests specified shall be completely documented indicating time of day, date, temperature and all pertinent test information.
  2. All required documentation of readings indicated above shall be submitted to the engineer prior to, and as one of the prerequisites for, final acceptance of the project.

### 3.6 Adjust and Clean

- A. All equipment and installed materials shall be thoroughly clean and free of all dirt, oil, grit, grease, etc.
- B. Factory painted equipment shall not be repainted unless damaged areas exist. These areas shall be touched up with a material suitable for the intended service. In no event shall nameplates be painted.

- C. At a scheduled meeting, the Contractor shall instruct the Owner or the Owner's representative in the operation and maintenance of all equipment installed under his Contract (in the presence of the Engineer).

**END OF SECTION 26 00 00**

## SECTION 26 05 20 - WIRES AND CABLES

### PART 1 - GENERAL

- 1.1 All conductors shall be properly marked showing manufacturer's name, insulation type, voltage rating and wire size. All insulation is to be rated for minimum of 600 volts.
- 1.2 Wire sizes shall be as shown. No wire smaller than No. 12 AWG shall be used. The maximum conductor size shall be 500 KCMIL.
- 1.3 Where the conductor length from the panel to the first outlet on a 120 volt exceeds 50 feet, the branch circuit conductors from the panel to the first outlet shall be increased by at least one size. Refer to the wire size chart on the drawings. Per NEC 250.122(B), equipment grounding conductors, where installed shall be increased in size proportionately according to the circular mil area of the ungrounded conductors.
- 1.4 Conductors shall be manufactured by US Wire and Cable, Triangle, Okonite, Southwire, or approved equivalent.
- 1.5 Wiring for 120/208-volt systems and 277/480-volt systems shall not be mixed in the same race way, pull or junction box.

### PART 2 - PRODUCT

- 2.1 All conductors shall be copper and shall conform to Underwriters' Standards. Wires No. 10 and smaller shall be solid. Wires 8 and larger shall be stranded.
- 2.2 All wire shall be labeled two (2) feet on centers giving size, type voltage, rating, and manufacturer's name. Wire #6 and smaller #6 shall be factory color coded. Wire larger than #6 may be color coded with approved 2000-volt colored tape at all terminals of the run, and at all junctions.
- 2.3 Where applicable, all wire shall be color coded as follows, or approved by the Engineer:

A. 120/208-volt system:

Phase A	Black
Phase B	Red
Phase C	Blue
Neutral	White
Ground	Green

B. 277/480-volt system:

Phase A	Brown
Phase B	Orange
Phase C	Yellow
Neutral	Natural Gray
Ground	Green

2.4 Insulation type shall be UL labeled for the appropriate type of use and temperature. Insulation types are as follows:

- A. The insulation type for interior wiring shall be dual-rated THHN/THWN or XHHW.
- B. The insulation type for wiring in exterior wet locations shall be THWN-2 or XHHW-2.

### **PART 3 - EXECUTION**

- 3.1 Conductors shall be run in conduit and shall be continuous from outlet to outlet. Splices will not be made except within accessible outlet or junction boxes, troughs, or gutters.
- 3.2 Solid conductors shall be spliced by using Ideal "wing- nuts", 3M Company's "Scotchlok" connectors for branch circuit splices. Crimp connectors will not be allowed for branch circuit splicing.
- 3.3 Joints in stranded conductors shall be spliced by approved mechanical connectors and gum rubber tape or friction tape. Solderless mechanical connectors for splices and taps, provided with U/L-approved insulating covers, may be used instead of mechanical connectors plus tape.
- 3.4 On mechanical splices, taps or joints taping shall be with at least two (2) layers of approved gum rubber tape which will be laid on the half-lap followed by at least one (1) layer of friction or plastic tape laid on with half-lap. It is intended that all taping shall be a permanently secured insulation equal to that of the wire.
- 3.5 All conductors in any conduit shall be at one specific voltage. Conductors of different voltages shall be run in separate conduits.
- 3.6 Neutral conductors shall be properly installed as to prevent grounding of the neutrals in any conduit. Multi-wire circuits with shared neutral conductors are not allowed. Each single pole load shall have individual neutral for each circuit.
- 3.7 Neatly train and lace wiring inside boxes, equipment, and panelboards.
- 3.8 Make conductor lengths for parallel circuits equal.

- 3.9 Pull all conductors into a raceway at the same time. Use third party approved wire pulling lubricant for pulling #4 AWG and larger wires.
- 3.10 Insulation Resistance Testing.  
All current carrying phase conductors and neutrals shall be tested as installed, and before connections are made, for insulation resistance and accidental grounds. This shall be done with a 500-volt megger. The procedures listed below shall be followed:
- A. Minimum readings shall be one million (1,000,000) or more ohms for #6 AWG wire and smaller, 250,000 ohms or more for #4 AWG wire or larger, between conductors and between conductor and the grounding conductor.
  - B. After all fixtures, devices and equipment are installed and all connections completed to each panel, the contractor shall disconnect the neutral feeder conductor from the neutral bar and take a megger reading between the neutral bar and the grounded enclosure. If this reading is less than 250,000 ohms, the contractor shall disconnect the branch circuit neutral wires from this neutral bar. He shall then test each one separately to the panel and until the low readings are found. The contractor shall correct troubles, reconnect and retest until at least 250,000 ohms from the neutral bar to the grounded panel can be achieved with only the neutral feeder disconnected.
  - C. The contractor shall send a letter to the engineer certifying that the above has been done and tabulating the megger readings for each panel. This shall be done at least four (4) days prior to the final inspection.
- 3.11 Use of split bolt connectors is not acceptable.
- 3.12 Prior to energizing, feeders and service conductor cables shall be tested for electrical continuity and short circuits. A copy of these tests should be sent to the engineer of record and the owner.

**END OF SECTION 26 05 20**

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## **SECTION 26 05 33 BOXES AND CABINETS**

### **PART 1 - GENERAL**

- 1.1 The Electrical Contractor shall provide junction boxes, pull boxes, cable, support boxes, and wiring troughs as required by NEC and as otherwise indicated in the Drawings.
- 1.2 All necessary mounting hardware and accessories shall be provided for a complete installation.

### **PART 2 - PRODUCT**

- 2.1 Outlet and junction boxes shall be 4" minimum size, octagonal in ceilings, 4" square or rectangular (4" x 4" minimum for walls) except as noted below. Ceiling outlet boxes shall not be less than 1 1/2" deep, but in no case shall the size and depth of boxes be less than the required by the NEC.
- 2.2 Outlet boxes shall be equipped with plaster rings of appropriate depth to finish flush with finished walls. Outlets in exposed masonry wall shall be equipped with extra deep square corner tile rings so that box may be installed in the core of the block.
- 2.3 Outlets for concealed work and ceiling outlets for exposed work shall be galvanized stamped steel. Boxes shall be as manufactured by ABB-Steel City, Hubbell-RACO, Appleton or equivalent.
- 2.4 Wall outlets for exposed conduit work shall be Crouse-Hinds, Appleton, Hubbell-Killark or equal, series FS and FD switch and receptacle threaded hub boxes, with matching FS and FD covers.
- 2.5 Junction boxes for change of direction or feeder taps shall be furnished where required, shall be of adequate size to prevent crowding conductors in accordance with the requirements of the electrical code and job requirements and shall be accessible.
- 2.6 Junction boxes on finished wall and ceilings shall be flush with covers.
- 2.7 Junction boxes larger than 5" square shall be galvanized and without pre-formed knockouts.

### **PART 3 - EXECUTION**

- 3.1 Boxes and troughs shall be supported independently of conduit entering them. Brackets, threaded rod hangers with lock nuts, bolts, or other suitable supporting methods may be used.
- 3.2 Thru-the-wall outlet boxes shall not be permitted. Outlet boxes shown back to back on plans, shall be separate boxes connected where required using a loop of flexible metallic conduit with ground wire. Boxes shall be separated a minimum of 18 inches apart.
- 3.3 In general, outlets shall be installed at the heights indicated on the fixture and symbol legend.
- 3.4 Each outlet designated on the plans shall be provided with an outlet box.
- 3.5 Each outlet box which supports a fixture shall be provided with a fixture stud into the outlet box. Outlet box and/or fixture stud shall be attached with not less than three screws or bolts.

3.6 Exterior outlets shall be provided with watertight gaskets and covers.

**END OF SECTION 26 05 33**

## **SECTION 260545 - CONDUIT AND CONDUIT FITTINGS**

### **PART 1 - GENERAL**

- 1.1 Conduit shall be delivered to the project site in bundles of full-length pipes, each length marked with the trademark of the manufacturer and the Underwriters' Laboratories, Inc. stamp. Each conduit length shall be straight, true and free from scales, blisters, burrs and other imperfections.
- 1.2 Within the building parameters and above the floor slab, the rigid steel conduit specified shall be used unless specifically noted otherwise.
- 1.3 Conduit size for control wiring shall be a minimum of one-half (1/2) inch conduit. All branch circuit conduit shall be a minimum of one-half (1/2) inch. Percent filled and derating shall be in accordance with the National Electrical Code. Flexible metal and water-tite ("sealtite") conduit in size 1/2" and larger shall be acceptable for motor, appliance, and fixture connections from fixture junction boxes or appliance/motor disconnects provided a ground wire is installed in the flex and the flex assembly is an integral part of the fixture, shipped from the same factory as the fixture, and 3rd party agency approved for such use. This same requirement shall apply for motor/appliance connections.
- 1.4 All conduit shall be installed in accordance with the National Electrical Code.
- 1.5 Metallic conduits shall be manufactured by Allied, Wheatland, Cruse-Hinds, or equivalents.
- 1.6 Non-metallic conduits shall be manufactured by Prime Conduit, Cantex, Champion Fiberglass or equivalents.
- 1.7 Conduit fittings shall be manufactured by Rayco, T & B, Crouse Hinds, O-Z/Gedney or equivalents.
- 1.8 Surface mounted raceway shall be used as noted on the plans in lieu of exposed conduit. Surface mounted raceway shall be manufactured by Wiremold or approved equivalents.

### **PART 2 - PRODUCT**

- 2.1 Thin Wall Conduit and Fittings
  - A. Electrical metallic tubing (EMT) shall be cold-rolled steel tubing with zinc coating on the outside and protected on the inside by a zinc, enamel or equivalent corrosion-resistant coating conforming to the latest requirements of ANSI. Conduit shall meet the Rigid Conduit Association Standards.
  - B. Electrical metallic tubing fittings shall be all steel plated hexagonal threaded compression type. No pot metal, indenter, or set screw fittings, shall be used. EMT connectors shall have insulated throats.
- 2.2 Rigid Steel Conduit and Fittings
  - A. Rigid steel conduit, including elbows and nipples, shall be standard weight, mild steel pipe, hot dipped galvanized, sherardized or zinc-coated conforming to the requirements of ANSI

C80.1, 1966 or later edition. Rigid steel conduit shall also meet the latest requirements of Underwriters' Laboratories, Inc. Standards for Rigid Metallic Conduit.

- B. Fittings shall be all steel plated hexagonal threaded fitting.

### 2.3 Flexible Metal Conduit and Fittings

- A. Flexible metal conduit shall be of the best grade interlocking spiral strip steel. The interlocking spiral strip construction shall be such as to permit bending of the conduit to a radius of four (4) times its internal diameter without distorting at any point. The interior and the exterior of the flexible conduit shall be smooth and free of burrs, sharp edges, or other defects which could damage the wire.
- B. Fittings shall be of the approved types, made of malleable iron and hot dipped galvanized.
- C. All connectors shall be steel compression fittings with insulated throats.
- D. Where watertight flexible conduit is required, it shall have an outer sheath of material similar to PVC.

### 2.4 Non-metallic Conduit

- A. Non-metallic conduit shall be UL listed, for its application. It shall be resistant to sunlight and chemical and moisture atmospheres and rated for use with 90 degrees Celsius conductors.
- B. The installation and usage of rigid non-metallic conduit shall comply with Article 352 of the National Electrical Code, along with any related or referenced sections.

## **PART 3 - EXECUTION**

### 3.1 General

- A. All conduit shall be run tight against walls, columns or ceilings.
- B. The conduit shall bend cold 90 degrees about a radius equal to ten (10) times its own diameter without signs of flaw or fracture in either pipe or protective coverings. All bends and offsets shall be made on a forming tool to prevent the conduit or its coating from being damaged in the bending. Conduit bends shall have a radius not less than ten (10) times the conduit diameter.
- C. Where conduits join any couplings or threaded fittings, the ends shall be made watertight. (All conduit runs, including boxes, couplings, and fittings used therein, shall be so installed and equipped as to prevent water from entering the conduit.)
- D. All conduits shall be carefully cleaned before and after erection. After cleaning, all ends of conduits shall be free from burrs and inside surfaces shall be free from imperfections likely to injure the wires or cables.
- E. In every instance, conduit shall be installed in such a manner that the conductors may readily and easily be drawn or pulled in without strain or damage to the insulation; and, also, so that defective conductors may be readily and easily withdrawn and replaced by new conductors. Long radius bends and a sufficient number of approved pull and junction boxes shall be

approved for this purpose, and as may be directed by the Engineer. All conduit shall be securely supported and grounded.

- F. In unfinished areas, exposed conduit shall be run to conform to the building lines with special emphasis on neatness. Turns shall be made with galvanized outlet boxes, junction boxes, factory fittings and/or symmetrical bends. Locknuts and bushings shall be employed to provide full grounding and adequate protection of insulation. Double locknuts shall be used on all conduits entering sheet metal enclosures.
- G. Support for all conduit shall be in accordance with the National Electrical Code. Conduit shall be supported by approved pipe straps or clamps, secured by means of toggle bolts on hollow masonry, expansion shields and matching screws or standard pre-set inserts on concrete or solid masonry, machine screws or bolts on metal surfaces, and wood screws on wood construction.
- H. All empty conduit systems shall be capped or terminated in a junction box and shall be provided with nylon pull cord inside for future use.
- I. Conduit terminating below grade shall be provided with means to prevent entry of dirt or moisture. Depth of burial shall not be less than two (2) feet below grade. All termination points shall be accurately marked and dimensioned on the As-Built Plans.
- J. Where conduits of any type pass over a building expansion joint, a standard "expansion joint fitting" compatible with the type of raceway shall be provided.
- K. Conduits installed on the interior of exterior building walls shall be spaced off the surface a minimum of 1/4" using "clamp-backs" or strut.

### 3.2 Thin Wall Conduit and Fittings

- A. Except for service and feeder conduits, electrical metallic tubing and fittings may be installed in lieu of rigid conduit in dry construction in furred spaces, ceiling cavities, chase spaces, interior portions other than concrete and solid plaster, or for exposed work except on mechanical structure or supports.
- B. Electrical metallic tubing shall not be installed.
  - 1. Where exposed to severe corrosive conditions and/or severe physical damage,
  - 2. Nearer than four (4) feet from finished floor in exposed areas
  - 3. In trade sizes larger than two (2) inches
  - 4. Located in exterior walls or in poured concrete.
  - 5. Any location outdoors.
  - 6. Where tubing, coupling, elbows and fittings would be in direct contact with the earth or underground (in/below slab-on-grade or in earth).
- C. A transition between a run of rigid conduit concealed in a wall and a run of thin wall conduit along a ceiling shall be made in an outlet box above the ceiling, if accessible, near the wall.

### 3.3 Rigid Steel Conduit and Fittings

- A. All conduit terminations shall be provided with insulating bushings.
- B. Conduit fittings shall not be used in lieu of pull boxes.
- C. Except where located under the ground floor slab, all service and feeder conduit shall be heavy wall (rigid galvanized).
- D. Rigid steel conduit shall be installed in exterior masonry walls, in wet locations where subject to severe physical damage, or where conduit trade size is two and one half (2 1/2) inches or larger.

### 3.4 Flexible Metal Conduit and Fittings

- A. Flexible metallic conduit shall be provided at the end of each conduit run terminating at the conduit box on electric motors, transformers or other equipment.
- B. The length of flexible conduit shall be in accordance with the National Electric Code.

### 3.5 Non-Metallic Conduit

- A. Thin wall rigid non-metallic conduit (schedule 40 PVC) shall only be used for concrete encasement.
- B. Except where embedded in concrete, conduit shall be supported to permit adequate lineal movement to allow for expansion and contraction of conduit due to temperature change. Where a temperature change in excess of 14 degrees Celsius is anticipated, such as direct burial, exposed outside of the building, or in un-insulated spaces inside the building (attics, crawl spaces, etc.), expansion joints shall be installed in accordance with the manufacturer's specifications.
- C. Heavy wall non-metallic conduit (schedule 80 PVC) shall be used where conduits are direct buried exterior to the building or exposed exterior to the building.
- D. PVC schedule 40 shall not be used exposed or concealed in gypsum wall but may be used in CMU walls. PVC schedule 40 may be used in elevated floor slabs and in foundation slabs. Minimum concrete cover shall be  $\frac{3}{4}$  inch at finished or formed surface and shall be 3 inches at concrete surface cast against earth or for slabs placed on-grade. Greater amounts of concrete cover shall be used in areas subject to damage. The placement of conduit in floor slabs must be thoroughly coordinated with the structural design. Potential conflicts with steel reinforcing bars and reductions in net concrete sections are among the issues that must be considered by the structural engineer.

### 3.6 Underground Raceways

- A. Where conduit is installed under the ground floor slab within the building foundations, schedule 40 PVC conduit shall be used. At the Contractor's option, this installation may consist of galvanized steel conduit encased with three (3) inches of concrete or rigid steel

conduit with a minimum of 15 mils of PVC coating. Where thin wall non-metallic conduit is used under the ground floor slab, the elbows and turn out required to turn the raceway up into cabinets, equipment, boxes, etc. shall be of rigid steel.

- B. Branch circuit raceways run underground external to building foundation walls shall be run in raceways installed in accordance with the NEC and shall be of a type approved by the NEC as "suitable for direct burial." Minimum raceway size shall be 1 inch.
- C. All underground raceways shall be identified by underground line marking tape located directly above the raceway at 6 to 8 inches below finished grade. Tape shall be permanent, bright-colored, continuous printed, plastic tape compounded for direct burial not less than 6 inches wide and 4 mils thick. Printed legend shall be indicative of general type of underground line below.
- D. Raceways run underground internal to building foundation walls shall be of a type and installed by a method approved by the NEC.
- E. Where underground raceways are required to turn up into cabinets, equipment, etc., and on to poles, the elbow required and the stub-up out of the slab or earth shall be of rigid steel.
- F. The raceway system shall not be relied on for grounding continuity.
- G. Where passing through a "below grade" wall from a conditioned interior building space, raceways shall be sealed utilizing fittings similar and equal to OZ/GEDNEY type "FSK" thru-wall fitting with "FSKA" membrane clamp adapter if required.

### 3.7 Ductbank

- A. Trenches should be cut neatly and uniformly, sloping uniformly to required pitch.
- B. Ducts should be pitched to drain toward manholes and handholes and away from buildings and equipment. Minimum slope shall be 4 inches in 100 feet. Where necessary to achieve this between manholes, ducts should be sloped from a high point in the run to drain in both directions.
- C. Concrete encased nonmetallic ducts shall be supported on plastic separators coordinated with duct size and spacing. Separators shall be spaced close enough to prevent sagging and deforming of ducts. Separators to the earth and to ducts should be secured to prevent floating during placement of concrete. Steel or tie wires should not be used in such a way as to form conductive or magnetic loops around ducts or duct groups.
- D. Waterproof marking cord should be installed 130-pound tensile test (marked at least every foot), equivalent to Greenlee No. 435, in all ducts, including spares, after thoroughly rodding, clearing and swabbing all lines free of all obstructions.
- E. All ducts should be sealed at terminations, using sealing compound and plugs, as required to withstand 15 psi minimum hydrostatic pressure.
- F. The arrangement of conduit in ductbank should be in accordance with OSHA requirements.

**END OF SECTION 26 05 45**



## **SECTION 26 24 16 - PANEL BOARDS AND CIRCUIT BREAKERS**

### **PART 1 - GENERAL**

- 1.1 The Electrical Contractor shall provide all panelboards and circuit breakers as shown on the plans in accordance with this specification.
- 1.2 All equipment shall meet UL, NEC and NEMA Standards as applicable to the equipment specified herein.
- 1.3 All panelboards shall be equipped with a main circuit breaker or main lugs as indicated on the drawings.
- 1.4 All panelboards shall be equipped with branch breakers as shown on the drawings.
- 1.5 All panelboards identified on the drawings for use as service equipment shall be so labeled and UL listed for such use.
- 1.6 Full size insulated copper neutral bars shall be included in all panelboards. Neutral busing shall have a suitable lug for each outgoing feeder requiring a neutral connection.
- 1.7 A copper ground bus shall be included in all panelboards.
- 1.8 All current-carrying parts of the bus assembly shall be copper with tin plating.
- 1.9 Panelboards shall be labeled with a UL short circuit rating not less than the rating indicated on the drawings.
- 1.10 The word "spare", unless noted otherwise on the panel schedules, shall be a single pole, 20-amp circuit breaker.
- 1.11 The word "space", unless noted otherwise on the panel schedules, shall be for a space in the panelboard for a standard size, single pole circuit breaker.
- 1.12 Terminals for feeder conductors to the panelboard mains and neutral shall be UL listed as suitable for the type of conductor specified. Terminals for branch circuit wiring, both breaker and neutral, shall be UL listed as suitable for the type of conductor specified.
- 1.13 Sub fed breakers are not acceptable.
- 1.14 Series rated panel boards or breakers are not acceptable.
- 1.15 All NEMA 1 panel boards shall have a hinged trim (Door in Door).
- 1.16 All panelboards shall have breakers, terminals, and Lugs UL approved use with 75°C rated conductors.

## PART 2 - PRODUCT

2.1 This section shall be for panelboards whose characteristics shall not exceed the following:

Voltage	=	440	Maximum Branch Circuit	=	100 amps
Amps	=	600	Short Riding Circuit	=	22,000 amps

- A. Panelboards shall be Square D Company type NQ (bolt- on) or equivalent by Siemens, Eaton, or ABB.
- B. Bus bar connections to the branch circuit breakers shall be the "distributed phase" or "phase sequence" type.
- C. The panelboard bus assembly shall be enclosed in a steel cabinet. The size of the wiring gutters and gauge of steel shall be in accordance with NEMA, UL and National Electrical Code requirements for panelboards. The box shall be fabricated from galvanized steel or equivalent rust-resistant steel. Surface mounted cans shall be galvanized and without preformed knockouts.
- D. Fronts shall include doors and have flush, brushed stainless steel, cylinder tumbler-type locks with catches and spring-loaded door pulls. The flush lock shall not protrude beyond the front of the door. All panelboard locks shall be keyed alike. Door shall be mounted by completely concealed steel hinges. A circuit directory frame with a clear plastic covering and a directory card shall be provided on the inside of the door. Fronts shall be of code gauge, full finished steel with rust-inhibiting primer and baked enamel finish.
- E. Panelboard trims shall cover all live parts. Switching device handles shall be accessible.

2.2 This section shall be for panelboards whose characteristics shall not exceed the following:

Voltage	=	480	Maximum Branch Circuit	=	125 amps
Amps	=	600	Short Circuit Rating	=	65,000 amps 480 VAC
				=	100,000 amps 240 VAC

- A. Panelboards shall be Square D Company Type NF (bolt- on) or equivalent by Siemens, Eaton, or ABB.
- B. Bus bar connections to the branch circuit breakers shall be the "distributed phase" or "phase sequence" type.
- C. The panelboard bus assembly shall be enclosed in a steel cabinet. The size of the wiring gutters and gauge of steel shall be in accordance with NEMA, UL and National Electrical Code requirements for panelboards. The box shall be fabricated from galvanized steel or equivalent rust-resistant steel. Surface mounted cans shall be galvanized and without preformed knockouts.

- D. Fronts shall include doors and have flush, brushed stainless steel, cylinder tumbler-type locks with catches and spring-loaded door pulls. The flush lock shall not protrude beyond the front of the door. All panelboard locks shall be keyed alike. Door shall be mounted by completely concealed steel hinges. A circuit directory frame with a clear plastic covering and a directory card shall be provided on the inside of the door. Fronts shall be of code gauge, full finished steel with rust-inhibiting primer and baked enamel finish.
- E. Panelboard trims shall cover all live parts. Switching device handles shall be accessible

2.3 This section shall be for panelboards whose characteristics shall not exceed the following:

Voltage	=	480	Maximum Branch Circuit	=	1,200 amps
Amps	=	1,200	Short Riding Circuit	=	200,000 amps

- A. Panelboards shall be Square D Company, Type I-Line or equivalent by Siemens, Eaton, or ABB
- B. Panelboard assembly shall be enclosed in a steel cabinet. The rigidity and gauge of steel are to be as specified in UL Standard 50 for cabinets. The size of wiring gutters shall be in accordance with NEMA, UL and NEC Standards for panelboards. Cabinets are to be equipped with spring latch and tumbler-lock on door of trim. Doors over 48" long shall be equipped with three-point latch and vault lock. All locks shall be keyed alike. End walls shall be removable. Fronts shall be of code gauge, full finished steel with rust inhibiting primer and baked enamel finish.
- C. The panelboard interior assembly shall be dead front with panelboard front removed. Main lugs or main breaker shall be barriered on five sides. The barrier in front of the main lugs shall be hinged to a fixed part of the interior. The end of the bus structure opposite the mains shall be barriered.
- D. A circuit directory frame with a clear plastic covering and a directory card shall be provided on the inside of the door.

#### 2.4 Molded Case Circuit Breakers

- A. This specification covers molded case circuit breakers rated 15 through 1200 amperes 120VAC, 240VAC, 277VAC and 480VAC. Breakers covered under this specification may be installed in switchboards, panelboards, motor control centers, combination motor starters, busway plugs and individual enclosures.
- B. Circuit breakers shall be manufactured by Square D Company of the size as indicated on the drawings or equivalent by Siemens, Eaton or ABB. All breakers shall be bolt-on type.
- C. All circuit breakers shall have a quick-make, quick-break over center toggle type mechanism. The handle mechanism shall be trip-free to prevent holding contacts closed against a short circuit or sustained overload. All circuit breakers shall assume a position between on and off when tripped automatically. Multi-pole circuit breakers shall be common trip such that an overload or short circuit on any one pole will result in all poles opening simultaneously. Arc extinction is to be accomplished by magnetic arc chutes. All ratings shall be clearly visible.

- D. Automatic operation of all circuit breakers shall be obtained by means of thermal-magnetic tripping devices located in each pole providing inverse time delay and instantaneous circuit protection. Circuit breakers shall be calibrated to carry 100% rated current in an ambient of 40 degrees Celsius. Circuit breakers shall be ambient compensating in that, as the ambient temperature increases over 40 degrees Celsius, the circuit breaker automatically derates itself to better protect its associated conductor. The instantaneous magnetic trip shall be adjustable and accessible from the front of all circuit breakers on frame sizes 250 amps and above.
- E. The interrupting rating of each circuit breaker shall be as indicated on the drawings. The interrupting rating of the circuit breakers shall be at least equal to the available short circuit current at the line terminals of the circuit breaker and correspond to UL listed integrated short circuit current rating specified for the panelboards and switchboards.
- F. UL Class A (5 milliampere sensitivity) ground fault circuit protection shall be provided on 120 V ac branch circuits as specified on the plans or panelboard schedule. This protection shall be an integral part of the branch circuit breaker which also provides overload and short circuit protection for branch circuit wiring. Tripping of a branch circuit containing ground fault circuit interruption shall not disturb the feeder circuit to the panelboard. A single pole circuit breaker with integral ground fault circuit interruption shall require no more panelboard branch circuit space than a conventional single pole circuit breaker.
- G. Motor starters, and other applications as indicated on drawings, shall be furnished with magnetic-only type molded case circuit breakers. Each breaker shall be provided with a single magnetic adjustment that will set all poles to the same trip current. Adjustment shall be continuous throughout the adjustable trip range. The magnetic trips shall be accessible from the front of these circuit breakers.

### **PART 3 - EXECUTION**

- 3.1 Panelboards shall be flush, or surface mounted as shown on the plans.
- 3.2 Panel enclosures shall not be used as junction or pull boxes for splicing conductors.
- 3.3 Each flush mounted panel shall be equipped with two empty one inch conduits sealed in the wall from a panel to a six inch square flush mounted box installed above a lay-in type ceiling or flush in the wall at the ceiling for a plaster or spline type acoustical tile ceiling.
- 3.4 All panels shall be equipped with neatly typed directory cards attached on the inside of the door.
- 3.5 GFI circuits shall be tested by the Contractor prior to the pre-final inspection.
- 3.6 Testing shall be performed by a qualified factory technician at the job site. All readings shall be tabulated by the contractor.
- 3.7 The number of branch circuit shall be identified with permanent wire tag attached to the wire.

**END OF SECTION 26 24 16**

## **SECTION 26 27 26 - WIRING DEVICES**

### **PART 1 - GENERAL**

- 1.1 Switches, dimmer switches, photocell, contactors and receptacles, with proper cover plates, shall be provided where indicated on the Drawings.

### **PART 2 - PRODUCT**

- 2.1 Switches, dimmer switches, photocell, contactors and receptacles shall be as specified in the Symbol Schedule of the Drawings.
- 2.2 All switches and receptacles shall be industrial specification grade or heavy-duty grade meeting NEMA WD 1, NEMA WD 6, DSCC W-C-596G, and UL-498 and shall be approved third-party listed.
- 2.3 Switches and receptacles shall be as manufactured by Hubbell, Pass and Seymour, Leviton or Eaton. Photocells shall be manufactured by Tork, Paragon, Bryant, or equivalent.
- 2.4 Cover plates for all wall mounted devices shall be provided as scheduled on the Drawings. Where covers are not specified, they shall be as follow.
  - A. Interior: type 302 stainless steel. Cover plate mounting screws shall be slotted head oval screws and shall match the finish and material of the plate and shall be furnished with the plate by the plate manufacturer.
  - B. Exterior, exposed work and wet locations: cover plates shall be extra-duty rated (NEC 406.9(B)(1)) galvanized cast ferrous metal, standard size, and shall be single or ganged as indicated on the drawings. Exterior mounted switch and receptacle plates, and those noted to be weatherproof, shall be weatherproof cover plates, standard size, single or ganged as indicated on the drawings, and shall be "approved" third party listed as "rain-tight while in use."
- 2.5 All devices shall have a hex-head green grounding screw for use in connecting device to green grounding conductor run in the conduit system.
- 2.6 All GFI devices shall be the feed through type.
- 2.7 All standard duplex receptacles shall be 20-amp, 125 volt rated.
- 2.8 All devices subject to use in a wet location shall be listed as weather resistant.
- 2.9 All switches shall be rated 20-amp, 120/277 volt. Toggle switches shall have quiet operating mechanisms without the use of mercury switches.

### **PART 3 - EXECUTION**

- 3.1 Mounting height shall be as indicated on the Drawings. Coordinate with other trades so that devices will miss equipment installed by others.
- 3.2 Where two or more devices are ganged, they shall be in a common box with a ganged plate.

- 3.3 All devices shall have a green ground conductor to run parallel with the phase conductor back to the electrical panel.
- 3.4 In all areas where carpet is to be installed as finished floor material, unless otherwise specified, the Electrical Contractor will furnish solid brass carpet flanges for installation on floor outlet boxes. Flanges will be furnished and installed on all active outlets after the carpet is installed. Where a specified number of outlet fittings are to be furnished to the Owner, for each fitting not installed during the construction period, it will be turned over to the Owner with the receptacle, carpet flange and all necessary appurtenances.
- 3.5 Provide quantity of 2% spare cover plates of each type to the owner.

**END OF SECTION 26 27 26**

## **SECTION 265100 - LIGHTING FIXTURES**

### **PART 1 - GENERAL**

- 1.1 The Contractor shall provide all fixtures and lamps where indicated on the Drawings.
- 1.2 Work shall include all stems, canopies and accessories necessary for a complete lighting fixture installation.
- 1.3 No PCB ballasts shall be accepted.
- 1.4 All lighting systems shall comply with the 2018 North Carolina State Energy Code and North Carolina Senate Bill 1946 and G.S. 143-64.17.

### **PART 2 - PRODUCT**

- 2.1 Fixtures shall be as specified in the Fixture Schedule on the Drawings or approved equivalents.
- 2.2 All outdoor fixtures shall bear the approved third party test label for damp or wet locations as applicable. Where the ambient falls below 50°F that all fluorescent lamps and ballasts shall be rated for operation at 0°F.
- 2.3 Unless otherwise noted, all fixtures shall be new, free of defects and imperfections. Damaged fixtures shall be replaced at this Contractor's expense.
- 2.4 All acrylic lenses for lay-in troffers and wrap around fixtures shall have a nominal lens thickness of 0.125" unless noted otherwise on plans.
- 2.5 LED Luminaries:
  - A. LED driver manufacturers should have a minimum of five years of experience with the manufacture of LED drivers. All drivers shall have a minimum warranty of five years.
  - B. Where dimming is required, fixtures shall be dimmable down to 1% with standard 120/277 volt, electronic, low voltage dimmers.
  - C. Minimum color rendering index (CRI) shall be 80. Color temperature and performance shall conform to the parameters established by ENERGY STAR SSL standards (refer to ANSI-C78.377-2008).
  - D. Optical design shall be low glare, 50% cut-off.
  - E. Rated for 50,000 hours at 70% lumen maintenance.
  - F. LED driver shall be high efficiency with a minimum power factor of .90
  - G. 5 year, 100% warranty coverage for the driver, LED module, housing and trim. For the 1st year this shall be a complete parts and labor warranty. The 4th and 5th years shall cover parts only.
  - H. Total harmonic distortion:  $\leq 20\%$  (at full luminaire output and across specified voltage range)

- I. Transient and surge protection: ANSI C62.41-2002 Category A surge protection standards up to and including 2.5 kV for interior fixtures.
- J. Sound: Class A not to exceed a measured value of 24dB.
- K. Maximum standby power: 1W
- L. LED arrays in the product(s) will be considered defective in material or workmanship if a total of 10% or more of the individual light-emitting diodes in the product(s) fail to illuminate during normal operation after installation.

2.6 Emergency Exit Lights per the State Construction Office requirements.

- A. It shall be completely self-contained, provided with maintenance-free battery, automatic charger, and other features. Luminaire must be third-party listed as emergency lighting equipment, and meet or exceed the following standards; NEC, N.C. Building Code, Energy Code, NFPA-101, and NEMA Standards.
  - 1. Battery
    - a. It shall be sealed, maintenance-free type, with minimum of 90 minutes operating endurance. Must have a normal life expectancy of 10 years. Batteries shall be a high temperature type with an operating range of 0 degree C to 60 degrees C and contain a resealable pressure vent, a sintered + positive terminal and – negative terminal.
  - 2. Charger
    - a. It shall be fully automatic solid state type, full wave rectifying, with current limiting. Charger shall restore the battery to its full charge within 24 hours after a discharge of 90 minutes under full rated load. The unit shall be activated when the voltage drops below 80 percent. A low voltage disconnect switch shall be included if LEAD Battery is used, to disconnect the battery from the load and prevent damage from a deep discharge during extended power outage.
  - 3. Additional Features
    - a. Pilot light to indicate the unit is connected to AC power. The battery shall have high rate charge pilot light, unless self-diagnostic type. A test switch to simulate the operation of the unit upon loss of AC power by energizing the lamps from the battery. This simulation must also exercise the transfer rely.
  - 4. Warranty
    - a. The entire unit shall be warranted for three years. The battery must have an additional two more years pro-rated warranty. Warranty shall start from the date of project final acceptance. Warranty shall be included in the contract document.
  - 5. LED
    - a. The use of LED is required due to their reliable performance, low power consumption, and limited maintenance requirements. Maximum LED failure rate



shall be 25% within a seven (7) year period; otherwise, if exceeded, manufacturer shall replace the complete unit at no charge to the owner.

6. Unit Test

- a. Contractor shall perform a test on each unit after it is permanently installed and charged for a minimum of 24 hours. Battery shall be tested for 90 minutes, in accordance with NEC 700. The battery test shall be done 10 days prior to final inspection by the State Construction Office. Any unit which fails the test must be repaired or replaced, and tested again. Copy of the test report shall be included with the project record documentation.

2.7 Emergency Lights per the State Construction Office requirements

- A. Shall be completely self-contained, provided with maintenance-free 12 volt battery, automatic charger, two lamps and other features. Fixture shall be third party listed as emergency lighting equipment, and meet or exceed the following standards: NEC, N.C. Building Code, UL 924, NC Energy Code, NFPA-101, and NEMA Standards.

1. Additional Features

- a. Pilot light to indicate the unit is connected to AC power. The battery shall have high rate charge pilot light, unless self-diagnostic type. A test switch to simulate the operation of the unit upon loss of AC power by energizing the lamps from the battery. This simulation must also exercise the transfer rely. If fluorescent emergency unit is used, a LED charging indicator light must be easily visible after installation and a remote test switch shall be installed adjacent to the fixture.

2. Battery

- a. It shall be sealed, maintenance-free type, with minimum of 90 minutes operating endurance. Must have a normal life expectancy of 10 years. Batteries shall be a high temperature type with an operating range of 0 degrees C to 60 degrees C and contain a resealable pressure vent, a sintered + positive and -negative terminal.

3. Charger

- a. It shall be fully automatic sold state type, full wave rectifying, with current limiting. Charger shall restore the battery to its full charge within 24 hours after a discharge of 90 minutes under full rated load. The unit shall be activated when the voltage drops below 80%. A low voltage disconnect switch shall be included in LEAD battery is used, to disconnect the battery from the load and prevent damage from a deep discharge during extended power outage.

4. Warranty

- a. The entire unit shall be warranted for three years. The battery must have an additional two more years pro-rated warranty. Warranty shall start from the date of project final acceptance. Warranty shall be included in the contact document.

5. Unit Test

- a. Contractor shall perform a test on each unit after it is permanently installed and charged for a minimum of 24 hours. Battery shall be tested for 90 minutes, in accordance with NEC 700. The battery test shall be done 10 days prior to final inspection by the State Construction Office. Any unit which fails the test must be repaired or replaced, and tested again. Copy of the test report shall be included with the project record documentation.
1. Emergency Power Backup unit.
  - B. The unit is used for controlling designated light fixtures as shown on plan to be used as emergency light. The unit shall have rating as shown on plan. Unit shall be third party listed as emergency power backup unit for emergency light, and meet or exceed the following standards: NEC, N.C. Building Code, UL 924, NC Energy Code, NFPA-101, and NEMA Standards.
    1. Battery
      - a. It shall be sealed, maintenance-free type, with minimum of 90 minutes operating endurance. Must have a normal life expectancy of 10 years. Batteries shall be a high temperature type with an operating range of 0 degree C to 60 degrees C and contain a resealable pressure vent, a sintered + positive terminal and – negative terminal.
    2. Output characteristic.
      - a. It shall provide 60Hz sinusoidal waveform output and compatible with LED and fluorescent light fixtures. Transfer time shall be less than 1 second.
    3. Warranty
      - a. The entire unit shall be warranted for three years. The battery must have an additional two more years pro-rated warranty. Warranty shall start from the date of project final acceptance. Warranty shall be included in the contract document.

### **PART 3 - EXECUTION**

- 3.1 All fixtures shall be installed in accordance with the National Electric Code.
- 3.2 All fixtures other than the lay-in type shall be individually supported from building structure with 1/4" threaded rods and nuts.
- 3.3 Where a recessed or downlight fixture replaces a section or part of a ceiling tile, fixture is to be supported at the two (2) opposite ends to the steel frame of the building. Supports shall be provided with the same type of wire as used to support the lay-in ceiling track. Attach one end of the wire to one corner of the luminaire and the other end to the building's structural system. The lay-in luminaire shall then be screwed to the main runners of the lay-in ceiling track at all four (4) corners using sheet metal screws. For fire rated suspended ceiling, luminaire shall be supported to the Building Structure as per the Ceiling Design Criteria, luminaire shall then be screwed to the main runners of the suspended ceiling track at all four (4) corners using sheet metal screws.
- 3.4 The complete emergency lighting system shall be tested by throwing the circuit breakers feeding the emergency lighting circuits. One and one-half hours thereafter, the battery voltages shall be

recorded in a report to be submitted to the Engineer. This test shall be performed just prior to final inspection, under witness of the state electrical inspector, and in accordance with NEC Articles 700.4 (A) and (D).

**END OF SECTION 265100**

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