

# Window Preservation and Restoration Workshop

# **Request for Proposal**

# RFP# 24-25-47

Proposal Due Date: July 7, 2025 @ Noon Eastern Standard Time (EST)

**Contact Person: Chantae Gooby** 

**Title: Chief Planner** 

# Phone Number: 252-329-4507

Email Address: cgooby@greenvillenc.gov

Date: June 16, 2025

Subject: Window Preservation and Restoration Workshop(s) on Behalf of the City of Greenville, North Carolina

Contact: Chantae Gooby, Project Manager

The City of Greenville (the City) seeks a professional consultant to conduct a public window preservation and restoration workshop. The workshop will be open to regional preservation commissions and open to the public. The project can be broken into two workshops should funding and time permit. Qualified consultants or consulting firms interested in this project are invited to submit a proposal to the project coordinator listed above by the deadline listed above.

Sealed proposals endorsed **Window Preservation and Restoration Workshop** to be furnished to the City of Greenville (the "City") will be received by the Planning and Development Services at 201 W. 5<sup>th</sup> Street, Greenville, NC or the following Drop Box Address: <u>https://www.dropbox.com/request/S5vkQmwp3yQOigYm5To1</u> until July 7, 2025 at Noon Eastern Standard Time (EST). The City reserves the right to reject any or all proposals.

This RFP outlines a description of the services sought and the documents interested firms must submit plainly marked with the Proposal number and service description as follows:

### Request for Proposals Attention: Chantae Gooby [Name of Company Submitting Proposal] Window Preservation and Restoration Workshop RFP# 24-25-47

Proposals are limited to ten (10) pages (not inclusive of the cover letter or appendices) and shall be typed on  $8 \frac{1}{2} x \frac{11}{3}$  sheets, single spaced, one sided. Proposals containing more than ten (10) pages will not be considered.

Proposals submitted after this deadline or to any location other than that listed above will not be considered. Firms submitting fewer copies than required will not be considered.

Written questions concerning this RFP may be submitted until 5:00 PM Eastern Standard Time (EST), Friday, June 27, 2025, to Chantae Gooby, Chief Planner by email only at cgooby@greenvillenc.gov. All questions with written responses will be placed online at the City's website. Please insert RFP# 24-25-47 in the subject line.

#### SECTION ONE GENERAL INSTRUCTIONS

- 1. READ, REVIEW AND COMPLY: It shall be the Vendor's responsibility to read this entire document, review all enclosures and attachments, and any addenda thereto, and comply with all requirements specified herein, regardless of whether appearing in these Instructions to Vendors or elsewhere in this RFP document.
- 2. LATE PROPOSALS: Late proposals, regardless of cause, will not be opened or considered, and will automatically be disqualified from further consideration. It shall be the Vendor's sole responsibility to ensure delivery at the designated office by the designated time.
- **3.** ACCEPTANCE AND REJECTION: The City reserves the right to reject any and all proposals, to waive any informality in proposals and, unless otherwise specified by the Vendor, to accept any item in the proposal.
- 4. WITHDRAWAL OF PROPOSAL: No proposal may be changed or withdrawn after the time of the proposal due date. Any modifications or withdrawals requested before this time shall be acceptable only when such request is made in writing to the Financial Services Manager.
- **5. CONFLICT OF INTEREST:** Each proposer shall affirm that no official or employee of the City of Greenville is directly or indirectly interested in this proposal for any reason of personal gain.
- 6. LOCAL PREFERENCE: The City of Greenville has adopted a Local Preference Policy, Resolution No. 056-13, and a Professional and other Services Policy, Resolution No. 057-13 that may pertain to this project. For more information, please see the City of Greenville's webpage at <a href="https://www.greenvillenc.gov/government/financial-services/purchasing">https://www.greenvillenc.gov/government/financial-services/purchasing</a>.
- **7. TAXES:** Sales taxes may be listed on the proposal, but as a separate item. No charge will be allowed for Federal Excise and Transportation tax from which the City is exempt.
- 8. CITY RIGHTS AND OPTIONS: The City, at its sole discretion, reserves the following rights:
  - To supplement, amend, substitute or otherwise modify this RFP at any time.
  - To cancel this RFP with or without the substitution of another RFP.
  - To take any action affecting this RFP, this RFP process, or the Services subject to this RFP that would be in the best interests of the City.
  - To issue additional requests for information or clarification from Offerors or to allow corrections of errors or omissions.
  - To require one or more Service Providers to supplement, clarify or provide additional information in order for the City to evaluate the Responses submitted.
  - To negotiate a contract with a Service Provider based on the information provided in response to this RFP.

- **9. PUBLIC RECORDS:** Any material submitted in response to this solicitation will become a "public record." Proposers must claim any applicable exemptions to disclosure provided by law in their response to this RFP. Proposers must identify materials to be protected and must state the reasons why such exclusion from public disclosure is necessary and legal. The City reserves the right to make all final determination(s) of the applicability of North Carolina General Statutes § 132-1.2, Confidential Information.
- **10.** ACCURACY OF SOLICITATION AND RELATED DOCUMENTS: Each Company must independently evaluate all information provided by the City. The City makes no representations or warranties regarding any information presented in this RFP, or otherwise made available during this procurement process, and assumes no responsibility for conclusions or interpretations derived from such information. In addition, the City will not be bound by or be responsible for any explanation or conclusions regarding this RFP or any related documents other than those provided by an addendum issued by the City. Companies may not rely on any oral statement by the City or its agents, advisors, or consultants. If a Company identifies potential errors or omissions in this RFP or any other related documents, the Company should immediately notify the City of such potential discrepancy in writing. The City may issue a written addendum if the City determines clarification necessary. Each Company requesting an interpretation will be responsible for delivering such requests to the City's designated representative as directed in RFP Section Two.
- **11. EXPENSE OF SUBMITTAL PREPARATION:** The City accepts no liability, and Companies will have no actionable claims, for reimbursement of any costs or expenses incurred in participating in this solicitation process. This includes expenses and costs related to Proposal submission, submission of written questions, attendance at pre-proposal meetings or evaluation interviews, contract negotiations, or activities required for contract execution.
- **12. PROPOSAL BINDING:** This proposal is binding for a period of ninety (90) days.

# SECTION TWO PROPOSAL

#### 1. INTRODUCTION

The City is asking for proposals from a qualified consultant in response to the specifications outlined in this request to provide a workshop to teach the fundamentals of repairing historic windows, their anatomy, and why they're worth preserving.

#### 2. BACKGROUND

The City of Greenville, NC, has been awarded a federal Historic Preservation Fund grant for Certified Local Governments (CLG) from the National Park Service in the amount of \$5,000 to conduct the workshop. The grant will be administered through the North Carolina Historic Preservation Office (HPO) of the North Carolina Department of Natural and Cultural Resources.

# 3. DESCRIPTION OF SERVICES (SCOPE OF WORK)

## A. GOALS/OBJECTIVES

The project will be accomplished under the supervision of the HPO, Department of Natural and Cultural Resources. The HPO supervisory staff and the consultant will meet 36 CFR 61 qualifications. The workshop will follow *The Secretary of the Interior's Standards* and National Park Service (NPS) Technical Preservation Brief 9 *The Repair of Historic Wooden Windows* <u>https://www.nps.gov/orgs/1739/upload/preservation-brief-09-wood-windows.pdf</u> and, if addressed, Technical Preservation Brief 13 *The Repair and Thermal Upgrading of Historic Steel Windows* <u>https://www.nps.gov/orgs/1739/upload/preservation-brief-13-steel-windows.pdf</u>.

### B. EXPECTED DELIVERABLES

The following deliverables are expected to be provided by the CONSULTANT:

- Provide a public workshop to teach the fundamentals of repairing historic windows, their anatomy, and why they're worth preserving.
- Provide presentation materials and any exhibits.

The following deliverables are expected to be provided by CITY STAFF:

- Designate a project coordinator to serve as the liaison between the City and consultant.
- Assist with coordinating workshop date(s) and time(s).
- Develop and publish notification and advertising for project.
- Secure a workshop location(s).

#### 4. TERMS OF AGREEMENT:

The proposed workshop(s) should be held between September - October, 2025. Specific date(s) and time(s) can be established prior to contract execution. City staff will secure the meeting space and provide public notifications. All work shall be completed within six (6) months from the date of an approved contract.

#### 5. PROJECT BUDGET/PAYMENT:

The total project budget is \$5,000, funded by a federal Historic Preservation Fund grant for CLGs, and assistance with the program from staff at the City of Greenville. The budget covers consultant salaries and fees, as well as travel, and all other project expenses on a "not to exceed" basis.

- Payment of 40% of the RFP price after submittal of satisfactory presentation materials/exhibits/agenda, and the scheduling of workshop(s).
- Payment of 40% after notifications have been published.
- Final Payment of 20% upon satisfactory completion of the workshop(s).

### PROPOSAL CONTENTS

The proposal shall provide elements listed below along with any supporting documentation you feel is needed and will contribute to the evaluation of the proposal. However, under no circumstances should proposals exceed ten (10) pages (*not inclusive of the cover letter or appendices*), typed on 8  $\frac{1}{2}$ " x 11" sheets, single spaced, one sided.

- A. Letter of Transmittal the submission must include a letter of transmittal attesting to its accuracy, signed by an individual authorized to execute binding legal documents. The cover letter shall provide the name, address, telephone and facsimile numbers of the Service Provider along with the name, title, address, email address, telephone and facsimile numbers of the executive that has the authority to contract with the City. The cover letter shall present the Service Provider's understanding of the Project, a summary of the approach to be undertaken to perform the Services, as well as a summary of the costs to provide the Services. All addenda will be issued via the City's Purchasing Website at https://www.greenvillenc.gov/government/financial-services/current-bid-opportunities.
- B. <u>Firm's Background and History</u> provide a brief summary of your firm, including number of years' experience, ownership structure, firm size, competitive advantages, any potential conflicts of interests, locations of offices, current firm capacity to accept this project, and any claims, litigation or other legal/regulatory proceeding, investigation or disciplinary action related to services to be provided herein.
- C. <u>Qualifications</u> describe your firm's qualifications to complete this project, including detail to describe factors that make your firm ideally or uniquely suited to perform the services and description of similar projects completed.
- D. <u>Project Team Members</u> provide a team organizational chart capturing all team members' names and titles, including any sub-consultants, their roles and responsibilities in this project and specific area expertise, level of involvement by percentage in this project, and brief background of their work with your firm.
- E. <u>Project Approach</u>- provide a detailed project approach and process description that explains the steps your company will take to ensures the provision of services outlined in number 3, *Description of Services (Scope of Work) (pg. 5), is met and* runs smoothly. Describe how the Consultant team will work with the City to develop an overall plan strategy that includes a significant amount of public outreach, deliverables and provides a completed end product and methods to implement. Also, consider how other local non-profit and community organizations as well as educational institutions can support the initiative, where permissible.

- F. <u>Projected Timeline</u>- provide a project schedule to accomplish the details/task as outlined in number 3, *Description of Services (Scope of Work)*.
- G. <u>Past Record of Performance and References</u> provide a list of current and past clients (within the past five (5) years), including pertinent contact information name, phone number and email address, and a summary of services provided for at least three (3) similar projects.
- H. <u>Cost</u> provide the total cost to provide the workshop which includes any printed materials that are needed as part of the workshop. The cost to publicize the workshop (letters, newspaper advertisements) need <u>not be</u> included.

### 6. QUESTIONS

Written questions concerning this RFP may be submitted until 5:00 PM Eastern Standard Time (EST) on **Friday, June 27, 2025** to Chantae Gooby, Chief Planner by email only at <u>cgooby@greenvillenc.gov</u>. All questions with written responses will be placed online at the City's website. Please insert RFP# 24-25-47 in the subject line.

### 7. <u>SELECTION PROCESS</u>

Following is a general description of the selection process:

- All qualified firms who submit responsive, responsible proposals will be considered.
- The proposals received in response to this solicitation will be evaluated and ranked, by the Evaluation Committee in accordance with the process and evaluation criteria contained below.
- Responses will be evaluated in light of the material and substantiating evidence presented in the response, and not on the basis of what is inferred.
- After thoroughly reading and reviewing this solicitation, each Evaluation Committee member shall conduct their independent evaluation of the proposals received and grade the responses on their merit in accordance with the evaluation criteria set forth below.
- The Evaluation Committee may, at the City's discretion, shortlist a minimum of three (3) firms to be interviewed. IF APPLICABLE, dates of shortlisting and dates for interviews are shown in the **SUBMISSION SCHEDULE AND KEY DATES** at the end of this solicitation.

# **Consultant Selection and Qualifications:**

Consultants will be evaluated in part on the basis of the following criteria:

- The consultant or firm must have demonstrated experience restoring historic windows preferably in both residential and commercial buildings;
- The consultant or firm must have demonstrated experience with *The Secretary of the Interior's Standards for the Treatment of Historic Properties*;
- The person or firm must have adequate experience and staff to conduct the workshop; and
- The person or firm must have the ability to conduct the workshop within the given timeframe.

#### 8. MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISE PARTICIPATION

It is the policy of the City to provide minorities and women equal opportunity for participating in all aspects of the City's contracting and procurement programs, including but not limited to, construction projects, supplies and materials purchase, and professional and personal service contracts. In accordance with this policy, the City has adopted a Minority and Women Business Enterprise (MWBE) Plan and subsequent program, outlining verifiable goals.

The City has established a 4% Minority Business Enterprise (MBE) and 4% Women Business Enterprise (WBE) **goal** for the participation of MWBE firms in supplying goods and services for the completion of this project. All firms submitting qualifications and/or proposals agree to employ "good faith efforts" towards achieving these goals and supply other information as requested in the "MWBE Professional Services Forms" included in Appendix B. Failure to complete the MWBE forms may be cause to deem the submittal nonresponsive.

Questions regarding the City's MWBE Program should be directed to the MWBE Office at (252) 329-4862.

#### 9. EQUAL EMPLOYMENT OPPORTUNITY CLAUSE

The City of Greenville is an equal opportunity employer and strictly prohibits discrimination against any employee or applicant for employment because of the individual's race, color, religion, age, gender, disability, national origin, genetic information, sexual orientation, gender identity/reassignment or expression, military or veteran status, marital status, or any characteristic protected by applicable law.

#### **10. SELECTION CRITERIA**

Criteria for the selection of the Consultant will include the following and their respective weights:

Content	Available Points
Letter of Transmittal	5 points
Firm's Background and History	10 points
Qualifications	25 points
Project Approach	35 points
List of References	10 points
Cost/Budget	10 points
Local Vendor	5 points
Total	100 points

North Carolina firms qualified to do the required work will be given priority consideration. A North Carolina firm is a firm that maintains an office in North Carolina staffed with an adequate number of employees judged by the City/Department to be capable of performing a majority of the work required.

After reviewing qualifications, if firms are equal on the evaluation review, then those qualified firms with proposed MWBE participation will be given priority consideration.

An interview phase may or may not be a part of selection for this project. If an interview phase of the selection process is deemed necessary after the initial review of proposals, interviewees will be evaluated based on the following criteria:

- 1. Overall quality of project presentation
- 2. Team dynamic
- 3. Quality of answers provided during the interview

Once a preferred firm is chosen, the City will work with the project team to develop a more detailed scope of services to be included in the project contract. The City reserves the right to negotiate a contract, including the final scope of work and contract price, with any respondent or other qualified party.

Event	Date and Time
Issuance of RFP	June 16, 2025 (5:00 PM EST)
Deadline to Submit Questions	June 27, 2025 (5:00 PM EST)
Answers to Questions Provided	June 30, 2025 (5:00 PM EST)
Proposals Due	July 7, 2025 (12:00 PM Noon EST)
Selection	July 25, 2025 Projected
Anticipated Project Start Date (Notice to Proceed)	August, 2025

#### 11. SUBMISSION SCHEDULE AND KEY DATES

### ATTACHMENT A GENERAL TERMS AND CONDITIONS

The contract terms provided herein are not exhaustive but shall become a part of any contract issued as a result of this solicitation. Any exceptions to the contract terms must be stated in the submittal. Any submission of a proposal without objection to the contract terms indicates understanding and intention to comply with the contract terms. If there is a term or condition that the firm intends to negotiate, it must be stated in the proposal. The successful firm will not be entitled to any changes or modifications unless they were first stated in the proposal. The City reserves the right, at its sole discretion, to reject any or all submittal package(s) containing unreasonable objections to standard City contract provisions.

1. **NONDISCRIMINATION:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, national origin, sex, age, disability, income-level, or LEP in the selection and retention of subcontractors, including procurements of

materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations as set forth in Appendix E, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 C.F.R. part 21.

- 2. SOLICITATIONS FOR SUBCONTRACTS, INCLUDING PROCUREMENTS OF MATERIALS AND EQUIPMENT: In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, national origin, sex, age, disability, incomelevel, or LEP.
- 3. **NON-COLLUSION:** Respondents, by submitting a signed proposal, certify that the accompanying submission is not the result of, or affected by, any unlawful act of collusion with any other person or company engaged in the same line of business or commerce, or any other fraudulent act punishable under North Carolina or United States law.
- 4. **PAYMENT TERMS:** The City agrees to pay all approved invoices Net Thirty (30) days from the date received and approved. The City does not agree to the payment of late charges or finance charges assessed by the seller or vendor for any reason. Invoices are payable in U.S. funds.
- 5. **GOVERNING LAW:** Any agreement, contract or purchase order resulting from this invitation to bid, request for proposals or request for qualifications or quotes, shall be governed by the laws of the State of North Carolina without regard to its choice of law provisions, and venue for any action or suits arising out of or relating to this contract shall be the North Carolina General Courts of Justice, in Pitt County, or if in federal court, in the Eastern District of North Carolina.
- 6. **SERVICES PERFORMED:** All services rendered under this agreement will be performed at the Contractor's own risk and the Contractor expressly agrees to indemnify and hold harmless the City, its officers, agents, independent contractors, officials (elected and appointed) and employees from any and all liability, loss or damage that they may suffer as a result of claims, demands, actions, damages or injuries of any kind or nature whatsoever by or to any and all persons or property. Additionally, all work performed under this Contract shall be performed in a workmanlike and professional manner, to the reasonable satisfaction of the City, and shall conform to all prevailing industry and professional standards.
- 7. **INDEPENDENT CONTRACTOR:** It is mutually understood and agreed the Seller is an independent contractor and not an agent of the City, and as such, Contractor, his or her agents and employees shall not be entitled to any City employment benefits, such as but not limited to vacation, sick leave, insurance, worker's compensation, pension or retirement benefits.
- 8. \*NEW UPDATE: General Contractor(s) are responsible for ensuring all subcontractors working on the project are registered as vendors with the City of Greenville and have active registration prior to contract award. All new vendors, including subcontractors/consultants, must register with the City of Greenville's online portal <u>prior</u> to the rendering of goods or services. Registration as a vendor with the City of Greenville is the responsibility of prime or subcontractor/consultant, and requires the prospective new vendor to submit a W-9, and complete the registration through the City's vendor portal at the following web address: <a href="https://cityofgreenvillenc.munisselfservice.com/vss/">https://cityofgreenvillenc.munisselfservice.com/vss/</a>.

If the prospective new vendor is *only* providing service(s) as a subcontractor/consultant, submission of payment information is not necessary at the time of registration. General Contractors must provide total amounts paid to MWBE subcontractors with each payment application/invoices.

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the nondiscrimination statutes and authorities; see Attachment C.

- 9. VERBAL AGREEMENT: The City will not be bound by any verbal agreements.
- 10. **INSURANCE REQUIREMENTS:** Contractor shall maintain at its own expense (a) Commercial General Liability Insurance in an amount not less than \$1,000,000 per occurrence for bodily injury or property damage; City of Greenville, North Carolina, 200 W. Fifth St. Greenville, NC 27834 shall be named as additional insured. (b) Professional Liability insurance in an amount not less than \$1,000,000 per occurrence-if providing professional services; (c) Workers Compensation Insurance as required by the general statutes of the State of North Carolina and Employer's Liability Insurance not less than \$1,000,000 each accident for bodily injury by accident, \$1,000,000 each employee for bodily injury by disease, and \$1,000,000 policy limit; (d) Commercial Automobile Insurance applicable to bodily injury and property damage, covering all owned, non-owned, and hired vehicles, in an amount not less than \$1,000,000 per occurrence as applicable. Certificates of Insurance shall be furnished prior to the commencement of Services
- 11. INDEMINIFICATION AND HOLD HARMLESS: All services rendered under this agreement will be performed at the Contractor's own risk and the Contractor shall indemnify and hold harmless the City from and against any liability, loss, cost, damage suit, claim, or expense arising occurrence on the part of the CONTRACTOR and its officers, servants, agents or employees arising from its activities, operations, and performance of services under this contract and further agrees to release and discharge the City and its agents and employees from all claims or liabilities arising from or caused by the CONTRACTOR in fulfilling its obligation under this contract. It is understood and agreed by the parties that City will assume no liability for damages, injury, or other loss to the Contractor, its employees or property, tools or equipment, or to other persons or properties located on City facilities resulting from the CONTRACTOR'S activities and operations while performing services under this contract.
- 12. E-VERIFY COMPLIANCE: The Contractor shall comply with the requirements of Article 2 of Chapter 64 of the North Carolina General Statutes. Further, if the Contractor utilizes a Subcontractor, the Contractor shall require the Subcontractor to comply with the requirements of Article 2 of Chapter 64 of the North Carolina General Statutes. By submitting a proposal, the Proposer represents that their firm and its Subcontractors are in compliance with the requirements of Article 2 Chapter 64 of the North Carolina General Statutes.
- 13. IRAN DIVESTMENT ACT: By submitting a proposal, the Vendor certifies that: (i) it is not on the Iran Final Divestment listed created by the N.C. State Treasurer pursuant to N.C.G.S. 147-86.58; (ii) it will not take any actions causing it to appear on said list during the term of any contract with the City, and (iii) it will not utilize any subcontractor to provide goods and services hereunder that is identified on said list.

- 14. **ADVERTISING**: The Contractor shall not use the existence of this Contract, or the name of the City, as part of any advertising without the prior written approval of the City.
- 15. FORCE MAJEURE: Except as otherwise provided in environmental laws, rules, regulations or ordinances applicable to the parties and the services performed under this contract, neither party shall be deemed to be in default of its obligations hereunder if and so long as it is prevented from performing such obligations by any acts of God; acts of the public enemy; insurrections; riots; embargoes; labor disputes, including strikes, lockouts, job actions, or boycotts; shortages of materials or energy; fires; explosions; floods; any curtailment, order, regulation, or restriction imposed by governmental, military or lawfully established civilian authorities, including, without limitation any of the foregoing which occur as a result of epidemic or pandemic; changes in laws governing this type of Work of facility; or other unforeseeable causes beyond the reasonable control and without the fault or negligence of the City. Reasonable extension of time for unforeseen delays may be made by mutual written consent of all parties involved or the contract may terminate.
- 16. **ASSIGNMENT**: This Contract, including payment due under this contract, may not be assigned without the express written consent of the City.

#### 17. CONFLICT OF INTERESTS:

- **a.** Contractor is aware of the conflict of interest laws of the City of Greenville, of the State of North Carolina (as set forth in North Carolina General Statutes) and agrees that it will fully comply in all respects with the terms thereof and any future amendments.
- b. Contractor covenants that no person or entity under its employ, presently exercising any functions or responsibilities in connection with this Agreement has any personal financial interests, direct or indirect, with the City. Contractor further covenants that, in the performance of this Agreement, no person or entity having such conflicting interest shall be utilized in respect to the Scope of Work or services provided hereunder. Any such conflict of interest(s) on the part of Contractor, its employees or associated persons or entities shall be disclosed to the City.
- **c.** Contractor shall disclose any possible conflicts of interest or apparent improprieties of any party under or in connection with the Legal Requirements, including the standards for procurement.
- **d.** Contractor shall make any such disclosure to the City in writing and immediately upon the Contractor's discovery of such possible conflict. The City's determination regarding the possible conflict of interest shall be binding on all parties.
- e. No employee, agent, contractor, elected official or appointed official of the City, exercising any functions or responsibilities in connection with this Agreement, or who is in a position to participate in the decision-making process or gain inside information regarding activities, has any personal financial interest, direct or indirect, in this Agreement, the proceeds hereunder, the Project or Contractor, either for themselves or for those with whom they have family or business ties, during their tenure or for one year thereafter.

18. TERMINATION: The City may terminate this Contract at any time by providing thirty (30) days written notice to the Contractor. In addition, if Contractor shall fail to fulfill in timely and proper manner the obligations under this Contract for any reason, including the voluntary or involuntary declaration of bankruptcy, the City shall have the right to terminate this Contract by giving written notice to the Contractor and termination will be effective upon receipt. Contractor shall cease performance immediately upon receipt of such notice. The Contractor shall not be relieved of liability to the City for damages sustained by the City by virtue of any breach of this Contract, and the City may withhold any payment due to the Contractor for the purpose of setoff until such time as the City can determine the exact amount of damages due the City because of the breach.

#### ATTACHMENT B: SUPPLEMENTAL VENDOR INFORMATION

#### **MWBE AND ELIGIBLE LOCAL BIDDERS**

Minority and Women Business Enterprises (MWBEs) consist of minority, women and disabled business firms that are at least fifty-one percent owned and operated by an individual(s) of these categories. Also included are disabled business enterprises and non-profit work centers for the blind and severely disabled. Eligible Local Bidders consist of firms located within the Greenville city limits or ETJ that meet other criteria as outlined in Resolutions 031-15, 020-16.

Pursuant to G.S. 143-128.2, 143-48, 143-128.4, and the City of Greenville MWBE Plan along with Resolutions 031-15 and 020-16, the City invites and encourages participation in this procurement process by businesses owned by minorities, women, disabled business enterprises and non-profit work centers for the blind and severely disabled and local bidders. This includes utilizing subcontractors to perform the required functions in this solicitation.

The Vendor shall respond to the questions below.

- a) Are you an MWBE firm? 🗌 Yes 🗌 No
- b) Are you certified with North Carolina as a Historically Underutilized Business (MWBE)? Yes No
- c) Are you a certified Disadvantaged Business Enterprise (DBE) with the Department of Transportation?
  - Yes 🗌 No
- d) Are you a local bidder? See No

Please provide complete physical address of firm:

#### Attachment C:

## <u>Title VI of the Civil Rights Act of 1964</u> <u>Nondiscrimination Provisions, Appendices A &</u> <u>E.</u>

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

**1) Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation (USDOT), as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.

(2) Nondiscrimination: The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, national origin, sex, age, creed (religion), low-income, limited English proficiency, or disability in the selection and subcontractors, retention of including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.

(3) Solicitations for Subcontractors, Including Procurements of Materials and Equipment: In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Nondiscrimination on the grounds of race, color, or national origin.

(4) Information and Reports: The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the USDOT to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the USDOT, as appropriate, and will set forth what efforts it has made to obtain the information.

(5) Sanctions for Noncompliance: In the event of a contractor's noncompliance with the Nondiscrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the USDOT may determine to be appropriate, including, but not limited to:

- (a)withholding payments to the contractor under the contract until the contractor complies; and/or
- (b) cancelling, terminating, or suspending a contract, in whole or in part.

(6) Incorporation of Provisions: The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the USDOT may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

 During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following nondiscrimination statutes and authorities; including but not limited to:

#### Pertinent Nondiscrimination Authorities

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;

- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented Department of bv Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration's Nondiscrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures Nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;

- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of Limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from

discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq);

 Federal transit laws, specifically 49 U.S.C. § 5332 (prohibiting discrimination based on race, color, religion, national origin, sex (including gender identity), disability, age, employment, or business opportunity).